

Article

Biomedical NiTi and β -Ti Alloys: From Composition, Microstructure and Thermo-Mechanics to Application

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Abstract: A comprehensive, bottoms-up characterization of two of the most widely used biomedical Ti-containing alloys, NiTi and β -Ti, was carried out applying a novel combination of neutron diffraction, neutron prompt-gamma activation, surface morphology, thermal analysis and mechanical tests, to relate composition, microstructure and physical-chemical-mechanical properties to unknown processing history. The commercial specimens studied are rectangular ($0.43 \times 0.64 \text{ mm} \sim 0.017 \times 0.025$ inch) wires, in both pre-formed U-shape and straight extended form. Practical performance was quantitatively linked to the influence of alloying elements, microstructure and thermo-mechanical processing. Results demonstrated that the microstructure and phase composition of β -Ti strongly depended on the composition, phase-stabilizing elements in particular, in that the 10.2 wt.% Mo content in Azdent resulted in 41.2% α phase, while Ormco with 11.6 wt.% Mo contained only β phase. Although the existence of α phase is probable in the meta-stable alloy, the α phase has never been quantified before. Further, the phase transformation behavior of NiTi directly arose from the microstructure, whilst being highly influenced by thermo-mechanical history. A strong correlation ($r = 0.878$) was established between phase transformation temperature and the force levels observed in bending test at body temperature, reconfirming that structure determines performance, while also being highly influenced by thermo-mechanical history. The novel methodology described is evidenced as generating a predictive profile of the eventual biomechanical properties and practical performance of the commercial materials. Overall, the work encompasses a reproducible and comprehensive approach expected to aid in future optimization and rational design of devices of metallic origin.

Keywords: titanium alloy; shape-memory alloy; microstructure; structure-property relationship; phase transformation; composition; phase-stabilizing element

1. Introduction

Ti and Ti-containing alloys have an extreme strength-to-weight ratio, high corrosion resistance, biocompatibility and inherent ability to osseointegrate, making them ideal for biomedical applications. Yet it was not until the discovery of NiTi shape-memory alloy (trade name Nitinol) in 1961 and subsequent establishment of practical efforts to

commercialize the alloy, did Ti alloys become widely used in industrial, commercial and medical products. The first medical application of NiTi alloy was orthodontic archwires in the early 1970s [1]. NiTi is composed of near equiatomic ratios of Ni and Ti, where the high temperature stable parent phase B2-Austenite may transform to the low temperature stable phase B19-Martensite through a thermoelastic martensitic transition (TMT) [2–6]. For medical applications NiTi is often used as in the austenite state, taking advantage of its pseudoelasticity, a reversible elastic response to applied stress through twinning (Figure 1a), with elastic strain of up to 10% [7,8]. The temperature at which the TMT occurs depends primarily on the chemical composition. It is reported that a 0.1% increase in nickel can lower the phase transformation temperature by more than 10 °C in Ni rich NiTi alloy [9,10]. The ability to adjust the transformation temperature through composition control is very useful, especially for biomedical device design. However, the composition tolerance for obtaining specific transformation temperature is very strict, on the order of 0.1 to 0.01%; which is very difficult to achieve with metallurgy alone [11]. Fortunately, the precipitation process can also help adjust composition for tailoring transformation temperature [11,12]. Through controlled heat treatments, the precipitation of non-equiatomic phases can be utilized to gradually change the matrix composition in order to achieve desired transformation temperatures for specific applications, even from identical starting compositions. Annealing treatment is also routinely used during production to adjust the phase transformation temperatures and mechanical responses at differing temperatures. As an example, Figure 1b,c respectively report the effect of the annealing temperature on the phase transformation temperatures and on the mechanical response of a body temperature NiTi wire [13].

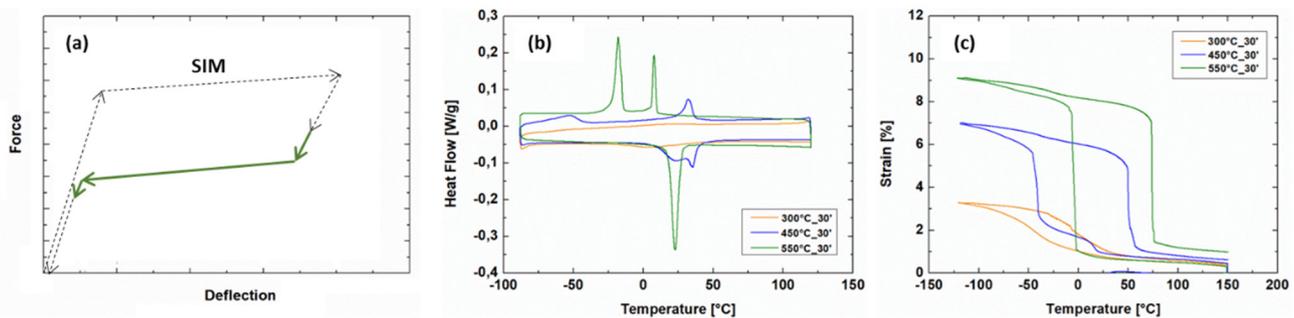


Figure 1. (a) Schematic representation of the flag-shaped pseudoelastic response, where the green line is the unloading path exploited in biomedical applications. (b) Effect of annealing temperature on the phase transformation temperatures of a body-temperature NiTi wire determined with differential scanning calorimetry (at 10 °C/min in the range −90–120 °C). (c) Effect of annealing temperature on the strain-recovery response of a body-temperature NiTi wire (under a constant load of 150 MPa, at 5 °C/min in the range −120–150 °C). Each heat treatment was done for 30 min and followed by a water quench [13].

With the high Ni content in NiTi alloys raising concerns about potential Ni allergies, in 1980 Burstone and Goldberg developed Ni-free β -Ti orthodontic wires by combining molybdenum (Mo) with pure Ti. With the original composition Ti-11.5Mo-6Zr-4.5Sn (Beta III) (commercial name TMA), or simply Ti-Mo alloy, they are near-beta Ti alloys. They delivered dampened biomechanical forces compared to the stainless-steel SS and cobalt-chromium-nickel Co-Cr-Ni wires [14,15] and had an excellent balance of properties including high spring back, low stiffness ($\sim\frac{1}{2}$ that of SS) and high formability and are amenable to direct welding. In the last 30 years, this alloy family expanded drastically with some well-known β -Ti alloys, for instance, Ti-15Mo, Ti-Nb-Ta, Ti-24Nb-4Zr-8Sn, Ti-35Nb-2Ta-3Zr, Ti-35Nb-5Ta-7Zr, Ti-30Nb-4Sn and so on. Thanks to the improved biocompatibility and decreased elastic moduli compared to other types of Ti alloys, there remain great potential and interest in design and processing of new β -Ti employing the newly developed powder metallurgy, additive manufacturing and FAST-forge [16].

