

Identifying the influence of a large alluvial valley on train-induced vibration propagation in Rome by an integrated approach

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Highlights

- Reconstruction of high-resolution engineering geological model of the Rome city centre
- On-site recording of train-induced vibrations for numerical modelling inputs
- Characterisation of the ambient vibrations in the investigated area
- 2D numerical simulation of the alluvia influence on train-induced vibrations
- Simulated vibrations propagate hundreds of meters above the regular ambient vibrations

Abstract

In recent decades, the number of tunnels has increased within city centres worldwide due to the construction of new underground train lines, thus instigating subsequent issues related to an increase in the amount of vibrations affecting pre-existing buildings and infrastructures; therefore, more studies have been devoted to investigating the interactions between underground train lines and buildings within the framework of a site-city interaction (SCI) scheme. The already designed layout of line C of the Rome Metro was chosen as the

34 research object of a case study focusing on underground train-induced vibration propagation in the city centre
35 of Rome (Italy). Numerical modelling was carried out to analyse the propagation of future vibrations within
36 the large buried alluvial valley and to assess the spatial extension of the induced vibration resentment.
37 Two different subsoil models were considered for this study area: i) a homogeneous model (HoM), in which a
38 homogeneous filling of Tiber alluvia was considered, and ii) a heterogeneous model (HeM), where the Tiber
39 alluvia was distinguished within various lithotechnical units. Specific geophysical measurements were
40 performed to i) record vibrations induced by accelerating, braking and regularly transiting trains to provide
41 input for numerical modelling and ii) define the typical ambient vibration noise of the investigated area.
42 Numerical modelling was then performed using the CESAR-LCPC code, which adopts a finite element method
43 (FEM) solution in the time domain, to simulate the propagation of train-induced vibrations within the Tiber
44 River valley in both the HoM and HeM simulations.
45 The simulation outputs revealed a negligible deamplification effect up to approximately 2 Hz with respect to
46 the considered solicitation in the area located above the tunnels and a maximum effect with respect to the
47 reference ambient vibration noise within 5 and 10 Hz along the valley. The vibrations induced by the
48 accelerating trains can be associated with the highest resentment at the free surface of the valley. A more
49 intense effect generally results in the HeM simulation, which highlights the nonnegligible role of the
50 heterogeneities present within the Tiber alluvia in propagating train-induced vibrations. The numerical outputs
51 highlight that the train-induced vibrations can propagate hundreds of metres away from the underground train
52 location, i.e., up to 400 m astride the axis of the designed tunnels in the case study considered here, and can be
53 distinguished with respect to the regular ambient vibration level.

54

55 **1. Introduction**

56 In recent decades, the pursuit of sustainable social and environmental development, as well as a lack of
57 available free ground surfaces, has resulted in an increase in the use of underground tunnels within city centres
58 worldwide in the framework of new underground train line designs (Eitzenberger 2008). As a result of the
59 subsequent substantial rise in vibrations generated by train transit in these newly designed tunnels, the need to
60 evaluate their propagation within subsoils, interaction with pre-existing buildings and infrastructures and
61 perception by inhabitants has grown concurrently.

62 These vibrations, known as “ground-borne vibrations” (Kurzweil 1979), are generated primarily at the wheel-
63 rail interface and then propagate into the subsoil from the tunnel to the topographical surface (Hood et al.,
64 1996; Yang and Hsu, 2006), contributing to the ambient vibration noise of urban environments. In their
65 propagation path, vibrations can reach nearby buildings, causing wall and floor shaking and producing ground-
66 borne noise known as “reradiated noise” (Thompson, 2009; Connolly et al., 2016). Such shaking can induce
67 annoyance to inhabitants, equipment malfunctions and issues with the structural stability of buildings, which
68 are more relevant when tunnels are shallow and in close proximity to their foundations (He et al., 2018). In
69 fact, vibrations propagating from the subsoil can induce a fatigue phenomenon in buildings as well as in their
70 foundations, causing differential settlement, cracking, resonance-induced effects and other kinds of structural
71 damage (Kedia and Kumar 2019).

72 From this perspective, the impact of newly designed underground train lines in city centres needs to be
73 evaluated prior to installation to avoid potential damages and stability issues with above buildings due to the
74 vibrations originating from train transit. In this regard, several numerical and analytical models have been
75 recently developed with the aim of predicting the vibrations induced by underground railways and their
76 propagation within the subsoil (e.g., Jones et al., 2011; Yaseri et al., 2014; Amado-Mendes et al., 2015; Xu et
77 al., 2015; Yang et al., 2017; Zhou et al., 2017; He et al., 2018; Pan et al., 2018; Jin et al., 2020). In general, the
78 abovementioned studies simulated vibration propagation within a homogeneous half-space, excluding that of
79 He et al. (2018), who applied a multilayered half-space and noted that vertical variations in the subsoil can
80 influence the propagation of train vibrations. In addition, other studies (Lopes et al., 2016; Ma et al., 2016;
81 Zhang et al., 2021) analysed the experimental data of train vibrations recorded on site, thus supporting the need
82 to calibrate numerical approaches with field data. In light of this, the accurate reconstruction of the subsoil
83 geology, including its vertical and horizontal heterogeneities, as well as the use of vibrational field data, seem
84 to be key requirements for obtaining reliable simulations of underground train-induced vibrations.

85 Among the geophysical investigation techniques, in the last years ambient vibration analysis approaches have
86 been largely used for different aims, such as characterising the main features and monitoring the health state
87 of structures and buildings (e.g., Farrar and James, 1997; Brownjohn, 2003; Gentile and Saisi, 2007; Michel
88 et al., 2008; Shi et al., 2012), defining the main features of the shallow subsoil of a site (e.g., Bonnefoy-Claudet
89 et al., 2006; Del Monaco et al., 2013; Pastén et al., 2016; Paolucci et al., 2017; Mascandola et al., 2019; Wang

90 et al., 2020), obtaining information of the Earth structure (e.g., Shapiro and Campillo, 2004; Bensen et al.,
91 2008; Stehly et al., 2009; Li et al., 2010), monitoring volcano activities (e.g., De Plaen et al., 2014; Yates et
92 al., 2019; Qian and Liu, 2020), characterising and monitoring slopes involved by landslide processes (e.g.,
93 Burjánek et al., 2010; Del Gaudio et al., 2014, 2018; Kleinbrod et al., 2019; Iannucci et al., 2020; Kakhki et
94 al., 2020; Martino et al., 2020).

95 Ambient vibration wavefield, also known as seismic ambient noise, includes ground vibrations produced by
96 random and uncontrolled sources, natural or related to human activities, e.g., tides, sea waves striking the
97 coasts, wind turbulences and their effects on trees or buildings, industrial machineries, road traffic, trains, etc.
98 Several studies (Gutenberg, 1958; Asten, 1978; Asten and Henstridge, 1984; Yamanaka et al., 1993)
99 investigated the relation between the type of source and the frequency content of seismic ambient noise,
100 observing that usually vibrations related to natural sources (called “microseisms”) have typical frequency
101 content lower than 1 Hz and human activities produce vibrations (called “microtremors”) with frequency
102 content higher than 1 Hz, except for local wind turbulences that contribute to frequencies higher than 15-20
103 Hz (Bungum et al., 1985; Young et al., 1996). Ambient vibration noise related to natural sources is
104 characterised by seasonal variations and generally results higher in winter with respect to summer (Given,
105 1990; McNamara and Buland, 2004). On the contrary, ambient vibration noise produced by human activities,
106 known as anthropogenic or cultural noise, presents daily variations with higher levels during daytime with
107 respect to nighttime (Given, 1990; McNamara and Buland, 2004; Panou et al., 2005; Groos and Ritter, 2009;
108 Hong et al., 2020) and results higher in urban centres than rural areas (McNamara and Buland, 2004; Albert
109 and Decato, 2017; Hong et al., 2020). The main anthropic contribution to the ambient vibration noise higher
110 than 1 Hz is testified also by its considerable drop in urban environments during periods with reduced human
111 activities, such as weekends (Yamanaka et al., 1993; Groos and Ritter, 2009), holidays (Groos and Ritter,
112 2009; Poli et al., 2020; Diaz et al., 2021; Roy et al., 2021) or the 2020 local lockdowns due to the COVID-19
113 pandemic (Lecocq et al., 2020; Poli et al., 2020; Diaz et al., 2021; Roy et al., 2021).

