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An outbreak sustained by ST15 *Klebsiella pneumoniae*  
carrying 16S rRNA methyltransferases and *bla*<sub>NDM</sub>:  
evaluation of the global dissemination of these resistance  
determinants

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## Abstract

The spread of extremely-drug resistant *Klebsiella pneumoniae* has become a major health threat worldwide. This is largely mediated by certain lineages, recognized as high-risk clones dispersed in all the world. The analysis of an outbreak of nine ST15, NDM-1 metallo- $\beta$ -lactamase producing *K. pneumoniae* was performed. An IncC plasmid carrying the *bla*<sub>NDM-1</sub> gene also carried the rare *rmtC* gene, encoding for a 16S rRNA methyltransferases (16RMTases), conferring resistance to all aminoglycosides. We studied the global spread of NDM variants and their association with the 16RMTases among *K. pneumoniae* complete genomes available in GenBank, producing a complete overview of the association of 16RMTases and NDM in *K. pneumoniae* genomics.

NDM is more and more often associated with 16RMTases and both are spreading in *K. pneumoniae*, conferring resistance to every beta-lactam and aminoglycoside. Our analysis suggest that aminoglycosides have limited future as second line treatment against NDM-producing *K. pneumoniae*.

## Highlights

- An outbreak of NDM-1-ST15 producing *Klebsiella pneumoniae* was for the first time reported in Italy
- The outbreak clone carried the rarely reported 16S RNA methylase *rmtC* gene
- A global genomic analysis indicates that 16S RNA methylase genes are very often associated with *bla*<sub>NDM</sub> genes *K. pneumoniae*

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## 1. Introduction

With the prevalence of carbapenem-resistant Enterobacterales that has increased since the early 2000s, the spread of antimicrobial resistant Gram-negative bacteria confirms itself as one of the most worrying global health threats [1,2]. The New Delhi Metallo (NDM) enzyme, initially described in patients receiving healthcare in India, is now worldwide heterogeneously distributed, with areas of high endemicity such as the Indian sub-continent and the Balkan region [3–5]. However, even in the rest of Europe, its spread is on the rise: this metallo- $\beta$ -lactamase is swiftly passing the borders of the nations, and Italy in the last years reported an increment of its detection [6–9].

The NDM carbapenemases have been mainly detected in *Klebsiella pneumoniae*, *Escherichia coli* and, with less frequency, in other bacterial species. The *bla*<sub>NDM</sub> genes are carried on different plasmid types, IncX3, IncFII and IncC being the most frequent ones [4]. In Italy great emphasis has been given to several outbreaks, mainly caused by *K. pneumoniae* belonging to the ST147 producing NDM-5 and -9 [7,8].

Aminoglycosides are an important second line therapeutic choice for infections caused by carbapenem resistant Enterobacterales [10]. Above all, great hopes lie in the development of new generation aminoglycosides (neoglycosides), which presumably will be not affected by the most diffused resistance mechanisms to aminoglycosides (i.e. Aminoglycosides Modifying Enzymes, AMEs) [11]. Yet, even these molecules, could be rendered ineffective by 16S rRNA methyltransferases (16RMTases), which act by modifying the active site on which aminoglycosides operate [12]. Every aminoglycoside employed in clinical practice (except apramycin, which is used in the veterinary field [13]) is useless against 16RMTases.

Yet, the diffusion of 16RMTases too is growing at a fast pace [12,14] and it might even be underestimated, owing to the fact their detection is troublesome with microbiological methods, even if some systems have been developed (e.g. the use of arbekacin disks [15]). For these reasons the spread and diffusion of 16RMTases is hard to track without resorting to molecular methods.

The fact that the epidemiology of 16RMTases is not well known, both from a local and a global point of view, poses a great threat to the use of all aminoglycosides, especially neoglycosides.

