

Resting State Alpha Electroencephalographic Rhythms Are Differently Related to Aging in Cognitively Unimpaired Seniors and Patients with Alzheimer's Disease and Amnesic Mild Cognitive Impairment

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Abstract.

Background: In relaxed adults, staying in quiet wakefulness at eyes closed is related to the so-called resting state electroencephalographic (rsEEG) rhythms, showing the highest amplitude in posterior areas at alpha frequencies (8–13 Hz).

Objective: Here we tested the hypothesis that age may affect rsEEG alpha (8–12 Hz) rhythms recorded in normal elderly (Nold) seniors and patients with mild cognitive impairment due to Alzheimer’s disease (ADMCI).

Methods: Clinical and rsEEG datasets in 63 ADMCI and 60 Nold individuals (matched for demography, education, and gender) were taken from an international archive. The rsEEG rhythms were investigated at individual delta, theta, and alpha frequency bands, as well as fixed beta (14–30 Hz) and gamma (30–40 Hz) bands. Each group was stratified into three subgroups based on age ranges (i.e., tertiles).

Results: As compared to the younger Nold subgroups, the older one showed greater reductions in the rsEEG alpha rhythms with major topographical effects in posterior regions. On the contrary, in relation to the younger ADMCI subgroups, the older one displayed a lesser reduction in those rhythms. Notably, the ADMCI subgroups pointed to similar cerebrospinal fluid AD diagnostic biomarkers, gray and white matter brain lesions revealed by neuroimaging, and clinical and neuropsychological scores.

Conclusion: The present results suggest that age may represent a deranging factor for dominant rsEEG alpha rhythms in Nold seniors, while rsEEG alpha rhythms in ADMCI patients may be more affected by the disease variants related to earlier versus later onset of the AD.

Keywords: Aging, exact Low-resolution brain electromagnetic source tomography, mild cognitive impairment due to Alzheimer’s disease, resting state electroencephalographic rhythms

INTRODUCTION

In relaxed adults, staying in quiet wakefulness at eyes closed in a silent room is related to the so-called resting state electroencephalographic (rsEEG) rhythms [1]. The highest amplitude of these rhythms is observed in posterior areas at alpha frequencies (8–13 Hz) [1].

The rsEEG alpha rhythms reflect cortical neural synchronization mechanisms underpinning the inhibition of sensory, cognitive, and motor areas in the parietal, temporal, and occipital cortex during a condition of low vigilance [2, 3]. The higher the amplitude of alpha rhythms, the greater the cortical synchronization at alpha frequencies, and the higher the local cortical inhibition [1]. Sub-bands of these rhythms may have different functions. Alpha rhythms at low frequencies (8–10.5 Hz) may mainly reflect cortical neural synchronization mechanisms moderating brain arousal, expectancy, and readiness [4], whereas alpha rhythms at high frequencies (10.5–13 Hz) may mainly reflect those mechanisms moderating episodic memory processes [4].

As compared to rsEEG alpha rhythms, those oscillating at delta (1–4 Hz) and theta (4–7 Hz) frequencies show smaller amplitude. During event-related cognitive information processing, alpha rhythms disappear, while delta and theta increase amplitude, especially in frontal areas [5]. In parallel, faster beta (13–30 Hz) and gamma (30–70 Hz)

rhythms increase in amplitude over task-related cortical regions. These high-frequency rhythms may be enhanced by forebrain cholinergic inputs to the hippocampal, thalamocortical, and cortical neurons [6].

The mentioned rsEEG rhythms manifest changes in magnitude and frequencies along physiological aging and Alzheimer’s disease (AD) progression [1, 7–9]. Physiological aging is characterized by the following modifications in cognitively unimpaired healthy elderly (Nold) seniors: 1) decreased dominant frequency of alpha rhythms from about 9 to 8 Hz [10, 11]; 2) less evident alpha waveforms [12] associated with lower spectral power density in the alpha range [4, 7, 13, 14], especially in posterior regions [15]. During physiological aging, higher-frequency alpha sources in occipito-parietal regions spatially widen, while low-frequency alpha sources in occipito-temporal regions move anteriorly [11]; 3) smaller magnitude reactivity of alpha rhythms during the eyes opening [12, 14]; and 4) intermittent rsEEG rhythms at delta or theta frequencies, abnormally reactive during eyes opening [16].

Physiological aging is also characterized by contradictory effects on other rsEEG rhythms. Delta rhythms were reported as decreased [17], increased [14], or stable [18] in amplitude. Analogously, theta and beta rhythms were reported as decreased [19] or increased [7, 18].

AD-related pathological aging was characterized by significant changes in rsEEG rhythms, especially

91 at delta, theta, and alpha frequencies. As compared to cognitively unimpaired elderly (Nold)
92 seniors, patients with AD dementia (ADD) showed the following derangement in rsEEG rhythms: 1)
93 widespread decrease in the magnitude of alpha and beta rhythms; 2) widespread increase in the mag-
94 nitude of theta and delta rhythms; 3) decreased dominant alpha frequency to 8-7 Hz [20, 21]; and 4)
95 smaller magnitude reactivity of alpha rhythms during the eye opening [21].
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101 To investigate spatial features of the above ef-
102 fects, cortical sources of the rsEEG rhythms were
103 estimated. As compared to Nold controls, ADD
104 patients showed reduced parieto-occipital dominant
105 alpha source activity and increased low-frequency
106 (delta/theta) source activity in occipital, parietal, and
107 temporal areas, as a function of *APOE4*, cognitive
108 impairment, and structural brain impairment [22–29].
109

110 Similar changes in rsEEG rhythms were observed
111 in patients with amnesic mild cognitive impairment
112 (aMCI), typically having a high risk of progression
113 to ADD [8, 27]. It was reported that occipital theta
114 and frontal delta source activities were greater, and
115 alpha source activities were smaller in aMCI patients
116 than Nold seniors and elderly persons with subjective
117 memory complaints (SMC) [30]. Furthermore, alpha
118 source activities were smaller in aMCI patients who
119 showed the gene coding for cystatin C (CST3 B) or
120 *APOE4* carriers than in aMCI patients without those
121 carriers [31, 32]. Notably, it is well known that both
122 genotypes are associated with an increased risk of
123 ADD.

