

Bounded-depth Frege complexity of Tseitin formulas for all graphs [☆]



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ABSTRACT

We prove that there is a constant K such that *Tseitin* formulas for a connected graph G requires proofs of size $2^{\text{tw}(G)^{\Omega(1/d)}}$ in depth- d Frege systems for $d < \frac{K \log n}{\log \log n}$, where $\text{tw}(G)$ is the treewidth of G . This extends Håstad’s recent lower bound from grid graphs to any graph. Furthermore, we prove tightness of our bound up to a multiplicative constant in the top exponent. Namely, we show that if a *Tseitin* formula for a graph G has size s , then for all large enough d , it has a depth- d Frege proof of size $2^{\text{tw}(G)^{O(1/d)}} \text{poly}(s)$. Through this result we settle the question posed by M. Alekhovich and A. Razborov of showing that the class of *Tseitin* formulas is quasi-automatizable for resolution.

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1. Introduction

Propositional proof complexity is motivated by the result of Cook and Reckhow [15] saying that if there is a propositional proof system in which any unsatisfiable formula F has a short proof of unsatisfiability (of size polynomial in the size of F), then $\text{NP} = \text{coNP}$. In the last 30 years the complexity of proofs was investigated for several proof systems with the aim of finding concrete evidence, and eventually a proof, that for all proof systems there is a propositional formula which is not efficiently provable, i.e. requires super-

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polynomial proof size. The approach followed to prove such lower bounds was essentially borrowed from circuit complexity. Lines in a proof are Boolean formulas and we can define different proof system according to the circuit complexity of such formulas. For example resolution, a well-known refutational system for CNFs, corresponds to a system where formulas are of depth 1. In circuit complexity we keep on trying to strengthen lower bounds to computationally more powerful class of circuits. In proof complexity we follow the analogous approach: to strengthen lower bounds to systems working on formulas computationally more powerful. The hope is that techniques used to prove lower bounds for classes of Boolean circuits could be lifted to work with proof systems operating with formulas in the same circuit class. At present however we are far from such ideal situation and in fact, in terms of circuit classes, lower bounds for proof systems are well below those for Boolean circuits.

The complexity of proofs in resolution is largely studied. The first lower bound for (a restriction of) resolution was given by Tseitin in [43]. To obtain his result Tseitin introduced a class of formulas (nowadays known as *Tseitin formulas*) encoding a generalisation of the principle that the sum of the degrees of all vertices in a graph is an even number. A Tseitin formula $T(G, f)$ is defined for every undirected graph $G(V, E)$ and a charging function $f : V \rightarrow \{0, 1\}$. We introduce a propositional variable for every edge of G so that $T(G, f)$ is a CNF representation of a linear system over the field $\text{GF}(2)$ such that each equation corresponds to a vertex $v \in V$ and states that the sum of all edges incident to v equals $f(v)$. Together with the unsatisfiable formulas PHP_n encoding the *Pigeonhole principle*, Tseitin formulas are among the most commonly used examples to prove hardness results for proof systems. Haken [25], Beame and Pitassi [6] and Ben-Sasson Wigderson [10] proved exponential resolution lower bounds for the CNF formula encoding the negation of PHP_n , results which were later generalized and improved in several other works [11,16,30,37,39,40]. For unrestricted resolution it was Urquhart in [44] and later Ben-Sasson and Wigderson [10] who proved exponential lower bounds for Tseitin formulas defined over constant-degree expander graphs.

Bounded-depth Frege extends resolution since the formulas in the proof-lines are computable by AC^0 -circuits, i.e. constant-depth circuits with unbounded fan-in gates. The importance of understanding the complexity of proofs in bounded-depth-Frege systems was due at least to two reasons: (1) for general Frege systems, where formulas have no restrictions, i.e. are of depth $\mathcal{O}(\log n)$, Buss in [12] proved that the Pigeonhole principle can be proved in polynomial size, hence obtaining an exponential separation with resolution. (2) Lower bounds for AC^0 -circuits were known [20,27] and hence we could hope for applying lower bound techniques for AC^0 to lower bounds to bounded-depth Frege. Studying the complexity of proofs in bounded-depth Frege is of the utmost importance since it is a frontier proof system, i.e. is one of the strongest propositional proof systems with known significant lower bounds at the moment. Any advance is then a step towards proving lower bounds for $\text{AC}^0[2]$ -Frege, i.e. a bounded-depth Frege admitting also formulas with parity gates, which are unknown at the moment, though we know since a long time exponential lower bounds for $\text{AC}^0[2]$ circuits [32,38,42]. In this work we contribute to the complexity of proofs in bounded-depth Frege proving new lower bounds for Tseitin formulas.

Ajtai in [1] was the first to prove a lower bound in bounded-depth Frege. He showed that a refutation of PHP_n must have a super-polynomial size. His result was later followed by several results simplifying his technique [7] and improving the lower bound [33,34] showing that any polynomial-size Frege refutation of PHP_n must have depth $\Omega(\log \log n)$. The proof complexity of Tseitin formulas in bounded-depth Frege was first considered by Urquhart and Fu in [45], a work where they simplified and adapted the lower bound for the PHP_n to the case of Tseitin formulas over a complete graph. Ben-Sasson in [9], proved exponential lower bounds for the Tseitin formulas over constant-degree expander graphs using a new reduction from the pigeonhole principle [45]. All these lower bounds are adaptation of the technique of [33,34], hence vanish when the depth of formulas in the proof is more than $\log \log n$. In a very recent major breakthrough [35] showed that Tseitin formulas over a 3-expander graph on n nodes requires super-polynomial bounded-depth

Frege refutations at depth $\mathcal{O}(\sqrt{\log n})$. This result was later improved to depth up to $\frac{C \log n}{\log \log n}$ by Håstad in [28] but for Tseitin formulas defined only on the 2-dimensional grid, where C is a positive constant.

Proofs of $\mathsf{T}(G, f)$ were studied in terms of the treewidth of G , $\text{tw}(G)$, for resolution [3,22] and for OBDD proof systems [23]. We use Håstad result to prove tight bounds on the size of refutations in bounded-depth Frege of $\mathsf{T}(G, f)$ over any graph G in terms of the treewidth of G . Our main result is the following theorem:

Theorem 1. *There is a constant K such that for any connected graph G over n nodes and for all $d \leq K \frac{\log n}{\log \log n}$, every depth- d Frege proof of $\neg \mathsf{T}(G, f)$ has size at least $2^{\text{tw}(G)^{\Omega(1/d)}}$. Furthermore, for all large enough d there exist depth- d Frege proofs of $\neg \mathsf{T}(G, f)$ of size $2^{\text{tw}(G)^{\mathcal{O}(1/d)}} \text{poly}(|\mathsf{T}(G, f)|)$.*

1.1. Overview of the proof technique

In Theorem 18 we prove the lower bound from Theorem 1. The proof is based on the improvement of the Excluded Grid Theorem by Robertson and Seymour recently obtained by Chuzhoy [13]: an arbitrary graph G contains as a minor a $r \times r$ grid, where $r = \Omega(\text{tw}(G)^\lambda)$ and λ is a constant; the latest improvement [14] establishes the theorem for $\lambda < 1/10$, however, it is known that λ can not be greater than $1/2$. For several classes of graphs it is possible to improve the value of λ , for example, for planar graphs $\lambda = 1$ [24], [41]. More precisely we use the corollary of this result (see Corollary 9) stating that any graph G has a wall of size r as a topological minor (i.e. can be obtained from G by several removing of vertices, edges and suppressions, see Fig. 1 and Fig. 3). Our proof consists of two parts: at first, we show that if H is a topological minor of G , then any bounded-depth Frege proof of $\neg \mathsf{T}(G, f)$ can be transformed to a proof of $\neg \mathsf{T}(H, f')$, with constant increase in depth and polynomial increase in size. And then we prove a lower bound on the size of depth- d Frege proof of $\neg \mathsf{T}(H, f')$ based on walls. In this proof we use the lower bound for grid graphs proved by Håstad [28].

In Theorem 19 we prove the upper bound from Theorem 1. We consider the compact representation of linear functions $\mathbb{F}_2^n \rightarrow \mathbb{F}_2$ on variables x_1, x_2, \dots, x_n by propositional formulas of depth d and of size $2^{n^{\mathcal{O}(1/d)}}$. We show that for linear functions f and g if the equations $f(x) = a$ and $g(x) = b$ are given in our representation, then there is a derivation of $(f + g)(x) = a + b$ of depth d and of size $2^{n^{\mathcal{O}(1/d)}}$. We also show that if a linear equation is represented in CNF, then it is possible to infer its compact representation with depth d and size $2^{n^{\mathcal{O}(1/d)}}$. Since a Tseitin formula is an unsatisfiable system of linear equations written in CNF, hence it is possible to refute a Tseitin formula in size $2^{m^{\mathcal{O}(1/d)}}$ and depth d , where m is the number of edges in G . However we wish to have the treewidth of G instead of m . We consider a tree-partition of a graph G , the vertices of G are split into bags and there exists a tree such that bags are nodes of this tree and if two vertices of G are connected, then they are either in one bag or in adjacent bags. It is known that there is a tree partition where the size of bags are at most $\mathcal{O}(\text{tw}(G)\Delta(G))$ [46]. Since the number of edges touching a given bag is $\mathcal{O}(\text{tw}(G)\Delta(G)^2)$ we can use the compact representation to take care of the equations involving the parity of sum of adjacent bags with proofs growing in terms of the treewidth of G .

1.2. The complexity of Tseitin formulas in resolution

A recent line of research [3,22,31] investigates the exact relation between the treewidth of G and the proof complexity of $\mathsf{T}(G, f)$ in resolution and its refinements. Since resolution is a special case of bounded-depth Frege, Theorem 1 is directly applicable to resolution. In Section 5 we contribute this line of research partially answering to some questions left open before. First we improve Theorem 1 for resolution. In Theorem 34 we show $2^{\Omega(\text{tw}^\lambda(G))}$ lower bounds for resolution refutations of $\mathsf{T}(G, f)$, where λ is the constant from the grid-minor theorem in [13]. A stronger lower bound $2^{\Omega(\text{tw}(G)/\log n)}$ was recently proved for regular resolution in [31] and it is open whether this holds for unrestricted resolution as well.

A conjecture of Urquhart asserts that the shortest resolution refutations of $\mathsf{T}(G, f)$ are in fact regular. A consequence of our results, stated in Corollary 40, shows that the size of refutations of $\mathsf{T}(G, f)$ in proof systems between tree-like resolution and bounded-depth Frege are quasi-polynomially correlated, i.e. *if $\mathsf{T}(G, f)$ has a refutation of size S in bounded-depth Frege, then it has a refutation of size at most $2^{\text{poly}(\log S)}$ in treelike resolution and vice versa*. Since regular resolution is in between treelike resolution and bounded-depth Frege, this provides evidence (showing it for a quasi-polynomial size) to the conjecture of Urquhart.

Finally we consider the question posed in [3] of *(quasi)-automatization* of $\mathsf{T}(G, f)$ formulas in resolution, i.e. whether there exists a deterministic algorithm that returns a resolution refutation of $\mathsf{T}(G, f)$ in time which is (quasi-)polynomial in $|\mathsf{T}(G, f)| + |\tau_{\mathsf{T}(G, f)}|$, where $|\tau_{\mathsf{T}(G, f)}|$ is the size of the shortest refutation of $\mathsf{T}(G, f)$ in resolution. From [3] it is known that $\mathsf{T}(G, f)$ are (quasi)-automatizable in resolution only when G has a specific covering property known as *bounded cyclicity*. In Corollary 39 we extend their result proving the quasi-automatization of $\mathsf{T}(G, f)$ for any graph G .

Organization. The paper is divided into four sections. After the Preliminary section, we have Section 3 for the lower bound (Theorem 18), and Section 4 for the upper bound (Theorem 19). Section 5 is devoted to the results on the proof complexity of $\mathsf{T}(G, f)$ in resolution.