Despite the extensive biomedical material literature emerging from standard testing, there is pronounced variation in the reported data [17–21]. Even under the assumption that composition is near identical for products of the same dimension, significant variation still exists in elementary properties, such as Young’s modulus of elasticity E , even when determined by tests with high accuracy and precision, suggesting that processing is the dominant influencing factor [22]. These comprise a diminutive fraction of fundamental property determinations. This is exasperated by the lack of information on the products both at the nanoscopic level as well as in processing, due to commercial secrecy, yet more so by the difficulty in linking incipient atomistic properties to material performance. The latter are themselves exasperated by technical difficulty of assessing the sub-nanometer level details. Particularly limiting is the destructive nature of many common techniques including X-ray and electron-based determinations as well as mechanical tests involving fracture or failure [23]. Further, such conventional methods are unable to resolve nano-scale details, resulting in alloying and processing histories not being fully accessible.

Neutron scattering provides a solution, as a non-destructive means of acquiring a significant range of structural information (~ 0.01 nm to 1 cm), with even greater temporal resolution ($\sim 10^{-18}$ to 1 s) [23]. In contrast to the superficial acquisition achieved with other light and particle probes, such measurements provide details of the microstructure and elemental composition of the bulk materials, non-destructively penetrating through 1–2 cm of material, which is crucial because for metals, surface characteristics differ from those of the bulk [24].

It is thus the objective of the current study to derive the structure-property-performance correlation of contemporary commercial biomedical wires through comprehensive characterization of the physical-chemical-mechanical properties across a wide size scaling (Figure 2), retrospectively, and also to relate to thermo-mechanical history. The work focuses on two of the most widely used biomedical Ti-containing alloys, NiTi and β -Ti, applying novel neutron scattering, complemented by conventional microscopy and thermal and mechanical analyses.

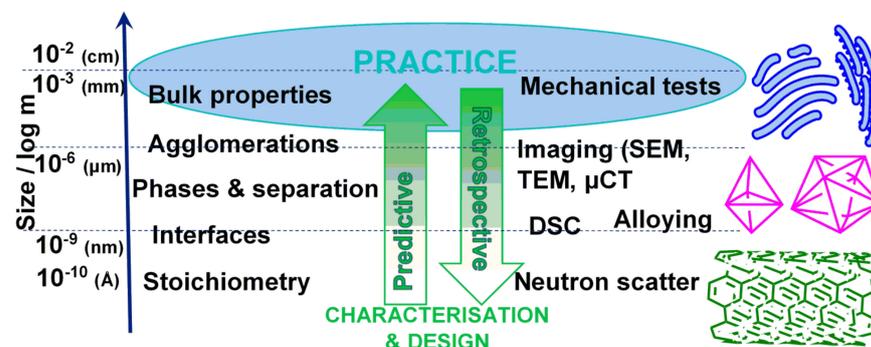


Figure 2. Schematic of structure-property-performance correlation, accessible through a combination of conventional and novel techniques encompassing nano- through meso- to macro-length scales of each parameter studied for general materials science and engineering.

2. Materials and Methods

2.1. Materials

Commercial rectangular wires with cross-sections of 0.43×0.64 mm² ($\sim 0.017 \times 0.025$ inch²) in preformed U-shape and straight form were used. The following products were chosen for each of the two alloy types: NiTi alloy, Vis Plus (Dentalline GmbH & Co. KG, Pforzheim, Germany) and Azdent (Baistra Industrial Corp., Zhengzhou, China); β -Ti alloy, Ormco Corp. (Glendora, CA, USA) and Azdent (Baistra Industrial Corp., Zhengzhou, China). Producers from three main continents, Asian, Europe and North America, were selected, representing the global market. Only the straight sections of the U-shaped wires were measured. Six specimens from each batch, individually numbered and marked, were tested for reproducibility and statistical certainty.

2.2. Physical Property Determination

2.2.1. Size Conformability

Wire cross-section dimensions, including width (the larger of the cross-sectional dimensions) and height, were determined with a digital micrometer gauge (Fowler 0–25 mm Digit Counter Outside Micrometer 52-224-009-0, Fowler High Precision Inc., Newton, MA, USA) reading to 1 μm , with measurements taken at three equally spaced points along the span length. The mean value and standard deviation of each specimen were determined and more importantly used in subsequent mechanical property determinations instead of the nominal dimensions.

2.2.2. Surface Morphology Determination with Scanning Electron Microscopy (SEM)

Simultaneous surface morphology and elemental measurements were made using a LEO1430 SEM instrument (LEO Electron Microscopy Ltd., Cambridge, UK) with a tungsten filament, equipped with Energy Dispersive Microanalysis EDX (IncaCrystal 300, Oxford Instruments, Abingdon, UK), operated at 20 kV acceleration voltage and 15 mm working distance. An acquisition time of 120 s was applied, with a dead-time of 20%. The specimens were degreased with an acetone-bath ultrasonic cleaner before each measurement. Analyses were performed at three equally spaced points along the span length.

2.2.3. Surface Characteristics and Roughness Determination with Atomic Force Microscope

A ~ 5 mm-long segment was cut from each specimen and cleaned with ethyl alcohol and dried with nitrogen before observation with a commercial AFM (Veeco Multiprobe Nanoscope IIIa, Veeco, Santa Barbara, CA, USA) operating in contact mode at room temperature. The silicon nitride tip with ~ 7 – 10 nm tip curvature radius was used at a constant force of ~ 0.5 N $\cdot\text{m}^{-1}$. AFM images were analyzed using the Gwyddion 2.34 Data Processing Software [25]. The surface roughness was determined with AFM topographies, averaging three images of 20×20 μm acquired for each specimen. Surface roughness values Ra (arithmetic mean deviation of the assessed profile) and RMS (root mean squared) determined by the topographies are reported.