124 Summarizing, the above studies showed converg-
125 ing and robust evidence that rsEEG alpha rhythms
126 change their peak frequency and/or magnitude in
127 physiological aging and AD progression. However,
128 it is still poorly understood the interaction between
129 age and AD. It is expected based on previous neuro-
130 imaging studies carried out in young and older Nold
131 seniors and AD patients, as topographical (posterior
132 versus widespread) and frequency (delta-theta versus
133 alpha-beta) features of rsEEG rhythms were shown
134 to be related to structural and functional magnetic
135 resonance imaging (MRI) biomarkers of cortical
136 neurodegenerations in patients with mild cognitive
137 impairment due to Alzheimer's disease (ADMCI) and
138 ADD [25, 33]. Indeed, it was shown that structural
139 MRI evidence pointed to age effects on medial lobe
140 atrophy steeper in ADMCI and ADD patients than
141 Nold seniors (mean ages from 62 to 69 years) [34].
142 Furthermore, parietal and cingulate cortical atrophy
increased with age in ADMCI and Nold seniors,

143 while ADD patients showed the maximum atrophy
144 in those areas regardless the age [34]. Other MRI
145 evidence in Nold and ADD seniors ranging from
146 55 to 90 years (mean ages from 64 to 74 years)
147 unveiled an additive effect of aging and AD on the
148 gray matter (GM) atrophy in several regions; as an
149 exception, frontal areas showed differences in GM
150 atrophy more specific to the effect of age [35]. In
151 Nold seniors (mean age of 76 years), the same addic-
152 tive effect was observed among cerebrospinal fluid
153 (CSF) biomarkers of AD neuropathology, the func-
154 tioning of default mode network (DMN), and white
155 matter (WM) microstructure, the latter ones revealed
156 by structural and functional MRIs [36]. Furthermore,
157 positron emission tomography showed more rapid
158 increment and accumulation of tau in frontal areas
159 in ADD than Nold seniors ranging from 48 to 93
160 years (mean ages from 63 to 77 years) [37]. More-
161 over, it was shown that the hippocampus and the
162 amygdala pointed to greater impairment over time
163 in younger Nold senior with than without $\epsilon 4$ carriers
164 ranging from 55 to 75 years (mean ages from
165 71 to 72 years) [38]. This effect was not observed
166 in older Nold seniors and ADMCI patients ranging
167 from 80 to 92 years (mean ages from 82 to 84 years)
168 not progressing to dementia for 3 years [38].
169

170 The present retrospective and exploratory study
171 investigated a possible age and AD interaction on
172 rsEEG rhythms in Nold and ADMCI seniors. Specif-
173 ically, we tested the hypothesis that as compared to
174 the younger Nold seniors, the older ones may be asso-
175 ciated with slowing in the alpha peak frequency and
176 lower magnitude in rsEEG alpha rhythms as an effect
177 of physiological aging. Furthermore, in relation to
178 the younger ADMCI seniors, the older ones may be
179 related to the same changes predicted in the older
180 Nold seniors, plus effects induced by AD-related neu-
181 ropathology and neurodegeneration.
182

183 The experimental design for testing the above
184 hypothesis also considered the effect of the age fac-
185 tor on several relevant AD hallmarks: genetic (i.e.,
186 *APOE4*), cerebrospinal fluid (i.e., $A\beta_{42}$, t-tau, p-
187 tau, and $A\beta_{42}/p$ -tau), anthropometric (i.e., weight,
188 height, and body mass index), cardiocirculatory (i.e.,
189 systolic pressure, diastolic pressure, pulse pressure,
190 mean arterial pressure, and heart frequency), and neu-
191 roanatomical (i.e., volumetric and cerebrovascular).
192 Notably, previous studies investigating the effect of
193 age on rsEEG activity in the Nold and ADMCI groups
194 (see the above paragraphs for the references) did
195 not consider them altogether. Controlling those vari-
196 ables and separating the effects of age and AD on

rsEEG rhythms is important for the use of rsEEG biomarkers in clinical trials. These biomarkers are cost-effective and repeatable for the prediction and monitoring of clinical progression in ADMCI and ADD patients [39]. Especially for exploratory studies without the resources for serial recordings of structural and functional MRI or ^{18}F -fluorodeoxyglucose positron emission tomography (FDG-PET).

MATERIALS AND METHODS

The present study was developed based on the data of The PDWAVES Consortium (<http://www.pdwaves.eu>) with some datasets of the FP7-IMI “PharmaCog” (<http://www.pharmacog.org>) project. In the Web sites between brackets, one can find more details on the aims of the original investigations at the basis of present study, the context of the original data collection, and the previous publications by the present Consortium.

Participants and diagnostic criteria

To test the study hypotheses, we used the data of an international archive, formed by clinical, neuropsychological, anthropometric, genetic, CSF, MRI, and rsEEG markers in 60 Nold seniors (mean age: 69.5 ± 0.8 SE years; age range: 52–81 years 27 male; mean education: 10.3 ± 0.5 SE years; Mini-Mental State Evaluation (MMSE) score: 28.5 ± 0.1 SE) and 63 ADMCI patients (mean age: 69.7 ± 0.8 SE years; age range: 56–81 years 30 male; mean education: 10.7 ± 0.5 SE years; MMSE score: 25.2 ± 0.3 SE). The Nold and ADMCI groups were carefully matched for age, gender, and education. Statistical analyses ($p < 0.05$) were performed to evaluate the presence or absence of statistically significant differences ($p < 0.05$) between the two groups for the age (*T*-test), gender (Fisher test), educational attainment (*T*-test), and MMSE score (Mann Whitney U test). As expected, a statistically significant difference was found for the MMSE score ($p < 0.00001$), showing a higher score in the Nold than the ADMCI group. On the contrary, no statistically significant differences were found for the age, gender, and educational attainment between the groups ($p > 0.05$).

These subjects were recruited by the following Italian and Turkish clinical units: the Sapienza University of Rome (Italy), Institute for Research and Evidence-based Care (IRCCS) “Fatebenefratelli” of Brescia (Italy), IRCCS SDN of Naples (Italy), IRCCS Oasi Maria SS of Troina (Italy), IRCCS Ospedale

Policlinico San Martino and DINOEMI (University of Genova, Italy), Hospital San Raffaele of Cassino (Italy), IRCCS San Raffaele Pisana of Rome (Italy) and Medipol University of Istanbul (Turkey).

Local institutional Ethics Committees approved the present observational study. All experiments were performed with the informed and overt consent of each participant or caregiver, in line with the Code of Ethics of the World Medical Association (Declaration of Helsinki) and the standards established by the local Institutional Review Board.

The status of the ADMCI was based on the “positivity” to one or more of the following biomarkers: $\text{A}\beta_{1-42}$ /phospho-tau ratio ($\text{A}\beta_{42}$ /p-tau) in the CSF, FDG-PET, and structural MRI of the hippocampus, parietal, temporal, and posterior cingulate regions [40]. The “positivity” was judged by the physicians in charge for releasing the clinical diagnosis to the patients, according to the local diagnostic routine of the participating clinical Units.

The clinical inclusion criteria of the ADMCI patients were as follows: 1) age of 55–90 years; 2) reported memory complaints by the patient and/or a relative; 3) MMSE score of 24 or higher; 4) Clinical Dementia Rating score of 0.5 (CDR) [41]; 5) logical memory test [42] score of 1.5 standard deviations (SD) below the mean adjusted for age; the cognitive deficits did not have to significantly interfere with the functional independence in the activities of the daily living; 6) Geriatric Depression Scale (15-item GDS) [43] score of 5 or lower; 7) modified Hachinski ischemia [44] score of 4 or lower and education of 5 years or higher; and 8) single or multi-domain amnesic MCI status.

