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Long-term exposure to air pollution and risk of venous thromboembolism in a large administrative cohort

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Abstract

Background: Venous thromboembolisms (VTE) are one of the most frequent cause among the cardiovascular diseases. Despite the association between long-term exposure to air pollution and cardiovascular outcomes have been widely explored in epidemiological literature, little is known about the air pollution related effects on VTE. We aimed to evaluate this association in a large administrative cohort in 15 years of follow-up.

Methods: Air pollution exposure (NO₂, PM_{10} and PM_{25}) was derived by land use regression models obtained by the ESCAPE framework. Administrative health databases were used to identify VTE cases. To estimate the association between air pollutant exposures and risk of hospitalizations for VTE (in total and divided in deep vein thrombosis (DVT) and pulmonary embolism (PE)), we used Cox regression models, considering individual, environmental (noise and green areas), and contextual characteristics. Finally, we considered potential effect modification for individual covariates and previous comorbidities.

Results: We identified 1,954 prevalent cases at baseline and 20,304 cases during the follow-up period. We found positive associations between PM_{2.5} exposures and DVT, PE and VTE with hazard ratios (HRs) up to 1.082 (95% confidence intervals: 0.992, 1.181), 1.136 (0.994, 1.298) and 1.074 (0.996, 1.158) respectively for 10 μg/m³ increases. The association was stronger in younger subjects (<70 years old compared to >70 years old) and among those who had cancer.

Conclusion: The effect of pollutants on PE and VTE hospitalizations, although marginally non-significant, should be interpreted as suggestive of a health effect that deserves attention in future studies.

Keywords: Air pollution, Cohort, Deep vein thrombosis, Pulmonary embolism, Venous thromboembolisms

Essentials:

- 1. Relationship between VTE and air pollution is not well established in the epidemiological literature
- 2. Rome longitudinal study (RoLS) is a large administrative cohort composed by more than 1 million of subjects

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- 3. Increases in pollutant concentrations are related to increases in risk of hospitalizations for DVT and PE
- 4. As the VTE are the third most frequent CVD an important need to increase the available evidence about the risk factor is raised.

Introduction

Long-term and short-term exposures to air pollutants are associated with an increased morbidity and mortality, especially from cardiovascular (CVD) and respiratory

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Exposure to air pollutant mixtures could increase the likelihood of VTE events through multiple and interdependent mechanisms [11]. Particulate matter (PM) is a mixture of solid particles and liquid droplets. Principally, it is classified by the mass median aerodynamic diameter of the particles in PM_{10} , composed by less than 10-micron particles, and PM2.5, where particles are less than 2.5 micron. PM inhalation activates blood coagulation mechanisms with the production of pro-inflammatory (reactive oxygen species), pro-oxidative proteins (cytokines, C-reactive protein) and hormones (endothelins) and consequent high levels of fibrinogen and thrombin formation [12, 13]. A second mechanism involves the unbalance of the autonomic control of heart rate and consequent decreased heart rate variability through the stimulation of the pulmonary sensory nerves following PM inhalation [14]. Additionally, increased blood pressure and atherosclerotic plaque formation were also reported [15, 16]

Despite plausible biological mechanisms, evidence of air pollution effects on VTE are incomplete and inconclusive. Two cohort studies [17, 18] and one case-control [19] have examined, so far, the association between long-term exposure to air pollutants and VTE but their findings were contrasting and a subsequent meta-analytic analysis of those three studies found an effect estimate close to the null [20]. This study aimed to assess the long-term effect of air pollution (PM_{10} , $PM_{2.5}$ and nitrogen dioxide [NO_2]) on hospitalizations for VTE, DVT and PE in a large administrative cohort in Rome. Additionally, we studied the influence of several effect modifiers, including known risk factors for VTE.