2.2.4. Microstructure Examination with Light Microscope

One specimen of each of the four products was randomly chosen for microstructure examination. Each specimen was embedded in epoxy resin before being mechanically polished with polishing papers up to 2500 grit, followed by surface refining with micrometric diamond powder size from 6 μm down to 1 μm . Micrographs were first taken with a Leitz-ARISTOMET light microscope at $1000\times$ magnification, before and after metallographic etching: NiTi was chemically etched with 3% HF + 12% HNO₃ + 85% H₂O and β -Ti was etched with Kroll, respectively, for 20 s (two separate steps of 10 s each).

2.2.5. Crystal Structure and Phase Composition Determination with Neutron Diffraction

The same batch of each product was measured with the INES diffractometer (SI) at the ISIS pulsed neutron and muon source at Rutherford Appleton Laboratory (ISIS, RAL, Harwell, UK) [26]. Each product batch, consisting of 10 specimens, was put into a polyethylene bag then inserted into an aluminum pocket attached to a metallic rod and loaded into the sample tank for measurement times of 73,435 s (~ 20.4 h); 51,722 s (~ 14.4 h); 23,855 s (~ 6.6 h); and 22,350 s (~ 6.2 h), respectively.

2.2.6. NiTi Phase Transition Temperature Determination

A Differential Scanning Calorimeter DSC (Q100 TA Instruments, New Castle, DE, USA) equipped with nitrogen cooling system was used in the range from -80 $^{\circ}\text{C}$ to 80 $^{\circ}\text{C}$ with a heating/cooling rate of 10 $^{\circ}\text{C}\cdot\text{min}^{-1}$. Segments of total mass ~ 0.0100 g were cut and cleaned with acetone and paper dried prior to each measurement. The procedure was repeated for all six specimens of each product. From the DSC curves obtained, the

austenite-finish temperature A_f was determined in correspondence of the endothermic peak as the point of intersection between the tangent drawn at the inflection point from the high temperature side of the valley and the asymptotic line to the adjacent baseline curve.

Two specimens of each product were also chosen to go through solubilization treatment: they were placed inside sealed quartz tubes and heat-treated at 750 °C for 10 min before water cooling at room temperature. Same DSC measurement was done except that the applied temperature range was from −100 °C to 100 °C.

2.3. Chemical Composition Determination

The chemical composition of each product was determined with EDX (see details in Section 2.2.2) as well as by Prompt Gamma Activation Analysis (PGAA) at the Budapest Neutron Centre (BNC), Budapest, Hungary (SI). Ten 5–10 mm-long sections were cut from each batch of products before being heat sealed in Teflon bags and loaded into one slot of the automatic sample changer at the NIPS-NORMA station at BNC, HU [27,28]. The irradiation and the data collection were carried out using the Budapest NIPS Data Acquisition software. The acquisition time was equally set to 40,000 s live time (~11.1 h). The spectrum evaluation was performed using the 'HYPERMET PC' software [29], while the concentrations were calculated with Excel-utility ProSpeRo [30].

2.4. Mechanical Property Determination

2.4.1. Hardness Determination with Vickers Test

The following procedure was applied: a ~20 mm-long section was cut and fixed with wax onto a SS block with the width-side up. The surface was then polished with P2500 Grit sandpaper under running water and dried with paper towels before centrally aligned placement onto the sample stage of a hardness tester (Leitz, Leitz GmbH & Co. KG, Oberkochen, Germany). A 200 p (0.2 kg-force = 1.96 N) diamond indenter was then released for ~15 s. This was repeated to make ten sufficiently spaced-out indentations in total. The mean HV value and standard deviation were calculated and reported.

2.4.2. Three-Point Bending Test

A dynamic mechanical analyzer (Q800 TA Instruments, New Castle, DE, USA) equipped with a liquid nitrogen cooling system was used with a crosshead rate of 1.0 mm·min^{−1}. An in-house designed-and-made apparatus composed of two 0.1 mm-radius SS fulcrums placed 10 mm apart with a centrally placed 0.1 mm-radius SS indenter above them was firmly installed onto the testing stage of the DMA through a film tension clamp (Figure S1). The instrument was then calibrated for clamp plus apparatus weight, displacement, compliance and force, respectively. The test procedure followed that outlined in ISO 15841:2014 [31], specifically, ~30 mm-long specimen was on the apparatus with no preloading. β -Ti were deflected at room temperature of ~25 °C with open chamber for the determination of offset bending force $F_{S(0,1)}$, and force-deflection rate F_{Δ} from the force-deflection diagram by calculating the slope of the line parallel to the linear portion of the curve at 0.1 mm deflection (Figure S2a).

NiTi were deflected at ~37 °C (T_{test} = body temperature) at the first mechanical cycle with a closed chamber to 2 mm for the determination of the bending forces during unloading. Although 2 mm is lower than the 3.1 mm recommended by the standards [31], this is the largest deflection achievable without the specimen 'jumping' off the test apparatus and not returning to the original position. Following ISO, the following were taken to represent four peculiar steps of the reverse transformation: the elastic recovery of martensite (F_1 at 2 mm), the start of martensite-to-austenite transition (F_2 at 1.5 mm), the end of the martensite-to-austenite transition (F_3 at 0.5 mm) and the start of the elastic recovery (F_4 at 0.3 mm) (Figure S2b).

Furthermore, flexural modulus of elasticity E was calculated using the following Equation (1):

$$E = \left(\frac{\Delta P}{\Delta y} \right) \times \frac{L^3}{4bd^3} \left[1 + 2.85 \left(\frac{d}{L} \right)^2 - 0.84 \left(\frac{d}{L} \right)^3 \right] \quad (1)$$

where L is the support span, b and d the specimen width and height, $\Delta P/\Delta y$ the gradient of the (steepest) linear portion of the load-deflection curve and $1 + 2.85 \left(\frac{d}{L} \right)^2 - 0.84 \left(\frac{d}{L} \right)^3$ the shear correction [32].

2.5. Statistical Analyses

Unpaired two-tailed Student's t -test [33] was applied to analyze the statistical difference between the means of the two products of each alloy type with a 95% confidence interval, $\alpha = 0.05$. One-way ANOVA was carried out to analyze statistical variation amongst the two alloy types, and statistically significant findings ($p < 0.05$) were highlighted by the Tukey (honestly significant difference, HSD) post-hoc test. A Pearson correlation test was carried out where requisite using the relevant functions in Microsoft Excel, at $\alpha = 0.10$.