114 This paper proposes the results of an integrated engineering geological, geophysical and numerical approach
115 applied to study the propagation of underground train-induced vibrations in the subsoil of the Rome city centre.
116 Specific ambient vibration measurements were performed to record vibrations induced by transiting trains to
117 provide a reliable input for numerical modelling and define the typical ambient vibration noise of the

118 investigated site for comparison with the modelling outputs. A 2D numerical approach was chosen to reliably
119 simulate vibration propagations within an alluvial valley, as testified by the large body literature regarding
120 earthquake propagation (e.g., Gaudiosi et al., 2014; El Haber et al., 2019; Khanbabazadeh et al., 2019;
121 Macerola et al., 2019; Ruan et al., 2019; Pergalani et al., 2020). In fact, a simulation of cross-sections with a
122 direction perpendicular to the axis of the valley enabled to take into account its vertical and horizontal
123 heterogeneity without the computational weight of 3D modelling.

124 The study area is located in the Prati neighbourhood on the right bank of the Tiber River, where line A of the
125 Rome Metro is currently active. Within the next year, this area will also be of interest due to the completion
126 of transit line C, a new urban train line whose construction is currently only partially completed. Considering
127 the presence of several historical buildings in the investigated area that are exposed to underground train-
128 induced vibrations as well as the complex subsoil setting, numerical modelling of the propagation of the
129 induced vibrations related to future train transits was carried out.

130

131 **2. Engineering geological modelling**

132 An additional underground train line of the Rome Metro, referred to as line C, is currently under construction
133 under the city of Rome to improve its local public transport network by connecting the city centre with
134 suburban areas located in the eastern zone of the city. The first track of this line was operational in December
135 2013 and ran from the eastern suburbs to the city centre of Rome. The second track will consist of two tunnels
136 excavated in the subsoil from the city centre towards the western suburbs and will pass through the Prati
137 neighbourhood, where there will likely be a connection between the already existing line A and the designed
138 line C at the Ottaviano station (www.metrocspa.it/lopera) that represents the zone considered for the present
139 study. At present, the construction site is located on the left bank of the Tiber River.

140 The city centre of Rome is characterised by a complex geological and geomorphological setting due to the
141 structural evolution of the Tyrrhenian margin (Funicello and Giordano, 2008). Tectonic, volcanic and glacio-
142 eustatic activities have determined the actual landscape of the city, which is characterised by hills composed
143 of Plio-Pleistocene marine (Conato et al., 1980) and volcanic (Alvarez et al., 1996) deposits and alluvial valleys
144 filled by post-würmian soft sediments (Marra et al., 2008).

145 In the historical centre of Rome, four main geological formations are outcropping (Fig. 1):

- 146 - Plio-Pleistocene marine sediments (Marne Vaticane and Monte Mario Formations) that represent the
147 regional geological bedrock (Marra, 1993);
- 148 - volcanic deposits of the Alban Hills, which include Middle-Upper Pleistocene volcanic products that
149 are part of the District Roman Comagmatic Province, according to Washington (1906), exhibiting
150 compositions from K-foidite to tephrite and phonolitic tephrite (Marra et al., 2009; Freda et al., 2006;
151 Giordano et al., 2006);
- 152 - Pre-Würmian fluvio-palustrine deposits (i.e., Santa Cecilia, Valle Giulia, San Paolo, Aurelia and
153 Vitinia Formations) (Karner et al., 1998);
- 154 - recent alluvial deposits of the Tiber River, which consist of post-würmian soft sediments filling
155 würmian incisions (Marra et al., 2013).

156 The two following units can be distinguished within the Marne Vaticane Formation:

- 157 - MV: high consistency grey and blue-grey silty and sandy deposits;
- 158 - SMV: upper portion of the MV (up to 10 m of thickness), affected by a softening process in the Later
159 Pliocene (Bozzano et al., 2006).

160 According to previous studies (Bozzano et al., 2000, 2008; Martino et al., 2015), the following lithotechnical
161 units can be distinguished within the alluvial deposits of the Tiber River in the city centre of Rome:

- 162 - G: coarse grain deposits, mainly limestone gravel in a grey, sandy-silty matrix;
- 163 - D1: grey sands and silty sands with a grey colour;
- 164 - D2: grey silty-clay sands and sandy-clay;
- 165 - C: grey clay and silty clay with a variable peaty content that gives a black colour;
- 166 - B: brown to yellow sandy and silty-sandy sediments;
- 167 - A1: green-grey silty sand and silty clay;
- 168 - A2: clay and clayey silty bands characterised by a hazel colour;
- 169 - R: anthropic filling material.

170 The Marne Vaticane Formation represents the local geological bedrock (Bozzano et al., 2008), while,
171 according to the Italian Building code (NTC 2018), the top of the MV unit corresponds to a seismic bedrock,
172 as its shear-wave value is higher than 800 m/s (Caserta et al., 2013; Pagliaroli et al., 2014; Bozzano et al.,
173 2016, 2017; Meza-Fajardo et al., 2019). Recently, deep bedrock responsible for the presence of a very-low-

174 frequency resonance (0.2-0.4 Hz) range was identified in the Rome city centre by geophysical surveys
175 (Marcucci et al., 2019).

176 In this study, two subsoil models were considered along the AA' geological cross-section, depicted in Figure
177 1, following the approach used in previous studies (Bozzano et al., 2008; Bourdeau et al., 2019; Pergalani et
178 al., 2020); in the first homogeneous model (HoM), the Tiber River alluvia and SMV were considered
179 homogeneous deposits, while in the second heterogeneous model (HeM), they were distinguished in
180 lithotechnical units (Fig. 2) according to the high-resolution engineering geological model proposed by
181 Bozzano et al. (2000, 2008). This model was reconstructed on the basis of several tens of borehole logs,
182 geophysical and geotechnical in situ surveys as well as laboratory tests aimed at obtaining physics parameters
183 and static and dynamic behaviour characterisation of the alluvial deposits of the Tiber River valley. Numerical
184 modelling was carried out for both subsoil models to evaluate the role played by the lateral and horizontal
185 heterogeneities of alluvial plain filling with respect to the induced vibrations and their maximum resentment
186 along the free surface.

187

188 **3. Vibration data acquisition and processing**

189 Geophysical measurements were collected during 2018 in two different neighbourhoods of Rome to record
190 both the i) vibrations induced by the train transits, with the aim of recording signals to be subsequently used
191 as inputs for numerical modelling of the vibration propagation, and the ii) ambient noise representative of the
192 typical vibrational field in the neighbourhood of interest in the absence of train traffic to be compared with the
193 values obtained in the numerical modelling forced by the input from train transits.

194

195 **3.1. Train transit vibration recording**

196 On 17 May 2018, the first geophysical acquisition campaign was performed to record vibrations generated by
197 train transit. The records were collected at the free surface next to the tracks of line B and the Roma-Lido urban
198 railway in proximity to the Marconi station (Fig. 3a), where both lines transit at the ground level. This site was
199 chosen due to the outcropping of the Alban Hill volcanic deposits (Funiciello et al. 2008; Funiciello and
200 Giordano 2008), that act as seismic bedrock, with the aim of recording train-induced vibrations and avoiding
201 any local site amplification effects. These measurements were not performed in the Prati neighbourhood for

202 two reasons: i) the path of line A is in tunnel and it is not possible to install sensors next to its tracks; i) the
203 path of line A is within the valley filling, so it is not possible to exclude local amplification effects on the
204 recorded signals.

205 The recording device consisted of an LE-3Dlite MkIII three-component seismometer (1 Hz eigenmode)
206 produced by Lennartz Electronic GmbH, coupled with a REFTEK 130-01 datalogger with a sampling rate of
207 500 Hz (Fig. 3b). Four railway tracks related to the two different lines are present in the acquisition area; line
208 B trains stops at the Marconi station and the Roma-Lido urban railway trains that do not stop at this station. In
209 light of this, three different types of train signals were recorded: i) line B trains as they accelerate after leaving
210 the Marconi station; ii) line B trains as they brake to stop at the Marconi station; and iii) Roma-Lido trains
211 regularly transiting as they do not stop at the Marconi station.

212 Since tens of train transits were recorded during the field campaign, one single signal was chosen to represent
213 each type of train transit based on the quality of the recording, i.e., no overlap with other train transits or other
214 vibration sources and a clear start and stop of the train transit recording. After this selection, several
215 computations were carried out on the selected signals using the Seismic Analysis Code (SAC) (Goldstein et
216 al., 2003). The NS and EW horizontal components of the train transit were projected to obtain the parallel (y)
217 and perpendicular (x) motion components with respect to the railway tracks. A multiplicative factor was then
218 applied to each time history to correct the signals, which theoretically reports their values at the railway track,
219 according to the amplitude decremental law (Eq. 1) proposed by Jamal-Eddine et al. (2018):

$$220 \quad k = 1.6034 d^{-0.884} \quad (1)$$

221 where k is a corrective factor for the signal amplitude and d is the distance between the trainway track and the
222 recording sensor.

