



The effect of five nights of sleep restriction on empathic propensity

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The effect of five nights of sleep restriction on empathic propensity

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Conflict of interest

The authors declare that they have no conflict of interest.

Author contributions

Giulia Amicucci: Methodology, Investigation, Writing – original draft, Writing – review & editing. **Daniela Tempesta:** Conceptualization, Methodology, Supervision, Data curation, Writing – review & editing. **Federico Salfi:** Data curation, Formal analysis, Writing – review & editing. **Aurora D’Atri:** Writing – review & editing. **Lorenzo Viselli:** Investigation. **Luigi De Gennaro:** Writing – review & editing. **Michele Ferrara:** Conceptualization, Methodology, Supervision, Writing – review & editing.

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Abstract

The literature supports the existence of a significant relationship between sleep quality/quantity and empathy. However, the empathic ability is dissociable from the construct of empathic propensity, whose level of expression depends on the subjective cognitive costs attributed to the empathic experience. In the current literature, the consequences of the experimental reduction of the night sleep duration on empathic behavior are still lacking. Therefore, we investigated the consequences of five consecutive nights of sleep restriction on empathic propensity.

Forty-two university students (mean age \pm SEM, 24.09 ± 0.64 years; 22 females) underwent a crossover design consisting of five consecutive nights of regular sleep and five consecutive nights of sleep restriction with a maximum of five hours of sleep per night. After each condition, all participants were evaluated using the Empathy Selection Task, a new test assessing the motivated avoidance of empathy for its associated cognitive costs.

The results showed different effects of sleep restriction based on the habitual way of responding in the empathic context. Participants who started from high levels of empathic propensity at the baseline, then reduced their empathic propensity after the sleep loss condition. On the other hand, subjects who tended to avoid empathizing already in the habitual sleep condition maintained their empathic behavior unchanged after sleep curtailment.

In conclusion, inter-individual variability should be taken into account when evaluating the effects of sleep restriction on empathic propensity. People with habitual higher willingness to empathize could choose to avoid empathic experience following several consecutive nights of inadequate sleep.

Key words: sleep loss, empathy, individual differences, cognitive cost

Introduction

An ever-growing body of literature suggests that lack of sleep undermines the ability to process emotional information (for a review, Tempesta, Soggi, De Gennaro, & Ferrara, 2018). Besides negatively impacting the encoding and consolidation of emotional information (Kaida, Niki, & Born, 2015; Tempesta, De Gennaro, Presaghi, & Ferrara, 2014, Tempesta, De Gennaro, Natale, & Ferrara, 2015; Tempesta, Soggi, Coppo, Dello Iorio, Nepa, De Gennaro L., & Ferrara, 2016), sleep loss affects mood (Dinges et al., 1997; Rosen, Gimotty, Shea, & Bellini, 2006) and affective evaluation, modulating the emotional reactivity. Indeed, an inadequate amount of sleep seems to cause a negative bias in the categorization of emotionally neutral stimuli, inducing a greater emotional reaction to them (Tempesta et al., 2010, Tempesta, De Gennaro, Natale, & Ferrara, 2015; Tempesta, Salfi, De Gennaro, & Ferrara, 2020), as well as increased attention to stimuli with negative valence (Tempesta, Soggi, De Gennaro, & Ferrara, 2018).

Moreover, sleep deprivation impacts the ability to recognize and classify the emotions of others (Minkel, Htaik, Banks, & Dinges, 2011, Tempesta et al., 2010; van der Helm, Gujar, & Walker, 2010), selectively impairing the accuracy of judgment of human facial emotions (van der Helm, Gujar, & Walker, 2010)

The correct processing of emotional stimuli is a fundamental component of human empathy. Human beings are highly social creatures, and empathy represents an essential prerequisite for effective interpersonal interactions. Empathy can be defined as the individual's ability to understand another person's mental state in terms of emotions, feelings, and thoughts (Shamay-Tsoory, 2011). Nowadays, a few studies have investigated the relationship between sleep and empathy (e.g., Gordon, & Chen, 2013, Guadagni, Burles, Ferrara, & Iaria, 2014; Guadagni et al., 2016; Killgore et al., 2008), suggesting that sleep loss impacts even more complex emotional processes, such as those involved in empathy. In particular,