The clinical exclusion criteria of the ADMCI patients were as follows: 1) other significant systemic, psychiatric, and neurological illness; 2) any form of dementia or mixed dementia; 3) actual participation in a clinical trial using disease-modifying drugs; 4) systematic use of antidepressant drugs with anticholinergic side effects; 5) chronic use of neuroleptics, narcotics, analgesics, sedatives or hypnotics; 6) and anti-parkinsonian medications (cholinesterase inhibitors and memantine allowed); 7) diagnosis of epilepsy or report of seizures or epileptiform EEG signatures in the past, and 8) major depression disorders described in the Diagnostic and Statistical Manual of Mental Disorders (DSM-5).

In all ADMCI patients, AD-relevant CSF biomarkers were assessed in the framework of a neurobiological definition of AD in line with the NIA-AA Research Framework [45]. The CSF samples were

preprocessed, frozen, and stored in line with the Alzheimer's Association Quality Control Programme for CSF biomarkers [46]. Dedicated single-parameter colorimetric enzyme-linked immunosorbent assay ELISA kits (Innogenetics, Ghent, Belgium) were used to measure amyloid beta 1–42 (i.e., $A\beta_{42}$). Levels of the protein tau (i.e., total tau, t-tau) and a phosphorylated form of tau at residue 181 (i.e., p-tau) were also measured. From one frozen aliquot of CSF, the assays were run parallel according to the manufacturer's instructions. Each sample was assessed in duplicate. A sigmoidal standard curve was plotted to allow the quantitative expression (pg mL^{-1}) of measured light absorbance. All ADMCI patients of the present study were "positive" to the CSF $A\beta_{42}$ /p-tau biomarker with a threshold defined in a previous investigation of our Workgroup [47]. In that investigation, the cut-off of "positivity" to that CSF $A\beta_{42}$ /p-tau biomarker was 15.2 for *APOE4* carriers and 8.9 for *APOE4* non-carriers [47]. In the present study, all ADMCI patients with *APOE4* status had the CSF $A\beta_{42}$ /p-tau lower than 15.2, whereas the ADMCI patients without *APOE4* status had the CSF $A\beta_{42}$ /p-tau lower than 8.9.

Furthermore, in all ADMCI patients, relevant MRI markers were measured. All MRI scans were performed using 3.0 Tesla machines. The MRI protocol consisted of several acquisitions, including two anatomical T1, anatomical T2, fluid-attenuated inversion recovery (FLAIR), diffusion tensor imaging scans. Only anatomical T1 and FLAIR scans were available for all units and were analyzed in the present study.

In the centralized analysis of the MRIs, all data were visually inspected for quality assurance before the extraction of the MRI biomarkers. Specifically, we checked that there were no gross partial brain coverage errors and no major visible artefacts, including motion, wrap around, radio frequency interference, and signal intensity or contrast inhomogeneities. The two anatomical T1 scans were averaged, and the anatomical scans obtained were analyzed using FreeSurfer version 5.1.0 to automatically generate: 1) volumes of the total GM, total WM, caudate, putamen, pallidum, accumbens, hippocampus, amygdala, and lateral ventricle; 2) cortical thicknesses of the total and entorhinal cortex; and 3) WM hypointensity [48, 49]. The volumes were normalized with reference to the total intracranial volume (TIV). Furthermore, the FLAIR scan was analyzed using FMRIB Software Library (FSL) version 5.0.3 to evaluate WM lesions.

Furthermore, *APOE4* genotyping, anthropometric features (i.e., weight, height, and body mass index) and cardiocirculatory markers (i.e., systolic pressure, diastolic pressure, pulse pressure, mean arterial pressure, and heart frequency) were also measured.

In all ADMCI patients, the global cognitive status and the performance in various cognitive domains including, memory, language, executive function, planning, visuospatial function, and attention, were assessed. All ADMCI patients showed a significant reduction in the performance in at least one test of episodic memory, in most cases associated with a significant reduction in the performance at tests probing other cognitive domains. In the following, we report the neuropsychological tests administrated to the ADMCI patients in all clinical units of this study: 1) the global cognitive status was tested by the MMSE and the Alzheimer's Disease Assessment Scale–Cognitive Subscale (ADAS-Cog) [50, 51]; 2) the episodic memory was assessed by the immediate and delayed recall of Rey Auditory Verbal Learning Test [52]; 3) the executive functions and attention were evaluated by the Trail making test (TMT) parts A and B [53]; 4) the language was tested by 1-min Verbal fluency test for letters [54] and 1-min Verbal fluency test for category (fruits, animals, or car trades) [54]; and 5) planning abilities and visuospatial functions were assessed by Clock drawing and copy test [55].

All Nold seniors underwent an interview and cognitive screening (including MMSE and GDS) as well as physical and neurological examinations to exclude subjective memory complaints (SMC), cognitive deficits, and mood disorders. All Nold seniors had the MMSE score equal to or greater than 27, a CDR score equal to 0, and a GDS score lower than the threshold of 5 (no depression) or were evaluated as having no depression after an interview with a physician or clinical psychologist at the time of the enrolment. The Nold seniors with a history of previous or present neurological or psychiatric disease were also excluded. Furthermore, the Nold seniors affected by any chronic systemic illnesses (e.g., diabetes mellitus) were excluded, as were the Nold seniors taking chronically psychoactive drugs. Unfortunately, MRI, CSF, *APOE4* genotyping, anthropometric and cardiocirculatory markers were not available for Nold seniors.

Stratification of Nold seniors and ADMCI patients according to the age in tertiles

To test the effect of the aging on the rsEEG activations, the enrolled Nold seniors and ADMCI patients

Table 1

Mean values (\pm standard error of the mean, SE) of the demographic and clinical data as well as the results of their statistical comparisons ($p < 0.05$) in the healthy cognitively unimpaired (Nold) seniors and patients with Alzheimer's disease and mild cognitive impairment (ADMCI), stratified according to the age in youngest age tertile (Nold 1st tertile, $N = 20$; ADMCI 1st tertile, $N = 21$), median age tertile (Nold 2nd tertile, $N = 20$; ADMCI 2nd tertile, $N = 21$), and oldest age tertile (Nold 3rd tertile, $N = 20$; ADMCI 3rd tertile, $N = 21$). MMSE, Mini-Mental State Evaluation; M/F, males/females; n.s., not significant ($p > 0.05$ corrected)