This study started with the characterization of a Sequence Type (ST) 15 *K. pneumoniae* outbreak clone identified in the hospital, carrying the *bla*<sub>NDM</sub> and the *rmtC* 16RMTase genes. The clone was placed within a wider global view of the distribution of *K. pneumoniae* high-risk clones showing association of NDM and 16RMTase resistant determinant.

## 2. Materials and methods

### 2.1 Strains isolation and antimicrobial susceptibility testing

The isolation of the strains took place between June and October 2020 from clinical samples obtained during routine testing from hospitalized patients at the University Hospital Policlinico Umberto I in Rome, Italy [16].

*K. pneumoniae* strains were identified using the MALDI-TOF MS system (Bruker Daltonik GmbH, Bremen, Germany); antimicrobial susceptibility testing was performed by either Vitek2 (bioMérieux, Inc., Marcy l'Etoile, France) or MicroScan (Beckman and Coulter, Brea, California, USA) systems.

Strains showing a carbapenem-resistant phenotype (according to EUCAST criteria; [http://www.eucast.org/clinical\\_breakpoints/](http://www.eucast.org/clinical_breakpoints/)) were tested using the lateral flow immunoassay NG-test CARBA 5 (NG biotech, Guipry, France) to evaluate the presence of the VIM, IMP, KPC, OXA-48-like and NDM carbapenemase enzymes.

### 2.2 Whole Genome Sequencing

The Illumina MiSeq instrument (Illumina Inc., San Diego, CA, USA) was used to obtain Whole-Genome Sequencing (WGS) of the four strains isolated from respiratory tract samples of patients 1, 6, 7 and 8, named 0831, 1009, 1021 and 1027, respectively. Bacteria were grown overnight at 37°C on LB agar with ampicillin (50 mg/mL). Genomic DNA was purified following the MachereyNagel DNA extraction kit procedures (Düren, Germany) directly from the LB plate.

The strains 0831 and 1027 were also subjected to Oxford Nanopore Technologies sequencing. To obtain high molecular weight DNA, the bacterial pellet of 7 mL LB

liquid incubated overnight was resuspended in TE with 2% SDS and 20  $\mu$ L of 25 mg/ml proteinase K. Protein digestion was performed for 1h at 55°C followed by phenol (pH 8.0) extraction and isopropanol precipitation. After washing with 70% Et-OH, DNA was resuspended overnight in TE at +4°C and purified using AMPure XP beads in a 0.5/1 ratio. Libraries were prepared by the rapid barcoding sequencing kit (SQK-RBK004). Pooled libraries were cleaned up using AMPure XP beads and loaded into the MinION Flow Cell (R9.4.1) following SQK-RBK004 sequencing procedures. Sequencing was performed on an Mk1C MinION platform.

## 2.3 Bioinformatics

### 2.3.1 Assembly

Paired-end libraries generated using the Nextera XT DNA sample preparation kit with the 2x300PE protocol (Illumina, Inc.) and the *de novo* assembly of Illumina reads was performed using Galaxy version 3.14.1 of the SPAdes pipeline through the ARIES public Galaxy server (<https://w3.iss.it/site/aries/>).

The assembly of the raw nanopore reads was performed at the Europe Galaxy Server (<https://usegalaxy.eu/>) using Flye version 2.6 with an estimated genome size of 5 megabases.

The Unicycler tool version 0.4.8.0 was used for the hybrid assembly of short and long reads, using a normal bridging mode [17].

### 2.3.2 Genotyping

The exact species (*Klebsiella pneumoniae* “*sensu stricto*”) of the four strains subjected to WGS was confirmed by the Kleborate tool, which has also been used to identify the MultiLocus Sequence Type (MLST), for the analysis of the K (capsule) and O (Lipopolysaccharides, LPS) antigen locus and of the genes encoding virulence determinants associated with hypervirulence (yersiniabactin, colibactin, aerobactin, salmochelin and regulators of the mucoid phenotype) [18].