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3 55 hours of sleep deprivation was associated with a decline in perceived emotional
4 intelligence, with significant reductions in intrapersonal functioning (reduced self-regard,
5 assertiveness, sense of independence and self-realization), interpersonal functioning
6 (reduced empathy towards others and reduced quality of interpersonal relationships), and
7 stress management skills (Killgore et al., 2008). Furthermore, poor sleep quality was linked
8 with reduced empathic capacity in young couples engaged in a conflictual conversation,
9 through a reduction in the ability to infer their partner's emotions (Gordon, & Chen, 2013).
10 Finally, other studies have shown that the lack of sleep impairs the ability to share the
11 emotional state of others (Guadagni, Burles, Ferrara, & Iaria, 2014), supporting the
12 assumption that sleep quality is an important predictor of empathic abilities (Guadagni et al.,
13 2016). Although limited in number, altogether these studies showed that sleep loss has
14 detrimental effects on the ability to understand the feelings of others and, therefore, it impairs
15 the ability to be empathetic towards others.
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33 However, a growing body of literature reported a dissociation between the constructs of
34 empathic ability and empathic propensity (e.g., Keysers, & Gazzola, 2014). Empathic ability
35 represents the maximum level of empathy that individuals exhibit in optimal conditions
36 (Keysers, & Gazzola, 2014). On the contrary, empathic propensity is the spontaneous
37 individual tendency to empathize with others according to the context (Keysers, & Gazzola,
38 2014). It is strongly situation-dependent: according to the subjective value given to the
39 context, people can exhibit high or low levels of empathic propensity. Consequently, two
40 individuals with the same empathic abilities in normal conditions may show different degree
41 of empathic propensity, i.e. experiencing a relatively different level of empathy, in situations
42 that discourage sharing the experience of others (Keysers, & Gazzola, 2014).
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3 Therefore, since the current literature on the relationship between sleep deprivation and
4 empathy has been limited to the assessment of empathic ability, it seems necessary to
5 extend the investigation to the empathic propensity construct.
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10 Unlike total sleep deprivation, sleep restriction is a condition that more often occurs in
11 people's daily lives. However, in the current literature, the consequences of the experimental
12 reduction of the nocturnal sleep duration on empathic behavior are still unknown.
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18 Based on these separate lines of evidence, in the present study, we investigated the effects
19 of five consecutive nights of sleep restriction (5 hours of sleep per night) on empathic
20 propensity assessed by the Empathy Selection Task (EST; Cameron et al., 2019). The EST
21 is a free-choice task that evaluates motivated avoidance of empathy taking into account the
22 selection of the situation (Gross, & Thompson, 2007), an emotion regulation strategy
23 commonly applied in contexts of motivated empathy (Pancer, McMullen, Kabatoff, Johnson,
24 & Pond, 1979; Shaw, Batson, & Todd, 1994). This task measures empathic propensity
25 based on the theoretical assumption that people tend to avoid an empathic experience
26 because it often requires excessive cognitive effort (Cameron et al., 2019). Previous studies
27 have already demonstrated that lack of sleep is accompanied by an increase in self-
28 perceived cognitive effort, making the maintenance of performance increasingly challenging
29 (e.g. Massar, Lim, & Huettel, 2019).
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46 Consistent with the study by Cameron and coworkers (2019), and in keeping with the above-
47 mentioned literature on the effects of sleep loss on cognitive effort, in the current study we
48 hypothesized that five nights of sleep restriction would affect empathic propensity, leading
49 to an increase of empathy avoidance.
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55 56 57 58 59 **Participants and Methods** 60

Forty-five subjects were selected from a population of university students (mean age \pm SEM, 24.17 \pm 0.60 yr; 24 females). Each participant filled out the Pittsburgh Sleep Quality Index (PSQI; Buysse, Reynolds, Monk, Berman, & Kupfer, 1989; Curcio et al., 2013), the Insomnia Severity Index (ISI; Bastien, Vallières, & Morin, 2001; Castronovo et al., 2016), the State-Trait Anxiety Inventory (STAI-T; Moroni et al., 2006) and the Beck Depression Inventory (BDI-II; Ghisi, Flebus, Montano, Sanavio, & Sica, 2006), to evaluate the presence of sleep disorders, insomnia, and anxiety or mood disorders. Inclusion criteria were: a score <6 for the PSQI, a score <7 for the ISI, a score <41 for the STAI-T and a score <14 for the BDI-II. Additionally, we asked participants to complete a self-reported questionnaire on the daily consumption habits of coffee, chocolate, cigarettes, activating drinks, alcohol, and drugs. All subjects declared that they had a habitual sleep duration of 7–8 hours per night and did not usually have daytime nap habits. Three subjects did not adhere to sleep restriction protocol and, therefore, they were excluded from all analyses. Therefore, the final group of participants included 42 subjects (mean age \pm SEM, 24.09 \pm 0.64 yr; 22 females). The experiment has been approved by the local Institutional Review Board of the University of L'Aquila (Italy) (prot. 54464) and carried out according to the principles established by the Declaration of Helsinki. Written informed consent was obtained from all participants. The study is part of a larger research project, part of which has already been published elsewhere (Tempesta et al., 2020).