Demographic and clinical data in Nold seniors and ADMCI patients				
	Nold 1st tertile	Nold 2nd tertile	Nold 3rd tertile	Statistical analysis
<i>N</i>	20	20	20	-
Age (y)	62.5 \pm 0.8 SE	69.2 \pm 0.5 SE	77.1 \pm 0.5 SE	ANOVA: $F = 141.8$, $p < 0.000001$
Range of age (y)	52–66	67–73	74–81	
Gender (M/F)	8/12	9/11	10/10	Freeman Halton test: n.s.
Education (y)	10.4 \pm 0.9 SE	10.2 \pm 0.8 SE	10.3 \pm 0.9 SE	ANOVA: n.s.
MMSE	28.8 \pm 0.2 SE	28.4 \pm 0.3 SE	28.4 \pm 0.2 SE	Kruskal-Wallis ANOVA: n.s.
	ADMCI 1st tertile	ADMCI 2nd tertile	ADMCI 3rd tertile	
<i>N</i>	21	21	21	-
Age (y)	62.6 \pm 0.8 SE	70.0 \pm 0.3 SE	76.5 \pm 0.5 SE	ANOVA: $F = 132.8$, $p < 0.000001$
Range of age (y)	56–67	68–72	73–81	
Gender (M/F)	10/11	10/11	10/11	Freeman Halton test: n.s.
Education (y)	10.8 \pm 0.9 SE	10.8 \pm 1.0 SE	10.6 \pm 0.9 SE	ANOVA: n.s.
MMSE	25.2 \pm 0.4 SE	25.4 \pm 0.5 SE	25.2 \pm 0.3 SE	Kruskal-Wallis ANOVA: n.s.

were stratified according to the age in the following tertiles: youngest age tertile (Nold 1st tertile, age range: 52–66 years, $N = 20$; ADMCI 1st tertile, age range: 56–67 years, $N = 21$), median age tertile (Nold 2nd tertile, age range: 67–73 years, $N = 20$; ADMCI 2nd tertile, age range: 68–72 years, $N = 21$), and oldest age tertile (Nold 3rd tertile, age range: 74–81 years, $N = 20$; ADMCI 3rd tertile, age range: 73–81 years, $N = 21$). This arbitrary stratification allowed us to obtain to test the main study hypothesis with three subgroups of Nold seniors and three subgroups of ADMCI patients matched as mean age, mean education attainment, and gender. Furthermore, the three ADMCI subgroups were also matched as global cognitive status as revealed by the MMSE score. As reported in the following, we also performed a control analysis using age as a continuous variable to cross-validate the results.

Table 1 summarizes the most relevant demographic (i.e., age, gender, and education attainment) and clinical (i.e., MMSE score) features in the Nold and ADMCI tertiles. Furthermore, Table 1 reports the results of the presence or absence of statistically significant differences (exploratory $p < 0.05$ uncorrected) among the tertiles for both Nold and ADMCI groups (i.e., Nold 1st tertile versus Nold 2nd tertile versus Nold 3rd tertile; ADMCI 1st tertile versus ADMCI 2nd tertile versus ADMCI 3rd tertile) for the age (ANOVA), gender (Freeman Halton test), education attainment (ANOVA), and MMSE score (Kruskal-Wallis ANOVA). As expected, based on

the stratification criterion, a statistically significant age difference was found among the tertiles for both Nold and ADMCI groups considered separately (Nold: $F = 141.8$, $p < 0.000001$; ADMCI: $F = 132.8$, $p < 0.000001$). On the contrary, no statistically significant differences were found for the education, gender, and MMSE score among the tertiles for both Nold and ADMCI groups, considered separately ($p > 0.05$). Furthermore, no statistically significant differences were found for the age, education, and gender between Nold 1st tertile versus ADMCI 1st tertile, Nold 2nd tertile versus ADMCI 2nd tertile, and Nold 3rd tertile versus ADMCI 3rd tertile ($p > 0.05$).

Moreover, in all ADMCI patients, the use of selective serotonin reuptake inhibitors (SSRIs), selective serotonin and noradrenaline reuptake inhibitors (SNRIs), benzodiazepines (BZDs), non-benzodiazepines GABA acting agent (No BZDs), acetylcholinesterase inhibitors (AChEIs), and N-methyl-D-aspartate receptors (NMDARs) was controlled. The ADMCI patients using those drugs could take their medications immediately after rsEEG experiments, planned in the late morning. Therefore, they just delayed the assumption of their medications for few hours than their normal routine. Table 2 reports information about the use of the above drug classes in ADMCI patients. Furthermore, Table 2 reports the number and the percentages of the ADMCI patients of the 1st, 2nd, and 3rd tertile assuming the above-mentioned drug classes. No statistically

Table 2

Number and percentages of ADMCI patients of the 1st (youngest age, $N=21$), 2nd (median age, $N=21$), and 3rd (oldest age, $N=21$) tertile assuming the selective serotonin reuptake inhibitors (SSRIs), selective serotonin and noradrenaline reuptake inhibitors (SNRIs), benzodiazepines (BZDs), non-benzodiazepines GABA acting agent (No-BZDs), acetylcholinesterase inhibitors (AChEIs), and N-methyl-D-aspartate receptors (NMDARs). Type of drugs received by the ADMCI patients of the present study and the presence or absence of statistically significant differences (Freeman Halton test, $p < 0.05$ corrected) among the ADMCI tertiles are also reported. n.s., not significant ($p > 0.05$ corrected)

DRUGS	ADMCI 1st tertile N (%) Type	ADMCI 2nd tertile N (%) Type	ADMCI 3rd tertile N (%) Type	Freeman Halton test
Selective serotonin reuptake inhibitors (SSRIs)	6 (28.6%) Citalopram Sertraline	6 (28.6%) Citalopram Escitalopram	5 (23.8%) Citalopram Escitalopram	n.s.
Selective serotonin and noradrenaline reuptake inhibitors (SNRIs)	1 (4.8%) Duloxetine	5 (23.8%) Duloxetine Venflaxine	1 (4.8%) Venflaxine	n.s.
Benzodiazepines (BZDs)	1 (4.8%) Alprazolam	1 (4.8%) Alprazolam	2 (9.5%) Alprazolam	n.s.
Non benzodiazepine GABA acting agents (No-BZDs)	0 (0%)	0 (0%)	0 (0%)	n.s.
Acetylcholinesterase inhibitors (AChEIs)	5 (23.8%) Donepezil Galantamine Rivastigmine	3 (14.3%) Donepezil	4 (19%) Donepezil	n.s.
N-methyl-D-aspartate receptors (NMDARs)	0 (0%)	0 (0%)	1 (4.8%)	n.s.
All drugs	9 (42.9%)	12 (57.1%)	11 (52.4%)	n.s.

significant difference was found among the ADMCI tertile in the use of the above medications even when a marginal threshold of $p < 0.05$ uncorrected was used.

The resting state electroencephalographic recordings

The rsEEG activity was recorded while the participants were relaxed with eyes closed and seated on a comfortable reclined chair in a silent room with dim lights. Instructions encouraged the participants to experience quiet wakefulness with muscle relaxation, no voluntary movements, no talking, and no development of systematic goal-oriented mentalization during the rsEEG recording. Rather, a quiet wondering mode of mentalization was kindly required. The participants, including the ADMCI patients, did not experience any significant difficulties following those instructions.

