

Article On the usage of tapered undulators in the measurement of interference in the intensity-dependent electron mass shift

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- Abstract: In nonlinear Thomson scattering the main emission line and its harmonics form the
- band-like structure due to the laser pulse shape induced ponderomotive broadening. We propose
- to use tapered undulators to mimic Thomson scattering and measure the intensity-dependent
- electron mass shift experimentally. We also numerically show, that the effect is observable for
- realistic electron beams like in DESY or SKIF.
- 6 Keywords: Thomson scattering, Compton scattering, synchrotron radiation, undulator radiation

7 1. Introduction

An electron passing through a laser field emits radiation, which frequency is redshifted depending on the laser field strength. This frequency shift is attributed by some to the intensity-dependent increase of the electron's effective mass [1,2]. This effect is best seen in high-intensity laser pulses with a temporal profile, which leads to significant non-linear broadening [3–8]. There are some techniques to alleviate ponderomotive broadening, for instance, using laser pulses with flat-top profiles [4] or laser chirping techniques, where the laser frequency changes non-linearly to repeat the change of temporal envelope [7,9–11]. Recently, it was theoretically shown that it is possible to use only linear chirp to avoid ponderomotive broadening for high laser field intensities [12,13]. Also, it was proposed to use laser pulses with temporally varying polarization to avoid ponderomotive broadening in the harmonics spectrum [14]. However, with ponderomotive broadening the main Thomson line as well as its harmonics form a characteristic interference pattern which, to the best of our knowledge, has not been measured experimentally so far.

Strong laser scattering systems typically have $\omega_0 \sim 1.55$ eV, which produces MeV photons when scattering off the ultrarelativistic electron beams ($\gamma \sim 500$). Current detector technology is unable to resolve such high-energy radiation spectrum with good quality, that is why we propose to mimic the Thomson scattering process with tapered undulators by constructing an appropriate electromagnetic field profile. Typical undulator frequency is several orders of magnitude smaller than that of a strong laser, namely, undulator wavelength $\lambda_u \sim 1$ cm corresponds to $\omega_u \sim 1.24 \times 10^{-4}$ eV and the radiation spectrum lies in the keV region. When $\gamma \gg 1$ the Thomson scattering the initial laser frequency is upscaled with $4\gamma^2$ while in undulator - by $2\gamma^2$). Taking this into account, it is appropriate to mimic one phenomenon through another.

In this paper, we show using numerical simulations of the nonlinear Thomson scattering process that it is possible to measure the band-like structure of the main emission line as well as its harmonics which is present due to the laser pulse shape

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Figure 1. Sketch of (Top) Thomson radiation and (Bottom) undulator radiation problem settings. Blue ellipses correspond to electron bunches propagating in -z direction. In Thomson scattering a laser pulse with a temporal envelope (red) is counter-propagating an electron bunch, while a tapered undulator (green) is at rest. Backscattered radiation is depicted by purple arrows along with expressions for emitted harmonic frequencies. K(z) = K g(z), for linear polarization $A_{\perp}(\eta) = \frac{1}{2} a_0 g(\eta), \eta = t - z$ is the light-front time, *n* is an odd integer standing for harmonic number. The emitted frequencies differ only by a scaling factor of 2.

induced ponderomotive broadening (called by others the intensity-dependent electron mass shift). We also propose a concept of an experiment for that purpose: by joining two
tapered undulators it is possible to form a field configuration, from which the radiation
spectrum would be the same as the Thomson spectrum from the laser pulse with a
"roof-like" temporal envelope.