223 The fast Fourier transform (FFT) computed for each time history reported that the energy of the train transit is
224 concentrated in the frequency range 1-60 Hz and has a frequency peak between 6 and 8 Hz with a frequency
225 content peak value that tends to increase as the speed of the train increased, i.e., passing from the breaking
226 trains (lower values) to the accelerating trains (higher values). Such frequency content values are compatible
227 with urban and non-high-speed trains (Ju et al., 2009; Milne et al., 2017). The regularly transiting train signals
228 present a frequency content at about 1 Hz higher than the other two train types that seems to be a specific
229 feature of this train transit type. The selected time histories were processed by: i) bandpass filtering in the

230 frequency range 1-60 Hz (i.e., in which the energy of the train transit is focused); ii) interpolation with a
231 sampling rate of 200 Hz; and iii) integration to obtain the displacement signals. In this way, displacement time
232 histories representative of the accelerating, braking and regularly transiting trains were obtained for numerical
233 modelling (Fig. 4).

234

235 **3.2. Ambient vibration measurements**

236 On 5 October 2018, a second geophysical campaign was performed to measure the ambient vibration noise in
237 the Prati neighbourhood, corresponding to the planned location of the intersection of the future line C at cross-
238 section AA', as shown in Figure 1. The measurements allowed to derive i) the horizontal and vertical mean
239 levels of ambient vibration noise and ii) the horizontal and vertical mean amplitude spectra of the ambient
240 vibration noise.

241 One-hour ambient noise records were collected at 6 measurement points by the previously described devices
242 with the aforementioned settings; measurements were carried out on a workday during the daytime to provide
243 the typical maximum ambient vibration level occurring in the investigated area.

244 The time histories of the ambient vibration records were processed by the SAC as those containing the train
245 transits: i) the NS and EW horizontal components were composed to allow the rotating signal to be parallelly
246 (y) and perpendicularly (x) oriented with respect to the AA' cross-section of Figure 1; ii) a bandpass filter was
247 applied in the frequency range 1-60 Hz; and iii) an interpolation process was performed with a 200 Hz sampling
248 rate. The arithmetic mean of signal amplitude was computed for each time history, and these values were then
249 averaged to obtain the horizontal and vertical amplitude signal mean values of the ambient vibration noise for
250 the study area, which were equal to $3.50E-06$ m/s and $7.19E-06$ m/s, respectively.

251 The horizontal and vertical mean FFT amplitude spectra were also obtained using Geopsy software (Wathelet
252 et al., 2020) by i) dividing the recorded time histories into 20-s nonoverlapping windows with 5% cosine taper;
253 ii) computing the FFT for each time history window with the Konno and Ohmachi (1998) smoothing function;
254 and iii) averaging the obtained horizontal and vertical FFT amplitude spectra.

255

256 **4. Numerical modelling**

257 The 2D numerical modelling was performed using the CESAR-LCPC code (Humbert et al., 2005), which
258 adopts a finite element method (FEM) solution in the time domain. The modelling considered the future
259 locations of the train tunnels, according to the present project of line C of the Rome Metro (www.metrospa.it).
260 The HoM (Fig. 2) is characterised by a buried alluvial valley filled with clay and peaty clay deposits (C unit)
261 overlying the seismic bedrock (MV). The C unit was chosen because it is the thickest and most widespread of
262 the recent Tiber River deposits. In contrast, in the HeM (Fig. 2), the valley is filled by all lithotechnical units
263 that composed the recent Tiber River deposits. The properties assigned to these materials (Tab. 1) were derived
264 from Bozzano et al. (2000, 2008) and Martino et al. (2015). In addition, an equivalent material representative
265 of the ballast of the railway track was simulated at the base of the tunnels.

266 A viscoelastic behaviour is assumed considering a Rayleigh-type damping according to Eq. (2):

$$267 \quad [C] = \alpha \cdot [K] + \beta \cdot [M] \quad (2)$$

268 where $[K]$ and $[M]$ are stiffness and mass matrices and α and β are Rayleigh constants that define the frequency
269 dependence of the damping ratio. The damping percentage and related Rayleigh constants assigned to each
270 material are also reported in Table 1. The damping quality factor Q (Table 1) was calculated by a Generalized
271 Maxwell model through the relation proposed by Semblat (1997) for materials characterised by moderate
272 values of damping coefficient.

273 The numerical domain was generated by a three-node mesh whose resolution was calibrated on the minimum
274 wavelength in the models to reduce numerical dispersion resulting from the coarse mesh. Triangular elements
275 of 0.5 m for the alluvial filling and 4.5 m for the seismic bedrock were selected. A set of heterogeneous
276 absorbing layers based on the Rayleigh/Caughey damping formulation (CALM) was simulated at the lateral
277 and bottom boundaries of the domain (Semblat et al., 2011) to avoid spurious wave reflection at the model
278 boundaries. The CALM was composed of 5 layers with a damping variable value ranging from $Q_{\min-1} \approx 0.20$,
279 or $\xi = 0.10$ (in the inner part of the model), to $Q_{\min-1} \approx 2.00$, or $\xi = 1.00$ (at the external lateral and bottom
280 boundaries of the model). This solution guaranteed the maximum efficiency of the CALM for the
281 heterogeneous alluvial domain (Varone et al., 2019, 2021). The model was forced by the train transit recordings
282 (Fig. 4) and applied as vertical displacement at the base of the left railway tunnel. The vector of imposed
283 vertical displacement as function of time was applied at the nodal elements composing the base of the tunnel
284 and propagated as in-plane displacement.

285 Figures 6 and 7 show the obtained displacement time histories along the HoM and HeM model surface using
286 wave propagation maps (WPMs). The WPMs highlight that the vibrations propagate symmetrically across the
287 tunnel axis; the propagation distance is the same for both the vertical and horizontal components of ground
288 motion and for all three considered inputs, even if for the HoM it is shorter when compared with the HeM.
289 The horizontal component appears to be characterised by a spatial shift towards the northwest relative to the
290 vertical component for both models, even if it is greater for the HeM (Fig. 7) than the HoM (Fig. 6).
291 Regarding the ground motion amplitude of the vibrations induced by a given type of train transit, the horizontal
292 component is characterised by higher amplitude values with respect to the vertical component for both models.
293 The FFT spectral ratio between the ground motion on the free surface of the numerical domain (output) and
294 the train transit recordings (input) was computed to assess the variations in the frequential content of the signal
295 motion when propagating within the soft alluvial soils (Figs. 8 and 9). The ground motion at the model free
296 surface reveals a deamplification pattern showing an exponential trend with respect to the distance from the
297 axis of the underground track that is more notable for the HeM. The outputs at the free surface over the tunnels
298 present negligible deamplification (i.e., output/input spectral ratio equal to 0.8-1.0) up to approximately 2 Hz
299 for all three train transit records and for both models. There are no relevant changes in terms of the
300 deamplification effect when considering the various inputs from the different train transit types. Such
301 deamplification could be associated with a “shadow effect”, whereby the highest response level is usually
302 found at a certain distance from the underground tunnel and not in the zone just above it, as evidenced by the
303 experimental and numerical data reported by Jin et al. (2020).
304 To deeper understanding the role of the different layers on the response of the alluvial valley to train vibrations,
305 the transfer function at different depths within the soil overlaying the underground track were computed for
306 the HeM outputs (Fig. 9). FFT spectral ratios reveals that the negligible deamplification at approximately 2 Hz
307 is constantly present at different depths, just like the frequency peak at 10 Hz. The first frequency could
308 represent the fundamental frequency of the valley while the second one is due to the frequential content of the
309 signal motion. A frequency peak at approximately 20 Hz appears starting from -5 m from ground surface (Fig.
310 9) and it is due to anthropic filling material (R unit). The peak around 6 Hz is ascribable to the combined effect
311 of units R and A1-A2 characterising the upper portion of the alluvial valley filling.
312

313 **5. Discussion**

314 Figure 10 shows the spatial distribution of the FFT spectral ratio between the modelled ground motion at the
315 free surface (output) and the ambient vibration noise of the investigated site. The propagation of train vibrations
316 along the cross-section supports a resentment distance above the reference ambient vibration level within the
317 range 1000-1200 m for the accelerating train and 800-1000 m for the braking and regularly transiting trains in
318 the frequency range between 5 and 10 Hz; the distance of the resentment progressively decreases with
319 increasing frequency in all three train cases. It is also possible to observe that the vibrations from the
320 accelerating trains present significantly larger FFT amplitude spectral values than those of the braking and
321 regularly transiting trains; as a consequence, the output/noise spectral ratio reaches 900 for the accelerating
322 train and up to 30 for the braking and regularly transiting trains.