Material and methods

Study population

We analyzed data from the Rome Longitudinal Study (ROLS), an administrative cohort based on the 2001 Italian Census operated by the National Institute of Statistics (ISTAT) [21]. We followed more than 1 million of persons from 20-10-2001, the date of the Census, until 31-12-2015. For each subject, we have information about socio-demographic characteristics such as age, sex, place of birth, marital status, educational level, and occupation and residence address. Moreover, we considered also a small-area index assigned to each census block (average population of 470 inhabitants) which is a composite index of socioeconomic position (SEP) [22]. Each subject was identified by an anonymous ID to allow the record linkage with health databases such as the Hospital Registry Discharge (HIS) and the Pharmaceutical databases. Record linkage procedures were anonymous, permitted since the Rome Longitudinal Study is part of the National Statistical Program for the years 2011-2017, and was approved by the Italian Data Protection Authority. More information are available elsewhere [23, 24]. Prevalent VTE cases and subjets who moved outside Rome during the follow-up were excluded at the baseline.

Outcome definition

Deep vein thrombosis (DVT) and pulmonary embolism (PE) were defined by using the hospitalizations (HIS) database. We used the International Classification of Diseases – 9th version (ICD-9) to classify the different outcomes considering both primary and secondary diagnoses. In particular, the codes considered for DVT definition were: 451–453; while PE is defined by the code 415.1. We excluded the prevalent cases by applying the same definition to each subject in the three years period before the baseline (20–10-2001). VTE cases are defined as a combination of DVT and PE outcomes.

Exposure assessment

We estimated average annual exposure to air pollutants (PM_{10} , $PM_{2.5}$, and NO_2) at baseline (January 1st, 2001) residential address for each individual by landuse regression (LUR) models, developed using measures taken in 2010 within the ESCAPE project [25, 26]. The model displayed good prediction properties, with percent explained variability in hold-out monitors ranging between 76% (NO₂) and 59% (PM₁₀) in Rome [27, 28].

We considered green space and noise in a 1-km² area around the residential address as potential confounders of the association between air pollution and VTE. To characterize green space, we used Normalized Difference Vegetation Index (NDVI) calculated by Landsat 5 Thematic Mapper (TM) satellite images (http:// earthexplorer.usgs.gov/). NDVI is a common indicator of green vegetation and was developed using the analysis of surface reflectance measurements. The NDVI values range from -1 to +1, with +1 indicating a high density of green leaves, -1 representing water features and values close to zero referring to barren areas of rock, sand or snow [29]. The process is described in details elsewhere [30]. Similarly, we account for residential noise exposure as a confounder. Noise was assessed using annual dayevening-night A-weighted equivalent continuous noise levels (Lden) indicator, defined by the European Environmental Agency [31] as average sound pressure level over all days, evenings and nights in a year. Lden was estimated using an approach described in detail elsewhere [32, 33].

Statistical analysis

The association between long-term exposure to air pollutants and hospitalizations for VTE, DVT and PE was estimated using Cox proportional hazard regression models with age as time scale. We defined four models a priori: model 1 adjusted for only age and sex. We added individual- and area-level confounders such as place of birth (Rome or other), occupational status (employed, unemployed, housewife, retired and other), marital status (married, single, separated/divorced or widowed), areabased socio-economic position (SEP in five levels: from high to low) and educational level (primary, secondary, high school and university) in model 2. Variables which did not meet the proportionality of hazards assumption were included in model 2 as stratification terms. These included: sex, marital status, and SEP. In model 3, we adjusted also for noise by adding a linear term for Lden to model 2. Finally, in addition to the previous set of covariates (model 3), we included greenness with a linear term for NDVI (model 4).

We evaluated possible effect modification by age (classified in two classes: <70 and >70 years), sex, and previous comorbidities (cardiovascular diseases, myocardial infarction, hypertension, COPD, diabetes, hyperlipidemia and cancer) by including suitable interaction terms in model 2. In addition, we considered age divided into three classes by terciles (<40, > =40 and <65, and >65 years old) as supplementary analyses (see supplementary material). All effect modifiers are considered as time-fixed covariates or at the baseline (i.e. for age). We calculated P-values for interaction using the Wald test.

We applied exposure–response functions to test the association even at low levels of air pollution. Exposure–response curves were obtained by modelling the exposures with natural splines with 3 degrees of freedom.

Finally, as a sensitivity analysis to take into account possible spatial autocorrelation we computed models (model3) with a cluster term for a spatial indicator. This variable assumes 94 different levels according to the different zone in Rome area. The results are provided in the Supplemental material.