The analyses of the antimicrobial resistance genes and of the replicon genes were carried out at the Center for Genomic Epidemiology using the ResFinder and PlasmidFinder online tools (<https://cge.cbs.dtu.dk/services/>), respectively. Insertion sequences were identified by ISFinder (<https://isfinder.biotoul.fr>). Phage prediction was performed at the PHASTER website (<https://phaster.ca/>).

Complete plasmid sequences were annotated using the RAST server (<http://rast.nmpdr.org/>)

### 2.3.3 Genomes and plasmids selection from the GenBank database

To obtain the 16RMTases-harboring genome sequences, BLASTN was performed with the *armA*, *npmA*, *rmtA*, *rmtB*, *rmtB<sub>2</sub>*, *rmtC*, *rmtD*, *rmtD<sub>2</sub>*, *rmtE*, *rmtF*, *rmtG* and *rmtH* 16RMTases genes nucleotide sequences (GenBank Acc. Nos AY220558, AB261016, AB120321, AB103506, JN968578, AB194779, DQ914960, HQ401565, GU201947, JQ808129, JX486113, KC544262, respectively) as queries, against maximum 1000 target *K. pneumoniae* sequences from the NCBI GenBank database (November 2020).

All sequences matching with a full-length 16RMTases query with 100% coverage and 100% identity were selected. Those associated with BioProjects, including whole and accessory genomes were selected for further analysis. Complete plasmids and chromosomes sequences were exported. Geographic distribution and isolation source of the 16RMTases positive genomes were reported in supplementary dataset 1, when available.

The same procedure has been replicated to obtain all the *K. pneumoniae* strains harbouring *bla<sub>NDM</sub>*, using *bla<sub>NDM-1/-24</sub>* genes as queries in the BLASTN analysis.

In the case of presence of one or more 16RMTases or NDM encoding genes in the same strain, this was taken into consideration only once.

All the downloaded *K. pneumoniae* strains were analysed by the Kleborate tool to ascertain the correctness of the species and to obtain their MSLT, K typing and their “virulence score” (<https://github.com/katholt/Kleborate/wiki/Scores-and-counts>)

The sequences of 145 *K. pneumoniae* plasmids belonging to Incompatibility group C (IncC) included in a phylogeny tree were obtained by BLASTN of the IncC probe downloaded from the Center for Genomic Epidemiology website (<https://cge.cbs.dtu.dk/services/PlasmidFinder/>) in the GenBank database using *K. pneumoniae* as target organism.

### 2.3.4 Phylogenesis and synteny



The sequences of genomes and plasmids were annotated using Prokka, and the resulting General Feature Formats (GFFs) were analysed using Roary v3.11.3 to identify core and accessory genes and to obtain a pangenome alignment.

Recombining regions removal was carried out by Gubbins algorithm generating a Maximum Likelihood (ML) phylogenetic tree using RAxML. The visualisation of the tree, metadata and pangenome was performed with MicroReact [19] and then adjusted using the open source InkScape software.

A synteny analysis was performed on the two sequenced IncC plasmids of the 1027 and 0831 strains and other sixteen highly related IncC plasmids. The 1027 IncC plasmid was used as a reference for the BLASTN-based comparison between the plasmids; visualisation has been carried out using the Circos tool [20] at the public Europe Galaxy server (<https://usegalaxy.eu/>)

## 2.4 Data availability

Genomes have been submitted under BioProject no. PRJNA746265 (<https://dataview.ncbi.nlm.nih.gov/object/PRJNA746265?reviewer=nb0o7v8jsrg6gj86rh21eoir6c>). Complete plasmid sequences of strains 0831 and 1027 were released under EMBL accession nos MZ606383 and MZ606384, respectively.

## 2.5 PCR typing

PCR assays were adopted to type the NDM-producing *K. pneumoniae* isolated in the same period of our study which were not subjected to WGS, using the *mtC* primers of the already described 16RMTases multiplex [21] (*rmtC* forward 5' CAGGGGTTCCAACAAGT 3' and *rmtC* reverse 5' AGAGTATATAGCTTGAACATAAGTAGA 3') and the IncC *repA* primers of the PCR-based replicon typing (IncA/C FW 5' GAGAACCAAAGACAAAGACCTGGA 3' and IncA/C RV 5' ACGACAAACCTGAATTGCCTCCTT 3') [22].