3. Results

3.1. Physical Properties

3.1.1. Size Conformability

The majority of the wires have on average larger dimensions in height and smaller dimensions in width with respect to standard sizes (Table 1), with NiTi wires showing an average deviation of 1.95% in height ($\sim 8.4 \mu\text{m}$). Although the width mean is the same as 0.64, the value spread is high as evidenced by the higher standard deviation (SD). β -Ti wires showed the least accuracy in both height (2.1% Dev $\sim 9.0 \mu\text{m}$) and width (2.9% Dev $\sim 18.6 \mu\text{m}$) (Table 1). Following the specification [34] that the equivalent diameter D_{eq} for non-round wire should be taken as $1.13\sqrt{A}$ (A is cross-sectional area), D_{eq} of all the samples are within the tolerance of $\pm 0.025 \text{ mm}$ (D^*).

Table 1. Determined mean width and height values (standard deviation) of each product, statistical analysis (p), deviation (%) from the manufacturer stated value Dev_{043} and Dev_{064} , equivalent diameter D_{eq} calculated as $1.13\sqrt{A}$ (A is cross-sectional area of non-round wire) specified by ANSI/ADA No. 32 and difference from manufacturers' stated value D^* , grouped by alloy type.

Alloy	Brand	Height (mm)	p	Dev_{043} (%)	Width (mm)	p	Dev_{064} (%)	D_{eq} (mm)	D^* (mm)
NiTi	Vis Plus	0.440 (0.005)	0.271	2.3	0.640 (0.015)	0.938	0	0.5996	0.0069
	Azdent	0.437 (0.003)		1.6	0.640 (0.003)		0	0.5976	0.0048
β -Ti	Ormco	0.441 (0.003)	0.000	2.6	0.628 (0.002)	0.786	-2.8	0.5957	0.0019
	Azdent	0.423 (0.008)		-1.6	0.627 (0.007)		-3.0	0.5819	-0.0109

ANOVA analysis showed a significant variation within groups and Tukey analysis (Table S1). The measured actual dimension of each product, height and width, as well as measurement errors, instead of the nominal dimension, was used in the calculation of Equation (1) in order to achieve high precision, as to permit distinctions between the values for the wires of different thermo-mechanical history [22].

3.1.2. Surface Morphology Determined with SEM

Both NiTi surfaces are rough with many defects, while Vis Plus showed deep horizontal striations filled with large pitting (Figure 3a). Sporadic pitting is also evident on

Azdent (Figure 3b). β -Ti_Ormco surface showed horizontal striations with deep grooves (Figure 3c) and although Azdent surface did not show striations it had many wide and deep pitting and dimples (Figure 3d).

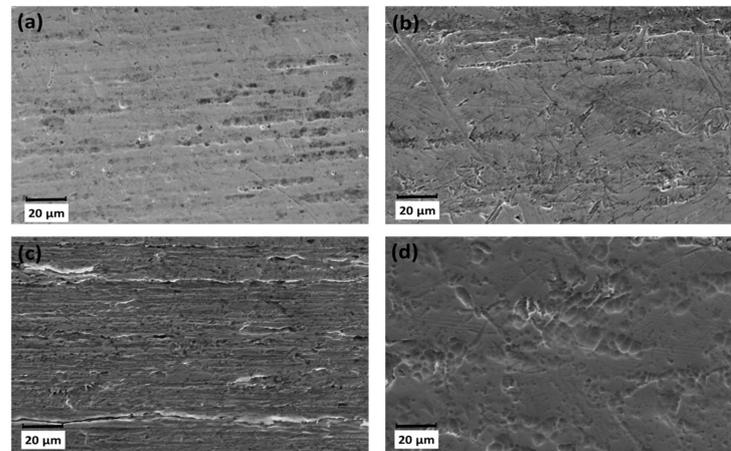


Figure 3. Representative SEM micrographs at 2000 \times magnification of (a) NiTi_Vis Plus, (b) NiTi_Azdent, (c) β -Ti_Ormco and (d) β -Ti_Azdent. The scale bar is 20 μ m.

Although surface morphology provides visual evidence of superficial defects and irregularities, the 2D nature of SEM interferes with quantitative characterization of the extent of irregularity and unevenness. Quantitative determination of the roughness was, therefore, conducted with AFM.

3.1.3. Surface Characteristics and Roughness Determined with AFM

Representative 2D and 3D views of the surfaces are presented for visualization, with lateral resolution of \sim 7 nm and vertical resolution of \sim 0.1 nm (Figure 4). All surfaces contain parallel striations along the wire axis. The parallel striations appear deeper in NiTi_Vis Plus, as evidenced by its larger vertical range extent ($\Delta \sim$ 1.3 μ m vs. 0.69 μ m for Azdent) over an identical surface domain of 400 μ m² (Figure 4a,b).

Azdent surfaces also possess shallow scratch lines, in all directions, some crossing the striations (Figure 4c,d). Pronounced grooving and pitting are evident on the β -Ti_Ormco surfaces (Figure 4e,f), in line with the SEM determinations. Its vertical range extent \sim 1.1 μ m stands in between the two NiTi products. It was not possible to measure β -Ti_Azdent due to its peak-to-peak height exceeding the 5 μ m vertical scanning limit.

Amongst the two NiTi, although Vis Plus' determined surface roughness values are higher (Table 2), the difference between the means is not statistically significant at $\alpha = 0.05$.

Table 2. AFM determined surface roughness parameters (Standard Deviation), Vickers hardness values $HV_{0.2}$ (Standard Deviation), converted HV values in the SI unit of GPa and statistical results.