In all participants, the (eyes-closed) rsEEG recordings lasted about 3–5 min. Considering all clinical recording units, the rsEEG data were recorded with a sampling frequency of 128–512 Hz and related antialiasing bandpass between 0.01 Hz and 60–100 Hz. The electrode montage included 19 scalp monopolar sensors placed following the 10–20 System (i.e., O1, O2, P3, Pz, P4, T3, T5, T4, T6, C3, Cz, C4, F7, F3, Fz, F4, F8, Fp1, and Fp2; Fig. 1). A frontal ground electrode was used, while cephalic or linked earlobe electrodes were used as electric references according to local methodological facilities and

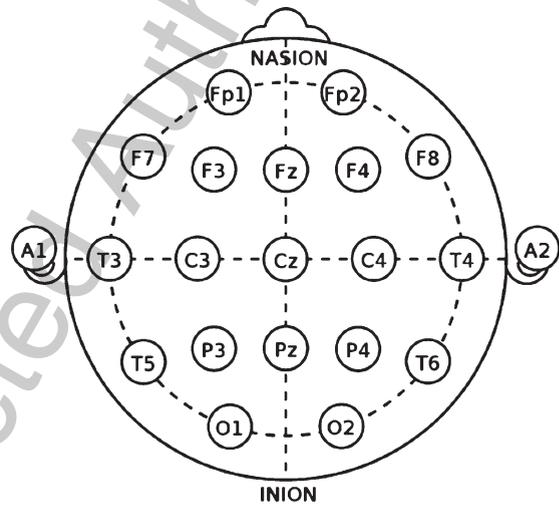


Fig. 1. Electroencephalographic (EEG) electrode montage. The electrode montage included 19 scalp monopolar sensors placed following the 10–20 System (i.e., O1, O2, P3, Pz, P4, T3, T5, T4, T6, C3, Cz, C4, F7, F3, Fz, F4, F8, Fp1, and Fp2).

standards. Electrode impedances were kept below 5 k Ω . Vertical and horizontal electro-oculographic (EOG) potentials (0.3–70 Hz bandpass) were recorded to control eye movements and blinking.

The preliminary rsEEG data analysis

The preliminary analysis of the recorded rsEEG activity followed the same procedures of previous rsEEG investigations performed in aMCI patients by

our Workgroup [56, 57] to compare the results across the various studies.

For this analysis, the rsEEG data were re-sampled to a sampling frequency of 128 Hz and divided into epochs of 2 s and analyzed offline. The rsEEG epochs affected by any physiological (ocular/blinking, muscular, cardiac, and head movements) or non-physiological (sweat, bad contact between electrodes and scalp, etc.) artifacts were identified and discarded by the visual analysis of two experts of EEG signals (C.D.P., G.N., S.L. or R.L.). In this visual analysis, the contamination of rsEEG rhythms with the ocular activity (i.e., blinking) was mainly evaluated in the frontal electrodes (i.e., F7, F3, Fz, F4, F8, Fp1, and Fp2), comparing the EOG and EEG traces. Head movement artefacts were detected based on their typical features, such as a sudden and great increase in amplitude in the form of very slow EEG waves in all scalp electrodes. Muscle tension artefacts were recognized by observing the effects of several frequency bandpass filters in different ranges and examining rsEEG power density spectra. These artefacts were reflected by unusually high and stable values of rsEEG power density from 30 to 100 Hz, which contrast with the typical declining trend of rsEEG power density from 25 Hz onward in artifact-free EEG traces. The experimenters also detected rsEEG epochs with signs of sleep intrusion (even if the rsEEG recordings lasted few minutes), such as progressive amplitude increase of frontal theta rhythms, followed by K complexes, sleep spindles, vertex sharp waves, and slow waves. Furthermore, the two experimenters carefully rejected rsEEG epochs associated with behavioral annotations taken during the experiments (e.g., report of participant's drowsiness, opened eyes, arm/hand movements, or experimenter's verbal warnings, etc.).

As a result of the above procedures, the artifact-free epochs showed the same proportion of the total amount of rsEEG activity recorded in all Nold and ADMCI subgroups (>80%). In particular, the mean of artifact-free rsEEG epochs were 132 (± 3 SE; 88.3%) in the Nold 1st tertile, 129 (± 4 SE; 86.4%) in the Nold 2nd tertile, 132 (± 3 SE; 88.0%) in the Nold 3rd tertile, 128 (± 3 SE; 85.4%) in the ADMCI 1st tertile, 133 (± 3 SE; 88.6%) in the ADMCI 2nd tertile, and 126 (± 4 SE; 83.6%) in the ADMCI 3rd tertile. An ANOVA, including the factors Group (Nold and ADMCI) and Age (1st tertile, 2nd tertile, 3rd tertile), showed no statistically significant difference ($p > 0.05$) in the amount of the artifact-free rsEEG epochs between the two groups (Nold versus

ADMCI) as well as the age tertiles (1st tertile versus 2nd tertile versus 3rd tertile). The mean lengthiness of the artifact-free rsEEG activity was >4 min for each group, ensuring the reliability of the rsEEG alpha power density [58, 59].

Scalp power density of rsEEG rhythms

For each ADMCI and Nold participant, the global normalized rsEEG power density at the scalp electrode level was evaluated. In detail, the procedure was performed as follows:

- (1) A standard digital FFT-based power spectrum analysis (Welch technique, Hanning windowing function, no phase shift) computed the absolute scalp power density of rsEEG rhythms with 0.5 Hz of frequency resolution at each electrode (i.e., 19 electrodes of the 10–20 montage system) and frequency bin (i.e., 0.5–45 Hz) from all artifact-free rsEEG epochs.
- (2) The scalp rsEEG power density at each electrode and frequency bin was normalized to the mean value obtained averaging the scalp rsEEG power density across all frequency bins and scalp electrodes.
- (3) The “global” scalp normalized rsEEG power density at each frequency bin was calculated averaging the normalized scalp rsEEG power density values across all 19 electrodes of the 10–20 montage system.
- (4) The global scalp normalized rsEEG power density values at each frequency band of interest were averaged to obtain the frequency band values. The rsEEG frequency bands of interest were individually identified based on the following frequency landmarks, namely the transition frequency (TF) and individual alpha frequency peak (IAFp) [4]. In the rsEEG power density spectrum, the TF marks the transition frequency between the theta and alpha bands, defined as the minimum of the rsEEG power density between 3 and 8 Hz (between the delta and the alpha power peak). The IAFp is defined as the maximum power density peak between 6 and 14 Hz. These frequency landmarks were previously well described by Dr. Wolfgang Klimesch [4, 60, 61]. The TF and IAFp were computed for each subject involved in the study. Based on the TF and IAFp, we estimated the individual delta, theta, and alpha

bands as follows: delta from TF -4 Hz to TF -2 Hz, theta from TF -2 Hz to TF, alpha 1 from TF to the frequency midpoint of the TF-IAFp range, alpha 2 from the frequency midpoint of the TF-IAFp range to IAFp, and alpha 3 IAFp to IAFp +2 Hz. The other bands were defined based on the standard fixed frequency ranges used in previous field studies of our Workgroup [56]: beta 1 from 14 to 20 Hz, beta 2 from 20 to 30 Hz, and gamma from 30 to 40 Hz.

Figure 2 shows two rsEEG epochs lasting 2 s each, one relative to a Nold participant and the other to an ADMCI patient. The rsEEG activity is plotted for all scalp electrodes. As an example of the general methodology, Fig. 2 also shows global EEG power density spectra averaged across all electrodes for these two rsEEG epochs.