Experimental Protocol

The experimental protocol consisted of a cross-over design involving two conditions during two consecutive weeks in counterbalanced order. In the habitual sleep (HS) condition, the subjects were allowed to sleep at home for five consecutive nights (from Sunday to Thursday) according to their sleep habits. In the restricted sleep (RS) condition, participants

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3 were required to sleep at home for a maximum of five hours per night, for five consecutive
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5 nights (from Sunday to Thursday). In the RS condition, participants went to bed
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7 approximately at 2:00 a.m. and woke up at about 7:00 a.m. Daytime naps were prohibited
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9 throughout the entire experimental protocol. Compliance was assessed through actigraphy
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11 (see below).
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15 In both the conditions, participants were monitored by one experimenter through telephone
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17 calls and text messages, sent at unpredictable times of the day. In addition, through a text
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19 message, each subject had to inform the experimenter of the moment she/he went to bed,
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21 woke up and got out of bed. For the entire duration of both experimental weeks, all
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23 participants completed a sleep diary. To check the participants' adherence to the
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25 experimental protocol, an expert monitored all the actigraphic recordings (see "Sleep
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27 assessment" paragraph) to verify the reliability of falling asleep and awakening times
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29 declared by the participants in the sleep diaries and text messages.
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34 The testing phase was carried out at the Laboratory of Sleep Psychophysiology and
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36 Cognitive Neurosciences, Department of Biotechnological and Applied Clinical Sciences of
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38 the University of L'Aquila, on the Friday morning of both weeks (9:00 – 10.00 a.m.). Subjects
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40 were requested not to smoke or eat at least 30 minutes before each testing phase and not
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42 to increase their habitual consumption of caffeine, activating beverages, alcohol,
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44 medications, and cigarette throughout the experimental protocol.
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51 **Sleep Assessment**

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54 The participants filled out a sleep diary to report their subjective sleep duration and sleep
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56 quality each morning of the two consecutive experimental weeks.
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3 Moreover, to obtain an objective assessment of sleep and to control participants' compliance
4 with the experimental protocol, all subjects wore a Geneactiv accelerometer (ActivInsights
5 Ltd., Kimbolton, UK) on the wrist of the non-dominant hand for the entire duration of both
6 the experimental conditions.
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13 The Geneactiv accelerometer is a reliable tool for evaluating sleep in adults (te Lindert, &
14 Van Someren, 2013). Devices were initialized by the Geneactiv PC software (version 3.2,
15 ActivIn-sights Ltd., Kimbolton, UK) with measurement frequency set to 50 Hz. Geneactiv
16 data were uploaded to the computer using the same software. Calculation of the sleep
17 parameters was performed offline using a custom-written MATLAB program with a graphical
18 user interface (version 2018a, The MathWorks, Inc., Natick, Massachusetts, USA), obtained
19 directly from the authors (te Lindert, & Van Someren, 2013). This program represents a
20 validated method to transform accelerometry data into the traditional actigraphic movement
21 counts.
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34 For the five nights of each experimental condition, three variables were obtained by the
35 Geneactiv data, with the support of sleep diaries: total sleep time (TST), sleep efficiency
36 (EFF%), and wake after sleep onset (WASO).
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45 **Testing phase**

46 *Subjective sleepiness, mood and alertness measures*

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50 At the beginning of each testing phase, to assess subjective sleepiness, alertness and
51 mood, participants underwent a computerized version of the Karolinska Sleepiness Scale
52 (KSS; Akerstedt, & Gillberg, 1990) and of the Visual Analogue Scale (VAS; Stern, Arruda,
53 Hooper, Wolfner, & Morey, 1997).
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3 The KSS requires to indicate the perceived sleepiness on a scale ranging from 1 (very alert)
4 to 9 (very sleepy).
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8 The VAS requires to self-evaluate one's current status for eight dimensions: happiness,
9 sadness, tension, calmness, irritability, tiredness, energy and concentration. Each
10 participant had to indicate how he/she felt in that moment by pressing the left mouse button
11 on a 200 mm long line that appears on the computer screen, between the extremes of "not
12 at all" and "very much". The values obtained from the typed pixels were transformed into a
13 scale of values from 0 to 10. We calculated two indices: the Negative Mood Index (NMI) and
14 the Alertness Index (AI). NMI (range 0-50) was obtained by summing the scores for the
15 items sad, tense, irritable, and happy and calm (reverse scored). Similarly, AI (range: 0-30)
16 was obtained by summing the scores for the items tired (reverse scored), energetic and
17 concentrated.
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31 *Empathy Selection Task*