2. Preliminaries

Formulas and restrictions. We consider propositional formulas over binary \vee and \wedge , unary \neg and Boolean constants $\mathbf{0}, \mathbf{1}$. We represent formulas as rooted trees such that internal vertices are labelled with connectives and leaves are labelled with propositional variables or Boolean constants. The *depth* of a formula is the maximal number of alternations of types of connectives over all the paths from the root to a leaf plus one.

We assume that disjunctions with unbounded fanin are represented via binary disjunctions. By default, we mean that $\bigvee_{i=1}^n x_i$ is right-associative; i.e. it denotes $(\dots(x_1 \vee x_2) \vee \dots) \vee x_{n-1} \vee x_n$; we also assume the same for \bigwedge .

We denote by $\text{vars}(F)$ the set of variables of a formula F . A *partial assignment* α for a formula F is mapping from $\text{vars}(F) \rightarrow \{0, 1, *\}$, where $\alpha(x) = *$ if x is unassigned. We denote by $\text{dom}(\alpha) = \alpha^{-1}(\{0, 1\})$ the set of variables in F which α assigns a Boolean value.

Pudlák-Buss games. We use the game interpretation of Frege proofs introduced by Pudlák and Buss [36]. Let us define a game with two players Pavel and Sam. The game starts with initial conditions of the form $\varphi_1 = a_1, \dots, \varphi_k = a_k$, where $\varphi_1, \varphi_2, \dots, \varphi_k$ are propositional formulas and $a_1, a_2, \dots, a_k \in \{0, 1\}$ such that $\bigwedge_{i=1}^k (\varphi_i = a_i)$ is identically false. Sam claims that he knows an assignment of variables that satisfies $\bigwedge_{i=1}^k (\varphi_i = a_i)$, the goal of Pavel is to convict Sam. On each Pavel's turn he asks Sam the value of a propositional formula and Sam gives an answer. The game stops when Pavel convicts Sam, namely Pavel finds an immediate contradiction among initial conditions and Sam's answers. An immediate contradiction with a Boolean connective \circ of arity t is a set of $(t + 1)$ formulas $\alpha_1, \dots, \alpha_t$ and $\circ(\alpha_1, \dots, \alpha_t)$ with claimed values a_1, \dots, a_t and b such that $\circ(a_1, \dots, a_t) \neq b$. In particular, $\mathbf{0}$ with claimed value 1 is an immediate contradiction.

A strategy of Pavel is a function that maps initial conditions and the history of a game to a propositional formula (request). A winning strategy is a strategy that allows Pavel to convict Sam for any behaviour of Sam. A winning strategy of Pavel can be represented as a binary tree whose nodes are labelled with Pavel's requests and edges correspond to Sam's answers. A leaf of the tree corresponds to an immediate contradiction among initial conditions and the equalities corresponding to the path from the root to this leaf.

A Pudlák-Buss game derivation of a formula ψ from formulas $\varphi_1, \varphi_2, \dots, \varphi_s$ is a tree of a Pavel's winning strategy in a game with initial conditions $\varphi_1 = 1, \varphi_2 = 1, \dots, \varphi_s = 1, \psi = 0$. In that follows by derivations we always mean Pudlák-Buss game derivations. We are interested in the two complexity parameters of

derivations: 1) the size of a derivation S that equals the total size of formula ψ and all formulas that are used as labels of nodes; 2) the depth of a derivation d is the maximum depth of ψ and formulas that are used as labels of nodes. We use the notation $\varphi_1, \dots, \varphi_s \vdash_d \psi$ for a derivation of ψ from $\varphi_1, \varphi_2, \dots, \varphi_s$ of depth at most d . A derivation of φ is a derivation of φ from the empty set of formulas.

Lemma 2. *Assume that there is a derivation $\varphi_1, \dots, \varphi_k \vdash_{d_1} \psi_1$ of size S_1 and also there is a derivation $\varphi_1, \dots, \varphi_k, \psi_1 \vdash_{d_2} \psi_2$ of size S_2 , then there is a derivation $\varphi_1, \dots, \varphi_k \vdash_{\max\{d_1, d_2\}} \psi_2$ of size $S_1 + S_2$.*

Proof. Let us create the new tree with the root labelled with ψ_1 such that edge from the root labelled with 0 goes to the root of the first derivation and edge labelled with 1 goes to the root of the second derivation. \square

Lemma 3. *1. If a formula φ has a Frege derivation of size S and depth d , then φ has a Pudlák-Buss game derivation of size $\mathcal{O}(S^2)$ and depth d . 2. If φ has a Pudlák-Buss game derivation of size S and depth d , then φ has a Frege derivation of size $\mathcal{O}(S^3)$ and depth $d + \mathcal{O}(1)$.*

Proof. For proof see Appendix A. \square

Lemma 4. *Let ψ_1 and ψ_2 be two formulas of depth at most d such that $|\text{vars}(\psi_1) \cup \text{vars}(\psi_2)| = k$ and ψ_1 semantically implies ψ_2 . Then there exists a derivation $\psi_1 \vdash_d \psi_2$ of size at most $2^k (|\psi_1|^2 + |\psi_2|^2)$.*

Proof. Consider a game with initial conditions $\psi_1 = 1, \psi_2 = 0$.

Let $|\text{vars}(\psi_1) \cup \text{vars}(\psi_2)| = \{x_1, \dots, x_k\}$. In the first k rounds of the game Pavel asks Sam x_1, \dots, x_k . There are 2^k possible combinations of Sam's answers. For each combination Pavel asks Sam all subformulas of ψ_1 (the value of ψ_1 is 1 from initial conditions) starting with the deeper ones and then asks all subformulas of ψ_2 starting with the deeper ones (the value of ψ_2 is 0 from initial conditions). Since all variables are known, one of possible answers to each of these questions yields an immediate contradiction. The total size of all subformulas of ψ_1 and ψ_2 is at most $|\psi_1|^2 + |\psi_2|^2$. Consider the branch of the game tree, where we still no get an immediate contradiction. In that case Sam $\psi_1 = 1$ and $\psi_2 = 0$ are consistent with the values of x_1, \dots, x_k , but it is impossible since ψ_1 semantically implies ψ_2 . Hence, there should be an immediate contradiction. \square

Corollary 5. *let ψ_1 and ψ_2 be two formulas of depth d_1 such that $\text{vars}(\psi_1) \cup \text{vars}(\psi_2) = \{x_1, x_2, \dots, x_k\}$ and ψ_2 is semantically implied by ψ_1 . Let $\varphi_1, \dots, \varphi_k$ be formulas of depth d_2 . Let $\psi_i[x_1 \leftarrow \varphi_1, \dots, x_k \leftarrow \varphi_k]$ for $i \in \{1, 2\}$ be the formula obtained by substitution $\varphi_1, \dots, \varphi_k$ instead of the variables of x_1, x_2, \dots, x_k . Then there exists a derivation*

$$\psi_1[x_1 \leftarrow \varphi_1, \dots, x_k \leftarrow \varphi_k] \vdash_{d_1+d_2} \psi_2[x_1 \leftarrow \varphi_1, \dots, x_k \leftarrow \varphi_k]$$

of size at most $2^k (|\psi_1|^2 + |\psi_2|^2) \left(\sum_{i=1}^k |\varphi_i| \right)$

Proof. Use Lemma 4 for ψ_1 and ψ_2 and then substitute $\varphi_1, \dots, \varphi_k$ instead of the variables x_1, \dots, x_k . \square

A *shortcut contradiction* for the disjunction is a situation where Pavel asks Sam formulas $\bigvee_{i=1}^k \alpha_i$ and α_j for $j \in [k]$ and gets the answers 0 and 1 respectively. Similarly a shortcut contradiction for the conjunction is a situation where Pavel asks Sam formulas $\bigwedge_{i=1}^k \alpha_i$ and α_j for $j \in [k]$ and gets the answers 1 and 0. We may consider *shortcut games* (and shortcut derivations) that has one difference from the already defined games: Pavel can convict Sam using shortcut contradictions instead of immediate contradictions. An *ordinary derivation* is a derivation which does not use shortcut contradictions.

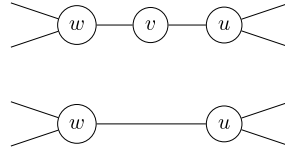


Fig. 1. Suppression of v from G .

Lemma 6. Consider a shortcut derivation of size S and of depth d . Then there is an ordinary derivation of size at most S^3 and of depth d .

Proof. We transform a tree with shortcut contradictions to a tree without them. Let us consider a leaf with a shortcut contradiction for the disjunction (case of conjunction is similar), which means that on the path from root to that leaf Pavel has asked Sam formulas $\bigvee_{i=1}^k \alpha_i$ and α_j for $j \in [k]$ and got the answers 0 and 1 respectively. $\bigvee_{i=1}^k \alpha_i$ is represented as $\bigvee_{i=1}^q \alpha_i \vee \bigvee_{i=q+1}^k \alpha_i$ for some index q , where \vee is binary disjunction. Pavel asks Sam formulas $\bigvee_{i=1}^q \alpha_i$ and $\bigvee_{i=q+1}^k \alpha_i$.

1. If one of Sam's answers is 1, we get an immediate contradiction.
2. If both Sam's answers are 0, then if $j \leq q$, we continue this process with formula $\bigvee_{i=1}^q \alpha_i$ otherwise with formula $\bigvee_{i=q+1}^k \alpha_i$.

In the end of that process we get an immediate contradiction. We repeat this for all leaves with shortcut contradictions, the size of the resulting tree is at most S^3 since there are at most S leaves and the size of added formulas is at most S^2 for each leaf. \square

Tseitin Formulas. Let $G(V, E)$ be an undirected graph and $v \in V$. We denote by $E(v)$ the set of edges in E incident with v and by $N(v)$ the set of neighbours $u \in V$ of v , i.e. the u such that $(u, v) \in E(v)$.

A vertex-charging for $G(V, E)$ is a mapping $f : V \rightarrow \{0, 1\}$. We say that f is an odd-charging of G if $\sum_{v \in V} f(v) \equiv 1 \pmod{2}$. The Tseitin formulas defined on G using variables $x_e, e \in E$ are the formulas: $\top(G, f) := \bigwedge_{v \in V} \text{Par}(v)$, where $\text{Par}(v)$ is the canonical CNF representation of $\bigoplus_{e \in E(v)} x_e = f(v)$.

Lemma 7 ([44]). $\top(G, f)$ is unsatisfiable if and only if there is a connected component U of G such that the restriction of f on U is an odd-charging.

In this work we will work with the tautological form of Tseitin formulas in the form of $\neg \top(G, f)$.

Grids, Walls, Minors, Topological Minors and Treewidth. We consider 4 structural operations on undirected graphs $G = (V, E)$ possibly with parallel edges, but without loops. We follow [8,19].

- *edge removal* of $e \in E$. It produces the graph $[G \setminus e] = (V, E \setminus \{e\})$.
- *vertex removal* of $v \in V$. It produces the graph $[G \setminus v] = (V \setminus \{v\}, E \setminus E(v))$, where $E(v)$ is the set of edges in E incident with $v \in V$.
- *edge contraction* of $e = (u, v) \in E$. Is the replacement of u and v with a single vertex such that edges incident to the new vertex are the edges other than e that were incident with u or v . The resulting graph $G \star e$ has one edge less than G .
- *vertex suppression* of a vertex v in G of degree 2. Let u and w be v 's neighbours in G . The suppression of v is obtained by deleting v along with two edges (u, v) and (w, v) and adding a new edge (w, u) (possibly parallel to an existing one). The resulting graph $[G \setminus_s v]$ has one vertex less than G . See Fig. 1.

A graph H is a *minor* of G if H can be obtained from G by a sequences of edge and vertex removals and edge contractions. A graph H is a *topological minor* of G if H can be obtained from G by a sequence of edge removals, vertex removals and by vertex suppressions [8,19].

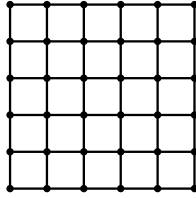


Fig. 2. The grid $\mathcal{H}_{5,5}$.

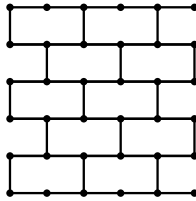


Fig. 3. The wall \mathcal{W}_5 .