323 In Figure 11, the results output by the HoM and HeM simulations are compared in terms of the mean velocity
324 distribution of each time history obtained on the ground surface along cross-section AA' in Figure 1; the mean
325 level of ambient vibration noise for the investigated area is also shown. The highest mean velocity values for
326 the different train transit simulations are not generally found just above the tunnels, confirming the presence
327 of the "shadow effect". For both models, the accelerating train phase presents mean velocity values that are
328 higher than the mean ambient vibration level for the whole length of the cross-section. Conversely, the
329 amplitude values of the regularly transiting and braking trains are higher than that of the ambient vibrations at
330 a distance from the tunnels of approximately 500 m in the HoM case and 800 m in the HeM case. This
331 difference is due to the rheological behaviour of Unit C composing the HoM. Its high damping ratio ($\xi=3\%$
332 at strain 0.001%) determines a higher attenuation of the vibration and the ground motion is completely damped
333 in a shorter distance. Additionally, the signal from the accelerating train modelled in the HeM simulation is
334 higher than that in the HoM simulation. Such an intense vibrational effect in the HeM simulation can be
335 attributed to the presence of a unit B level composed of sandy and silty-sandy sediments that likely responds
336 to train vibrations with a lower attenuation and favours their propagation within the alluvial valley. A validation
337 of the results here presented could be performed by carrying out ambient vibration measurements when line C
338 will be operative in the investigated area and comparing the ambient noise in pre- and post-metro transit
339 conditions.

340 As a final remark, despite the nature of the considered input (vertical displacement on the railway
341 embankment), the horizontal component of the surface ground vibration is not negligible and can be higher
342 than that of the vertical component (e.g., for regularly transiting trains in Figure 10). This effect results from
343 the transformation of vertical energy given by the railway embankment into surface wave energy (Kawase and
344 Aki, 1989; Hatayama et al., 1995; Meza-Fajardo et al., 2015, 2019) that generates both vertical and horizontal
345 motions above all for the HeM case (Figs. 10 and 11).

346

347 **6. Conclusions**

348 Vibrations induced by underground trains in a large buried alluvial valley were analysed here through a
349 numerical approach considering the Rome city centre. The modelling results reveal a general deamplification
350 effect of the ground motion considered at the free surface with respect to the input. This effect decreases with
351 increasing distance across the tunnel axis following an exponential trend, excluding the area located above the
352 tunnels, where the deamplification effect is negligible, i.e., up to approximately 2 Hz in the case of the Tiber
353 River valley in Rome for the three considered inputs.

354 The vibrations generated by the accelerating train transit cause a more intense effect with respect to the other
355 two conditions in both the HoM and HeM simulations; moreover, the intensity of the induced vibrations is
356 significantly higher than that for the ambient vibration noise both in terms of the FFT spectral amplitude and
357 the mean velocity values.

358 More intense vibrational effects are obtained in the HeM relative to the HoM for each considered input; such
359 an effect is likely associated with the rheological behaviour of the lithological units. The sandy and silty-sandy
360 level of the HeM produces a lower attenuation of the vibrations emitted by the transiting trains, and their
361 propagation within the alluvial filling of the valley is favoured. Conversely, grey clay and silty clay with a
362 variable peaty level in HoM determines a higher attenuation of the vibration and the ground motion is
363 completely damped in a shorter distance. Such effects are clearly showed even though the limitations due to
364 the two-dimensional assumption for soil attenuation in numerical modelling.

365 In the light of the obtained results, the output differences in terms of waves propagations between the HoM
366 and HeM highlight the importance of making the effort to reconstruct a complex engineering geological model
367 that best reflect the subsoil characteristics of the investigated site. The research presented here represents a

368 starting contribution to study any possible interactions between buildings and underground train-induced
369 vibrations within the framework of a more complex site-city interaction (SCI) scheme devoted to reducing
370 structural vulnerability and damages due to recurrent anthropogenic vibrations in urban areas.

371

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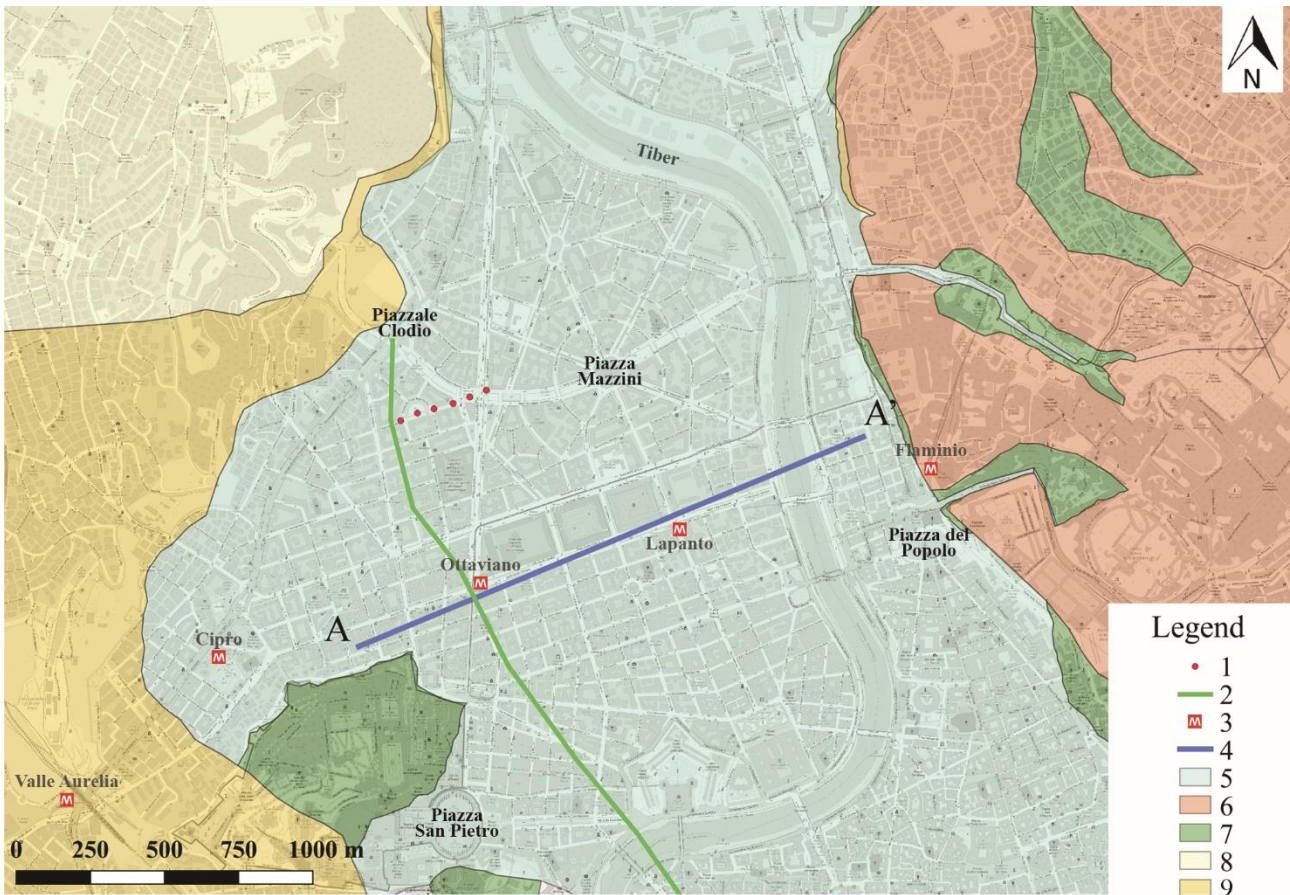
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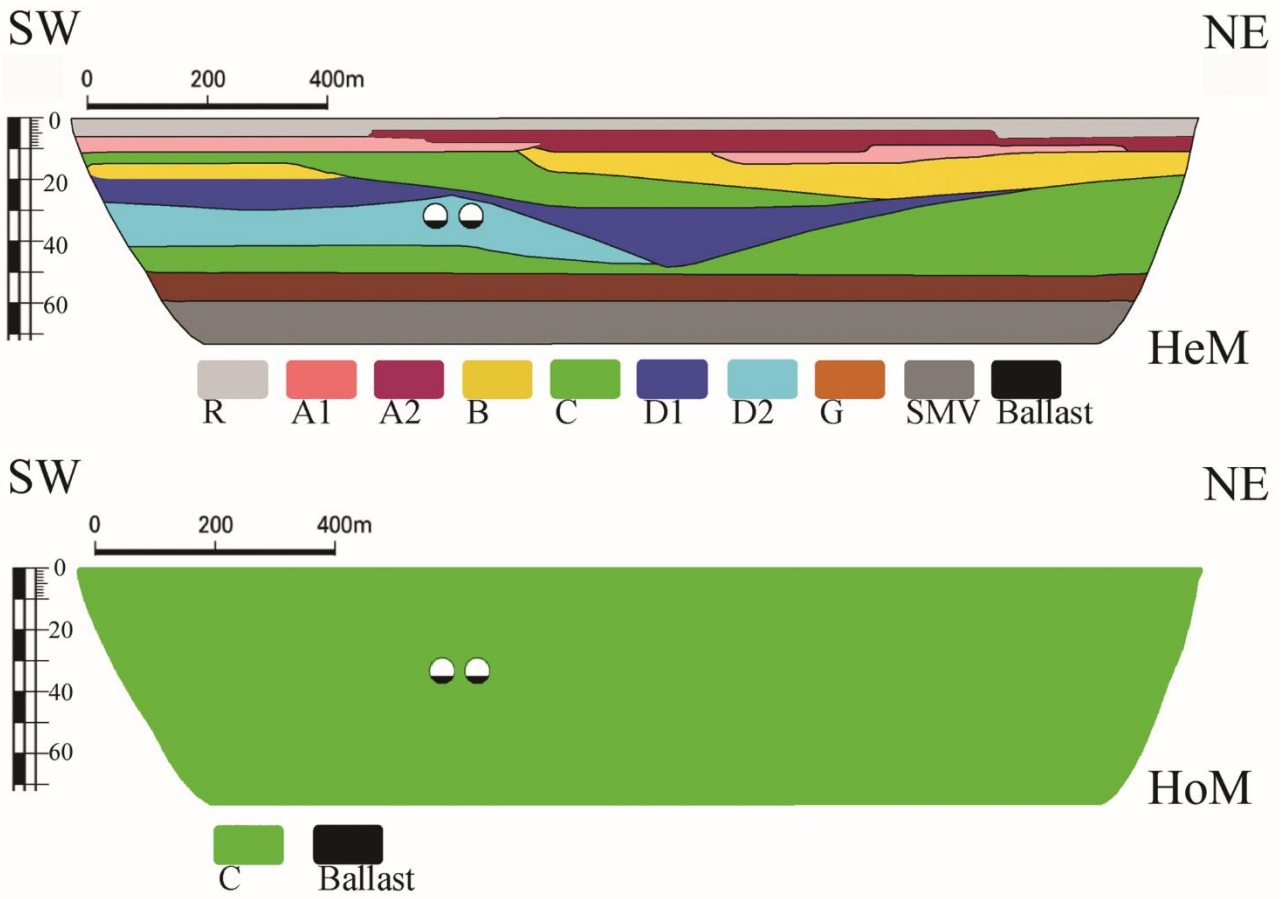
635 Zhou, S., He, C., Di, H., Guo, P., Zhang, X., 2017. An efficient method for predicting train-induced vibrations
636 from a tunnel in a poroelastic half-space. *Eng. Anal. Bound. Elem.*, 85, 43-56.