32 For the evaluation of empathic propensity, the participants underwent the Empathy Selection
33 Task (EST; Cameron et al., 2019), a free-choice task that was developed to examine how
34 the cognitive costs associated with sharing experiences can lead to motivated avoidance of
35 empathy. It was shown that the EST effectively measures the avoidance of empathy for the
36 cognitive costs associated with it: people often consider empathy cognitively tiring,
37 classifying it as more demanding, aversive and ineffective compared with other actions
38 (Cameron et al., 2019). The rationale behind the task lies in the fact that people choose
39 situations to enter into by analyzing the expected value of costs and benefits through a
40 strategy of emotional regulation (Gross, &Thompson, 2007).
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56 In the present study, we adopted the first of eleven similar tasks presented by Cameron and
57 coworkers (2019), to investigate how the willingness to empathize of participants changes
58 based on the contextual characteristics imposed by the experimental protocol (HS, RS).
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3 The test used here has some slight variations from the original one. First, in the study of
4 Cameron and collaborators (Cameron et al., 2019), the images used depict faces of refugee
5 children; on the contrary, in this study the images, half collected online and half by the
6 International Affective Pictures System (IAPS; Lang, Bradley, & Cuhtbert, 1999), portray
7 simple faces of children. Secondly, the images shown in the study of Cameron et al. (2019)
8 were 40, while in the present study, the number of images was 60. The images were divided
9 into two samples of equal size and composition and were counterbalanced by experimental
10 condition (HS, RS) and by session order.
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22 Furthermore, these images were presented randomly and without repetitions and selected
23 so that, for each test session, 15 pictures were emotionally positive and 15 negative.
24 Emotionally positive images depicted faces of laughing children, while negative ones
25 depicted faces of crying children. The task (Figure 1) was programmed using the Superlab
26 5.0.5 software (Cedrus, San Pedro, California, USA).
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34 Participants were required to complete a series of 30 trials. In each trial, the participants
35 were shown, on the computer screen, two decks of cards with their respective instruction
36 sets: the left red deck, labeled "DESCRIBE" and the right blue deck, labeled "FEEL". The
37 participant had to choose one of the two decks of cards. After each choice, a picture of a
38 person appeared, and the participants were asked to perform one of two different sets of
39 instructions, depending on the previously chosen deck of cards.
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49 If they had chosen the deck of cards with the label "DESCRIBE", the participants would have
50 to describe, with one sentence, the age and gender of the subject represented in the photo.
51 It was asked to them to be objective and to focus only on the external characteristics and
52 appearance of the person in the image.
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58 If they had chosen the "FEEL" deck of cards, instead, the participants would have to
59 describe, with one sentence, the feelings and inner experiences of the person in the image.
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3 Therefore, in this case the participants were asked to empathize with the subject
4 represented describing what the person is feeling.
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8 Finally, as in the study by Cameron et al. 2019, also in the present study the participants
9 were instructed, for each trial, to freely choose between the two decks of cards, even if this
10 involved preferring one deck over the other. There was no time limit for performing the task,
11 either by making the "DESCRIBE" choice or the "FEEL" choice. The main variable of the
12 task is the number of FEEL choices, reflecting the empathic propensity.
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26 **Data Analysis**

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28 To assess the effects of sleep restriction on subjective measures, participants' perceived
29 sleepiness (KSS), negative mood index (NMI) and alertness index (AI) scores were
30 submitted to mixed model analyses, with conditions (HS vs RS) as within factor.
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36 Likewise, to assess the differences in the amount/quality of sleep between the two
37 experimental conditions, the same analysis was applied to the actigraphic variables (TST,
38 EFF%, WASO), comparing the values of the five nights of the two experimental conditions.
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43 To evaluate the effects of five nights of sleep restriction on empathic propensity, the number
44 of FEEL choices was submitted to a mixed-model analysis with *condition* as factor (HS, RS).
45 We also included NMI score as a covariate, to control for the influence of the mood changes
46 following sleep loss.
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53 All the models included a random intercept per participant, taking into account the
54 hypothesized variability of between participant responses and the repeated-measures
55 nature of the data. To better understand and isolate the effects observed in the main
56 analysis, additional analyses were carried out, described in detail in the "Results" section.
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3 For all the analyses, Fisher LSD *post hoc* tests were carried in case of significant effects;
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5 the level of significance was always set at $p < 0.05$. The mixed model analyses were
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7 performed using the lme4 R package (Bates, Mächler, Bolker, & Walker, 2015; R Core
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9 Team, 2018), providing functions for fitting and analyzing mixed models. Models were fitted
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11 using REML, and p-values were derived using the Satterthwaite approximation (Luke, 2017).
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13 *Post hoc* comparisons were performed using the “emmeans” R package (Length, Singmann,
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15 Love, Buerkner, Herve, 2020).
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23 Results