We expressed the results as hazard ratios (HRs) and 95% confidence intervals (95%CI) per 10 μ g/m³ increments in PM₁₀, NO₂, and PM_{2.5}.

All analyses were conducted in R software [34].

Results

Cohort characteristics are reported in Table 1. The cohort is composed by 1,261,770 eligible subjects at the baseline (20 October 2001) after the exclusion of 1,226 prevalent subjects (0.09%). We removed 14,974 (1.2%) records because of missing information on exposure assessment. We obtained a final cohort of 1,260,544 (98.6%) subjects and a total of 15,190,594 person-years of follow-up. Females were 54.5%, while subjects >70yrs old at the baseline were 19.5(%). At baseline, 173,651 subjects (13.8%) had past admissions for CVD, 143,389 (11.4%) for cancer, 171,643 (13.6%) for COPD and 150,441 (11.9%) for diabetes (Table 1). We observed an incidence rate of 4 and 9.8 per 10,000 person-years for PE and DVT, respectively.

The average exposure levels are reported in Table 2. We observed average exposures equals to $36.6 \ \mu\text{g/m}^3$ (standard deviation = 5.1) for PM₁₀, 19.6 (1.9) for PM_{2.5} and 42.8 (10.2) for NO₂. Average noise (Lden) exposure was 59.8 (8.6) dB, while average greenness index was 0.369 (0.126).

Table 3 shows the results of the different models 1-4 of the association between long-term exposure to air pollutants and hospitalization for DVT, PE and VTE. In model 1, we observed no associations for DVT and VTE, while some positive signals emerged for PE outcomes. When we adjusted for individual and area-level confounders in model 2, we observed positive associations especially for PM_{2.5} with HRs up to 1.082 (95%CI: 0.992, 1.181), 1.136 (0.994, 1.298) and 1.074 (0.996, 1.158) for DVT, PE and VTE respectively. No associations were observed for NO₂ exposure, except for PE outcome. We observed similar affects after adjusting for noise and greenness in model 3 and 4 (see Supplementary Table 1).

Variable	Ν	%	New DVT cases	New PE cases	P-Y	DVT Incidence rate*10,000	PE Incidence rate*10,000
Total	1,260,544	100	14,291	6,013	15,190,594	9.41	3.96
Sex							
Males	574,177	45.5	6,674	2,649	6,808,369	9.80	3.89
Females	686,367	54.5	8,247	3,364	8,382,225	9.84	4.01
Age							
< 35	123,825	9.8	563	117	1,626,949	3.46	0.72
35–70	890,578	70.7	9,094	3,317	11,365,819	8.00	2.92
> 70	246,141	19.5	5,264	2,579	2,197,826	23.95	11.73
Socio-economic positi	on (area level)						
High	249,525	19.8	2,421	1,207	3,009,906	8.04	4.01
Medium–High	256,930	20.4	2,748	1,220	3,082,163	8.92	3.96
Medium	252,930	20.1	2,914	1,218	3,036,834	9.60	4.01
Medium–Low	256,883	20.4	3,371	1,243	3,097,478	10.88	4.01
Low	244,276	19.4	3,467	1,125	2,964,213	11.70	3.80
Educational level							
< = primary school	313,258	24.9	6,160	2,506	3,400,437	18.12	7.37
High-school	415,459	33.0	3,201	1,314	5,239,336	6.11	2.51
Junior-school	326,960	25.9	4,128	1,489	3,976,175	10.38	3.74
University	204,867	16.3	1,432	704	2,574,646	5.56	2.73
Marital status							
Married	836,296	66.3	9,537	3,695	10,320,350	9.24	3.58
Separated	88,443	7.0	989	349	1,083,201	9.13	3.22
Singles	192,469	15.3	1,502	600	2,390,028	6.28	2.51
Widows	143,336	11.4	2,893	1,369	1,391,015	20.80	9.84

Table 1 Individual characteristics of the study cohort at the baseline and incidence of deep vein thrombosis (DVT) and pulmonary embolism (PE)