Throughout the paper, we use $\hbar = c = 1$ units system, dimensionless spacetime 41 $(x\omega_F \to x)$, and energy $(\omega/\omega_F \to \omega)$ variables by rescaling with the frequency of the 42 field $\omega_F = 2\pi c / \lambda_F$, where λ_F is either the undulator (λ_u) - or the laser pulse wavelength 43 (λ_l) . The dimensionless undulator (laser) strength parameter is $K = eB_0\lambda_u/2\pi m$ (= $a_0 =$ eA/m, where B_0 is the amplitude of the magnetic field, A is the amplitude of the vector 45 potential, *e*, *m* is the absolute value of electron charge and electron mass respectively. 46 We will use K and a_0 interchangeably. We consider the case of ultrarelativistic electrons 47 $\gamma \gg 1$ when the undulator slippage is negligible. Also, we are interested in moderately 48 strong $K \sim 1$ and relatively short undulators when the nonlinear effects are essential 49 and the energy loss of an electron bunch is very small. 50

51 2. Methods

In our problem setting, electrons are moving in the -z direction, counter-propagating a laser pulse with a temporal envelope, which is analogous to the case when the electron bunch is moving through a tapered undulator at rest (see Figure 1). Throughout the paper, we will use laser pulses with an undulator temporal envelope

$$g(z) = 1 - \frac{2|z|}{\tau} \Delta, \ z \in [-\frac{\tau}{2}, \frac{\tau}{2}],$$
 (1)

where $\tau = 2\pi N$ is the laser pulse length, *N* stands for the number of cycles, $\Delta \in [0, 1]$ is the tapering rate. This temporal envelope corresponds to the tapered undulator field **B** = $(0, B_0 g(z) \cos z, 0)$, which may be achieved by "joining" positively (*K* is increasing)

and negatively tapered undulators together. $\Delta = 1$ (triangle envelope) is a limit case of

⁵⁶ examined function corresponding to an infinite initial transverse gap between magnets,

while $\Delta = 0$ (rectangular pulse) corresponds to a regular (untapered) undulator.

The spectrum is obtained by numerical calculation of the following integral [15]

$$\frac{d^2 I}{d\omega d\Omega} = \frac{\omega^2}{4\pi^2} \left| \int_{-\infty}^{\infty} d\eta \, \mathbf{n} \times [\mathbf{n} \times \mathbf{u}] \, e^{i\omega(\eta + z - \mathbf{n}\mathbf{r})} \right|^2,\tag{2}$$



Figure 2. Backscattered spectrum from one electron ($\gamma = 1000$) off a laser pulse with a triangular envelope and spectrum from one electron moving in a tapered undulator. Frequency is normalized by $4\gamma^2$ for laser pulse case and by $2\gamma^2$ for undulator case. Laser pulse parameters: K = 1.0, N = 40. The emitted spectra coincide up to the frequency scaling factor.

where Ω is the solid angle, **n** is the unit vector pointing from origin to the observation point, **u**, **r** is the vector part of electron 4-velocity and 3-coordinate respectively. Considering this equation as the Fourier transform in retarded time one may use Fast Fourier Transform to efficiently calculate it [16]. Theoretical classical estimates of backscattered spectrum for symmetric laser pulse shapes could be found in [16]. From Eq. 2 the Thomson and undulator emitted harmonic frequencies can be found and are given by

$$\omega_n^{Th}(\eta) = \frac{4\gamma^2 n}{1 + A_{\perp}^2(\eta)}, \ \omega_n^U(z) = \frac{2\gamma^2 n}{1 + \frac{1}{2}K^2(z)},\tag{3}$$

where $A_{\perp}(\eta) = \frac{1}{2} a_0 g(\eta)$ is the amplitude of a linearly polarized laser field vector potential, $\eta = t - z$ is the light-front time, *n* is an odd integer standing for harmonic number, K(z) = K g(z).

All figures in the Results section were obtained through numerical simulations. Scattering from one electron was simulated via the aforementioned Fourier method, while simulations involving electron beams were obtained with the code VDSR [17].

54 3. Results

In the Results section, we present figures and their discussion which is organized 65 in the following way. Scattering from one electron is presented in Section 3.1, where we numerically show 1) the similarity between the emission spectra from Thomson 67 radiation and undulator radiation, 2) the dependence of the interference pattern on 68 the tapering rate, 3) the dependence of the interference pattern on the laser strength 69 and length (K, N). Scattering from a realistic electron beam is discussed in Section 3.2, namely, 1) how electron beam's angular and energy divergence affects the visibility of the 71 interference pattern, 2) how increasing laser pulse strength leads to stronger nonlinear effects and how to observe the band-like structure in the harmonics spectrum, 3) how 73 larger tapering rates result in a broader interference pattern. 74

75 3.1. Scattering from one electron

Figure 2 shows backscattered spectra from one electron ($\gamma = 1000$) off a laser pulse (K = 1, N = 40) with a triangular temporal envelope and tapered undulator ($\Delta = 1$). As it was expected, taking into account different frequency normalization, for ultra-relativistic electrons the emitted spectra are the same.