The grid $\mathcal{H}_{m,n}$ is the graph of the cellular rectangle $m \times n$; it has $(m + 1)(n + 1)$ vertices and $n(m + 1) + m(n + 1)$ edges, among them $n(m + 1)$ horizontal and $m(n + 1)$ vertical edges. See Fig. 2.

The wall \mathcal{W}_n is a subgraph of $\mathcal{H}_{n,n}$ that is obtained by the removing of several vertical edges. Vertical edges of $\mathcal{H}_{n,n}$ are in n rows and we enumerate them in every row from the left to the right. In the odd rows we remove all vertical edges with even numbers and in even rows we remove all vertical edges with odd numbers. See Fig. 3.

A *tree decomposition* of an undirected graph $G(V, E)$ is a tree $T = (V_T, E_T)$ such that every vertex $u \in V_T$ corresponds to a set $X_u \subseteq V$ and it satisfies the following properties: 1. The union of X_u for $u \in V_T$ equals V . 2. For every edge $(a, b) \in E$ there exists $u \in V_T$ such that $a, b \in X_u$. 3. If a vertex $a \in V$ is in the sets X_u and X_v for some $u, v \in V_T$, then it is also in X_w for all w on the path between u and v in T .

The *width of a tree decomposition* is the maximum $|X_u|$ for $u \in V_T$ minus one. A *treewidth* of a graph G is the minimal value of the width among all tree decompositions of the graph G .

Recall the following Theorem proved in [13].

Theorem 8 ([14]). *If G has a treewidth t , then it has the grid $\mathcal{H}_{r,r}$ as a minor, where $r = \Omega(t^\lambda)$ and $\lambda \geq \frac{1}{10}$ is a constant.*

The following Corollary was mentioned in [8].

Corollary 9. *If G has a treewidth t , then it has the wall \mathcal{W}_r as a topological minor, where $r = \Omega(t^\lambda)$ and λ is the constant from Theorem 8.*

Proof. Since \mathcal{W}_r is a minor of \mathcal{H}_r , \mathcal{W}_r is a minor of G . Consider a transformation T from G to \mathcal{W}_r made by (1) edge removals, (2) vertex removals and (3) edge contractions that has the *minimal* number of edge contractions. Since operations (1), (2) and (3) commute, we may assume that in T no removal follows an edge contraction (i.e. we remove everything at first, and then contract edges). Now it is easy to understand that all edge contractions should be actually suppressions. Indeed, assume that we contract (u, v) and both u and v has degrees at least 3, then we will get a vertex $\{u, v\}$ with degree at least 4 and this is a contradiction since \mathcal{W}_r does have degrees at most 3 and edge contractions can decrease degree only in one case when we contract (u, v) and v has degree 1, but in this case we may just remove v and it decreases the number of edge contractions. \square

3. The lower bound

3.1. Topological minors and Tseitin formulas

Let φ be a formula and let α be a partial assignment to variables of φ . Define $\varphi[\alpha]$ to be the formula obtained from φ substituting each variable x in the domain of α , with the constant assigned to x by α . Notice that φ and $\varphi[\alpha]$ have the same size and depth.

Lemma 10. *Let Φ_a and Φ'_a for $a \in A$ be propositional formulas of depth at most d such that $|\text{vars}(\Phi_a) \cup \text{vars}(\Phi'_a)| \leq k$. Assume that for all $a \in A$, Φ_a is semantically equivalent to Φ'_a . Then $\neg \bigwedge_{a \in A} \Phi'_a \vdash_{d+\mathcal{O}(1)} \neg \bigwedge_{a \in A'} \Phi_a$ of size at most $2^k \text{poly}(\sum_{a \in A} (|\Phi_a| + |\Phi'_a|))$, where $A' = \{a \in A \mid \Phi_a \text{ is not identically true}\}$.*

Proof. At first Pavel asks $\bigwedge_{a \in A} \Phi'_a$. The answer 1 leads to an immediate contradiction. Then Pavel proceeds to ask Φ'_a for each $a \in A$. If all the answers are 1, Pavel asks all subformulas of the big conjunction $\bigwedge_{a \in A} \Phi'_a$ starting with the deeper ones and at each step one of Sam's answers leads to an immediate contradiction.

If Sam claim that $\Phi'_{a_0} = 0$ for some $a_0 \in A$, we use Lemma 4 to construct a derivation $\neg \Phi'_{a_0} \vdash_d \neg \Phi_{a_0}$ of size at most $2^k (|\Phi'_{a_0}|^2 + |\Phi_{a_0}|^2)$. If $a_0 \notin A'$, then Φ_{a_0} is identically true, thus, by Lemma 4, there is a derivation $\mathbf{1} \vdash_d \Phi_{a_0}$ of size at most $2^k (|\Phi_{a_0}|^2 + 1)$, using it we get an immediate contradiction.

If $a_0 \in A'$, then Pavel asks $\bigwedge_{a \in A'} \Phi_a$. If Sam's answer is 0, we get a shortcut contradiction with Φ_{a_0} and proceed with Lemma 6, otherwise we get an immediate contradiction with the initial condition $\neg \bigwedge_{a \in A'} \Phi_a = 0$. \square

Lemma 11 ([23]). *Let $G(V, E)$ be a connected graph and $H(V', E')$ be a connected subgraph of G with $E' \neq \emptyset$ that is obtained from G by the deletion of some vertices and edges. For every unsatisfiable Tseitin formula $\mathsf{T}(G, f)$ there exists a partial assignment α to variables x_e for $e \in E \setminus E'$ such that α does not falsify any clause of $\mathsf{T}(G, f)$.*

Lemma 12. *Let $G(V, E)$ be a connected graph and $H(V', E')$ be a connected subgraph of G . Assume that there is a derivation $\vdash_d \neg \mathsf{T}(G, f)$ of size S . Then for some f' there is a derivation $\vdash_{d+\mathcal{O}(1)} \neg \mathsf{T}(H, f')$ of size $S + \text{poly}(|\mathsf{T}(G, f)|)$.*

Proof. Let T be the game tree of $\vdash_d \neg \mathsf{T}(G, f)$. Let α be given by Lemma 11 that is defined on all variables x_e for $e \in E \setminus E'$ and does not falsify any clause of $\mathsf{T}(G, f)$. $T[\alpha]$ be the tree obtained from T applying the substitution α to all the queried formulas. Size and depth do not change, hence $T[\alpha]$ defines a derivation $\vdash_d \neg \mathsf{T}(G, f)[\alpha]$ of size S . $\neg \mathsf{T}(G, f)$ has the form $\neg \bigwedge_{v \in V} \text{Par}(v)$, where $\text{Par}(v)$ is a parity condition of the vertex v . Hence, $\neg \mathsf{T}(G, f)[\alpha]$ is of the form $\neg \bigwedge_i \text{Par}(v)[\alpha]$. If $v \notin V'$, then α assigns values to all variables from $\text{Par}(v)$, since α does not falsify $\text{Par}(v)$, α satisfies $\text{Par}(v)$, hence $\text{Par}(v)[\alpha]$ is identically true. If $v \in V'$, then $\text{Par}(v)[\alpha]$ is a parity statement depending on variables x_e , where $e \in E'$ is incident to v . Hence, for $v \in V'$, $\text{Par}(v)[\alpha]$ is semantically equivalent to a parity condition of a Tseitin formula $\mathsf{T}(H, \varphi')$ for some charging φ' . Let Δ be the maximal degree of G . Then every parity condition of $\mathsf{T}(H, \varphi')$ or $\mathsf{T}(G, \varphi)$ depends on at most Δ variables. Notice that since we represent parities in CNF, $|\mathsf{T}(G, f)| \geq 2^\Delta$. By Lemma 10, there is a derivation $\neg \mathsf{T}(G, f)[\alpha] \vdash_{\mathcal{O}(1)} \neg \mathsf{T}(H, f')$ of size $\text{poly}(|\mathsf{T}(G, f)|)$. The claim follows using the size S , depth d derivation of $\neg \mathsf{T}(G, f)[\alpha]$ together with Lemma 2. \square

A 1-substitution for a formula φ is a partial function mapping variables of φ into literals of φ . After applying a 1-substitution σ to φ , the depth of the new formula $\varphi[\sigma]$ can increase by one. However 1-substitutions are closed under composition: if σ_1 maps $[y \mapsto \neg z]$ and σ_2 maps $[x \mapsto \neg y]$, then $\sigma = \sigma_1 \circ \sigma_2$ is the 1-substitution $[x \mapsto z, y \mapsto \neg z]$. We use 1-substitutions to handle in $\mathsf{T}(G, f)$ the operation of *vertex suppression* on the graph G . Let $G = (V, E)$ be a graph and $v \in V$ be a node and let $\mathsf{T}(G, f)$ be a

Tseitin formula on G . Let v be a degree-2 vertex v in G with neighbours u and w . Consider the following 1-substitution σ_v and the charge function f_v for $[G \setminus_s v]$:

$$\sigma_v = \begin{cases} [x_{(v,w)} \mapsto x_{(w,u)}, x_{(v,u)} \mapsto x_{(w,u)}] & \text{if } f(v) = 0 \\ [x_{(v,w)} \mapsto x_{(w,u)}, x_{(v,u)} \mapsto \neg x_{(w,u)}] & \text{if } f(v) = 1 \end{cases} \quad f_v(z) = \begin{cases} f(z) & \text{if } z \in V \setminus \{u, v\} \\ f(u) + f(v) & \text{if } z = u \end{cases}$$

Let $G(V, E)$ be a graph and $f : V \rightarrow \{0, 1\}$ be a charging. Let A be a finite set. We say that a formula Ψ is a *pseudo Tseitin formula based on G and f with fake vertices in A* , and we write Ψ is $\mathsf{T}_A^*(G, f)$, if Ψ has the form $\bigwedge_{v \in V \cup A} \psi_v$, where

1. for all $v \in V$, ψ_v is a propositional formula depending on variables x_e for all edges e incident to v . And ψ_v is semantically equivalent to the parity condition $\text{Par}(v)$ of $\mathsf{T}(G, f)$.
2. for all $v \in A$, ψ_v is a tautology.

Lemma 13. *Let $G(V, E)$ be a connected constant-degree graph over n vertices. Let $[G \setminus_s v]$ be the graph obtained after the suppression of a degree-2 vertex v in G . If Ψ is $\mathsf{T}_A^*(G, f)$, then $\Psi[\sigma_v]$ is $\mathsf{T}_{A \cup \{v\}}^*([G \setminus_s v], f_v)$.*

Proof. Assume that v is linked to two vertices w and u in G . Let A be the set of fake vertices of Ψ so Ψ has the form $\bigwedge_{x \in V \cup A} \psi_x$, hence $\Psi[\sigma_v]$ is $\bigwedge_{x \in V \cup A} \psi_x[\sigma_v]$. For $x \in A$, ψ_x is a tautology, hence $\psi_x[\sigma_v]$ is also a tautology. By the definition of σ_v , $\psi_v[\sigma_v]$ is a tautology. It is not hard to verify that for $x \in V \setminus \{v\}$, $\psi_x[\sigma_v]$ is equivalent to parity condition of $\mathsf{T}([G \setminus_s v], f_v)$. Hence, $\Psi[\sigma_v]$ is $\mathsf{T}_{A \cup \{v\}}^*([G \setminus_s v], f_v)$ \square

Lemma 14. *Let $G(V, E)$ be a graph and $f : V \rightarrow \{0, 1\}$ and $W = \{v_1, \dots, v_k\}$ be degree 2 nodes in V suppressed in that order from G and $[G \setminus_s W]$ be the resulting graph. Let σ_i be the corresponding 1-substitutions and let $\sigma = \sigma_k \circ \dots \circ \sigma_1$. Then there is a charging f_k of G such that if Ψ is $\mathsf{T}_A^*(G, f)$, then $\Psi[\sigma]$ is $\mathsf{T}_{A \cup W}^*([G \setminus_s W], f_k)$.*

Proof. By induction on $k \geq 0$ and using Lemma 13 we prove that $\Psi[\sigma_1] \dots [\sigma_k]$ is $\mathsf{T}_{A \cup W}^*([G \setminus_s W], f_k)$, for f_k given by repeated applications of Lemma 13.