# 3. Results and discussion

## 3.1 An outbreak of *bla*<sub>NDM</sub> positive *K. pneumoniae*

In the period between 24th August to 27th October 2020 at the Policlinico Umberto I University Hospital, Rome, Italy, there were 9 cases of colonization or infections sustained by NDM-producing *K. pneumoniae*

The 9 patients (7 females, 2 males) had a median age of 70 years (IQR 52-81.5) and the median length of hospital stay was 38 days (IQR 20.5-89). Four patients were hospitalized and 7 received carbapenems in the previous 90 days (Table 1). Most patients were hospitalized in Intensive Care Unit (ICU, n=5), 3 were hospitalized in pneumology wards and only one patient was followed after lung transplantation as outpatient. In-hospital mortality was observed in 7 subjects.

Antimicrobial-susceptibility testing revealed that these strains were resistant to all tested beta-lactams (amoxicillin/clavulanic acid, cefepime, cefotaxime, ceftazidime, piperacillin/tazobactam, imipenem, meropenem ceftolozane/tazobactam and ceftazidime-avibactam). All strains were susceptible to colistin, tigecycline and fosfomycin with the exception of strain 0831, which resulted resistant to fosfomycin (MIC= 64 mg/L). Among them, the four *bla*<sub>NDM</sub>-positive strains (namely 0831, 1021, 1027, and 1009) causing respiratory tract infections underwent Whole Genome Sequencing (WGS). The strains 1021 and 1009 were subjected to Illumina, while the 1027 and 0831 to both Illumina and Nanopore sequencing.

*In silico* MLST assigned all strains to the high-risk ST15 clone and detected the KL112 capsule locus type (*wzi* 93), O2v1 O-antigen locus and *ybt16* yersiniabactin siderophore gene cluster, associated with an Integrative Conjugative Element ICE*Kp12*. None of the strains carried other virulence determinants (such as colibactin, aerobactin and salmochelin siderophores, or the *rmpA* and *rmpA2* genes). The *aac(6')-Ib3*, *sul1*,  $\Delta$ *qacE*, *rmtC*, *bla*<sub>NDM</sub>, *ble*<sub>MBL</sub> and *bla*<sub>CMY-6</sub> resistance genes were found in all genomes, located on incompatibility type C (IncC) plasmids [23]. Furthermore, these strains presented a ColRNAI and a IncFIB/FII(K) [K7: A-: B-] plasmids. Strain 0831 presented a frameshift mutation in the glycerol-3-phosphate transporter GlpT protein ( $\Delta$ GlpT[pE286fs]). To the best of our knowledge NDM-producing ST15 *K. pneumoniae* were not previously reported in Italy.

### 3.2 The IncC plasmid carrying *bla*<sub>NDM</sub> and *rmtC* genes

By assembling with the Unicycler tool [17] the short Illumina reads and the Oxford Nanopore Technologies long reads, we obtained the 137600 bp complete, circular sequence of two highly related plasmids assigned to the IncC group by pPlasmidfinder, from strains 1027 and 0831, respectively [24].

The *bla<sub>NDM</sub>*, *aac(6')-Ib-3*, *sul1* and  $\Delta qacE$  genes were all distributed in the same ARI-A resistance island on the IncC plasmid, while *bla<sub>CMY-6</sub>* was found, as already described, between an *ISEcp1* and the *blc-sugE* genes (encoding for lipocalin, an outer membrane protein, and for a small multidrug resistance efflux transporter, respectively) [25].

To assign these IncC plasmids to types identified by Ambrose and colleagues [23], we performed a phylogenetic study based on a SNPs analysis on 20 conserved genes, using IncC plasmid already assigned to types as references. The phylogenesis allocated IncC plasmids identified in 1027 and 0831 strains into the type 1 group (data not shown). In these plasmids, like in all the other type 1 IncC plasmids the ARI-A region was located between the two *tra* loci [26].