 Table 2
 Environmental data description

Pollutant	U	Mean	SD	Min	Percentile	S	Max	IQR	
					25th	50th	75th		
PM ₁₀	µg/m³	36.6	5.1	29.6	33.3	35.2	38	58.2	4.7
PM _{2.5}	µg/m³	19.6	1.9	17	18.4	19.1	20.1	27.4	1.7
NO ₂	µg/m³	42.8	10.2	13.2	35.7	42.2	48.6	84.9	12.9
Lden	dB	59.8	8.6	17	53.9	60.1	66	89.7	12.1
NDVI	-	0.369	0.126	-0.3	0.308	0.374	0.448	0.772	0.14

In Table 4, we reported the results for the effect modification by age, sex, and previous comorbidities only for $PM_{2.5}$ exposure. No large differences emerged from the analysis for DVT, PE and $PM_{2.5}$ exposure. However, slightly higher effects were observed for younger subjects (<70 years), and subjects with cancer and no COPD. When we consider different age classes, the results were very similar to those obtained by using the 70 years as cutoff. Figure 1 reported the exposure–response curves for $PM_{2.5}$ and DVT and PE. The pattern displayed by the curves was approximately linear for each pollutant.

In the Supplementary Table S3, we have reported the results of the sensitivity analysis considering the cluster term of spatial zones in Rome city. The estimates did not differ from those obtained in the main analyses.

Table 3	Associations	between	long-term	exposure	to air	pollutant	s (PM ₁₀ ,	PM _{2.5}	and I	NO ₂) and	l deep	vein	thrombo	sis (DVT) and
pulmona	ary embolism	(PE) in Ro	oLS. Results	are expre	ssed as	s hazard i	ratios (H	R) with	n relat	ive 95%	confide	ence	intervals	per	10 µg/m ³
increases	s of pollutant														

	Model 1ª			Model 2	Model 2 ^b			c		Model 4 ^d			
	HR	95%CI		HR	95%CI		HR	95%CI		HR	95%CI		
DVT													
PM ₁₀	1.000	0.969	1.032	1.029	0.996	1.062	1.034	0.997	1.072	1.035	0.998	1.073	
PM _{2.5}	0.992	0.912	1.080	1.082	0.992	1.181	1.099	0.995	1.214	1.115	1.009	1.233	
NO_2	0.997	0.982	1.013	0.996	0.979	1.013	0.992	0.973	1.012	1.000	0.980	1.021	
PE													
PM 10	1.038	0.990	1.089	1.044	0.994	1.096	1.038	0.981	1.098	1.037	0.980	1.097	
PM _{2.5}	1.110	0.976	1.264	1.136	0.994	1.298	1.123	0.962	1.310	1.109	0.950	1.296	
NO_2	1.016	0.992	1.042	1.019	0.992	1.047	1.014	0.983	1.047	1.007	0.974	1.040	
VTE													
PM ₁₀	1.002	0.975	1.030	1.025	0.997	1.054	1.029	0.997	1.061	1.029	0.997	1.062	
PM _{2.5}	0.999	0.929	1.075	1.074	0.996	1.158	1.086	0.996	1.184	1.095	1.003	1.194	
NO_2	1.000	0.986	1.014	0.999	0.984	1.014	0.996	0.979	1.014	1.001	0.983	1.019	

^a Model 1 is adjusted for age and sex

^b Model 2 is adjusted as model 1 + marital status, place of birth, income, socio-economic position (area index), educational level, occupation, and unemployment rate (area level)

^c Model 3 is adjusted as model 2 + noise

^d Model 4 is adjusted as model 3 + greenness

Discussion

We hypothesized that long-term exposure to air pollutants could increase the risk of hospitalization for DVT and PE. In this cohort study, we found an incidence of DVT of 9.8 per 10,000 person-years and an incidence of PE of 4.0 per 10,000 person-years which are lower compared to previously published estimates for US [9] and the EU [6]. In our main analysis as well as those adjusted for noise and greenness we found a 3–4% effect of PM₁₀ and PM_{2.5} respectively on DVT, effects that are similar to those obtained in other CVD outcomes in studies with similar design to our [35]. The effect of pollutants on PE and VTE was higher although marginally non-significant, and should be interpreted as suggestive of a health effect that deserves attention in future studies.