Alloy	Brands	RMS (nm)	<i>p</i>	Ra (nm)	<i>p</i>	$HV_{0.2}$	HV (GPa)	<i>p</i>
NiTi	Vis Plus	350 (25)	0.12	290 (20)	0.11	371.31 (12.98)	3.64 (0.13)	<0.001
	Azdent	270 (40)		225 (30)		397.62 (11.85)	3.90 (0.12)	
β -Ti	Ormco	320 (10)	—	270 (10)	—	353.91 (8.89)	3.47 (0.09)	0.905
	Azdent	—				354.52 (14.47)	3.48 (0.14)	

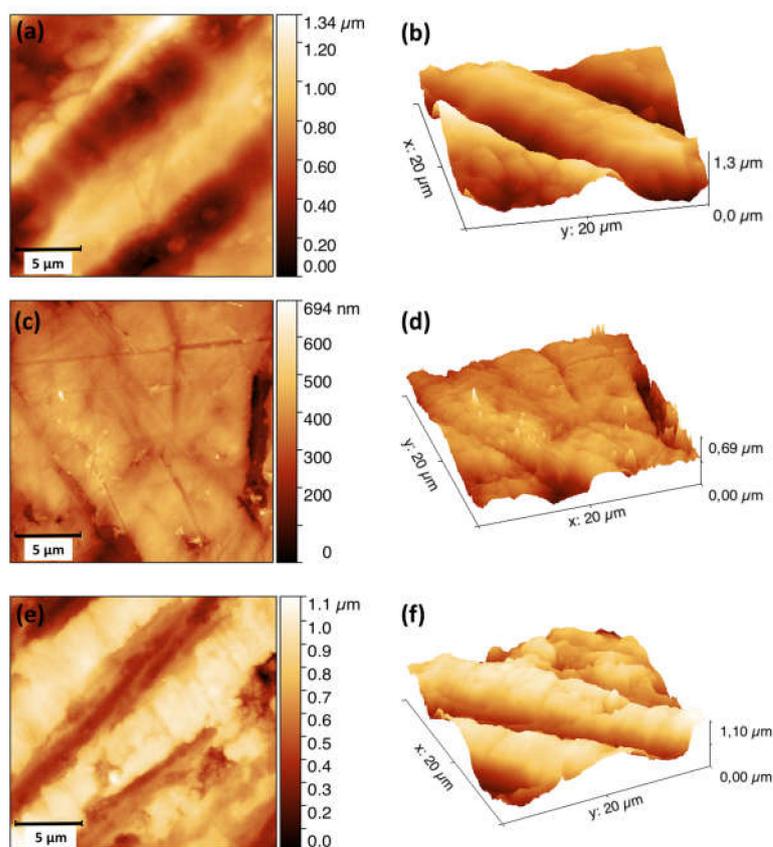


Figure 4. Representative 2D and 3D AFM topographies of (a,b) NiTi_Vis Plus, (c,d) NiTi_Azdent, (e,f) β -Ti_Ormco.

3.1.4. Light Microscopy Observations

Both of the two polished NiTi surfaces have coarse precipitates unevenly distributed (highlighted with blue dashed circles in Figure 5a), indicating that they have been through a thermal treatment, probably ~ 500 °C, in “shape setting” into the U shape. The polished β -Ti_Ormco surface presented reliefs (Figure 5b). After etching, both NiTi showed martensite lamellae with the needle-like morphology (black dashed circles), amongst the smooth austenite (Figure 5c); β -Ti_Azdent showed small grains near wire border, whose composition needs further analysis (Figure 5d).

3.1.5. Crystal Structure and Phase Composition Determined with Neutron Diffraction

Neutron diffraction data were analyzed with GSAS (General Structure Analysis System) software [35] for the refinement of structural models to powder diffraction data with the EXPGUI interface [36], using the Rietveld method to determine phase weight fraction (Figure 6). Both NiTi products are fully austenite at room temperature (Table 3). β -Ti_Ormco contains only body-centered cubic β phase, while β -Ti_Azdent unexpectedly contains ~ 41.2 wt.% of close-packed hexagonal α phase besides the expected β phase. This is a direct result of the significantly lower fraction of the β -stabilizing element Mo. The peak broadening present at nearly all peaks in the diffraction spectra is a manifestation of preferred orientation, which is almost always present in metal wires due to the manufacturing process [17].

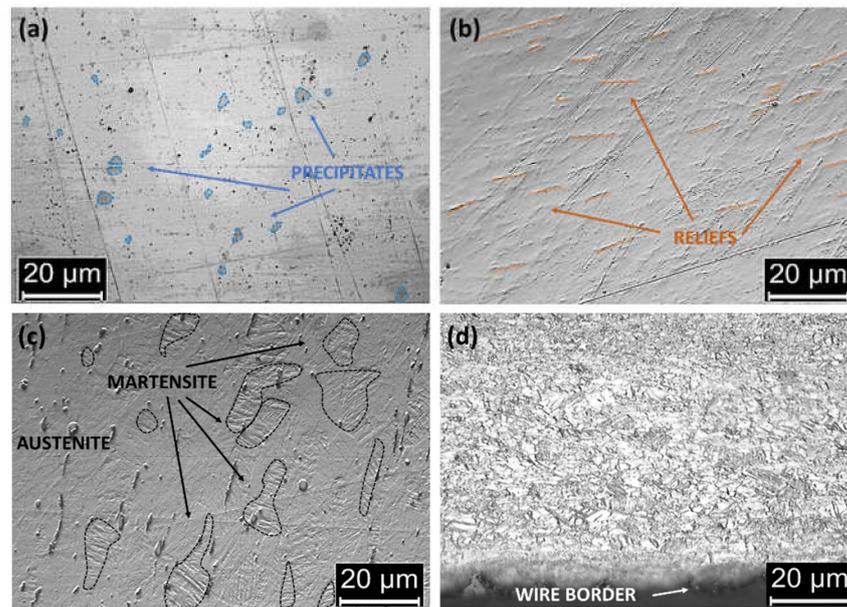


Figure 5. Representative light micrographs at 1000× magnification of (a) polished NiTi_Azdent with precipitates (blue dashed circles) and (b) polished β -Ti_Ormco with parallel reliefs (orange dashed line), (c) etched NiTi_Vis Plus with martensite lamellae regions (dashed black circles) and (d) small grains are visible near etched β -Ti_Azdent wire border, whose composition needs further analysis. The scale bar is 20 μm .