24 Actigraphic sleep variables

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26 Actigraphic recordings confirmed participants' compliance with the experimental protocol
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28 (TST < 5 h). Total sleep time for the sleep restriction condition (RS: mean \pm SEM, 265.60 \pm
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30 3.44) was significantly lower than the regular sleep condition (HS: mean \pm SEM, 419.54 \pm
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32 3.44; $F_{1,377} = 1806.40$, $p < 0.001$). Furthermore, actigraphic recordings showed that, following
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34 prolonged sleep restriction, sleep efficiency (SE%) increased (HS: mean \pm SEM, 89.39 \pm
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36 0.53; RS: 90.61 \pm 0.53; $F_{1,377} = 7.23$, $p = 0.01$), while WASO decreased (HS: mean \pm SEM,
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38 29.54 \pm 1.39; RS: 14.88 \pm 1.39; $F_{1,377} = 291.00$, $p < 0.001$), suggesting an increased
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40 homeostatic sleep pressure.
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51 Subjective sleepiness, mood and alertness measures

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53 The analysis of KSS, NMI and AI scores showed significant differences between conditions
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55 (KSS: $F_{1,41} = 32.15$, $p < 0.001$; NMI: $F_{1,41} = 19.18$, $p < 0.001$; AI: $F_{1,41} = 48.72$, $p < 0.001$).
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57 After five nights of sleep restriction, participants self-evaluated sleepier (KSS; HS: mean \pm
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59 SEM, 3.43 \pm 0.33; RS: 5.55 \pm 0.33), with a higher negative mood (NMI; HS: mean \pm SEM,
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3 12.79 ± 1.28; RS: 18.55 ± 1.28), and less alert (AI; HS: mean ± SEM, 20.40 ± 0.83; RS:
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5 12.89 ± 0.83).
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10 11 **Empathy Selection Task** 12

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15 The analysis of the number of FEEL choices did not show significant effects of the *condition*
16 (HS, RS) factor ($F_{1,47.86} = 0.73$, $p = 0.40$). The subjects did not differ between the two
17 experimental conditions on the number of describe/feel choices. The mood covariate did not
18 yield a significant effect (NMI: $F_{1,78.62} = 0.29$, $p = 0.59$).
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25 The random intercept was significant (LRT = 7.04, $p = 0.008$), suggesting high variability in
26 the responses across participants regardless of the experimental condition. In the baseline
27 (regular sleep) condition, some participants showed a greater empathic propensity (higher
28 FEEL choices) and others showed a higher empathic avoidance (higher DESCRIBE
29 choices). To evaluate if the between-subjects variability of responses in normal conditions
30 influenced the effect of sleep restriction, an additional analysis was conducted. We adopted
31 the EST scores of the regular sleep (HS) condition as index of participants' habitual
32 empathic propensity and we divided the sample into two sub-groups based on the median
33 score of the FEEL choices variable. We obtained a group that chose to empathize more
34 often and another group that chose to describe more frequently when well-rested. We
35 labeled them as "HighEmp" and "LowEmp", respectively.
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51 Subsequently, we performed a new mixed-model analysis, also including *level of empathic*
52 *propensity* as a between-subject factor (HighEmp, LowEmp). As expected, this analysis
53 showed a significant main effect for the *level of empathic propensity* factor ($F_{1,39.86} = 31.6$, p
54 < 0.001). In particular, *post hoc* comparisons pointed to differences between the two
55 subgroups (HighEmp vs LowEmp) in the choice to feel/describe in both the HS condition
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3 (HS; $p < 0.001$) and the RS condition (RS; $p < 0.001$; see Figure 2). The HighEmp sub-
4 group tended to empathize more in both conditions than the LowEmp, which show a greater
5 tendency to describe. The *condition* factor was not significant ($F_{1,47.54} = 0.88, p = 0.35$),
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7 confirming the analysis on the whole sample. However, the interaction between *condition*
8 (HS, RS) and *level of empathic propensity* factor (HighEmp, LowEmp) was significant
9 ($F_{1,40.25} = 5.03, p = 0.03$). Therefore, the prolonged sleep restriction affected the two groups
10 differently. Remarkably, this effect was obtained controlling for the covariate of the NMI
11 score, which was not significant ($F_{1, 78.66} = 0.47, p = 0.49$). *Post hoc* comparisons (Figure 2)
12 showed that LowEmp group did not differ significantly in their choices following sleep
13 restriction ($p = 0.42$). On the other hand, HighEmp participants significantly modified their
14 choices following five nights of sleep restriction ($p = 0.03$), reducing their degree of empathic
15 propensity.
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19 Noteworthy, subsequent control analyses showed no significant effect of "gender" in all the
20 above analyses (data not shown).
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42 Discussion