Since the composition of 1-substitutions removes double negations, then the only difference between $\Psi[\sigma_1] \dots [\sigma_k]$ and $\Psi[\sigma]$ can be only in the number of negations in front of the same occurrence of a variable, though the parity of the number of negations is preserved. Hence for all $v \in V$ the semantical equivalence of the ψ_v 's in $\Psi[\sigma_1] \dots [\sigma_k]$ and $\Psi[\sigma]$ is preserved. Then $\Psi[\sigma]$ too is $\mathsf{T}_{A \cup W}^*([G \setminus_s W], f_k)$. \square

Lemma 15. *Let G be a connected graph on n vertices and with the maximal degree at most 3. Let H be obtained from G by several suppressions. Assume that there is a derivation of $\neg \mathsf{T}(G, f)$ of size S and depth d . Then for some charging f_k there is a derivation of $\neg \mathsf{T}(H, f_k)$ of size $\mathcal{O}(S) + \text{poly}(n)$ and depth $d + \mathcal{O}(1)$.*

Proof. Assume that, in order, to get H from G we have to apply suppressions for vertices $W = \{v_1, \dots, v_k\}$. Let σ_i be the 1-substitutions corresponding to the suppression of v_i , and let $\sigma = \sigma_k \circ \dots \circ \sigma_1$. $\mathsf{T}(G, f)$ is $\mathsf{T}_\emptyset^*(G, f)$. Let f_k be the charging given by Lemma 14 applied to $\mathsf{T}(G, f)$ and $[G \setminus_s W] = H$. Then $\mathsf{T}(G, f)[\sigma]$ is $\mathsf{T}_W^*(H, f_k)$. We apply the 1-substitution σ to the given derivation of $\neg \mathsf{T}(G, f)$ and we get a derivation of $\neg \mathsf{T}(G, f)[\sigma]$ of size $\mathcal{O}(S)$ and depth at most $d + 1$. By Lemma 10, applied to $\mathsf{T}(G, f)[\sigma]$ and $\mathsf{T}(H, f_k)$, there is a derivation $\neg \mathsf{T}(G, f)[\sigma] \vdash_{d+\mathcal{O}(1)} \neg \mathsf{T}(H, f_k)$ of size $\text{poly}(n)$. Combining the two derivations together by Lemma 2 we obtain a derivation $\vdash_{d+\mathcal{O}(1)} \mathsf{T}(H, f_k)$ of size $\mathcal{O}(s) + \text{poly}(n)$. \square

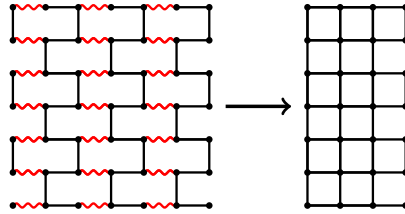


Fig. 4. \mathcal{W}_6 is contracted to M_6 .

3.2. From walls to grids

Lemma 16. *If there exists a derivation $\vdash_d \neg T(\mathcal{W}_n, f)$ of size S , then there exists a derivation $\vdash_{d+\mathcal{O}(1)} \neg T(M_n, f')$ of size $\mathcal{O}(S) + \text{poly}(n)$, where M_n is a connected constant-degree graph that contains $\mathcal{H}_{n, \lfloor \frac{n-1}{2} \rfloor}$ as a subgraph.*

Proof. Consider a set I of all the horizontal edges of \mathcal{W}_n that belong to odd columns (on Fig. 4 and 5 edges from I are wavy). I is a matching, i.e. no two edges from I are incident to the same vertex. If we contract all edges from I , we get the graph M_n that for odd n coincides with $\mathcal{H}_{n, \frac{n-1}{2}}$ and for even n coincides with a graph that is obtained from $\mathcal{H}_{n, \lfloor \frac{n}{2} \rfloor}$ by the removal of several edges from the last vertical (see Fig. 4 and 5). For every $e \in I$ we denote its left vertex by u_e and the right vertex by v_e . Let E_{u_e} be the set of edges of \mathcal{W}_n incident to u_e except e . Let τ_e denote a CNF formula encoding $\bigoplus_{f \in E_{u_e}} x_f = f(u_e)$.

Consider a game tree T for the derivation of the Tseitin tautology $\neg T(\mathcal{W}_n, f)$ of size S and depth d . To every formula used in this tree we apply the substitution that replaces every occurrence of x_e with τ_e . We denote the resulting tree by T' .

Notice that T' is a correct game tree of a derivation $\vdash_{d+\mathcal{O}(1)} \neg F$, where F is obtained from $T(\mathcal{W}_n, f)$ by the same substitution. The depth of this derivation is increased by at most a constant since in several leaves we hang a formula of constant depth; here we also use that I is a matching and thus we do not add new occurrences of variables corresponding edges from I . The size of τ_e is $\mathcal{O}(1)$, hence any formula from the derivation is increased in at most a constant factor, thus the size of the derivation defined by the tree T' is $\mathcal{O}(S)$.

We define a function f' on vertices of M_n as follows. If a vertex w of the graph M_n is obtained by merging the vertices w', w'' of the graph \mathcal{W}_n , then $f'(w) = (f(w') + f(w'')) \bmod 2$. If the vertex w of $\mathcal{H}_{n, \lfloor n/2 \rfloor}$ is obtained from the vertex w of \mathcal{W}_n , then $f'(w) = f(w)$.

Now we show how to derive $\neg T(M_n, f')$ from $\neg F$. $T(\mathcal{W}_n, f)$ is a Tseitin formula and it has the following structure: $\bigwedge_{v \in V} \psi_v$, where V is the set of vertices of \mathcal{W}_n and ψ_v is a CNF formula encoding a parity condition for the vertex v . F differs from $T(\mathcal{W}_n, f)$ only in conditions corresponding to vertices that are incident to an edge from I (if n is even, then there are vertices in \mathcal{W}_n that are not incident to any edge from I). Notice that F has the form $\bigwedge_{v \in V} \psi'_v$ where ψ'_v is obtained by substitution from ψ_v . Let $w = u_e$ for some $e \in I$, then the formula ψ'_w is identically true. If $w = v_e$, then the condition ψ'_w is equivalent to the parity condition of the merged vertex $\{u_e, v_e\}$ in the Tseitin formula $T(M_n, f')$, but ψ'_w is not written in canonical form.

Since all degrees in M_n are at most 4, then by Lemma 10 there exists a derivation $\neg F \vdash_{d+\mathcal{O}(1)} \neg T(M_n, f')$ of size $\text{poly}(n)$. The claim follows by Lemma 2. \square

3.3. Putting it all together

We use Håstad's Theorem from [28].

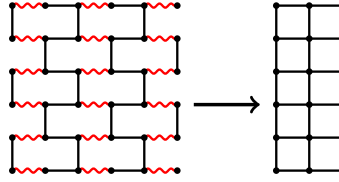


Fig. 5. \mathcal{W}_5 is contracted to M_5 .

Theorem 17 ([28]). *There is a constant $K > 0$ such that for $d \leq \frac{K \log n}{\log \log n}$ any depth d derivation of $\neg T(\mathcal{H}_{n,n}, f)$ has size at least $2^{n^{\Omega(1/d)}}$.*

Theorem 18. *There exist constants $K > 0$ and $C > 0$ such that for every connected graph G of treewidth t and every $d \leq \frac{K \log n}{\log \log n} - C$, any depth d derivation of $\neg T(G, f)$ has size at least $2^{t^{\Omega(1/d)}}$.*

Proof. Suppose that $\neg T(G, f)$ have a derivation of size S and depth d . By Corollary 9 we know that G contains the wall \mathcal{W}_r as a topological minor, where $r = \Omega(t^\lambda)$. Consider a sequence of operations (edge/vertex removals and suppressions) that transform G to \mathcal{W}_r . Assume that removals do not follow suppressions. And let G' be a subgraph of G that is obtained from G by application of all removals (hence, \mathcal{W}_r can be obtained from G' by application of several suppressions).

By Lemma 12, for some f' there is a derivation of $\neg T(G', f')$ of size $\text{poly}(|T(G, f)|) + S$ and depth $d + \mathcal{O}(1)$. Since \mathcal{W}_r can be obtained from G' by application of several suppressions, G' is connected. Suppressions can not increase the degrees, hence all degrees in G' are at most 3. By Lemma 15, for some f'' there is a derivation of $\neg T(\mathcal{W}_r, f'')$ of size $\text{poly}(|T(G, f)|) + S$ and depth $d + \mathcal{O}(1)$. By Lemma 16, for some f''' there is a derivation of $\neg T(M_r, f''')$ of size $\text{poly}(|T(G, f)|) + \mathcal{O}(S)$ and depth $d + \mathcal{O}(1)$, where M_r is connected constant-degree graph containing $\mathcal{H}_{\lfloor (r-1)/2 \rfloor}$ as a subgraph. And finally by Lemma 12, for some f'''' there is a Frege derivation of $T(\mathcal{H}_{\lfloor (r-1)/2 \rfloor}, f''''')$ of size $\text{poly}(|T(G, f)|) + \mathcal{O}(S)$ and depth $d + \mathcal{O}(1)$. Notice that S is the size of a derivation of $\neg T(G, f)$, hence $S \geq |T(G, f)|$. Thus, for some constants C and c there is a derivation of $\neg T(\mathcal{H}_{\lfloor (r-1)/2 \rfloor}, f''''')$ of size S^c and depth $d + C$.

By Theorem 17, there is a constant K such that if $d + C \leq \frac{K \log n}{\log \log n}$, then $S^c \geq 2^{\lfloor (r-1)/2 \rfloor^{\Omega(1/(d+C))}}$. Hence $S \geq 2^{r^{\Omega(1/d)}}$ and, thus, $S \geq 2^{t^{\Omega(1/d)}}$. \square

4. The upper bound

In this section we prove the following Theorem:

Theorem 19. *Let $G(V, E)$ be a connected undirected graph and $T(G, f)$ be an unsatisfiable Tseitin formula. Then for all large enough d the formula $\neg T(G, f)$ has a derivation of depth d and size $2^{\text{tw}(G)^{\mathcal{O}(1/d)}} \text{poly}(|T(G, f)|)$.*

Let us give the outline of the proof:

1. First, we define the compact representation of Parity. The main idea behind this is using associativity of the operation: we split the input on chunks of suitable size and construct the formula recursively. The first part of the section describes the technical lemmas concerning the compact representation.
2. The next part of the section describes how to emulate summation of linear equations modulo 2 using the compact representation of Parity.
3. In the last part of the section, we use the notion of tree-partition width of the graph. Using the small cuts of tree-partition, we construct a proof which tries to detect local contradictions of a number of variables, proportional to tree-partition width.

4.1. A compact representation of parity

Let t_1, t_2, \dots, t_d be natural numbers, where d is a non-negative integer. Let U_0, U_1, \dots, U_d be partitions of a finite set F ; it is possible that some elements of U_i for $i \in \{0, 1, \dots, d\}$ are empty. We say that a list of partitions $U = (U_0, U_1, \dots, U_d)$ is a (t_1, \dots, t_d) -refinement of F if the following conditions hold:

1. U_0 consists of the only element $U_{0,1} = F$.
2. For every i , U_{i+1} is a subpartition of U_i such that every element of U_i is split into t_{i+1} parts. Hence, U_i split F into m_i parts: $U_{i,1}, U_{i,2}, \dots, U_{i,m_i}$, where $m_i = \prod_{j=1}^i t_j$.
3. All elements of U_d have cardinality at most 1.

We refer to elements of U_i for $i \in \{0, 1, \dots, d\}$ as blocks of the refinement.

Let U be a (t_1, \dots, t_d) -refinement of a set F and let $U_{i,j}$ be one of the blocks of this refinement. Then U induces on each of the blocks U_{ij} a (t_{i+1}, \dots, t_d) -refinement U' which is obtained by restricting U_i, \dots, U_d to the set U_{ij} . U' is called a *sub-refinement* of U_{ij} in U .