Despite plausible biological mechanisms through the inflammatory and/or the autonomic imbalance pathways [36], epidemiological studies have reported contrasting results on the association between air-pollution exposure on VTE. A recent meta-analysis [20] found only three studies dealing with the long-term effect of air pollution (two cohort: [17, 18]; one case–control [37]:) and few other assessing the short-term effect [38–42]. All meta-analytic coefficients showed no differences from the null, although some of them were suggestive a detrimental effect of exposure [20]. Contrary to the abovementioned studies, our analysis showed an increased risk of PE and DVT with all pollutants examined, possibly

because of methodological differences between our and the other cohort studies. In fact, the study of Shih was restricted to 26,450 postmenopausal women 50-79 years of age while the study of Kan included 13,143 middleaged men and women from the general population, using traffic density and distance to major roads as measures of traffic exposures and the Baccarelli study was case-control design. In our study, we had more statistical power to assess the effect as we followed through registries > 1million of people while exposure was determined using standard LUR methodologies validated at the European level with a large collaborative project [26, 43]. Regarding the assessment of short-term effect of air pollution, it should be noticed that PE and DVT hospitalizations exhibit a marked seasonality that should be explicitly addressed in the study design as we found and effect of short-term exposure to PM25 on PE hospitalization in warmer months only [44].

In epidemiological literature there are not studies focused on the role of greenness and noise exposures on risk of VTE episodes. However, the protective effect of greenness on cardiovascular morbidity and mortality is well documented [45]. Analogously, noise exposure has been identified as an important risk factor for cardiovascular outcomes [46]. In our study, noise plays a clear negative confounding effect on the relationship between PM and outcomes, while no confounding was observed for NO₂, probably due to the common source shared by

	DVT	DVT			PE	PE					
	HR	95%ªCl		P-value**	HR	95%CI		<i>P</i> -value			
Overall ^a	1.082	0.992	1.181		1.136	0.994	1.298				
Age class											
< 70 y	1.109	0.994	1.237	-	1.192	0.998	1.424	-			
> 70 y	1.032	0.899	1.185	0.043	1.081	0.890	1.315	0.837			
Sex											
Females	1.130	0.995	1.285	-	1.168	0.958	1.425	-			
Males	1.046	0.933	1.173	0.799	1.112	0.933	1.325	0.772			
Cardiovascular											
No	1.092	0.994	1.199	-	1.180	1.023	1.361	-			
Yes	1.028	0.824	1.284	0.792	0.896	0.629	1.275	0.185			
AMI											
No	1.079	0.986	1.181	-	1.117	0.972	1.283	-			
Yes	1.130	0.810	1.577	0.459	1.407	0.882	2.245	0.901			
Hypertension											
No	1.079	0.986	1.182	-	1.175	1.023	1.349	-			
Yes	1.112	0.834	1.481	0.777	0.777	0.487	1.240	0.071			
Diabetes											
No	1.084	0.984	1.193	-	1.156	0.999	1.338	-			
Yes	1.078	0.887	1.310	0.554	1.044	0.766	1.423	0.539			
Hyperlipidaemi	а										
No	1.075	0.984	1.173	-	1.144	1.000	1.308	-			
Yes	1.596	0.861	2.960	0.127	0.700	0.229	2.136	0.505			
COPD											
No	1.122	1.017	1.238	-	1.131	0.968	1.322	-			
Yes	0.963	0.807	1.149	0.005	1.154	0.900	1.478	0.273			
Cancer											
No	1.075	0.981	1.178	-	1.119	0.968	1.294	-			
Yes	1.123	0.861	1.465	0.080	1.230	0.892	1.696	0.799			

Table 4 Effect modification of the associations between long-term exposure to $PM_{2.5}$ and deep vein thrombosis and pulmonary embolism in RoLS. Results are expressed as hazard ratios (HR) with relative 95% confidence intervals per 10 μ g/m³ increases of pollutant

^a Adjusted as Model 2

** p-values from Wald test

them (vehicular traffic). On the other hand, greenness seems not to display an active role on these associations.

In effect modification analyses, we found slightly higher effects of PM on hospitalizations for DVT of younger subjects (<70 years), and who had a cancer diagnosis and who had not COPD especially for DVT. This result is probably explained by the additional risk due to air pollution in individuals affected i.e. by COPD, while the result about cancer might by due by a more likely longer hospitalizations and relative bed immobilizations which occurred in those subjects.