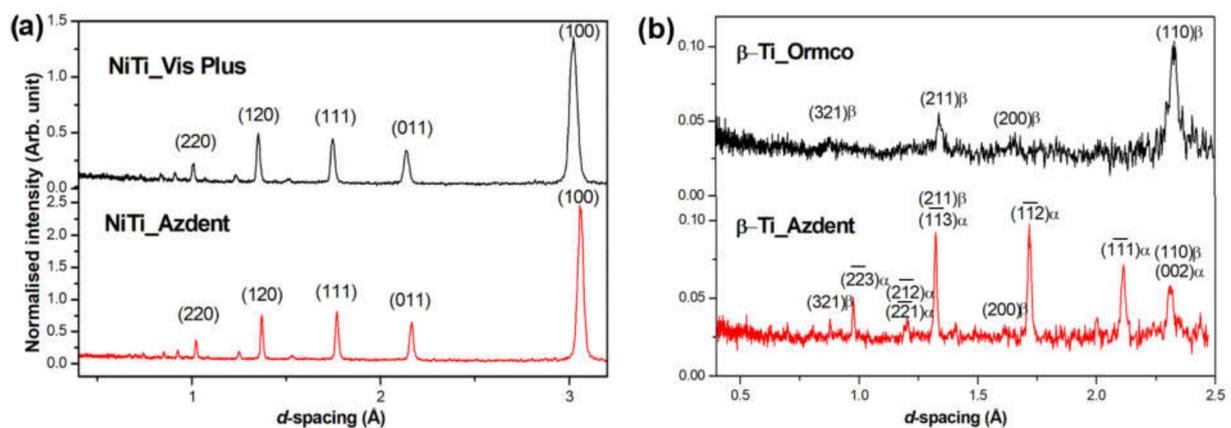


Figure 6. Neutron diffraction patterns of (a) NiTi and (b) β -Ti products.

3.1.6. NiTi Phase Transition Temperatures

The heat flow plots of the as-received (Figure S3) and solubilized specimens (Figure S4) of both NiTi products are similar, indicating that they have been thermal treated at low temperature and for a short time. Therefore, its microstructural state is close to the cold-worked state, in resemblance with Dentaurem wires that we have studied previously [7]. The transition temperatures determined from these plots are tabulated in Table 4.

Table 3. Structure refinement results from neutron diffraction data for the samples: weight fractions of the phases and their unit cell parameters.

Alloy	Structure	Brand	
		Vis Plus	Azdent
NiTi	B2 austenite	100%	100%
	$a = b = c$	3.019887 Å	3.019887 Å
	$\alpha = \beta = \gamma$	90°	90°
β -Ti	β phase	Ormco 100%	Azdent 58.8%
	$a = b = c$	3.282744 Å	3.282927 Å
	$\alpha = \beta = \gamma$	90°	90°
	α phase	0%	41.2%
	$a = b \neq c$	—	2.97000 Å
	c	—	4.72000 Å
	$\alpha = \beta$	—	90°
γ	—	120°	

Table 4. Phase transition temperatures and transition enthalpy of as-received and solubilized NiTi products during cooling and heating ramp; s , p and f stand for the start, peak and finish points of rhombohedral (R), martensite (M) and austenite (A).

Brand	Specimen	As-received									Solubilized		
		Heating					Cooling				Heating	Cooling	
		R_s (°C)	R_p (°C)	A_p (°C)	A_f (°C)	ΔH_{M-A} (J/g)	R_s (°C)	R_p (°C)	R_f (°C)	M_s (°C)	ΔH_{A-R} (J/g)	A_f (°C)	M_s (°C)
Vis Plus	S1	−1.7	11.5	21.3	26.5	9.14	25.5	18.7	10	−45.6	7.16	4	−17.7
	S2	−8.4	3.6	13.4	17.7	10.33	17.3	11	3.1	−52.4	5.77	1.3	−20.5
	S3	−5.6	9.2	16.7	21.2	14.41	21.2	14.5	7.1	−43.8	6.39	—	—
	S4	−4.8	7.8	16.8	22.6	12.06	23.1	13.7	6.5	−47.9	6.61	—	—
	S5	−9.9	1.6	10.8	15	12.20	14.2	8.5	2.1	−52.1	6.20	—	—
	S6	−8.6	2.7	12.5	16.9	10.43	16	9.8	2.5	−53	6.47	—	—
Azdent	S1	−11	4.9	16.9	24.1	9.29	20.5	12.6	3.6	−63.3	−5.24	—	—
	S2	−7.1	7	19.7	27.2	9.19	24.7	15.1	5.1	−49.3	−3.46	—	—
	S3	−3.3	7.7	21.4	29	—	25.6	17.8	8.3	−54.3	—	—	—
	S4	−4.5	6.3	19.1	26.5	8.89	23	13.6	5.1	−55	−3.46	—	—
	S5	−5.1	6.6	18.4	26.2	10.05	22.5	14	5.3	−41	−2.72	5.1	−17.1
	S6	−4.9	5.4	18	25.5	8.62	22	13.4	4.5	−50.1	−3.33	3.7	−17.6

For the solubilized samples, A_f and M_s are of special interest (Table 4): Ti content was estimated through a graphical method where these two were compared with experimental trends observed previously [9,10], and it was determined that the two NiTi products both have Ti at 49.25–49.3 at.%.

3.2. Chemical Composition

The EDX determined carbon content is unrealistically high and should not be regarded on an absolute basis (Table S2).

According to PGAA analysis (Table 5), Ti content of NiTi_Vis Plus and NiTi_Azdent is in the 43.4–44.6 wt.% (48.4–49.7 at.%) and 43.3–44.7 wt.% (48.2–49.8 at.%) ranges, respectively, taking into account the determination resolution. This is equivalent to bulk composition Ni_{50.9}Ti_{49.1}, in line with the particular composition of binary NiTi commonly used in biomedical applications [9,24].

β -Ti products have composition similar to Burstone and Goldberg's original composition, although the beta-stabilizing element Mo is lower (Table 5).

Table 5. PGAA determined elemental compositions, wt.% (standard deviation).

Alloy	Brand	Ni	Ti	Mo	Zr	Sn
NiTi	Vis Plus	56.0 (1.0)	44.0 (0.6)	—	—	—
	Azdent	56.0 (0.7)	44.0 (0.7)	—	—	—
β -Ti	Ormco	—	77.0 (0.9)	11.6 (0.6)	6.0 (0.5)	5.0 (0.8)
	Azdent	—	81.0 *(0.7)	10.2 *(0.4)	5.0 *(0.5)	3.4 *(0.5)

* Significantly different at $p < 0.05$.