A synteny study of the sequenced 1027 and 0831 plasmids was obtained, including sixteen type 1 IncC plasmids from the global epidemiology, demonstrating that all type 1 were phylogenetically related (0-6 SNPs) and showed tight evolutive correlation based on the *bla<sub>NDM</sub>* and the *rmtC* gene presence and location (Supplementary Figure 1). The synteny study also demonstrated that there was at least one of these IncC plasmids with no *bla<sub>NDM</sub>* and *rmtC* genes (FDAARGOS\_445), and at least one plasmid showing the presence of the *bla<sub>NDM</sub>* gene alone with no *rmtC* (p12085-Ct1). Despite it cannot be demonstrated, this observation is presumptive of an evolutionary sequence of acquisition of resistance modules, which indicates the *bla<sub>NDM</sub>* gene arriving as first in the IncC plasmid. However, it has been reported how, even very closely related plasmids, may have acquired *bla<sub>NDM</sub>* genes by different mechanisms [27].

The archetype of the ARI-A island has been described in the pRMH760 IncC plasmid as a complex mosaic structure composed of a class 1 integron and multiple transposons. In our plasmids, the structure of the ARI-A is bounded by 38-bp inverted repeats (IR) interrupted by integration of an IS4321, which as described for other IncC “secures” the resistance island in place [26]. The bleomycin resistance gene, *ble<sub>MBL</sub>*, *trpF* (encoding a phosphoribosylanthranilate isomerase), *dsbD* (encoding a twin-arginine translocation pathway signal

sequence domain protein), *cutA* (encoding a periplasmic divalent cation tolerance protein), and *groES-groEL* (encoding chaperonin), and the TnAs3 from *Aeromonas salmonicida* followed the 3'-end of the *bla<sub>NDM</sub>* gene in the ARI-A.

The *rmtC* gene has initially been described flanked by the 3'-end of an *ISEcp1*-like element (which provides the promoter sequence for the expression) in a *Proteus mirabilis* strain of clinical origin [28,29]. Here it can be found in the ARI-A of the IncC plasmids closely linked to the *bla<sub>NDM</sub>* gene, bracketed within a gene encoding for a type III endonuclease and an *ISKpn14*. This insertion sequence interrupted the *ISAbal25* upstream of *bla<sub>NDM</sub>*.

### 3.3 Phylogenesis of the Italian ST15 against global 16RMTases-producing *K. pneumoniae*

The strains sequenced in this study were compared with a total of 126 genomes carrying one of the ten 16RMTase genes available from the NCBI GenBank database (Supplementary dataset). Fifty genomes were submitted to NCBI from China, 23 from USA, 13 from Republic of Korea and 7 from Europe (United Kingdom, Czech Republic, Italy and Germany). Despite these data were biased by the NCBI collection that has not epidemiological value, there were few reports about 16RMTase genes in *K. pneumoniae* isolates from Europe. The genomes of strains isolated at the Policlinico Umberto I were compared with the 126 genomes from GenBank generating a Maximum Likelihood (ML)-phylogenetic tree based on the SNPs present in the 3304 genes of the core genome (Figure 1 A). The 0831, 1009, 1021 and 1027 strains were highly related to each other, differing for 0-6 SNPs on the core genome (Supplementary dataset 2).

The analysis of the phylogenetic tree of the 16RMTases depicts a peculiar epidemiology of these genes; the *rmtC* gene was always associated to *bla<sub>NDM-1</sub>*, even if the spread among *K. pneumoniae* isolates was limited to a handful of described cases.

Yet, except the firm tie that bonds *rmtC* and *bla<sub>NDM</sub>* on IncC plasmids, already been described worldwide even in species different from *K. pneumoniae* (e.g. [30–32]), there still is no univocal relationship between these two classes of genes.