The estimation of rsEEG cortical sources by low-resolution brain electromagnetic tomography (eLORETA) freeware

We used the official freeware tool called exact low-resolution brain electromagnetic tomography (eLORETA) for the linear estimation of the cortical source activity generating scalp-recorded rsEEG rhythms [62]. The present implementation of eLORETA uses a spherical head volume conductor model composed of the scalp, skull, and brain. In the scalp compartment, exploring electrodes can be virtually positioned to give EEG data as an input to the source estimation [62]. The brain model is based on a realistic cerebral shape taken from a template typically used in neuroimaging studies, namely that of the Montreal Neurological Institute (MNI152 template).

The input for eLORETA source estimation is artifact-free EEG epochs with 19 scalp electrodes, placed according to the 10–20 montage system. The output is the set of estimates of neural ionic currents in the brain source space formed by 6,239 voxels with 5 mm resolution, restricted to the cortical GM of the spherical head volume conductor model. In that cortical source space, an equivalent current dipole is in each voxel. For each voxel, the eLORETA package provides the Talairach coordinates, the cortical lobe, and the Brodmann area (BA).

The eLORETA freeware solves the so-called EEG inverse problem estimating “neural” current density values at any cortical voxel of the mentioned spherical head volume conductor model. The solutions are computed at all rsEEG frequency bin-by-frequency

bin (0.5 Hz as frequency resolution, namely, the maximum frequency resolution allowed by the use of 2-s artifact-free EEG epochs).

In line with the general low spatial resolution of the present EEG methodological approach (i.e., 19 scalp electrodes), we performed a regional analysis of the eLORETA solutions. The following six lobar macroregions of interest (ROIs) were considered: frontal (Brodmann area, BA 8, 9, 10, 11, 44, 45, 46, and 47), central (BA 1, 2, 3, 4, and 6), parietal (BA 5, 7, 30, 39, 40, and 43), occipital (BA 17, 18, and 19), temporal (BA 20, 21, 22, 37, 38, 41, and 42), and limbic (BA 31, 32, 33, 34, 35, 36). Remarkably, the eLORETA solution for each lobar ROI was obtained by the average of the normalized eLORETA current density values estimated at all single voxels included in that ROI. For example, the eLORETA solution for the temporal ROI was obtained by the average of the normalized eLORETA current density values estimated at all voxels included in the BA 20, 21, 22, 37, 38, 41, and 42 of the bilateral temporal lobes. As a second example, the eLORETA solution for the occipital ROI was obtained by the same principle for the BA 17, 18, and 19 of the bilateral occipital lobes.

Statistical analyses

Two statistical sessions were performed by the commercial tool STATISTICA 10 (StatSoft Inc., <http://www.statsoft.com>) to test the main study hypotheses. In all statistical sessions, an ANOVA was computed using the global scalp normalized rsEEG power density or regional normalized eLORETA current density as a dependent variable ($p < 0.05$). In the ANOVA models, the complexity of the present ANOVA designs, including the factors Group X Age X Band X ROI (from 24 to 144 levels), basically considered the number of participants ($N = 123$).

It is well-known that the use of ANOVA models implies that dependent variables approximate Gaussian distributions, so we tested this feature in the global scalp normalized rsEEG power densities and regional normalized eLORETA current densities of interest by Kolmogorov-Smirnov test. The hypothesis of Gaussian distributions was tested at $p > 0.05$ (i.e., $p > 0.05 = \text{Gaussian}$, $p \leq 0.05 = \text{non-Gaussian}$). As the distributions of the global scalp normalized rsEEG power densities and regional normalized eLORETA current densities were not Gaussian in all cases, those variables underwent the log-10 transformation and re-tested. Such a transformation is a popular method to transform skewed