637

638 Figure 1. Geological map of the Prati neighbourhood with the location of the ambient vibration measurements;
 639 legend: 1) ambient vibration measurements; 2) line C designed path; 3) line A underground station; 4)
 640 geological cross-section A-A' (Fig. 2); 5) Tiber River alluvial deposits (Pleistocene-Holocene); 6) volcanic
 641 deposits (Middle Pleistocene); 7) Pre-Würmian fluvio-palustrine deposits (Middle Pleistocene); 8) Monte
 642 Mario Formation (Lower Pleistocene); 9) Marne Vaticane Formation (Pliocene-Pleistocene).

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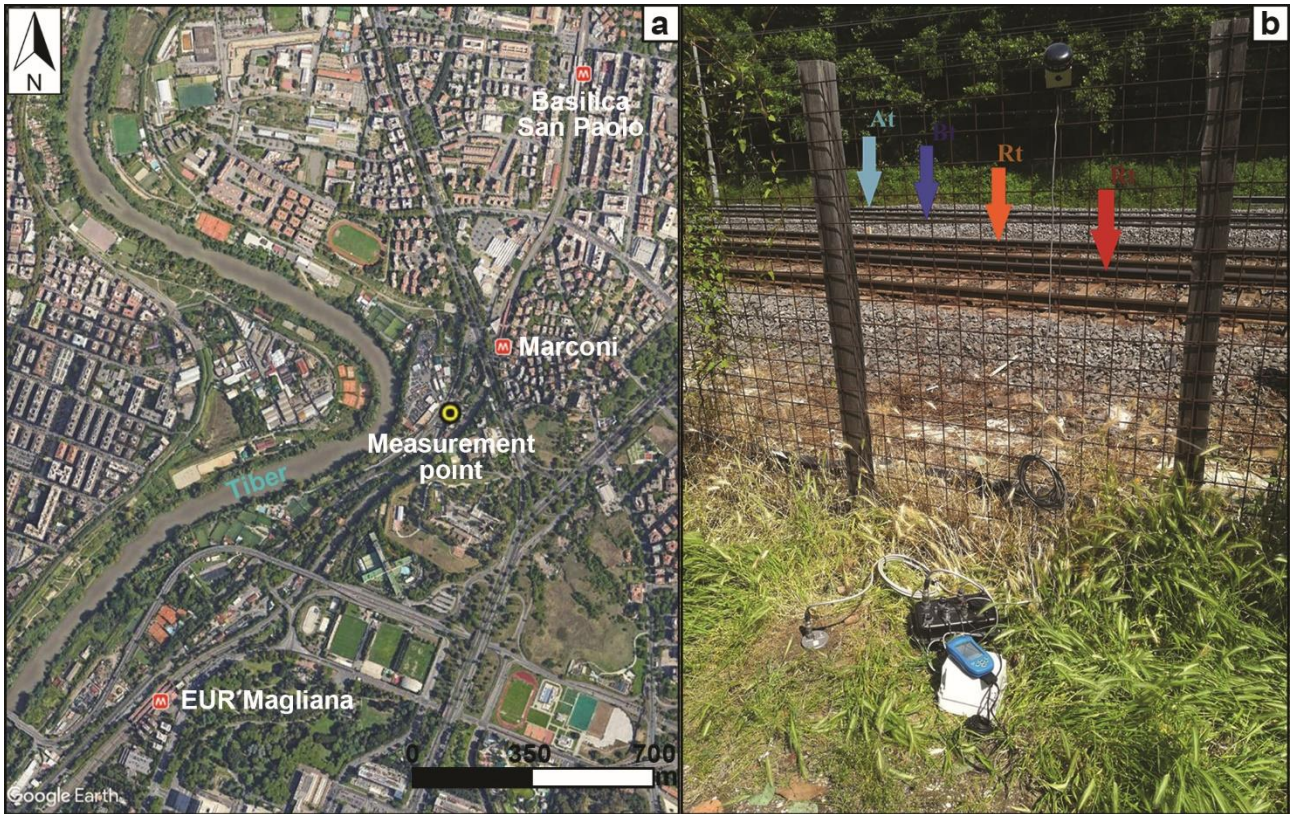


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645 Figure 2. Engineering geological models for HeM (top) and HoM (bottom) along cross-section AA' of Figure

646 1.