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45 The present study represents the first investigation aimed at evaluating the effects of an
46 experimental reduction of the nocturnal sleep duration on empathic propensity, using the
47 first valid tool (EST) that allows to specifically evaluate empathic propensity (Cameron et al.,
48 2019). The results showed that sleep restriction did not affect empathic propensity on the
49 entire sample. However, we highlighted a wide inter-individual variability in the responses
50 exhibited by the participants in the habitual sleep conditions. This evidence led us to
51 hypothesize that individual differences in empathic propensity might influence the response
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3 to the sleep restriction protocol. Additional analyses indeed showed that the sample
4 responded differently to sleep restriction according to the level of empathic propensity in the
5 habitual sleep conditions.
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10 Specifically, we found that sleep restriction led to a reduction of empathic propensity only in
11 the subgroup who tend to empathize more when well-rested (HighEmp). On the other hand,
12 the participants who empathize less in the habitual sleep condition (LowEmp), maintained
13 unchanged their emphatic behavior across the two experimental conditions.
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20 Notwithstanding that empathic sharing of experience is evolutionarily adaptive because it
21 allows the individual to predict the behavior of others (Keysers, & Gazzola, 2014),
22 empathizing with others requires a cost and therefore each individual adapts his own
23 empathic propensity to the situation (Keysers, & Gazzola, 2014). Consequently, empathy
24 represents the result of a motivated behavioral decision (Cameron et al., 2019): when
25 different courses of action are available, people choose to empathize according to the
26 subjective value attributed to the situation (Keysers, & Gazzola, 2014). People could choose
27 to avoid empathic engagement because it requires a temporal, economic or emotional cost
28 (Andreoni, Rao, & Trachtman, 2017, Cameron, & Payne, 2011, Pancer, McMullen, Kabatoff,
29 Johnson, & Pond, 1979, Shaw, Batson, & Todd, 1994, Cameron, Harris, & Payne, 2016),
30 but primarily a cost in cognitive terms (Cameron et al., 2019). The empathic avoidance could
31 then be the consequence of a calculation of the general cognitive effort applied to sharing
32 empathic experience (Hull, 1943, Kool, McGuire, Rosen, & Botvinick, 2010; Westbrook,
33 Kester, & Braver, 2013). Consistently, in the present study we used the EST (Cameron et
34 al., 2019), which was modelled on previous effort avoidance tasks (Kool, McGuire, Rosen,
35 & Botvinick, 2010), and the respective outcome was correlated with an assessment of
36 cognitive costs through an adapted version of NASA's Task Load Index (Hart, & Staveland,
37 1988; Cameron et al., 2019).
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3 In this view, we can hypothesize that the "LowEmp" subgroup showed a low empathic
4 propensity because of its perceived cognitive cost, preferring an alternative course of action.
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7 On the other hand, the greater tendency to empathize showed by the "HighEmp" subgroup
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10 in the normal sleep condition can occur when there are sufficient social rewards that can
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12 offset the perceived costs involved (Cameron et al., 2019). Some people, in fact, highly value
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14 empathic behavior within their personal goal hierarchies (Cameron, Cunningham, Saunders,
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16 & Inzlicht, 2017). Such people already see the empathic experience sharing as a strong
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18 reward (Inzlicht, Legault, & Teper, 2014), reducing their perception of effort. Even the effort
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20 itself can be rewarding (Inzlicht, Shenhav, & Olivola, 2018; Olivola, & Shafir, 2013): people
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22 may be willing to share an empathic experience because understanding the others'
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24 emotional state can enrich a person to the point of compensating for the costs involved
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26 (Cameron et al., 2019). Therefore, the different responses presented by the subgroups in
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28 the habitual sleep condition of our study could reflect different cost-benefit analyses.
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34 To date, it is well-known that performance based on self-reported cognitive effort perception
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36 can be further affected by suboptimal conditions such as sleep deprivation conditions
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38 (Hockey, 1997). Lack of sleep reduces the availability of energy resources necessary to
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40 perform (Engle-Friedman, 2014): an individual perceives the maintenance of performance
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42 as more demanding because it is associated with an increase in self-perceived cognitive
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44 effort, producing slower and more error-prone activities (Massar, Lim, & Huettel, 2019).
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46 Accordingly, sleep-deprived individuals who are allowed to choose one of several available
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48 courses of action freely, will choose to pursue less difficult and demanding activities than