In Figure 3 the normalized vector potentials and corresponding backscattered spectra from one electron off a laser pulse with an undulator temporal envelope for various tapering rates ($\Delta = 1, 0.3, 0$) are shown. The field intensity (K = 1.0, N = 20)



Figure 3. (Top) Normalized vector potential of a laser pulse with an undulator temporal envelope. (Bottom) Corresponding backscattered spectrum from one electron. Laser pulse parameters: K = 1.0, N = 20. Presented tapering rates: $\Delta = 1$, 0.3, 0. For larger tapering rates the spectrum is broadened more and one may observe stronger interference pattern due to the larger laser field amplitude variation.

is, on the one hand, large enough for the interference pattern from ponderomotive 83 broadening to be distinctly seen and, on the other hand, small enough so the harmonics 84 do not overlap with each other. As it was already mentioned, the main emission line for 85 typical undulators resides in the keV range, therefore, the spectrum could be resolved 86 in detail by modern detectors and one could measure this band-like structure in the 87 experiment. We are interested in the band-like interference pattern, which is broader with larger Δ (Figure 3). Typical tapered undulators for FEL applications have relatively 89 low tapering rates around 1-5% or less [18,19], for which the interference pattern is quite small, while for our purposes strongly tapered undulators are needed. Therefore, 91 we make scan simulations with a triangle envelope for different laser pulse and electron 92 beam parameters and then for a selected set of parameters several tapering rates are 93 modeled. 94 We examined how the interference pattern behaves when varying tapering rates for 95 fixed laser pulse strength and length. Now, to give the intuition of how the interference 96 pattern changes with laser pulse strength and length, let us fix the tapering rate. Figure 97 4 shows the main emission line in the backscattered spectrum off a laser pulse with the undulator temporal envelope with tapering rate $\Delta = 0.7$ for several laser pulse 99 strength and length. It could be seen that increasing laser pulse strength leads to a 100 broader interference pattern due to the higher redshift of the main emission line for

broader interference pattern due to the higher redshift of the main emission line for
 stronger nonlinear effects. Also, increasing the length of the pulse results in a more
 intense interference pattern and a higher number of sub-peaks due to the increase of the
 number of constructively interfering points in the electron's trajectory.



Figure 4. Backscattered spectrum from one electron off a laser pulse with the an undulator temporal envelope with tapering rate $\Delta = 0.7$ for three cases: (blue) K = 1.0, N = 20, (orange) K = 1.0, N = 40, (green) K = 1.5, N = 20. One may see that when increasing laser pulse strength the main emission line is redshifted and the interference pattern becomes broader while increasing the number of cycles in a pulse leads to a more intense interference pattern and a higher number of sub-peaks.



Figure 5. Angular distribution of radiation spectrum from an electron beam: $\gamma = 1000$, $\delta E = 10^{-3}$, $\sigma_p = 0.15$. Laser pulse K = 1.2, N = 40 with (left) triangular and (right) undulator ($\Delta = 0.5$) temporal envelope. The band-like structure is more visible close to the axis.