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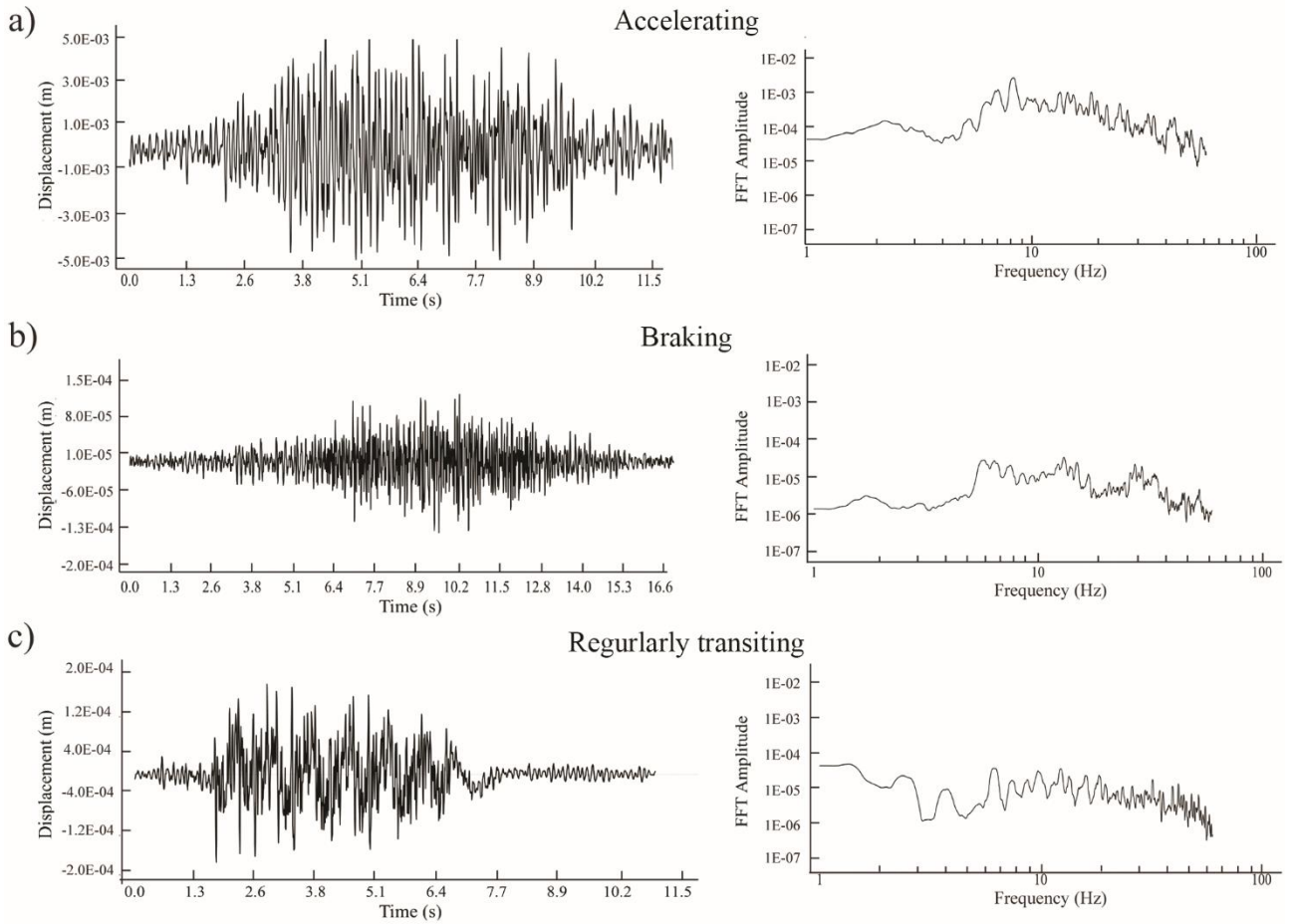
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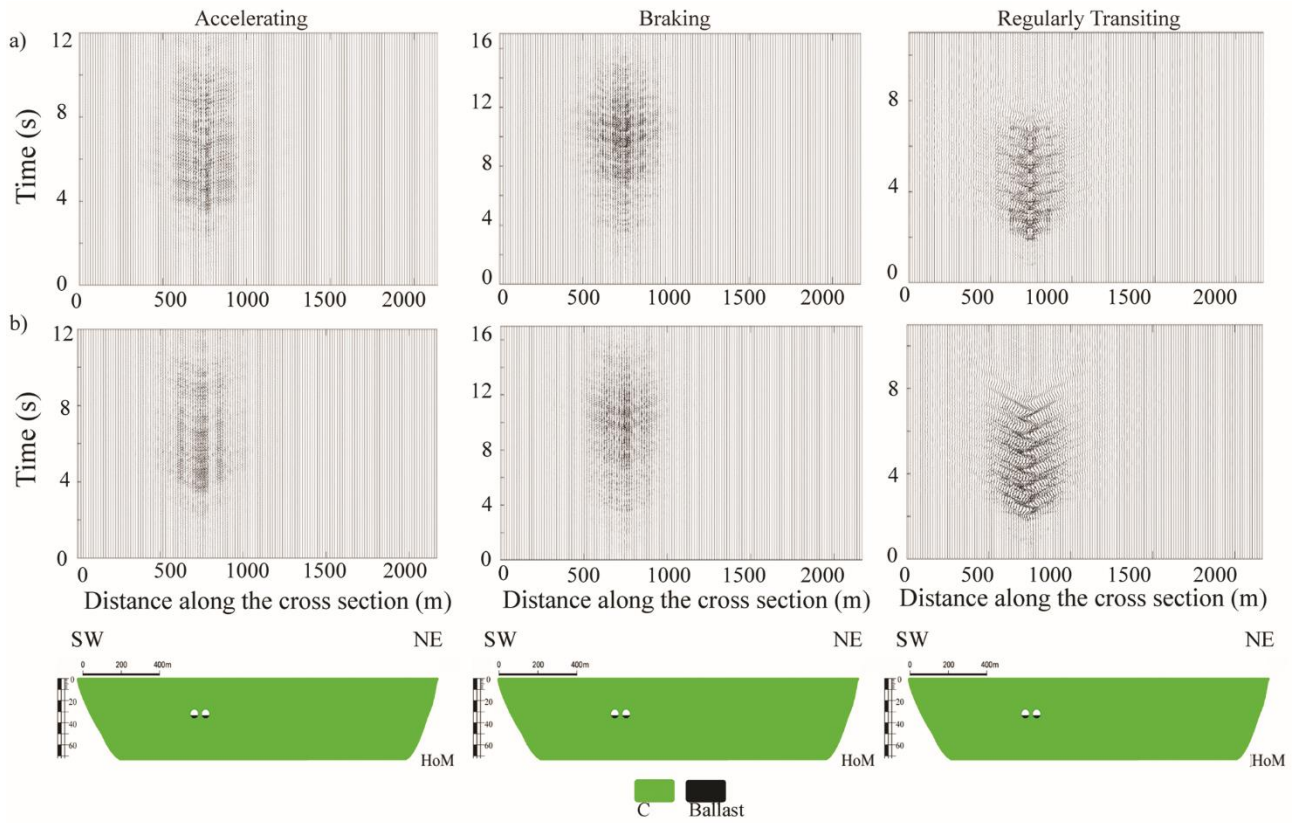
Figure 3. Measurement of vibrations due to train transit: a) satellite view of the measurement site (from Google Earth); b) photo view of the measurement configuration: LE-3Dlite MkIII three-component seismometer of Lennartz Electronic GmbH and REFTEK 130-01 datalogger; arrows indicate tracks of accelerating trains (At) and braking trains (Bt) of line B and tracks of regularly transiting trains (Rt) of the Roma-Lido line.



654

655 Figure 4. Time histories (left) and FFT spectra (right) for the selected accelerating (a), braking (b) and regularly
 656 transiting (c) trains.

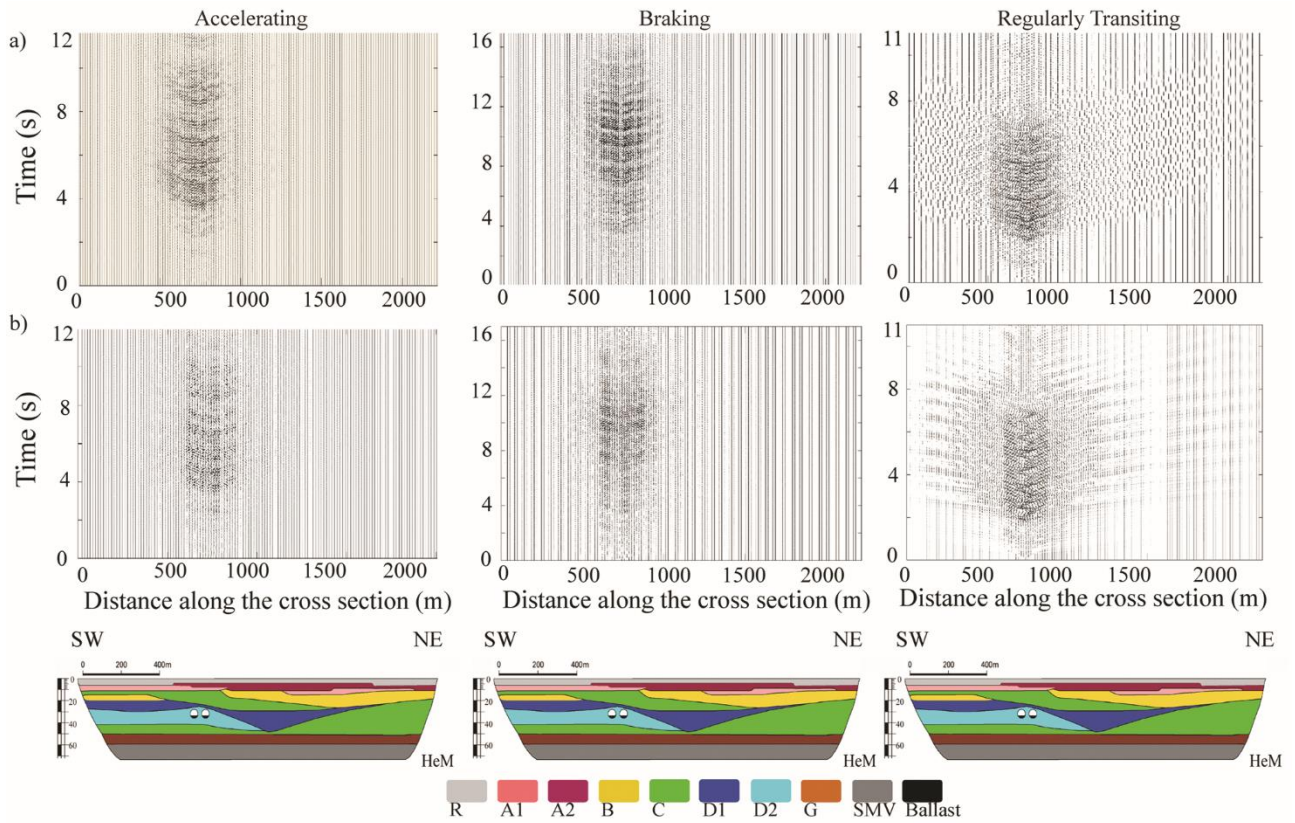
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659 Figure 5. WPM showing horizontal (a) and vertical (b) displacement time histories along the HoM surface for
 660 accelerating (left), braking (centrum) and regularly transiting (right) trains; time history amplitude at the same
 661 scale for each train transit modelling.