Lemma 20. *Let F be a set of size n and $d \geq 0$ be an integer. Let t_1, \dots, t_d be integers such that $t_1 \cdot t_2 \cdot \dots \cdot t_d \geq n$. Then there exists a (t_1, \dots, t_d) -refinement U of F .*

Proof. We define U_d as n singletons each containing one element of F and $t_1 \cdot \dots \cdot t_d - n$ empty sets. For each $i < d$ we construct U_i from U_{i+1} as follows. We split the $t_{i+1} \cdot \dots \cdot t_d$ blocks of the partition U_{i+1} into groups of size t_{i+1} and define $U_{i,1}$ as the union of the elements of the first group, $U_{i,2}$ as the union of the elements of the second group and etc. \square

For $a \in \{0, 1\}$ and natural number n we define a Boolean function $\text{PARITY}_n^a : \{0, 1\}^n \rightarrow \{0, 1\}^n$ such that $\text{PARITY}_n^a(x_1, \dots, x_n) = 1$ iff $\bigoplus_{i=1}^n x_i = a$ for all $x_1, \dots, x_n \in \{0, 1\}$.

Lemma 21. *Let n and d be positive integers and U be a (t_1, t_2, \dots, t_d) -refinement of $[n]$. Then there exists a formula representing PARITY_n^b of depth at most $3d + 1$ and of size $\prod_{i=1}^d 2^{t_i+1} t_i$.*

Proof. Let us construct a formula of the needed size and depth for each of the chunks in the refinement, starting from the smallest ones. More formally, we do that by backward induction on i from d to 0. We prove that for every $j \in [\prod_{k=1}^i t_k]$, there is a formula representing $\bigoplus_{k \in U_{i,j}} x_k$ of depth $3(d - i)$ and of size $\prod_{q=i+1}^d 2^{t_q+1} t_q$.

If $i = d$, then $|U_{d,j}| \leq 1$, hence $\bigoplus_{k \in U_{i,j}} x_k$ is either 0 or a variable x_k and thus has size 1 and depth 0.

Assume that $i < d$. Let $\ell_1, \ell_2, \dots, \ell_{t_{i+1}}$ be such that $U_{i,j} = U_{i+1,\ell_1} \cup U_{i+1,\ell_2} \cup \dots \cup U_{i+1,\ell_{t_{i+1}}}$. Let for $r \in [t_{i+1}]$, β_r be a representation of $\bigoplus_{k \in U_{i+1,\ell_r}} x_k$ of size $\prod_{q=i+2}^d 2^{t_q+1} t_q$ and depth $3(d - i - 1)$ that exists by the induction hypothesis. Consider a CNF-representation of $\beta_1 \oplus \dots \oplus \beta_{t_{i+1}} : \bigoplus_{k \in U_{i,j}} x_k = \bigwedge_{|S| \bmod 2 = 0} \bigvee_{s \in S} \neg \beta_s \vee \bigvee_{s \notin S} \beta_s$. After the substitution of the representations of $\beta_1, \dots, \beta_{t_{i+1}}$ we obtain a formula of size at most $2^{t_{i+1}+1} t_{i+1} \cdot \prod_{q=i+2}^d 2^{t_q+1} t_q + 2^{t_{i+1}+1} t_{i+1} \leq \prod_{q=i+1}^d 2^{t_q+1} t_q$ and of depth $3(d - i - 1) + 3 = 3(d - i)$.

Therefore we have constructed a representation of PARITY_n^1 of the needed size and depth. The representation of PARITY_n^0 could be constructed as $\neg \varphi$ where φ is the obtained representation of PARITY_n^1 . \square

We call the representation of PARITY_n^a obtained by Lemma 21 *the compact representation* of PARITY_n^a with respect to a (t_1, \dots, t_d) -refinement U .

Let us define for $S \subseteq [n]$ and for $a \in \{0, 1\}$, $\text{PARITY}_{n,S}^a(x_1, \dots, x_n) = (a) \oplus \bigoplus_{i \in S} x_i$. We define a compact representation of $\text{PARITY}_{n,S}^a$ with respect to a (t_1, \dots, t_d) -refinement U as the result of substitutions $x_j := \mathbf{0}$ for all $j \notin S$ to the compact representation of PARITY_n^a with respect to U . We denote the compact representation of $\text{PARITY}_{n,S}^a(x_1, x_2, \dots, x_n)$ w.r.t. U by $\Phi^a(S, U)$.

Lemma 22. *Let U be a (t_1, \dots, t_d) -refinement of $[n]$ and U' be a sub-refinement of U_{ij} in U . Then for every $S \subseteq U_{ij}$ there exists a derivation $\Phi^a(S, U') \vdash_{3d+\mathcal{O}(1)} \Phi^a(S, U)$ of size at most $4|\Phi^a(S, U)|^3$.*

Proof. Let $\psi_1 := x$ and ψ_2 be the formula obtained from $\Phi^a(S, U)$ by replacement of all subformulas $\Phi^a(U')$ with x . Clearly ψ_1 and ψ_2 are semantically equivalent. Then let us apply Corollary 5 to $\psi_1, \psi_2, k = 1$ and $\varphi_1 = \Phi^a(S, U')$. Then there exists a derivation of $\psi_1[x \leftarrow \Phi^a(S, U')] \vdash_{3d+\mathcal{O}(1)} \psi_2[x \leftarrow \Phi^a(S, U')]$ of size $2^1(|\psi_1|^2 + |\psi_2|^2)|\varphi_1| = 2(1 + |\Phi^a(S, U)|^2)|\Phi^a(S, U')| \leq 4|\Phi^a(S, U)|^3$. \square

4.2. Summation of linear equations

Let $S\Delta T$ be the symmetric difference of sets S and T i.e. $S\Delta T = (S \cup T) \setminus (S \cap T)$.

Proposition 23. *Let U be a (t_1, \dots, t_d) -refinement of $[n]$. For any $S \subseteq [n]$ there exists a derivation $\neg\Phi^a(S, U) \vdash_{3d+\mathcal{O}(1)} \Phi^{1\oplus a}(S, U)$ of size at most $2(|\Phi^1(S, U)| + |\Phi^0(S, U)|)$.*

Proof. If $a = 1$ then the premise equals the conclusion, so an empty derivation is sufficient. If $a = 0$ we need to remove double negation so Pavel asks $\Phi^0(S, U)$. If the answer is 1 then it is an immediate contradiction with the premise and if the answer is 0 it is an immediate contradiction with the conclusion. \square

Lemma 24. *Let U be a (t_1, \dots, t_d) -refinement of $[n]$. Then there exist a constant c and a derivation*

$$\Phi^a(S, U), \Phi^b(T, U) \vdash_{3d+\mathcal{O}(1)} \Phi^{a\oplus b}(S\Delta T, U)$$

of size at most $c \cdot |\Phi^1(\emptyset, U)|^6$. We write \emptyset here to stress that the size of $\Phi^a(S, U)$ does not depend on S .

Proof. Let us prove this Lemma by induction on d . The base case: $d = 0$. Since U_0 is the last layer of refinement, it contains only singletons. On the other hand by the definition $U_0 = \{[n]\}$, thus, $n = 1$ and $\Phi^a(S, U), \Phi^b(T, U)$ and $\Phi^{a\oplus b}(S\Delta T, U)$ all depend on one variable x_1 . Thus by Lemma 4, there exists a derivation $\Phi^a(S, U) \wedge \Phi^b(T, U) \vdash_2 \Phi^{a\oplus b}(S\Delta T, U)$ of constant size. Clearly $\Phi^a(S, U) \wedge \Phi^b(T, U)$ is derivable from $\Phi^a(S, U) \Phi^b(T, U)$ by a derivation of constant depth and size.

If $d > 0$, let V^1, \dots, V^{t_1} be the sub-refinements of $U_{1,1}, \dots, U_{1,t_1}$ in U respectively where $[n] = U_{1,1} \cup \dots \cup U_{1,t_1}$. Recall that by definition

$$\Phi^1(X, U) = \bigwedge_{\substack{R \subseteq [t_1] \\ |R| \bmod 2 = 0}} \left(\bigvee_{i \in R} \neg\Phi^1(X \cap U_{1,i}, V^i) \vee \bigvee_{i \notin R} \Phi^1(X \cap U_{1,i}, V^i) \right).$$

We view this representation as CNF in variables $\Phi^1(X \cap U_{1,i}, V^i)$ for $i \in [t_1]$. Recall that by definition $\Phi^0(X, U) = \neg\Phi^1(S, U)$.

Let us describe a strategy of Pavel in the game with initial conditions $\Phi^a(S, U) = 1, \Phi^b(T, U) = 1$ and $\Phi^{a\oplus b}(S\Delta T, U) = 0$.

Pavel asks Sam the values of the following $3t_1$ formulas: $\Phi^1(S \cap U_{1,i}, V^i), \Phi^1(T \cap U_{1,i}, V^i), \Phi^1((S\Delta T) \cap U_{1,i}, V^i)$ for $i \in [t_1]$. There are 2^{3t_1} possible combinations of Sam's answers. The total size of the formulas is at most $2 \cdot 2^{3t_1} \cdot |\Phi^1(\emptyset, V^1)|$. Let us show how Pavel wins in each of them. Let $\alpha_i, \beta_i, \gamma_i$ denote Sam's answers to $\Phi^1(S \cap U_{1,i}, V^i), \Phi^1(T \cap U_{1,i}, V^i), \Phi^1((S\Delta T) \cap U_{1,i}, V^i)$ respectively for $i \in [t_1]$.

If $\bigoplus_{i \in [t_1]} \alpha_i \neq a$, then we can get a contradiction with $\Phi^a(S, U)$. Indeed consider a formula $\psi := \bigwedge_{i=1}^{t_1} \Phi^{\alpha_i}(S \cap U_{1,i}, V^i) \wedge \Phi^a(S, U)$ and let L be the size of ψ if we consider it as a formula in variables $\Phi^1(S \cap U_{1,i}, V^i)$. Clearly $L \leq 2^{t_1+1} \cdot t_1$. By Corollary 5, there is a derivation of $\mathbf{0}$ from ψ of size at most $2^{t_1} L^2 \left(\sum_{i=1}^{t_1} |\Phi^1(\emptyset, V^i)| \right) \leq 2^{3t_1+4} t_1^3 |\Phi^1(\emptyset, V^1)|$. Pavel asks Sam the value of ψ and in both cases gets a

contradiction. If the answer is 0 then it is a contradiction with the conjuncts so Pavel proceeds to ask all subformulas of ψ starting with the deeper ones until he gets an immediate contradiction. If the answer is 1 we get a contradiction by Corollary 5. The total size of all such derivation for all possible combinations of Sam's answers is at most $2^{3t_1} \cdot \max\{|\psi|^2, 2^{3t_1+4}t_1^3|\Phi^1(\emptyset, V^1)|\} \leq 2^{6t_1+4}t_1^3|\Phi^1(\emptyset, V^1)|$. Adding the size of the $3t_1$ preliminary questions we get $2^{6t_1+4}t_1^3|\Phi^1(\emptyset, V^1)| + 2 \cdot 2^{3t_1} \cdot |\Phi^1(\emptyset, V^1)| < 20 \cdot 2^{6(t_1+1)}t_1^6|\Phi^1(\emptyset, V^1)|^6 \leq 20|\Phi^1(\emptyset, U)|^6$. The cases when $\bigoplus_{i \in [t_1]} \beta_i \neq b$ or $\bigoplus_{i \in [t_1]} \gamma_i \neq a \oplus b$ are similar.