105 3.2. Scattering from an electron beam

To investigate how a non-ideal electron beam influences the observability of the 106 band-like structure, we conducted series of numerical simulations for various realistic 107 laser and electron beam parameters. The electron beam is represented with 10⁸ electrons, 108 $\gamma = 1000$, energy divergence $\delta E \sim 10^{-3}$ and normalized emittance $\epsilon_n = \sigma_p \sigma_r \sim 1.4$ mm 109 mrad where σ_v , σ_r are the angular and radial divergence respectively. Such parameters 110 are similar to electron beams from DESY FLASH [20] and SKIF [21]. The undulator 111 wavelength $\lambda_u = 3$ cm and all transverse beam size effects are negligible. Figure 5 shows 112 the angular distribution of radiation spectrum from an electron beam ($\delta E = 10^{-3}$, $\sigma_p =$ 113 0.15) off a laser pulse with K = 1.2, N = 40 for tapering rates $\Delta = 1$, 0.5. Close to the axis, 114 the sub-peaks are distinctly seen, while further off axis they are more blurred. Moreover, 115 for the ideal electron beam only odd harmonics are emitted on-axis while in our case due 116 to the broadening caused by non-ideal beam effects, a part of the 2nd harmonic could be 117 visible on-axis as well. It could also be expected and seen that for larger tapering rate 118 the interference pattern is more distinctly seen and every sub-peak is broader. 119

For larger energy divergence δE and angular divergence σ_p the interference pattern is less visible due to the larger range of frequencies emitted (Eq. 2). In order to estimate



Figure 6. The differential number of photons scattered from a realistic electron beam (10⁸ electrons, $\gamma = 1000$) for various electron beam angular (σ_p) and energy (δE) divergence off a laser pulse with a triangular envelope. (Top) K = 1, N = 40, $\sigma_p = 0.15$, δE (left to right): 10^{-3} , $5 \cdot 10^{-3}$, 10^{-2} . (Bottom) K = 1.2, N = 40, $\delta E = 10^{-3}$, σ_p (left to right): 0.1, 0.15, 0.2. For more ideal electron beam parameters (less energy and angular divergence) the sub-peaks are more distinguishable.

the visibility of band-like structure, we scanned over several values of δE , σ_p while other 122 parameters remained fixed. The top panel of Figure 6 shows the differential number 123 of photons scattered from the electron beam ($\sigma_p = 0.15$) off a triangle laser pulse with 124 K = 1, N = 40 for different energy divergence $\delta E = 10^{-3}$, $5 \cdot 10^{-3}$, 10^{-2} . The bottom 125 panel of Figure 6 corresponds to a triangle laser pulse with K = 1.2, N = 40 and various 126 electron beam ($\delta E = 10^{-3}$) angular divergence $\sigma_p = 0.1, 0.15, 0.2$. Number of photons 127 is obtained from the angular spectrum distribution $\frac{d^2 N_{ph}}{d\omega d\Omega} = \alpha \frac{1}{\omega} \frac{d^2 I}{d\omega d\Omega}$, where $\alpha \approx 1/137$ is the fine structure constant, by integration over the polar angle ϕ and collimation 128 129 angle $\theta_{col} = 0.2/\gamma$. As expected, for greater angular and energy divergence the band-130 like structure is more smoothed but for the chosen parameters (except $\delta E = 10^{-2}$) the 131 band-structure is still visible. 132 From Eq. 3 we could see that on axis the main emission line is broadened from 133

 $\omega \sim \frac{1}{1+K^2/2}$ up to $\omega \sim 1$. In other words, for larger K the nonlinearity effects are 134 stronger, leading to a broader main emission line and broader harmonics. For large K135 harmonics may start to overlap due to both ponderomotive broadening and non-ideal 136 electron beam effects. Figure 7 represents results for fixed angular and energy divergence 137 $(\sigma_p = 0.15, \delta E = 10^{-3})$ and increasing laser strength K = 0.8, 1.0, 1.2. The interference 138 pattern is visible for all cases, and there are more sub-peaks for stronger pulses. The 139 same band-like structure could be observed in harmonics as well, for instance, Figure 8 140 shows the differential number of photons in the harmonics region for a triangle envelope 141 for two cases: 1) K = 1, $\sigma_p = 0.15$, $\theta_{col} = 0.2/\gamma$, 2) K = 0.8, $\sigma_p = 0.1$, $\theta_{col} = 0.1/\gamma$. For 142 the first case due to a relatively large collimation angle and non-ideal beam effects even 143



Figure 7. The differential number of photons scattered from a realistic electron beam (10^8 electrons, $\gamma = 1000$, $\delta E = 10^{-3}$, $\sigma_p = 0.15$) off a triangle laser pulse N = 40. Laser pulse strength *K* (left to right): 0.8, 1.0, 1.2. In stronger laser pulses electron's nonlinear response is larger which leads to broader spectrum and more interference sub-peaks.