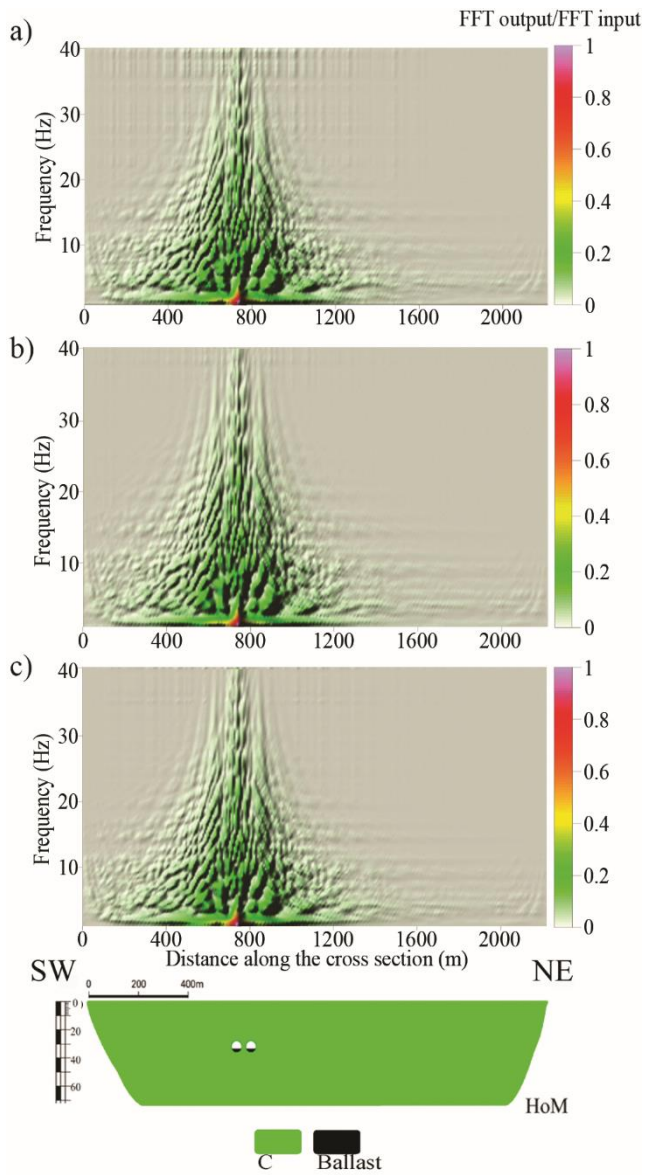
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663

664 Figure 6. WPM showing horizontal (a) and vertical (b) displacement time histories along the HeM surface for
 665 accelerating (left), braking (centrum) and regularly transiting (right) trains; time history amplitude at the same
 666 scale for each train transit modelling.

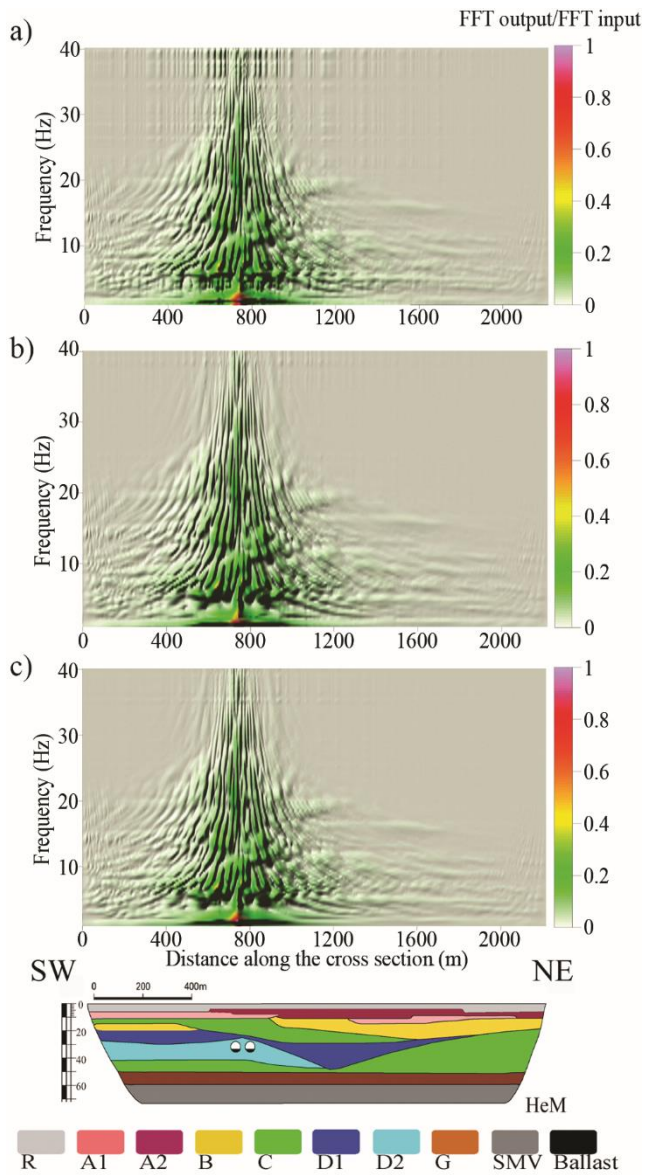
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669 Figure 7. FFT spectral ratio between the ground motion at the free surface (output) and the applied input of
 670 train transit resulting for the HoM for accelerating (a), braking (b) and regularly transiting (c) trains.

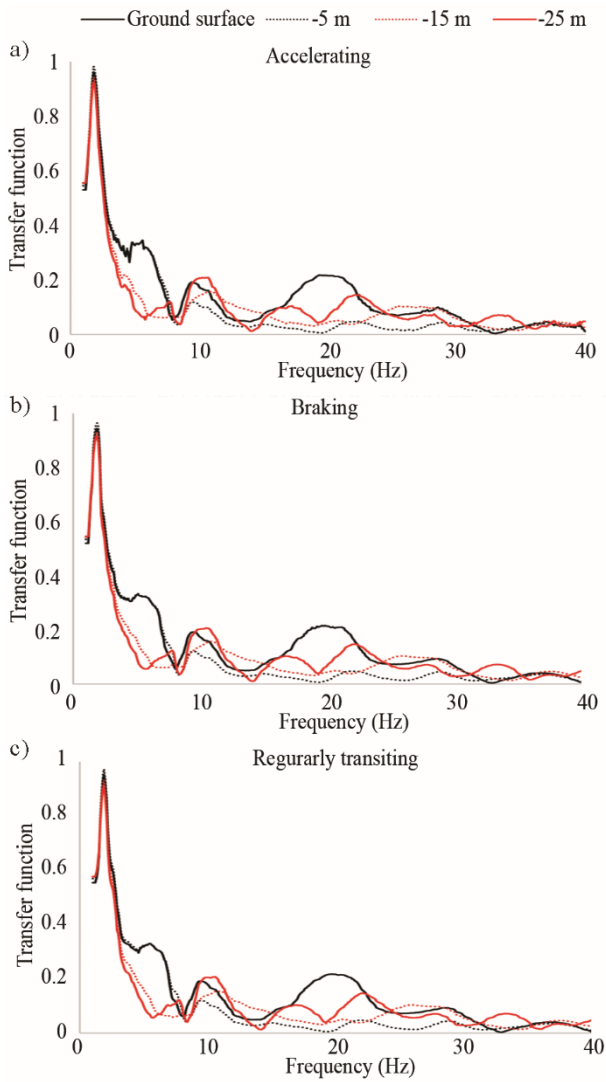
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673 Figure 8. FFT spectral ratio between the ground motion at the free surface (output) and the applied input of
 674 train transit resulting for the HeM for accelerating (a), braking (b) and regularly transiting (c) trains.