The 16RMTase of preference in *K. pneumoniae* is *armA* gene located on diverse plasmids, in various STs (Figure 1 A). Despite it has been demonstrated how in *E.*

*coli* the presence of *armA* impacts on the fitness of the bacteria [33], the *armA* diffusion in *K. pneumoniae* may be explained by the physical association to *bla<sub>NDM</sub>* on the same plasmid. This can be demonstrated for 44 strains from GenBank harbouring *armA*, 22 (50%) also carried *bla<sub>NDM</sub>*, in 19 cases located on the same plasmid (in 5 genomes *armA* was associated with *rmtB* or *rmtF*, suppl. Dataset 1). Conversely, the *rmtB* gene (detected in 61/126 genomes Genbank) was not linked to *bla<sub>NDM</sub>* but was strictly related with the high-risk ST11 clone (mainly on IncFII/IncR plasmids) (Suppl. dataset).

No *rmtA*, *rmtD*, *rmtD<sub>2</sub>*, *rmtE*, *rmtH* and *nmpA* genes were found *K. pneumoniae* in the GenBank database.

### 3.4 Phylogenesis of the Italian ST15 against global NDM-producing *K. pneumoniae* population

Phylogenesis comparing the four ST15 *K. pneumoniae* sequenced in this study with 91 strains of the same species carrying the *bla<sub>NDM</sub>* gene showed how our strains fit into a well-described ST15 cluster of NDM-1-producing *K. pneumoniae* isolated worldwide, co-producing RmtC or other 16RMTases [34–36].

Considering all STs, the diffusion of the 16RMTases genes among NDM-producing strains is high: 48,3% of the analysed strains (44/91) co-harboured at least one of these genes, 9 strains carried two or three 16RMTases (Figure 1 B). These findings reveal that the worldwide spread of NDM-16RMTases-producing *K. pneumoniae* is not limited to a few high-risk clones but involves many different *K. pneumoniae* STs.

## 4. Conclusions

The association between *bla<sub>NDM</sub>* and 16RMTases is, as time passes by, more and more tangible, and this fact puts in great risk the use of aminoglycosides against the most diffused metallo- $\beta$ -lactamase.

Our data suggest that, against NDM-producing *K. pneumoniae*, the use of every aminoglycoside, even of neoglycosides, should be limited to the cases in which there is a proven susceptibility but cannot be used as a support empiric treatment.

This study, though being limited by the fact that most of the analysed strains come from the GenBank database and not from impartial collection performed worldwide, supplies a complete insight on the association between the 16RMTases and NDM.

Further studies are needed to assess the relationship between 16RMTases and the other major carbapenemases (i.e. KPC, which in our epidemiology is strictly associated to *armA* in *K. pneumoniae* ST101, OXA-48, and VIM), in order to evaluate the usefulness of new generation aminoglycosides against Enterobacterales carrying these specific resistance genes.

#### 5 Ethical approval

The study received written consent and was approved by the local Ethics Committee (approval no. 449/19)