3.3. Mechanical Properties

3.3.1. Vickers Hardness

HV has the unit of $\text{kgf}\cdot\text{mm}^2$ but is reported without unit per convention. This was then converted to the SI unit of GPa (Table 2). The hardness of the two NiTi products is significantly different at $p < 0.05$, while that of the two β -Ti products is not significantly different.

3.3.2. Three-Point Bending Test Result

The results of three-point bending tests are reported on the same scale in Figure 7 and the determined parameters are tabulated in Tables 6 and 7. It was observed that the β -Ti products exhibited similar mechanical responses with no significant differences in either $F_{S(0,1)}$ or E ; however, F_{Δ} s were significantly different. E is within the determined range for unaged alloy. Thus, it could be inferred that both products are unaged. NiTi products exerted very similar unloading forces and E .

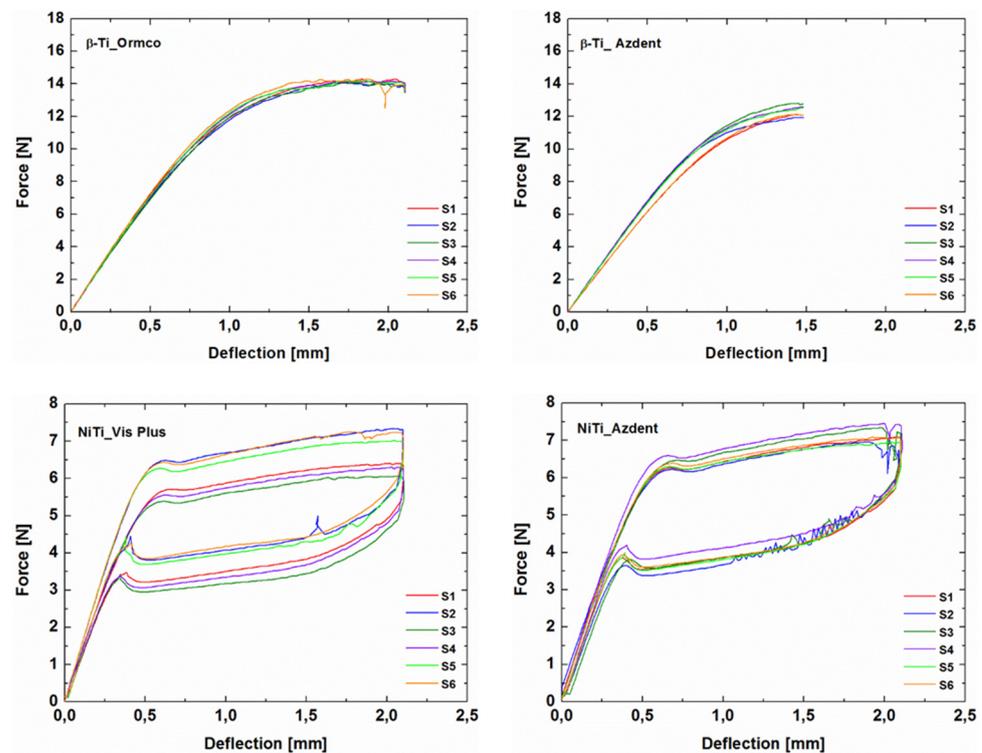


Figure 7. Three-point bending force-deflection plots of all specimens of each product. Specimen numbers are represented as S1–S6.

Table 6. Determined three-point bending test parameters of β -Ti products: offset bending force $F_{S(0,1)}$, force-deflection rate F_{Δ} , flexural modulus of elasticity E (standard deviation), and statistical analysis result p values.

	$F_{S(0,1)}$ (N)	p	F_{Δ} (N/mm)	p	E (GPa)	p
Ormco	10.22 (0.35)	0.170	15.12 (0.29)	0.0007	70.54 (2.35)	0.613
Azdent	9.94 (0.32)		13.64 (0.69)		72.35 (8.20)	

Table 7. Determined three-point bending test parameters of NiTi products: unloading forces (F_1, F_2, F_3, F_4), flexural modulus of elasticity E (standard deviation) and statistical analysis result p values.

	F_1	p	Bending Forces during Unloading (N)				F_4	p	E (GPa)	p
			F_2	p	F_3	p				
Vis Plus	5.17 (0.43)	0.075	4.04 (0.42)	0.208	3.45 (0.40)	0.418	3.46 (0.30)	0.861	62.23 (4.66)	0.99
Azdent	5.52 (0.08)		4.28 (0.13)		3.60 (0.14)		3.43 (0.21)		62.25 (2.73)	

3.4. Pearson Correlation

The correlation between NiTi mechanical parameters and phase transition temperature was noted and hence Pearson correlation test was carried out for quantification. Correlation test between $\Delta = T_{test} - A_f$ and the recorded mechanical parameters (Table 7) for each NiTi_Vis Plus specimen have found that Δ is positively correlated with the mechanical parameters at varying degrees from moderate to strong, especially that Δ is strongly correlated with F_4 (0.3 mm) with $r(4) = 0.88, p = 0.02$. However, for NiTi_Azdent Δ is only moderately positively correlated with F_4 , but this was not significant at $\alpha = 0.10$ (Table S3).

4. Discussion

With respect to the surface microstructure, the appearance of randomly distributed martensite lamellae at room temperature on the etched surfaces (Figure 5c) may suggest stress-induced martensite, possibly associated with the non-uniform mechanical polishing process [37,38], although the trace amount was not detected by neutron diffraction.

The existence of martensite at room temperature is also supported by DSC analysis which registered A_f as being close to room temperature. This discrepancy will be further investigated in the following work. Regardless, DSC and 3-point bending measurements elucidated that at service temperature (37 °C), both products maintained the full austenite structure, indicating that when under stress they will exhibit pseudoelastic behavior [39]. Furthermore, the forces exerted by the products are constant and moderate biomechanical forces that do not cause damage to the surrounding tissues.

The observed coarse precipitates unevenly distributed on NiTi surfaces (Figure 5a) indicated that they have been through a thermal treatment, probably ~500 °C, in “shape setting” into the U shape. This kind of defect in general increases the developed force and reduces the mechanical hysteresis [7], as was observed from our mechanical test results (Figure 7 and Table 7). Contemporarily, they changed the amount of the released and absorbed latent heat during phase transition. In fact, broad peaks (Figure S3) and low enthalpy (Table 5) are all evidence of the existence of defects.