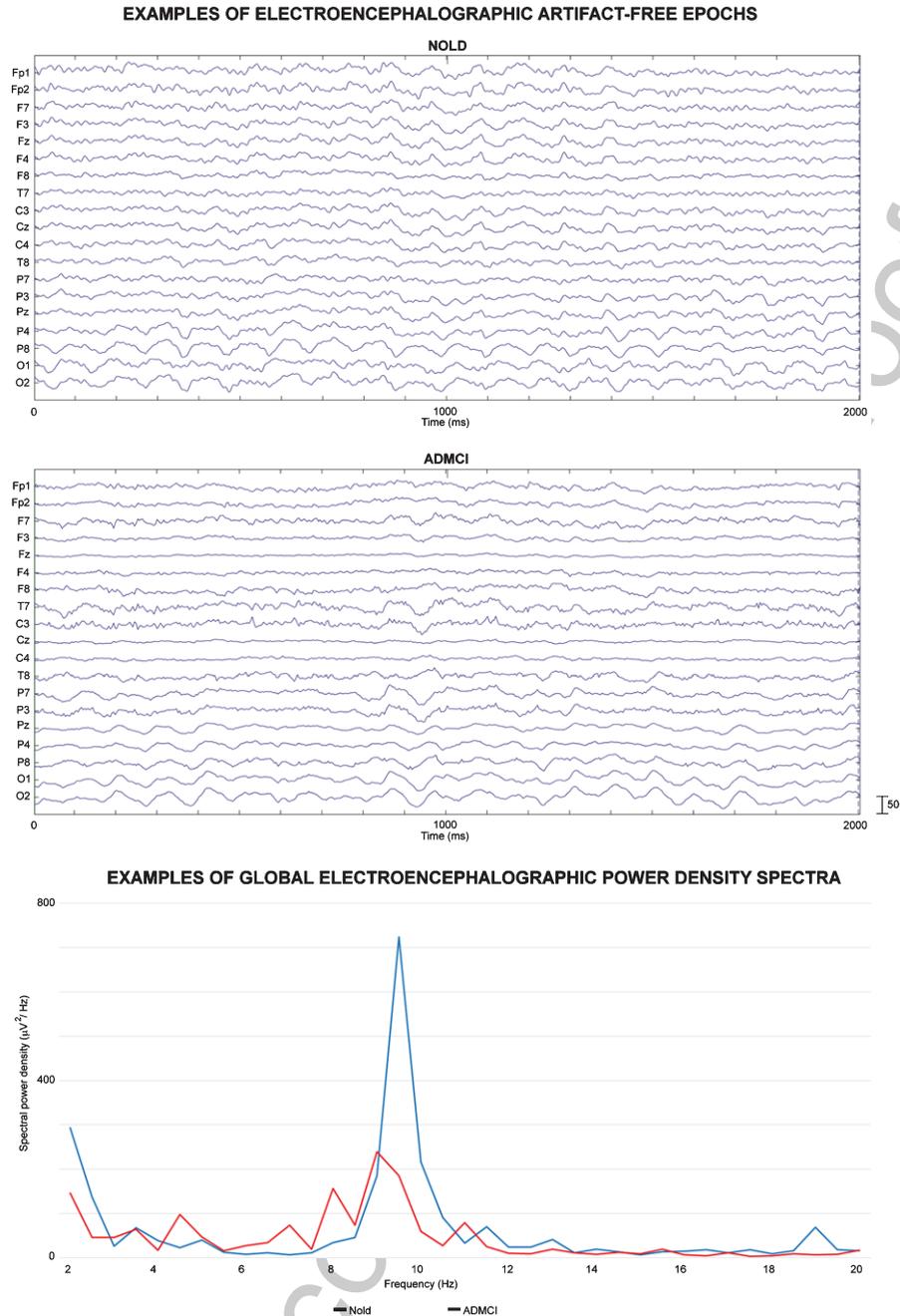


Fig. 2. Examples of artifact-free resting state eyes-closed electroencephalographic (rsEEG) epoch of 2 seconds in a healthy cognitively unimpaired (Nold) senior and a patient with Alzheimer’s disease and mild cognitive impairment (ADMCI). The EEG traces of 19 scalp monopolar sensors (i.e., 10–20 System O1, O2, P3, Pz, P4, T3, T5, T4, T6, C3, Cz, C4, F7, F3, Fz, F4, F8, Fp1, and Fp2). For each of them, the figure also shows rsEEG power density spectra obtained averaging solutions at all scalp electrodes.

696 data distribution with all positive values (as global
 697 scalp normalized rsEEG power densities and regional
 698 normalized eLORETA current densities are) to Gaus-
 699 sian distributions, thus augmenting the reliability
 700 of the ANOVA results. Indeed, the outcome of the

procedure approximated the distributions of all global
 scalp normalized rsEEG power densities and regional
 normalized eLORETA current densities to Gaussian
 distributions ($p > 0.05 = \text{Gaussian}$), allowing the use
 of the ANOVA model.

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Mauchly's test evaluated the sphericity assumption, and degrees of freedom were corrected by the Greenhouse-Geisser procedure when appropriate ($p < 0.05$). Duncan test was used for *post-hoc* comparisons ($p < 0.05$, corrected for multiple comparisons as explained in the following).

The results of the following statistical analyses were controlled by the iterative (leave-one-out) Grubbs' test detecting for the presence of one or more outliers in the distribution of the global scalp normalized rsEEG power densities and regional normalized eLORETA current densities of interest. The null hypothesis of the non-outlier status was tested at the arbitrary threshold of $p > 0.001$ to remove only individual values with a high probability to be outliers.

In the first statistical session, an ANOVA evaluated the hypothesis that the global rsEEG scalp power density may be related to the aging in the Nold seniors and ADMCI patients. The ANOVA factors were Group (Nold and ADMCI), Age (1st tertile, 2nd tertile, 3rd tertile), and Band (delta, theta, alpha 2, and alpha 3). The TF, IAFp, and sites of the clinical units were used as covariates (these units contributed to the database with balanced percentages ranging from about 10% to 20%). The confirmation of the hypothesis would require: 1) a statistically significant ANOVA interaction including the factors Group and Band ($p < 0.05$) and 2) a *post-hoc* Duncan test indicating statistically significant ($p < 0.05$ Bonferroni corrected) differences in the global rsEEG scalp power density between the two Nold and ADMCI groups (i.e., between-group differences: Nold \neq ADMCI, $p < 0.05$ Bonferroni corrected) and the age tertiles (i.e., within-group differences 1st tertile \neq 2nd tertile \neq 3rd tertile, $p < 0.05$ Bonferroni corrected).

The second statistical session probed the spatial features of the expected effects, with the low resolution and the exploratory mode allowed using only 19 scalp exploring electrodes (10–20 System). In this statistical session, an ANOVA evaluated the hypothesis that the rsEEG source activities (i.e., regional normalized eLORETA current densities) may be related to the aging in the Nold seniors and ADMCI patients. The ANOVA factors were Group (Nold and ADMCI), Age (1st tertile, 2nd tertile, 3rd tertile), Band (delta, theta, alpha 2, and alpha 3), and ROI (frontal, central, parietal, occipital, temporal, and limbic). The TF, IAFp and different clinical units were used as covariates. The confirmation of the hypothesis would require: 1) a statistically significant

ANOVA interaction including the factors Group and Band ($p < 0.05$) and 2) a *post-hoc* Duncan test indicating statistically significant ($p < 0.05$ Bonferroni corrected) differences in the rsEEG source activities (i.e., regional normalized eLORETA current densities) between the two groups (i.e., between-group differences: Nold \neq ADMCI, $p < 0.05$ Bonferroni corrected) and the age tertiles (i.e., within-group differences 1st tertile \neq 2nd tertile \neq 3rd tertile, $p < 0.05$ Bonferroni corrected).

RESULTS

Control markers in the ADMCI 1st tertile versus ADMCI 2nd tertile versus ADMCI 3rd tertile

Table 3 reports the most relevant clinical (i.e., GDS, CDR, and Hachinski Ischemic Score), genetic (i.e., *APOE4* genotyping), cerebrospinal fluid (i.e., A β ₄₂, t-tau, p-tau, and A β ₄₂/p-tau), anthropometric (i.e., weight, height, and body mass index), and cardiocirculatory (i.e., systolic pressure, diastolic pressure, pulse pressure, mean arterial pressure, and heart frequency) features in the ADMCI 1st tertile, ADMCI 2nd tertile, and ADMCI 3rd tertile. Table 3 also reports the results of the presence or absence of statistically significant differences ($p < 0.05$) among the ADMCI tertiles (i.e., ADMCI 1st tertile versus ADMCI 2nd tertile versus ADMCI 3rd tertile) for the above mentioned clinical (ANOVA), genetic (Chi-square test), cerebrospinal fluid (ANOVA), anthropometric (ANOVA), and cardiocirculatory (ANOVA) markers. To consider the inflating effects of repetitive univariate tests, the statistical threshold was set at $p < 0.003125$ one tail (i.e., 16 markers, $p < 0.05/16 = 0.003$) to obtain the Bonferroni correction at $p < 0.05$. Statistically significant differences were found neither considering that correction ($p > 0.003$) nor ignoring that correction ($p > 0.05$ uncorrected).