Let us consider the case where $\bigoplus_{i \in [t_1]} \alpha_i = a$, $\bigoplus_{i \in [t_1]} \beta_i = b$ and $\bigoplus_{i \in [t_1]} \gamma_i = 1 \oplus a \oplus b$. In this case there exists $i_0 \in [t_1]$ such that $\alpha_{i_0} + \beta_{i_0} \neq \gamma_{i_0}$. We derive a contradiction using Sam's answers $\Phi^1(S \cap U_{1,i_0}, V^{i_0}) = \alpha_{i_0}$, $\Phi^1(T \cap U_{1,i_0}, V^{i_0}) = \beta_{i_0}$ and $\Phi^1((S\Delta T) \cap U_{1,i_0}, V^{i_0}) = \gamma_{i_0}$. By Proposition 23 we may assume that Sam's answers are $\Phi^{\alpha_{i_0}}(S \cap U_{1,i_0}, V^{i_0}) = 1$, $\Phi^{\beta_{i_0}}(T \cap U_{1,i_0}, V^{i_0}) = 1$ and $\Phi^{\gamma_{i_0}}((S\Delta T) \cap U_{1,i_0}, V^{i_0}) = 1$. By the induction hypothesis there exists a derivation

$$\Phi^{\alpha_{i_0}}(S \cap U_{1,i_0}, V^{i_0}), \Phi^{\beta_{i_0}}(T \cap U_{1,i_0}, V^{i_0}) \vdash_{3d+\mathcal{O}(1)} \Phi^{1 \oplus \gamma_{i_0}}((S\Delta T) \cap U_{1,i_0}, V^{i_0})$$

of size at most $c|\Phi^1(\emptyset, V^1)|$ so we get an immediate contradiction with $\Phi^{\gamma_{i_0}}((S\Delta T) \cap U_{1,i_0}, V^{i_0})$. The total size of the derivation is at most

$$\underbrace{2^{3t_1}}_{\text{number of possible values of } \alpha, \beta, \gamma} \cdot \underbrace{c|\Phi^1(\emptyset, V^1)|^6}_{\text{induction hypothesis}} + \underbrace{20|\Phi^1(\emptyset, U)|^6}_{\text{local contradictions}}.$$

Since $|\Phi^1(\emptyset, U)| = 2^{t_1+1}t_1 \cdot |\Phi^1(\emptyset, V^1)|$, for a large enough c the size of derivation does not exceed $c|\Phi^1(\emptyset, U)|^6$. \square

Lemma 25. *Let U be a (t_1, \dots, t_d) -refinement of $[n]$. Let $S_1, S_2, \dots, S_k \subseteq [n]$ and $a_1, \dots, a_k \in \{0, 1\}$. Then there exists a constant c such that:*

1. *There exists a derivation $\Phi^{a_1}(S_1, U), \Phi^{a_2}(S_2, U), \dots, \Phi^{a_k}(S_k, U) \vdash_{3d+\mathcal{O}(1)} \Phi^{a_1 \oplus \dots \oplus a_k}(S_1 \Delta \dots \Delta S_k, U)$ of size at most $c \cdot k \cdot |\Phi^1(\emptyset, U)|^6$.*
2. *If $\bigwedge_{i \in [k]} \left(\bigoplus_{j \in S_i} x_j = a_i \right)$ is unsatisfiable then there exists a derivation $\Phi^{a_1}(S_1, U), \Phi^{a_2}(S_2, U), \dots, \Phi^{a_k}(S_k, U) \vdash_{3d+\mathcal{O}(1)} \mathbf{0}$ of size at most $c \cdot k \cdot |\Phi^1(\emptyset, U)|^6$.*

Proof. The proof of (1) is simply $(k-1)$ consecutive applications of Lemma 24 using Lemma 2. The proof of (2) is based on a well-known fact: a system of linear equations is unsatisfiable if and only if the contradiction $0 = 1$ can be obtained as a linear combination of the equations. In our case it means that there is a set $R := \{r_1, \dots, r_m\} \subseteq [k]$ such that $S_{r_1} \Delta S_{r_2} \Delta \dots \Delta S_{r_m} = \emptyset$ and $\bigoplus_{i \in R} a_i = 1$.

$\Phi^{a_{r_1} \oplus \dots \oplus a_{r_m}}(S_{r_1} \Delta \dots \Delta S_{r_m}) = \Phi^1(\emptyset, U)$. $\Phi^1(\emptyset, U)$ is identically false, hence by Lemma 4 there is a derivation $\Phi^1(\emptyset, U) \vdash_{3d+1} \mathbf{0}$ of size at most $4|\Phi^1(\emptyset, U)|^2$.

Let us construct Pavel's strategy in the game with initial conditions $\Phi^{a_{r_1}}(S_{r_1}, U) = 1, \dots, \Phi^{a_{r_m}}(S_{r_m}, U) = 1$ and $\Phi^1(\emptyset, U) = 0$. Pavel asks Sam the value of $\Phi^1(\emptyset)$. If Sam answers 0 Pavel uses the strategy corresponding to $\Phi^{a_{r_1}}(S_{r_1}), \Phi^{a_{r_2}}(S_{r_2}), \dots, \Phi^{a_{r_k}}(S_{r_k}) \vdash_{3d+\mathcal{O}(1)} \Phi^{a_{r_1} \oplus a_{r_2} \oplus \dots \oplus a_{r_k}}(S_{r_1} \Delta S_{r_2} \Delta \dots \Delta S_{r_k})$ from (1). If Sam answers 1 Pavel uses the strategy corresponding to the derivation $\Phi^1(\emptyset, U) \vdash_{3d+1} \mathbf{0}$. \square

4.3. Tree-partition-width

Let $G(V, E)$ be an undirected graph and S_1, \dots, S_m be a partition of V . S_1, \dots, S_m is a tree-partition of G if there exists a tree $T([m], E_T)$ such that every edge e of G connects either two vertices from the same part S_i or connects a vertex from S_i and a vertex from S_j , where i and j are adjacent in T , i.e. $(i, j) \in E_T$. A *width* of a tree-partition S_1, S_2, \dots, S_m is the size of the largest set S_i for $i \in [m]$. A *tree-partition width*

of a graph G is the smallest width among all tree-partitions of G . We denote the tree-partition width of G by $\text{tpw}(G)$.

If we add a new vertex in the middle of every edge (i, j) of the tree T and put the set $S_i \cup S_j$ on it, we will get a tree decomposition of G , hence $\text{tw}(G) \leq 2\text{tpw}(G) - 1$.

The following theorem shows an inequality in the other direction.

Theorem 26 ([46]). *If $\text{tw}(G) \geq 1$, then $\text{tpw}(G) \leq 10\Delta(G)\text{tw}(G)$, where $\Delta(G)$ is the maximum degree of G .*

So, $\text{tw}(G)$ and $\text{tpw}(G)$ coincide up to a multiplicative constants for constant degree graphs.

Theorem 27. *Let $G(V, E)$ be a connected graph and let a Tseitin formula $\text{T}(G, f)$ be unsatisfiable. Then there exists a derivation $\vdash_{3d+\mathcal{O}(1)} \text{T}(G, f)$ of size at most $\text{poly}(|\text{T}(G, f)|) \cdot 2^{(\text{tpw}(G)\Delta(G))^{\mathcal{O}(1/d)}}$, where $\Delta(G)$ is the maximum degree of G .*

Proof. Let S_1, \dots, S_m be a tree-partition of G with width $\text{tpw}(G)$ and let $T([m], E_T)$ be the corresponding tree. Without loss of generality we assume that T is a rooted tree with the root m ; for all $i \in [m - 1]$, $p(i)$ denotes its parent and for all $i \in [m]$, $s(i)$ denotes the set of direct successors of i . Without loss of generality we assume that $p(i) > i$ for all $i \in [m - 1]$.

Since $\text{T}(G, f)$ is unsatisfiable and G is connected, $\bigoplus_{v \in V} f(v) = \bigoplus_{i \in [m]} \bigoplus_{v \in S_i} f(v) = 1$. We consider the sum $\bigoplus_{i \in [m]} \bigoplus_{e \in E(S_i, V \setminus S_i)} x_e$. Since each x_e occurs in the sum exactly twice, the sum (modulo 2) is 0 for all values of x_e . Then for each assignment to $\{x_e\}_{e \in E}$ there exists i_0 such that $\bigoplus_{v \in S_{i_0}} f(v) \neq \bigoplus_{e \in E(S_{i_0}, V \setminus S_{i_0})} x_e$. The first part of Pavel's strategy is to find such i_0 .

Pavel will request parity of the sum of all edges between S_i and S_j for all $(i, j) \in E_T$. In order to represent these formulas in a compact way we now define m different (t_1, \dots, t_d) -refinements W^1, \dots, W^m ; for every i , W^i is a refinement of the set $E\left(S_i, \bigcup_{j \in s(i)} S_j\right)$ of all edges connecting a vertex from S_i with a vertex from $\bigcup_{j \in s(i)} S_j$. We construct appropriate refinements W^i later.

Pavel asks Sam the values of $\bigoplus_{e \in E(S_i, S_{p(i)})} x_e$ represented as $\Phi^1(E(S_i, S_{p(i)}), W^{p(i)})$ for $i \in [m - 1]$ in the increasing order until he finds i_0 such that $\bigoplus_{e \in E(S_{i_0}, V \setminus S_{i_0})} x_e \neq \bigoplus_{v \in S_{i_0}} f(v)$.

At the moment when Sam has answered the value of $\Phi^1(E(S_i, S_{p(i)}), W^{p(i)})$ the values of $\bigoplus_{e \in E(S_i, S_j)} x_e$ for each j such that $(i, j) \in E_T$ are all determined, thus, the value of $\bigoplus_{e \in E(S_i, V \setminus S_i)} x_e$ is determined. If $\bigoplus_{e \in E(S_i, V \setminus S_i)} x_e \neq \bigoplus_{v \in S_i} f(v)$ Pavel proceeds to the next part of his strategy. Otherwise he continues to ask Sam similar questions corresponding to the vertices with larger indices.

Now we describe the strategy of Pavel in case if he finds i_0 . We are going to describe this case in terms of derivation using Lemma 2 multiple times. Consider a linear system that consists of the equation $\bigoplus_{e \in E(S_{i_0}, V \setminus S_{i_0})} x_e = 1 \oplus \bigoplus_{v \in S_{i_0}} f(v)$ and all parity conditions of $\text{T}(G, f)$ of the vertices from S_{i_0} . This linear system is unsatisfiable. We are going to use Lemma 25. In order to do it we need to derive the representations of these linear equations w.r.t. some refinement Q of a superset of $E(S_{i_0}, V)$.

Let for $i \in [m]$, U^i be a (t_1, t_2, \dots, t_d) -refinement of the set $E(S_i)$ of all edges connecting two vertices from S_i (we construct these refinements in the end of the proof together with the refinements W^i). Let us define a $(3, t_1, \dots, t_d)$ -refinement Q as a union of (t_1, \dots, t_d) -refinements $W^{i_0}, W^{p(i_0)}$ and U^{i_0} such that $Q_1 = \{E(S_{i_0}, \bigcup_{j \in s(i_0)} S_j), E(S_{p(i_0)}, \bigcup_{j \in s(p(i_0))} S_j), E(S_{i_0})\}$ and for every $j \in \{2, 3, \dots, d + 1\}$, Q_j is the union of $W_{j-1}^{i_0}, W_{j-1}^{p(i_0)}$ and $U_{j-1}^{i_0}$.

Let a_j be Sam's answer to the question $\bigoplus_{e \in E(S_{i_0}, S_j)} x_e$ for each j that is a neighbour of i_0 in T , hence we may assume that $\Phi^{a_j}(E(S_{i_0}, S_j), W^{i_0})$ for $j \in s(i_0)$ and $\Phi^{a_{p(i_0)}}(E(S_{i_0}, E_{p(i_0)}), W^{p(i_0)})$ are already derived. By Lemma 22, we derive $\Phi^{a_j}(E(S_{i_0}, S_j), Q)$ from $\Phi^{a_j}(E(S_{i_0}, S_j), W^{i_0})$ for $j \in s(i_0)$ and $\Phi^{a_{p(i_0)}}(E(S_{i_0}, S_{p(i_0)}), Q)$ from $\Phi^{a_{p(i_0)}}(E(S_{i_0}, S_{p(i_0)}), W^{p(i_0)})$, where a_j are Sam's answers to the corresponding questions.