EDX determined composition for the NiTi alloys was deemed unreliable, firstly due to the unrealistically high carbon content; secondly, EDX only determines superficial composition due to the short penetration depth of electrons as well as the marked discrepancy between EDX-determined surface and bulk compositions [18,40,41]. Thus PGAA, one of the most accurate and efficient non-destructive techniques [42], was applied taking advantage

of the deeper penetration depth. The determined precise bulk composition is in line with the particular composition of binary NiTi commonly used in biomedical applications [9,24].

However, this range is wider than the strict composition tolerance for controlling transition temperatures, at a precision up to a tenth of at. %, only achievable with precise alloying during fabrication. For our retrospective investigation, blindly due to commercial secrecy, solubilization treatment was carried out in order to analyze the microstructure before the thermal treatment used by the manufacturers to shape the wires, equivalent to eliminating the thermo-mechanical history. Under this condition, the only factor influencing NiTi alloy's thermal transition behavior is the chemical composition. Subsequent graphical comparison with published experimental trends [9,10] led to the conclusion that both are of the same composition with Ti 49.25–49.3 at. %, within the PGAA determined composition range, presenting an improved resolution; albeit the latter being non-destructive.

EDX and PGAA-determined compositions of the β -Ti products are close both are similar to Burstone and Goldberg's original composition. The isomorphous beta-stabilizing Mo content is in the metastable range, which indicates the probability of the existence of the α -phase in the quenched sample. Azdent's significantly lower Mo content by 1.3 wt.% ($p < 0.05$, Table 5) compared to Ormco may explain the existence of the α -phase in Azdent and its absence in Ormco (Table 3). Zr is normally added for increasing strength and hardness. Ormco's significantly higher Zr content ($p < 0.05$, Table 5) contributed to its higher strength.

An important outcome may be outlined from the mechanical responses of NiTi which are strictly correlated with the thermal analysis results. It was noted that A_f of NiTi_Azdent are more consistent than NiTi_Vis Plus (Table 4). NiTi_Vis Plus specimens can be divided into two groups: S1, S3, S4 (Group 1) with $\Delta = T_{\text{test}} - A_f$ between 10 and 15 °C; S2, S5, S6 (group 2) with Δ close to 20 °C. Accordingly, we could also distinguish these two groups in 3-point bending test results in that the three specimens within each group exhibited similar behaviors: group 2 continuously exerted higher forces both during loading/unloading and higher force-deflection rate compared to Group 1 (Figure 7). The fundamental aspect of this difference in the flag-shaped pseudoelastic curve is influenced by Δ : the higher the Δ value the higher the force-deflection rate and E value, and the higher stresses at which the plateau appears, and vice versa, in line with Clausius–Clapeyron law. Further Pearson correlation test found significant positive correlation between Δ and these mechanical parameters at $r = 0.88$. However, the correlation between thermal and mechanical responses, to the authors' knowledge, has never been quantified before.

The inconsistent thermal behavior found in NiTi_Vis Plus was also mirrored in the high variation in their mechanical responses, manifested in high standard deviations in the tabulated 3-point bending parameters (Table 7). This inconsistency may be attributed to the variation in the annealing routes used to shape the wires. On the contrary, NiTi_Azdent exhibited more consistent thermo-mechanical behavior.

Neutron diffraction and subsequent Rietveld refinement determined that β -Ti_Ormco contained only β -phase and Azdent contained ~41.2% stiffer hexagonal α -phase, in line with the latter's higher E , within the 1.67% to 4.27% spread determined for this alloy group [43], and in the unaged form. Hence, Ormco would fit better with the design idea of newer biomedical implants aimed at reducing stress shielding behavior [44,45]. Although the existence of α phase is possible in the meta-stable alloy, the α phase has never been quantified before.

The force-deflection rate is an important determinant of the biologic response [14]. There is a growing realization that light continuous forces are preferable. The higher force-deflection rate exhibited by β -Ti_Ormco indicates higher forces exerted at the same deflection as Azdent, and thus higher resistance to deformation. This is expected from the higher fraction of the high strength β phase in Ormco.

5. Conclusions

Through the comprehensive characterization of commercial NiTi and β -Ti alloy products, we demonstrated that the elemental composition intrinsically determines the ma-

material's thermal and mechanical properties and thus predictable practical performance. Especially, for β -Ti the content of phase-stabilizing element Mo determined the stability of the main β phase, and the lower Mo content in Azdent has led to 41.2 wt.% α phase. Although the existence of α phase is possible in the meta-stable alloy, the α phase has never been quantified before. A strong correlation ($r = 0.88$) was established and quantified between NiTi thermal transformation temperature and load-bearing capacities. The quantified crystal structure and phase composition helped reveal the material processing methods applied by the manufacturers, retrospectively.

The novel combination of neutron scattering technique (diffraction and PGAA), SEM-EDX, AFM, DSC and mechanical tests has been shown to be a reproducible technique. The methodology developed and insight acquired herein are expected to help equip practitioners with an understanding of structure-property relationships towards optimizing material selection and aid in future design and optimization of metallic medical devices.

Supplementary Materials: The following supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/met12030406/s1>. Figure S1: Photographs of the in-house-made, three-point bending test apparatus (front view) and (side view), which is composed of two 0.1 mm-radius stainless-steel fulcrums placed 10 mm apart with a centrally placed 0.1 mm-radius stainless steel indenter above them. The apparatus is mounted on a DSC (Q100 TA Instruments, New Castle, DE, USA). Figure S2: Representation of the force-deflection plots of the 3-point bending test on (a) β -Ti and (b) NiTi wires. The forces considered are marked. Figure S3: DSC heat flow plot of all six as-received specimens of (a) NiTi_Vis Plus and of (b) NiTi_Azdent. Specimen numbers are represented as S1-S6. Figure S4: Heat flow plots of solubilized (a,b) NiTi_Vis Plus and (c,d) NiTi_Azdent specimens. Table S1: Tukey post-hoc test: pairwise comparison of the dimension and surface hardness $HV_{0.2}$ amongst the samples. Table S2: Semi-quantitative elemental composition as Wt% determined by EDX. Table S3: Pearson correlation test results on pairs of $\Delta = T_{\text{test}} - A_f$ and bending forces F_1 - F_4 as well as force-deflection rate for NiTi products, with calculated coefficient r and p values.

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