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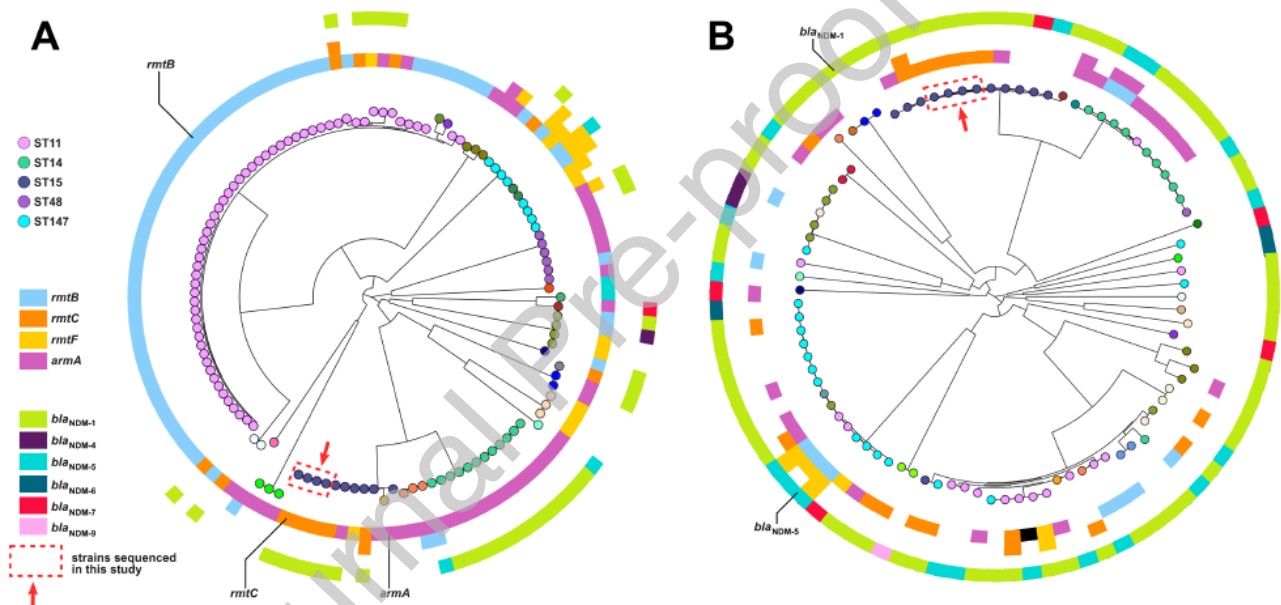


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Figure 1. Phylogenetic analysis of several *K. pneumoniae* downloaded from the GenBank database compared with the ones sequenced in this study

Panel A: Unrooted ML phylogenetic tree comparing 130 *K. pneumoniae* belonging to several different STs harbouring at least one 16S rRNA methyltransferases (16RMTases) and (panel B) 95 *K. pneumoniae* carrying one of the bla<sub>NDM</sub> genes. Nodes are colour coded according to their ST, with the main STs listed in the upper left corner. The three inner metadata rings represent the presence of one (or more) 16RMTases and the outer one represents (colour coded according to the legend in the middle part of the left hand of the figure).



	Age/s ex	Days of hospitaliza tion	Comorbiditie s	Previous (90-d) hospitaliza tion	Previous (90-d) antibiotic therapy	Previous (90-d) carbapen em therapy	Ward of isolation	Type of samples	Infection/ colonizatio n	Type of microorgan ism
Pt# 1	40/F	13	None	yes	yes	yes	ICU	Bronch ial aspirat e	Infection	<i>K. pneumoni ae</i>
Pt# 2	74/F	57	COPD, tetraparesis	no	yes	yes	Pneumol ogy	Rectal swab	Colonizat ion	<i>K. pneumoni</i>

										<i>ae</i>
Pt# 3	79/F	109	DM, AH, COPD, CAD, Obesity, PH	yes	yes	yes	ICU	Rectal swab	Colonization	<i>K. pneumoniae</i>
Pt# 4	51/M	28	CAD, AH, obesity	no	yes	yes	ICU	Rectal swab	Colonization	<i>K. pneumoniae</i>
Pt# 5	58/F	92	CAD, AH, obesity	yes	yes	yes	Pneumology	Rectal swab	Colonization	<i>K. pneumoniae</i>
Pt# 6	70/F	38	Lung transplantation	no	yes	yes	ICU	BAL	Infection	<i>K. pneumoniae</i>
Pt# 7	53/F	1	CF, lung transplantation	no	no	no	CF Day hospital	BAL	Infection	<i>K. pneumoniae</i>
Pt# 8	89/F	32	None	no	yes	yes	Pneumology	Bronchial Aspirate	Infection	<i>K. pneumoniae</i>
Pt# 9	50/M	27	AH	yes	yes (piperacillin tazobactam)	No	ICU	Rectal swab	Colonization	<i>K. pneumoniae</i>