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50 individuals who have achieved a regular amount of sleep (Engle-Friedman, 2014; Engle
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52 Friedman et al., 2003). Behaviorally, sleep deprivation would therefore produce an increase
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54 in motivated avoidance of empathic experience, favouring less demanding courses of action.
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3 However, those who choose to avoid empathizing under the habitual sleep condition
4 (LowEmp) did not significantly change the degree of empathic propensity under sleep
5 restriction. We hypothesize that “LowEmp” exhibited higher self-perceived cognitive effort
6 already in the habitual sleep condition, and this possibly prevented sleep restriction to affect
7 their level of empathic propensity further. Consequently, the different levels of empathic
8 propensity normally exhibited by participants in emotional sharing contexts influenced the
9 responses of the two subgroups to the sleep restriction protocol in different ways.
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20 Despite the large number of studies aimed at evaluating the relationship between sleep and
21 emotional regulation, few studies have focused on evaluating the relationship between sleep
22 and empathic behavior (Guadagni, Burles, Ferrara, & Iaria, 2014; Guadagni et al., 2016).
23 These studies have supported the existence of a significant relationship between sleep and
24 empathy. They demonstrated that sleep deprivation significantly reduces the expression of
25 emotional empathy (Guadagni, Burles, Ferrara, & Iaria, 2014), finding a predictive effect of
26 sleep quality on this construct (Guadagni et al., 2016). The present study adds to this
27 literature by showing that an inadequate amount of sleep affects empathic propensity based
28 on the habitual individual's way of responding in empathic contexts. Therefore, our data
29 support the hypothesis of a relationship between sleep restriction and inter-individual
30 differences in empathic propensity. Since individual differences may hide the effects of sleep
31 loss, producing misleading conclusions and interpretations, future studies should use
32 preliminary assessments to identify individual differences in empathic propensity.
33 Furthermore, future studies could investigate in a more specific way the situational and
34 cognitive aspects imposed by the experimental protocols because the behavior of sharing
35 empathic experience is strongly influenced by the characteristics of the context and,
36 consequently, by the perceived cognitive demand (Kool, McGuire, Rosen, & Botvinick,
37 2010), representing the elements most directly responsible for the motivated avoidance
38 patterns observed (Kool, McGuire, Rosen, & Botvinick, 2010; Cameron et al., 2019).
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3 One of the limitations of this study concerns the reduced experimental control over possible
4 confounding environmental factors due to the absence of a laboratory setting, typical of
5 sleep restriction protocols. However, sleep restriction is a more prevalent condition in today's
6 societies, better reflecting the chronic sleep deprivation to which most of the population is
7 subjected in daily life. Another limitation concerns the sample population, composed of
8 young university students. This does not allow a complete generalizability of the results to
9 the general population. Finally, another possible limitation concerns the stimuli used in the
10 EST. Under ecological conditions, emotions are elicited by dynamic stimuli (Wicker et al.,
11 2003). However, static images were used in the present study, mostly taken from the IAPS
12 (Bradley, & Lang, 2007). Future studies should use more dynamic stimuli (e.g., Tempesta
13 et al., 2016) to improve the validity of the task.
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29 In conclusion, empathic propensity represents an important dimension of empathic
30 behavior, capable of explaining most of the inter- and intra-individual differences of the
31 construct itself (Keysers, & Gazzola, 2014). Our study suggests that the effects of an
32 inadequate amount of sleep on empathic propensity are a function of the specific response
33 exhibited by an individual in empathic situations at baseline (i.e., when not sleep deprived).
34 Future studies should evaluate simultaneously both the constructs of empathic propensity
35 and ability to distinguish the impact of lack of sleep on these dimensions of the empathic
36 behavior.
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6 **Figure 1.** Typical screen of the Empathy Selection Task (EST).
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8 Participants were asked to choose between the deck labeled "DESCRIBE" or "FEEL" with their
9 respective instructions. Once the choice was made, an image (emotionally positive or negative)
10 appeared, and the participants had to type the answer in the appropriate box presented, respecting
11 the set of instructions associated with each card deck.
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24 **Figure 2.** Interaction between level of empathic propensity (HighEmp, LowEmp) and experimental
25 condition (Habitual sleep, Sleep restriction) factors on the mean score of the number of FEEL
26 choices variable. Mean (and standard error) of the number of feel choices in the habitual sleep and
27 sleep restriction condition for the HighEmp and LowEmp groups. Results of *post hoc* comparisons
28 are reported with asterisks: * $p < 0.05$; ** $p < 0.001$.
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33 **Abbreviations:** HS, Habitual Sleep condition; RS, Restricted Sleep condition
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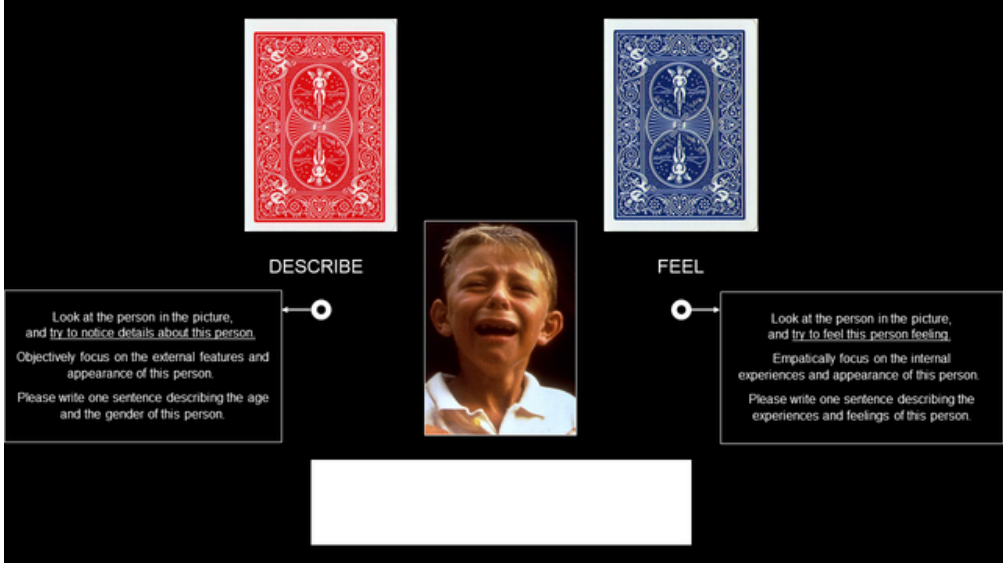