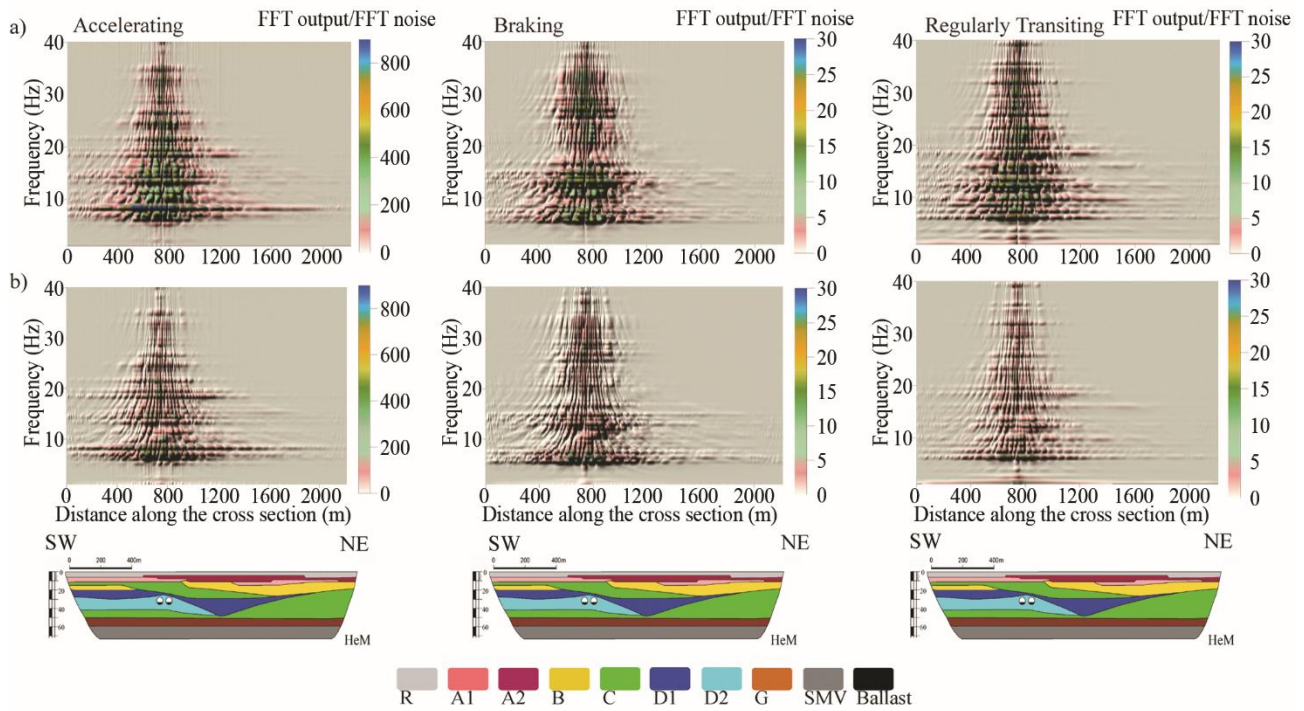
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677 Figure 9. Transfer functions obtained at the ground surface and within the soil (-5 m, -15 m and -25 m of depth
 678 from the ground surface) for the HeM for accelerating (a), braking (b) and regularly transiting (c) trains.

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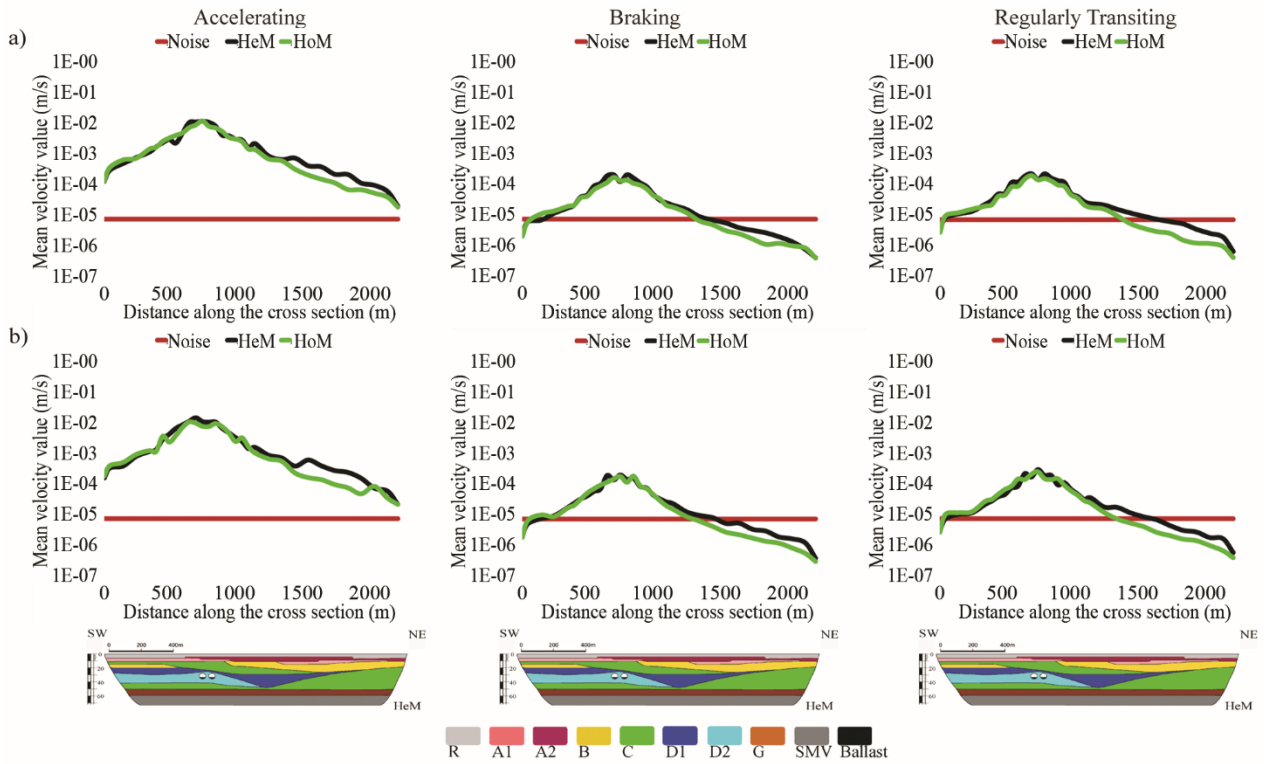
680

681 Figure 10. Distribution of vertical (a) and horizontal (b) FFT spectral ratios along the HeM cross-section
 682 between surface motion (output) and ambient vibration noise for accelerating (left), braking (middle) and
 683 regularly transiting (right) trains.

684

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687

688 Figure 11. Distribution of vertical (a) and horizontal (b) mean velocities along cross-section AA' in the HoM
 689 (green line) and HeM (black line) for the considered inputs: accelerating (left), braking (middle) and regularly
 690 transiting (right) trains.

691

692

Unit	ρ (kg/m ³)	ν	E (MN/m ²)	ξ(%) strain=0.001%	Q	α	β	Vs (m/s)
R	1800	0.3	217.0	5	10	2.2460	3.370E-04	220
A1	1875	0.3	262.5	6	8	2.6390	4.640E-04	239
A2	1875	0.3	262.5	6	8	2.6390	4.640E-04	239
B	1916	0.3	310.0	1	50	0.5655	9.950E-05	260
C	1865	0.3	210.0	3	17	1.6210	2.850E-04	212
D1	1957	0.3	835.0	3	17	1.6210	2.850E-04	417
D2	2057	0.3	252.5	6	8	2.6390	4.640E-04	417
G	2140	0.3	2670.0	1	50	0.5655	9.950E-05	713
SMV	2078	0.3	1152.5	3	17	1.6210	2.850E-04	461
MV	2078	0.3	4425.0	1	50	0.5655	9.950E-05	923
Ballast	2500	0.3	31780.0	3	17	1.6210	2.850E-04	2255

693

694 Table 1. Physical and mechanical parameter values attributed for the numerical modelling of the lithological
695 units of the Tiber River valley; legend: ρ =density, ν =Poisson coefficient, E=Young modulus, ξ =damping
696 ratio at strain 0.001(%), Q=mean damping quality factor, α and β =Rayleigh constants, Vs=S-wave velocity.