Exposure–response relationship showed linear associations both for DVT and PE, as similar results were reported in previous studies on other cardiovascular outcomes (CIT). Moreover, risks were not positive at low concentrations. The absence of threshold and a linear relationship between exposure and DVT and PE hospitalization risk underlies the harmful effect of air pollutants also a low concentration, and below the European law limits [47]. From a public health perspective, general practitioners dealing with reduced mobility patients in the elderly and residing in areas of Rome with large pollution load should be conscious of the higher VTE risk for their patients and adjust their practice/preventive advice accordingly.

Some limitations of this study need to be mentioned. The studied cohort is based on administrative data (the Rome Longitudinal Study [21],) and not all individual risk



factors for VTE were available such as smoking, BMI, physical activity, diet, hormone replacement therapies for women. However, we adjusted for socio-economic status that is known to be a predictor of unhealthy lifestyle [48] and for many CVD comorbidities that are also related to bad individual habits, therefore possibly accounting for part of the unmeasured risk factor effects. We are not able to detect all subjects treated at home for not critical DVT/PE episodes leading to a possible underestimation of the effect estimates. We assume that the spatial distribution of exposure did not change during the follow-up [49] from the time of LUR modelling setup. Also, as in many other similar studies, the individual exposure is attributed at the residential address and is therefore more precise for less mobile individuals such as the elderly. Furthermore, we excluded from the analysis subjects who moved from Rome during the study period or that did not have a known residential address. However, the sociodemographic characteristics of individual excluded were like those included and bias is unlikely [30, 50].

Among the strengths of this study, results are based on a large cohort of over 1 million of individuals followed for > 14y and overcome the possible low statistical power of previous longitudinal studies [17, 18], as confidence intervals of effect measures were larger than those measured in our study. Exposure was estimated at the residential addresses of the entire cohort, with some socio-demographic information individually available. Additionally, the available data on noise and greenness allowed for adjustment for those two important additional exposures that often confound the effect estimate of air pollutants on CVDs. Notably, effect estimates of air pollution adjusted for noise (lden) were higher, possibly because the measured air pollutants have only a partial share with road traffic emissions that, on the other hand, is the main source of noise in Rome [30].

In conclusion, we observed a positive association between long-term exposure to PM and risk of deep vein thrombosis and pulmonary embolism in a large administrative cohort in Rome. However, more statistical power is requested to infer properly on this study question. Future studies focused on the relationship between air pollution and pulmonary embolism or deep vein thrombosis are needed to explore deeply this investigation and give more precise indication to policy makers.

Supplementary Information

The online version contains supplementary material available at https://doi. org/10.1186/s12940-022-00834-2.

Additional file 1: Table S1. Beta coefficients for Noise, Greenness and noise adjusted for greenness in Model 3 and 4 respectively. Table S2. Effect modification of the associations between long-term exposure to $PM_{2.5}$ and deep vein.thrombosis and pulmonary embolism in RoLS for age category. Results are expressed as hazard ratios (HR) with relative 95% confidence intervals per 10 µg/m³ increases of pollutant. Table S3. Associations between long-term exposure to air pollutants (PM10, PM2.5 and NO2) and deep vein thrombosis (DVT) and pulmonary embolism (PE) in RoLS adjusted with a cluster term for the urban zone. Results are expressed as hazard ratios (HR) with relative 95% confidence intervals per 10 mg/m3 increases of pollutant.

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Authors' contributions

Matteo Renzi contributed to the study design, conducted the statistical analyses, and wrote the paper. Massimo Stafoggia contributed to the study design and reviewed the paper. Paola Michelozzi contributed to the study design and to the discussion. Marina Davoli contributed to the study design and the discussion. Francesco Forastiere contributed to the study design, to the discussion and the revision of the paper. Angelo Solimini contributed to the study design read and approved the final manuscript.

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Availability of data and materials

The data that support the findings of this study are available on request from the corresponding author [MR]. The data are not publicly available due to [privacy restriction: them containing information that could compromise research participant privacy/consent].

Declarations

Ethics approval and consent to participate Not applicable.

Consent for publication

Not applicable.

Conflict of interests

All authors have no financial or other conflicting interests to declare.

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