Figure 1. Typical screen of the Empathy Selection Task (EST). Participants were asked to choose between the deck labeled "DESCRIBE" or "FEEL" with their respective instructions. Once the choice was made, an image (emotionally positive or negative) appeared, and the participants had to type the answer in the appropriate box presented, respecting the set of instructions associated with each card deck.

54x30mm (300 x 300 DPI)

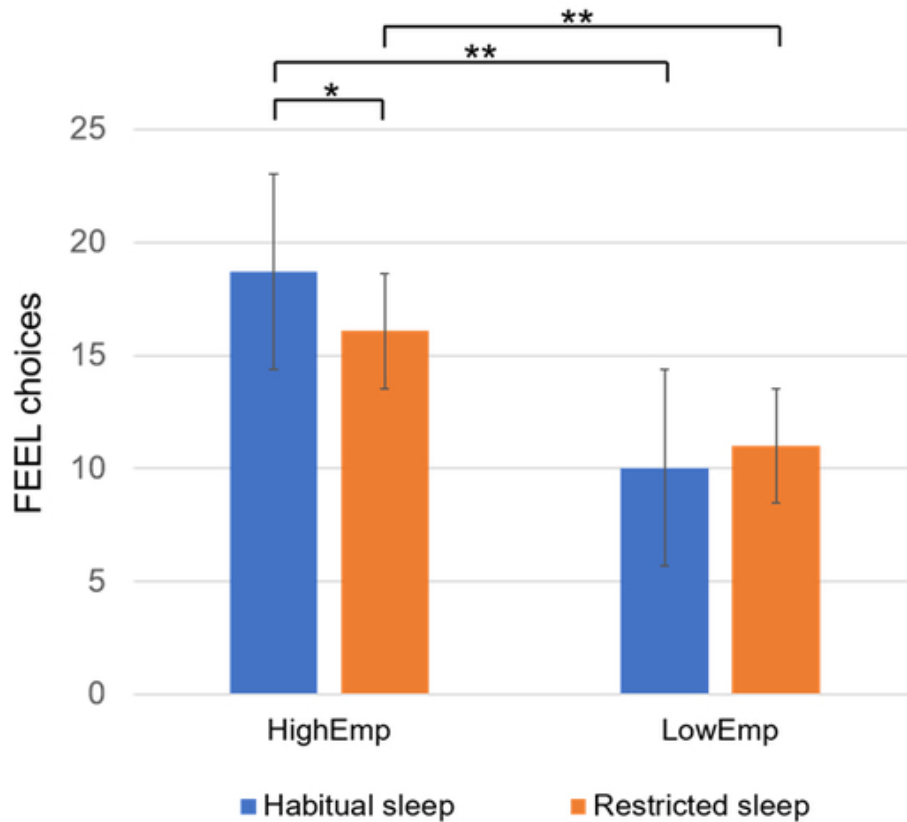


Figure 2. Interaction between level of empathic propensity (HighEmp, LowEmp) and experimental condition (Habitual sleep, Sleep restriction) factors on the mean score of the number of FEEL choices variable. Mean (and standard error) of the number of feel choices in the habitual sleep and sleep restriction condition for the HighEmp and LowEmp groups. Results of post hoc comparisons are reported with asterisks: * $p < 0.05$; ** $p < 0.001$.

Abbreviations: HS, Habitual Sleep condition; RS, Restricted Sleep condition

23x22mm (600 x 600 DPI)