By the first part of Lemma 25 we derive $\Phi^{1\oplus\left(\bigoplus_{v\in S_{i_0}} f(v)\right)}(E(S_{i_0}, V \setminus S_{i_0}), Q)$ from the set of formulas $\{\Phi^{a_j}(E(S_{i_0}, S_j), Q) \mid (i_0, j) \in E_T\}$. We assume that the parity conditions of the vertices of G in $T(G, f)$ represented as CNF are asked at the beginning of the game i.e. for each $v \in V$ we know that the CNF representation of $\bigoplus_{u:(u,v)\in E} x_e$ is true (if any clause of $T(G, f)$ is false Pavel queries all subformulas of $T(G, f)$ except subformulas of the clauses and gets an immediate contradiction, if any of the parity conditions is false it yields an immediate contradiction with the corresponding subset of clauses). Thus, by Lemma 4 we derive the representations of parity conditions of the vertices from S_{i_0} w.r.t. Q . Since the corresponding linear system is unsatisfiable, using the second part of Lemma 25 we get a contradiction.

Claim 28. *The size of the described game tree is at most $m \cdot 2^{3\Delta(G)} \Delta^2(G) \text{tpw}(G) 2^{\mathcal{O}(\sum_{i=1}^d t_i)}$.*

Proof. The game tree consists of m subtrees corresponding to each possible value of i_0 , $m - 1$ nodes corresponding to the questions $\Phi^1(E(S_i, S_{p(i)}), W^{p(i)})$ for $i \in [m-1]$ and $\mathcal{O}(|V|)$ nodes corresponding to the initial questions about parity conditions of the vertices of G in CNF. The total size of formulas in these $\mathcal{O}(|V|)$ nodes is $\text{poly}(|T(G, f)|)$. Each of the $m - 1$ formulas corresponding to the questions $\Phi^1(E(S_i, S_{p(i)}), W^{p(i)})$ has size $\prod_{i=1}^d 2^{t_i+1} t_i$. Let us estimate the size of each of the m subtrees. It is the sum of the following values:

- The derivation of the formulas $\Phi^1(E(S_{i_0}, S_j), Q)$ for $j \in \{p(i_0)\} \cup s(i_0)$. By Lemma 22 the size of each of these derivations is $\mathcal{O}\left(\prod_{i=1}^d 2^{3t_i} t_i^3\right)$.
 - The derivation of the representations of parity conditions with respect to Q . By Lemma 4 the size of each of these derivations is $\mathcal{O}\left(2^{\Delta(G)}(2^{\Delta(G)}\Delta(G) + \prod_{i=1}^d 2^{t_i} t_i)^2\right)$
 - The derivation of $\Phi^{1\oplus\left(\bigoplus_{v\in S_{i_0}} f(v)\right)}(E(S_{i_0}, V \setminus S_{i_0}), Q)$. By the first part of Lemma 25 the size of this derivation is $\Delta(G)\text{tpw}(G)2^{\mathcal{O}(\sum_{i=1}^d t_i)}$.
 - The derivation of contradiction by the second part of Lemma 25 has size $(\Delta(G) + 1)\text{tpw}(G)2^{\mathcal{O}(\sum_{i=1}^d t_i)}$.
- Each of these four summands is $2^{3\Delta(G)}\Delta^2(G)\text{tpw}(G)2^{\mathcal{O}(\sum_{i=1}^d t_i)}$. \square

Let us choose $t_i = (\Delta(G)\text{tpw}(G))^{2/d}$ for all $i \in [d]$. Since $|S_i| \leq \text{tpw}(G)$, $|E(S_i)| + \left|E\left(S_i, \bigcup_{j\in s(i)} S_j\right)\right| \leq \Delta(G)\text{tpw}(G)$. Hence, the condition $\prod_{i=1}^d t_i \geq \Delta(G)\text{tpw}(G) \geq |E(S_i)| + \left|E\left(S_i, \bigcup_{j\in s(i)} S_j\right)\right|$ holds and, thus, for all $i \in [m]$ the refinements U^i, W^i exist by Lemma 20. If we substitute chosen values in the bound from Clam 28, we get the upper bound $m \cdot 2^{\mathcal{O}(3\Delta(G)+d(\Delta(G)\text{tpw}(G))^{2/d})} = \text{poly}(|T(G, f)|) \cdot 2^{(\Delta(G)\text{tpw}(G))^{\mathcal{O}(1/d)}}$. \square

Now we are ready to prove Theorem 19.

Proof of Theorem 19. Theorem 27 and Theorem 26 imply that there exists a constant c and a derivation $\vdash_{3d+\mathcal{O}(1)} \neg T(G, f)$ of size at most $\text{poly}(|T(G, f)|)2^{(10\Delta^2(G)\text{tw}(G))^{c/d}}$. If $\text{tw}(G) > \Delta(G)$ then we can rewrite our upper bound on the size as $\text{poly}(|T(G, f)|)2^{(10\text{tw}(G))^{3c/d}}$. If $\text{tw}(G) > 1$ then it is $\text{poly}(|T(G, f)|)2^{(\text{tw}(G))^{\mathcal{O}(1/d)}}$. If $\text{tw}(G) = 1$ then it is simply $\text{poly}(|T(G, f)|)$. Otherwise if $\text{tw}(G) \leq \Delta(G)$ we can rewrite the upper bound as $\text{poly}(|T(G, f)|) \cdot 2^{(10\Delta(G))^{3c/d}} = \text{poly}(|T(G, f)|)$ if $3c/d \leq 1$. Thus, for $d \geq 3c$ the upper bound is $\text{poly}(|T(G, f)|) \cdot 2^{\text{tw}(G)^{3c/d}}$. Therefore, for the both cases we have the needed upper bound. \square

5. The complexity of Tseitin formulas in resolution

Resolution is a sound and complete propositional proof system suited for refuting unsatisfiable formulas in conjunctive normal form [17,18]. A resolution *refutation* of a unsatisfiable CNF $F = C_1 \wedge \dots \wedge C_m$ is a sequence of clauses D_1, \dots, D_t such that D_t is the empty clause \square and each clause D_i in the sequence is

either a clause C_j of F or is obtained by the *resolution rule* applied to clauses D_j and D_k preceding D_i in the sequence. The resolution rule is the following rule, where x is the *resolved variable*.

$$\frac{A \vee x \quad B \vee \neg x}{A \vee B} \quad \text{Resolution rule}$$

The *size* of a resolution refutation is the number of clauses in the sequence. With $S(F \vdash)$ we denote the minimal size to refute F in resolution. The *width* of a clause is the number of literals in it; the width of a resolution refutation is the maximal width of a clause appearing in it. With $w(F \vdash)$ we denote the minimal width to refute F in resolution.

Resolution refutations can be arranged as directed acyclic graphs with leaves corresponding to clauses of F and a root corresponding to the empty clause. An important refinement of resolution is the system known as *regular* (or *read-once*) resolution. In this system refutations are constrained in such a way that in the refutation-graph in each path from the empty clause to a leaf the sequence of resolved variables is read-once, i.e. each variable appears at most once. *Tree-like resolution* is the restriction obtained by imposing that the refutation-graph must be a tree.

Since their introduction [43] Tseitin formulas play an important role in understanding the complexity of refutations in resolution and its restrictions [10,44]. Since resolution is a special case of bounded-depth Frege, Theorem 18 is applicable to it as well. The lower bound that can be obtained from this theorem is $2^{\Omega(\text{tw}(G)^\gamma)}$ with $\gamma < \frac{1}{58}\lambda$, where λ is the constant from the grid minor theorem, and $\frac{1}{58}$ comes from Håstad’s lower bound (Theorem 17).

Recently in [31] Itsykson et al. proved that regular resolution refutation of $\mathsf{T}(G, f)$ has size at least $2^{\Omega\left(\frac{\text{tw}(G)}{\log n}\right)}$ for every connected graph G and left open whether a similar result can be proved for unrestricted resolution. Theorem 34 improves the lower bound for general resolution comparing to the direct corollary from Theorem 18. In particular, Theorem 34 implies a lower bound $2^{\Omega(\text{tw}(G))}$ for planar graphs.

5.1. Resolution lower bounds for $\mathsf{T}(G, f)$ in terms of treewidth

Lemma 29. [31] *Let $\mathsf{T}(G, f)$ and $\mathsf{T}(G, f')$ be two unsatisfiable Tseitin formulas based on a connected graph G . Then the sizes of the shortest resolution refutations of this formulas coincide.*

Let φ be a CNF and α be a partial assignment to the variables of φ . Let $\varphi|_\alpha$ be a CNF which is obtained from $\varphi[\alpha]$ by removing all satisfied clauses and removing the satisfied literals from the rest of the clauses.

Lemma 30. *Let $G(V, E)$ and $G'(V', E')$ be connected graphs, and let G' is a topological minor of G , then for two unsatisfiable formulas $\mathsf{T}(G, f)$ and $\mathsf{T}(G', f')$, $S(\mathsf{T}(G, f) \vdash) \geq S(\mathsf{T}(G', f') \vdash)$.*

Proof. G' can be obtained from G by a sequence of vertex and edge deletions and edge suppressions. It is easy to see that these operations commute, so we may assume that we first delete edges and vertices and then suppress edges. Let $G''(V'', E'')$ be the graph that is obtained from G by all edge and vertex deletions. Observe that G'' is connected since G' is obtained from it by vertex suppressions which do not affect connectivity. By Lemma 11 there is a partial assignment α with support $\{x_e \mid e \in E \setminus E''\}$ that does not falsify any clause of $\mathsf{T}(G, f)$.

Let us show that $\mathsf{T}(G, f)|_\alpha = \mathsf{T}(G'', f'')$ where $f''(v) = \left(f(v) + \sum_{e \in E''; e \text{ is incident to } v} \alpha(e)\right) \bmod 2$. Indeed, $\mathsf{T}(G, f)|_\alpha = \bigwedge_{v \in V} \text{Par}(v)|_\alpha$ where $\text{Par}(v)$ is the parity condition of the vertex v encoded in CNF. Observe that for $v \notin V''$ $\text{Par}(v)|_\alpha$ is identically true and for $v \in V''$ it encodes in CNF the parity condition of v in $\mathsf{T}(G'', f'')$.

Consider a resolution refutation C_1, \dots, C_k of $\mathsf{T}(G, f)$. Observe that since α does not falsify axioms of $\mathsf{T}(G, f)$, $C_1|_\alpha, \dots, C_k|_\alpha$ can be transformed into a resolution refutation of $\mathsf{T}(G, f)|_\alpha$ of size at most k . Thus $S(\mathsf{T}(G'', f'') \vdash) \leq S(\mathsf{T}(G, f) \vdash)$.

Now we need to show that for a graph $G'(V', E')$ and for some f' that is obtained from G'' by a sequence of vertex suppressions, $S(\mathsf{T}(G', f') \vdash) \leq S(\mathsf{T}(G'', f'') \vdash)$. By induction it is sufficient to show this for just one suppression.

Assume that G' is the result of suppression of a vertex v neighbouring the vertices u and w , i.e. $G' = G'' \setminus_s v$. Consider a vertex-charging of the set of vertices of G' ,

$$\bar{f}(\ell) = \begin{cases} f''(\ell) & \text{if } \ell \neq w \\ f''(w) + f''(v) & \text{if } \ell = w \end{cases}.$$

\bar{f} is an odd-charging since it has the same parity as f'' , which is an odd-charging since $\mathsf{T}(G'', f'')$ is unsatisfiable and G'' is connected.

Consider the following procedure of obtaining $\mathsf{T}(G', \bar{f})$ from $\mathsf{T}(G'', f'')$. First substitute $x_{uv} := x_{uw}; x_{vw} := x_{uv}$ if $f''(v) = 0$ and $x_{uv} := \neg x_{uw}; x_{vw} := x_{uw}$ if $f''(v) = 1$. Such substitution satisfies the clauses of $\text{Par}(v)$ in $\mathsf{T}(G'', f'')$. Observe that application of the same substitution to each clause of the resolution refutation of $\mathsf{T}(G'', f'')$ results in a resolution refutation of $\mathsf{T}(G', \bar{f})$ of the same or smaller length. By Lemma 29 there exists a refutation of $\mathsf{T}(G', f')$ of the same size, which completes the proof. \square

The *cyclicity* of a graph G is the minimal ℓ for which the set of edges of G can be covered by cycles of length at most ℓ such that every edge is covered at most ℓ times.