Figure 8. The differential number of photons (harmonics region) scattered from a realistic electron beam (10^8 electrons, $\gamma = 1000$, $\delta E = 10^{-3}$) off a laser pulse with a triangular envelope. (Left) $\sigma_p = 0.15$, K = 1, N = 40, $\theta_{col} = 0.2/\gamma$, (Right) $\sigma_p = 0.1$, K = 0.8, N = 40, $\theta_{col} = 0.1/\gamma$. Choosing smaller collimation angles and more ideal electron beam parameters leads to a more visible interference pattern in harmonics.

off-axis harmonics overlap with odd on-axis ones, which spoils the overall picture. Still,
we can consider more ideal electron beam parameters and a smaller collimation angle to
make the interference pattern more visible.

Now, after we observed the influence of laser pulse and electron beam parameters 147 on the interference pattern, it is interesting to model several tapering rates for some 148 "optimal" parameters to make sure that a distinct band-like structure remains. Figure 149 9 shows the differential number of photons scattered from a realistic electron beam 150 ($\delta E = 10^{-3}$, $\sigma_p = 0.15$) off a laser pulse (K = 1.2, N = 40) with various tapering rates 151 $\Delta = 0.4, 0.5, 0.6$. As it was already discussed, for smaller tapering rates the interference 152 is less distinct. Also, stronger tapered pulses contain less energy, therefore the resulting 153 spectrum is less intense. Speaking about experimental observation, for $\Delta = 0.5$, K = 1.2, 154 $\lambda_u = 1$ cm and $\gamma = 1000$ the main emission peak is at $\frac{\omega}{2\gamma^2} = 0.6$ ($\lambda \sim 8.6$ nm) and the 155 first subsidiary peak is at $\frac{\omega}{2\gamma^2} = 0.7$ ($\lambda \sim 7.1$ nm). This difference is large enough to be 156 measured experimentally. For larger λ_u or lower γ the difference between these peaks 157 increases, and it is easier to detect the interference pattern. 158



Figure 9. The differential number of photons scattered from a realistic electron beam (10^8 electrons, $\gamma = 1000$, $\delta E = 10^{-3}$, $\sigma_p = 0.15$) off a laser pulse (K = 1.2, N = 40) with different tapering rates $\Delta = 0.4$, 0.5, 0.6. For large tapering rates the interference pattern is distinct. Lasers with stronger tapering rates contain less energy, the resulting spectrum is less intense.

159 4. Conclusions

Overall, we proposed to use tapered undulators to mimic Thomson scattering and 160 measure the intensity-dependent electron mass shift experimentally, namely, one may 161 connect positively (K is increasing) and negatively tapered undulators to obtain radiation 162 spectrum similar to Thomson spectrum off a laser pulse with an undulator temporal 163 envelope. Firstly, we conducted series of numerical simulations for triangular temporal 164 envelope (which has the most vivid interference pattern) scanning over the range of laser pulse and electron beam parameters. Secondly, for a chosen set of laser and electron 166 beam parameters, we modeled several cases with different tapering rates to show that for 167 modern realistic electron beam parameters, the effect is not completely smoothed out and 168 still could be distinctly seen in the main emission line for a broad range of parameters. To 169 observe this band-like structure in harmonics, one needs to choose smaller collimation 170 angles and/or more ideal electron beams. Finally, the intensity-dependent electron mass 171 shift can be observed experimentally by measuring the difference in wavelength of the 172 subsidiary peaks. For a tapered undulator $\Delta = 0.5$, K = 1.2, $\lambda_u = 1$ cm and an electron 173 bunch $\gamma = 1000$, $\epsilon_n = 1.4$ mm mrad the difference is $\Delta \lambda \sim 1$ nm. 174

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