Lemma 31. *Let \bar{W}_n be the result of removal all degree-1 vertices from W_n . Then \bar{W}_n has cyclicity at most 6.*

Proof. We can cover all the edges by cycles corresponding to “bricks” of the wall (i.e. two cells of the grid with the edge between them removed). The length of each such cycle is 6 and since the graph W_n is planar, each edge is covered by at most two cycles. \square

Theorem 32. [3] $S(\mathsf{T}(G, f) \vdash) = 2^{\Omega(w(\mathsf{T}(G, f) \vdash)) / \ell(G)^{O(1)}}$ where $\ell(G)$ is the cyclicity of G .

Theorem 33. [22] For a constant-degree graph $\mathsf{T}(G, f)$, $w(\mathsf{T}(G, f) \vdash) = \Omega(\text{tw}(G))$.

Theorem 34. $S(\mathsf{T}(G, f) \vdash) = 2^{\Omega(\text{tw}^\lambda(G))}$ where λ is a constant from the grid-minor theorem.

Proof. Since $\frac{n}{2} \times n$ grid is a minor of \bar{W}_n , $\text{tw}(\bar{W}_n) = \Omega(n)$. Then by Lemma 31 and Theorem 32, $S(\mathsf{T}(\bar{W}_n, f) \vdash) = 2^{\Omega(n)}$. By Corollary 9 \bar{W}_k is a topological minor of G where $k = \Omega(\text{tw}^\lambda(G))$. By Lemma 30 $S(\mathsf{T}(G, f) \vdash) \geq S(\mathsf{T}(\bar{W}_k, f') \vdash)$ which yields the desired result. \square

5.2. Searching for resolution refutations of $\mathsf{T}(G, f)$

A key concept in the field of automatic generation of proofs is that of *automatizability of a proof system*. Resolution is *automatizable* if there is an algorithm that, given a unsatisfiable CNF formula F of size n as input, it outputs a resolution refutation of F in time polynomial in $n + S(n)$, where $S(n)$ is the length of a shortest refutation of F in resolution.

Given the importance of resolution in applied fields, investigation on automatizability of resolution received particular attention. Improving a result of Alekhovich and Razborov in [2], automatizing resolution was recently found to be *NP-hard* [4]. These hardness results motivate the search of algorithms to generate resolution refutations for specific family of formulas like $\mathsf{T}(G, f)$.

Consider the next definitions from [3].

Definition 35. A class of unsatisfiable CNF formulas \mathcal{F} is (quasi-)automatizable with respect to a proof system Π if there exists a deterministic automatizing algorithm that, given an unsatisfiable formula $F \in \mathcal{F}$, returns its refutation in the proof system S in time which is (quasi-)polynomial in $|F| + S_{\Pi}(F \vdash)$, where $S_{\Pi}(F \vdash)$ denotes the size of the shortest Π -refutation of F .

Definition 36. A class of unsatisfiable CNF formulas \mathcal{F} is *smooth* (respectively *quasi-smooth*) if for all $F \in \mathcal{F}$, $w(F \vdash) \leq \log S(F \vdash)$ (resp. there is a constant C such that $w(F \vdash) \leq \log^C S(F \vdash)$).

Definition 37. A class \mathcal{F} of unsatisfiable CNFs is *width-automatizable* if there exists a deterministic algorithm that, given $F \in \mathcal{F}$, returns its resolution refutation of width $\mathcal{O}(w(F \vdash))$ in time which is polynomial in $|F| + 2^{w(F \vdash)}$

Smoothness and quasi-smoothness are important properties for a class of formulas since, together with width-automatizability imply automatizability (quasi-automatizability) in resolution of that class of formulas.

Theorem 38. (Fact 2.7 [3]) *If a class of unsatisfiable CNFs is both width-automatizable and (quasi-)smooth, then it is (quasi-)automatizable with respect to resolution.*

The question whether Tseitin formulas $T(G, f)$ are (quasi-)automatizable with respect to resolution using previous theorem was investigated in [3]. There they proved that $T(G, f)$ are width-automatizable but obtained quasi-smoothness of $T(G, f)$ only for a restricted class of graphs G , namely those with *constant cyclicity*.

As a consequence of Theorem 1, we show that for all graphs the class of Tseitin formulas $T(G, f)$ is quasi-smooth, hence quasi-automatizable with respect to resolution answering to a question left in [3].

Corollary 39. *For any graph G , $T(G, f)$ are quasi-smooth, i.e. there is a constant C such that $w(T(G, f) \vdash) \leq \log^C S(T(G, f) \vdash)$. Hence the class of Tseitin formulas is quasi-automatizable.*

Proof. In [3,22] it was established that $w(G) = \max\{\Delta(G), \text{tw}(L(G))\} - 1$, where $L(G)$ is the line graph (graph on edges) of G . It is known that $\max\{\Delta(G), \frac{1}{2}(\text{tw}(G) + 1)\} - 1 \leq \text{tw}(L(G)) \leq (\text{tw}(G) + 1)\Delta(G) - 1$, (see, for example, [26]). By Theorem 1 and the fact that $T(G, f)$ is a CNF, we have that $S(G) \geq \max\{2^{\Delta(G)}, 2^{\text{tw}(G)^{\epsilon}}\}$, for some $0 < \epsilon < 1$ given by Theorem 1. Then $S(TG, f \vdash) \geq 2^{(\text{tw}(G)^{\epsilon} + \Delta(G))/2} \geq 2^{(\text{tw}(G)\Delta(G))^{\epsilon/2}} \geq 2^{w(G)^{\epsilon/4}}$. \square

A conjecture of A. Urquhart asserts that the shortest resolution refutations of $T(G, f)$ are in fact regular. If one believes the conjecture, then devising algorithms for automatically searching for regular resolution refutations of $T(G, f)$ is important since produces the shortest resolution refutations. Alekhovich and Razborov in [3] devised an algorithm called BWBATP which on input $T(G, f)$, when G is of bounded cyclicity, produces a regular refutation of $T(G, f)$ in time $2^{\text{poly}(\log S_{\text{reg}}(G))}$, that is polylogarithmic in the length of the shortest regular refutation of $T(G, f)$.

Using Theorem 1 and other known results we can generalize Alekhovich and Razborov result to any graph and we can provide positive evidence (i.e. showing it for quasi-polynomial size) to the Urquhart’s conjecture. We prove the more general statement that the size of refutations of $T(G, f)$ in all the proof systems between tree-like resolution and constant-depth Frege (hence in particular regular resolution) are quasi-polynomially correlated. i.e. if $T(G, f)$ has a derivation of size S in one of them of size S then it has a refutation of size at most $2^{\text{poly}(\log S)}$ in the other.

Corollary 40. *Let \mathcal{P} and \mathcal{Q} be two proof systems such that both polynomially simulate tree-like resolution and both are polynomially simulated by constant-depth Frege. If there are derivations of size S in \mathcal{P} , then there are derivations of size at most $2^{\text{poly}(\log S)}$ in \mathcal{Q} .*

Proof. Constant-depth Frege polynomially simulates treelike resolution hence it is sufficient to show the corollary taking \mathcal{P} as constant-depth Frege and \mathcal{Q} as treelike resolution. Assume that $\neg T(G, f)$ have proofs of size S in constant-depth Frege, we have to prove that there are derivations of size at most $2^{\text{poly}(\log S)}$ in tree-like Resolution. By Theorem 1 $S \geq \max\{2^{\Delta(G)}, 2^{\text{tw}(G)^\epsilon}\}$. Hence $\text{tw}(G) \leq (\log S)^{\frac{1}{\epsilon}}$. By a result in [5,29] there are tree-like resolution refutations of $T(G, f)$ of size $n^{\mathcal{O}(w(G))} = 2^{\mathcal{O}(\text{tw}(G)\Delta(G)\log n)}$, where n is the number of edges in G . Substituting the expression for the treewidth we obtain the claim. \square

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Appendix A. Frege vs Pudlák-Buss games

A set of formulas Γ *semantically implies* a formula ψ if every assignment that satisfies all formulas from Γ also satisfies ψ .

Every particular Frege system is defined by a finite set of derivation rules. A *derivation rule* has form $\frac{\varphi_1, \dots, \varphi_k}{\varphi}$, where $k \geq 0$, $\varphi_1, \dots, \varphi_k$ and φ are propositional formulas. It is required that every rule is sound, i.e. $\{\varphi_1, \dots, \varphi_k\}$ semantically implies φ .

Let Γ be a list of propositional formulas. We say that φ can be derived from Γ if there exists a sequence of formulas $\psi_1, \psi_2, \dots, \psi_s$ such that $\psi_s = \varphi$ and each ψ_i is either an element of Γ or can be derived from the formulas with smaller numbers by a derivation rule. It is allowed to substitute propositional formulas instead of variables into a derivation rule. A formula τ is derivable if it can be derived from an empty list of formulas.

A system of derivation rules is a Frege system if it is implicationally complete: if some set of formulas Γ semantically implies a formula φ , then φ must be derivable from Γ .

A depth of a derivation is the maximum depth of a formula that occurs in the derivation. A size of a derivation is the sum of sizes of all formulas from the derivation.

Lemma 3. *1. If a formula φ has a Frege derivation of size S and depth d , then φ has a Pudlák-Buss game derivation of size $\mathcal{O}(S^2)$ and depth d . 2. If φ has a Pudlák-Buss game derivation of size S and depth d , then φ has a Frege derivation of size $\mathcal{O}(S^3)$ and depth $d + \mathcal{O}(1)$.*

Proof. 1. Let $\varphi_1, \varphi_2, \dots, \varphi_s$ be a Frege derivation of φ of size S . We construct a strategy for Pavel. Pavel asks Sam values of the formulas $\varphi_1, \varphi_2, \dots$ until he gets the answer 0. $\varphi_s = \varphi$, so at least for φ_s the answer is 0. Assume that Sam has answered 1 for the formulas $\varphi_1, \varphi_2, \dots, \varphi_k$ and 0 for φ_{k+1} where $0 \leq k \leq s-1$. φ_{k+1} is derived from $\varphi_1, \varphi_2, \dots, \varphi_k$ using one of the rules in Frege system. Let us consider

- all subformulas of this rule (there is a constant number of such subformulas). Pavel asks Sam values of the formulas corresponding to such subformulas in the application of the rule where φ_{k+1} is derived. Due to correctness of the rules, we get an immediate contradiction. Number of vertices for constructed tree is $\mathcal{O}(S)$. In every vertex Pavel has asked only subformulas of formulas in the Frege derivation, so size of every asked formula does not exceed S and depth does not exceed d . The total size of the game is $\mathcal{O}(S^2)$.
2. Let T be a tree for Pudlák-Buss game with the initial condition $\varphi = 0$. Every vertex in the tree corresponds to a sequence of questions and answers on the path from the root to this vertex. For the sequence $\varphi_1 = a_1, \varphi_2 = a_2, \dots, \varphi_j = a_j$ we write a formula $\neg(\neg\varphi \wedge \varphi_1^{a_1} \wedge \dots \wedge \varphi_j^{a_j})$, where ψ^0 denotes $\neg\psi$, and ψ^1 denotes ψ .

We assume that Frege system includes the rule $\frac{\neg(x \wedge y), \neg(x \wedge \neg y)}{\neg x}$ (it can be simulated with derivation of constant size and depth due to implicational completeness of the system). With that rule, formulas corresponding to the inner vertices of the tree can be derived from the formulas corresponding to their descendants.

We also need to derive formulas in the leaves. Let h be the depth of T . We construct the derivation for a leaf using $\mathcal{O}(h)$ formulas, because in every leaf we have an immediate contradiction. There exist only a constant number of ways to get an immediate contradiction, so we assume that all these axioms are present in our Frege system. In order to use these axioms we need to rearrange the formulas in the big conjunction and place the formulas needed for immediate contradiction first.

For example, if the immediate contradiction is $A = 0, B = 1, A \wedge B = 1$, we need to add an axiom

$$\overline{\neg(\neg A \wedge B \wedge (A \wedge B) \wedge X)}$$

If the tree T has N vertices then the constructed derivation has $\mathcal{O}(Nh)$ formulas, and every formula has size $\mathcal{O}(S + h)$. Given that $N \leq S$ and $h \leq S$, we get a derivation with total size $\mathcal{O}(S^3)$ \square

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