Table 4 reports the mean values (\pm SE) of the following neuropsychological tests in the ADMCI 1st tertile, ADMCI 2nd tertile, and ADMCI 3rd tertile: ADAS-Cog, Rey Auditory Verbal Learning Test (immediate and delayed recall), TMT B-A, Verbal fluency for letters, Verbal fluency for category, Clock drawing, and Clock copy. Furthermore, Table 4 includes the cut-off (threshold) scores defining the abnormality of patients as measured by the above-mentioned neuropsychological tests [54, 63, 64] and the percentage of patients with abnormal scores for each tertile. For example, an ADAS-Cog score equal

Table 3

Mean values (\pm SE) of the clinical (i.e., Geriatric Depression Scale, Clinical Dementia Rating, and Hachinski Ischemic Score), genetic (i.e., Apolipoprotein E genotyping, *APOE*), cerebrospinal fluid (i.e., beta amyloid 1–42, $A\beta_{42}$; protein tau, t-tau; phosphorylated form of protein tau, p-tau; and $A\beta_{42}$ /p-tau ratio), anthropometric (i.e., weight, height, and body mass index), and cardio-circulatory (i.e., systolic pressure, diastolic pressure, pulse pressure, mean arterial pressure, and heart frequency) variables as the results of their statistical comparisons ($p < 0.05$ corrected) in the ADMCI patients stratified according to the age in the youngest age tertile (ADMCI 1st tertile, $N=21$), median age tertile (ADMCI 2nd tertile, $N=21$), and oldest age tertile (ADMCI 3rd tertile, $N=21$). In line with the inclusion criteria, all ADMCI patients had CDR score of 0.5, GDS score ≤ 5 , and Hachinski Ischemic Score ≤ 4 . n.s., not significant ($p > 0.05$ corrected)

Clinical, genetic (<i>APOE</i>), cerebrospinal fluid, anthropometric, and cardiocirculatory markers in ADMCI patients				
	ADMCI 1st tertile	ADMCI 2nd tertile	ADMCI 3rd tertile	Statistical analysis
<i>Clinical markers</i>				
Geriatric depression scale	2.6 \pm 0.4 SE	2.6 \pm 0.4 SE	2.5 \pm 0.3 SE	ANOVA: n.s.
Clinical dementia rating	0.5 \pm 0.0 SE	0.5 \pm 0.0 SE	0.5 \pm 0.0 SE	ANOVA: n.s.
Hachinski ischemic score	0.6 \pm 0.2 SE	0.8 \pm 0.1 SE	1.1 \pm 0.2 SE	ANOVA: n.s.
<i>Genetic marker</i>				
Apolipoprotein E genotyping	0 ϵ 2/ ϵ 3 3 ϵ 3/ ϵ 3 1 ϵ 2/ ϵ 4 13 ϵ 3/ ϵ 4 4 ϵ 4/ ϵ 4	1 ϵ 2/ ϵ 3 4 ϵ 3/ ϵ 3 1 ϵ 2/ ϵ 4 11 ϵ 3/ ϵ 4 4 ϵ 4/ ϵ 4	1 ϵ 2/ ϵ 3 6 ϵ 3/ ϵ 3 0 ϵ 2/ ϵ 4 14 ϵ 3/ ϵ 4 0 ϵ 4/ ϵ 4	Chi-square test: n.s.
<i>Cerebrospinal fluid markers (pg/mL)</i>				
$A\beta_{42}$ (pg/mL)	532 \pm 31 SE	484 \pm 28 SE	481 \pm 28 SE	ANOVA: n.s.
p-tau (pg/mL)	82 \pm 8 SE	89 \pm 10 SE	86 \pm 8 SE	ANOVA: n.s.
t-tau (pg/mL)	616 \pm 83 SE	584 \pm 75 SE	698 \pm 116 SE	ANOVA: n.s.
$A\beta_{42}$ /p-tau (pg/mL)	7.7 \pm 0.8 SE	6.6 \pm 0.7 SE	6.6 \pm 0.8 SE	ANOVA: n.s.
<i>Anthropometric markers</i>				
Weight (kg)	67 \pm 2 SE	70 \pm 3 SE	72 \pm 2 SE	ANOVA: n.s.
Height (mm)	165 \pm 2 SE	166 \pm 2 SE	168 \pm 2 SE	ANOVA: n.s.
Body mass index (BMI)	24.4 \pm 0.8 SE	25.2 \pm 0.9 SE	25.8 \pm 0.7 SE	ANOVA: n.s.
<i>Cardiocirculatory markers</i>				
Systolic pressure (mmHg)	134 \pm 3 SE	136 \pm 3 SE	135 \pm 3 SE	ANOVA: n.s.
Diastolic pressure (mmHg)	76 \pm 2 SE	79 \pm 1 SE	79 \pm 2 SE	ANOVA: n.s.
Pulse pressure (mmHg)	58 \pm 3 SE	57 \pm 3 SE	56 \pm 3 SE	ANOVA: n.s.
Mean arterial pressure (MAP, mmHg)	95 \pm 2 SE	98 \pm 2 SE	97 \pm 2 SE	ANOVA: n.s.

Table 4

Mean values (\pm SE) of the neuropsychological scores (i.e., ADAS-Cog, Rey Auditory Verbal Learning Test immediate recall, Rey Auditory Verbal Learning Test delayed recall, Trail Making Test part B-A, Verbal fluency for letters, Verbal fluency for category, Clock drawing, and Clock copy) as well as the results of their statistical comparisons (ANOVA on log-10 transformed data; $p < 0.05$ corrected) in the ADMCI patients stratified according to the age in the youngest age tertile (ADMCI 1st tertile, $N=21$), median age tertile (ADMCI 2nd tertile, $N=21$), and oldest age tertile (ADMCI 3rd tertile, $N=21$). The cut-off scores of the neuropsychological tests are also reported. ADAS-Cog, Alzheimer's Disease Assessment Scale-Cognitive Subscale; RAVLT, Rey Auditory Verbal Learning Test; TMT B-A, Trail Making Test Part B-A; n.s., not significant ($p > 0.05$ corrected)

Neuropsychological markers in ADMCI patients					
	Cut-off of abnormality	ADMCI 1st tertile Mean \pm SE (% subjects with abnormal score)	ADMCI 2nd tertile Mean \pm SE (% subjects with abnormal score)	ADMCI 3rd tertile Mean \pm SE (% subjects with abnormal score)	ANOVA
ADAS-Cog	< 17	19.7 \pm 1.5 SE (70.0%)	21.6 \pm 1.5 SE (65.0%)	23.2 \pm 2.0 SE (72.2%)	n.s.
RAVLT, immediate recall	< 28.53	29.0 \pm 2.5 SE (42.9%)	30.0 \pm 2.0 SE (52.4%)	28.0 \pm 2.3 SE (52.4%)	n.s.
RAVLT, delayed recall	< 17	3.8 \pm 0.6 SE (76.2%)	3.5 \pm 0.6 SE (71.4%)	4.1 \pm 0.8 SE (57.1%)	n.s.
Trail making test B-A	> 187	129 \pm 17 SE (30%)	129 \pm 13 SE (23.8%)	157 \pm 17 SE (35.0%)	n.s.
Clock drawing	< 4.69	3.7 \pm 0.3 SE (66.7%)	4.1 \pm 0.3 SE (76.2%)	3.6 \pm 0.3 SE (57.1%)	n.s.
Clock copy	> 3	4.4 \pm 0.3 SE (71%)	4.7 \pm 0.1 SE (95.2%)	4.6 \pm 0.1 SE (90.5%)	n.s.
Letter fluency	< 17	34.2 \pm 2.3 SE (4.8%)	33.4 \pm 2.2 SE (4.8%)	29.8 \pm 2.4 SE (9.5%)	n.s.
Letter category	< 25	32.6 \pm 2.6 SE (30%)	37.9 \pm 2.2 SE (0%)	27.1 \pm 2.8 SE (47.6%)	n.s.

($F = 4.6$, $p < 0.05$,
2nd tertile > 3rd
tertile)

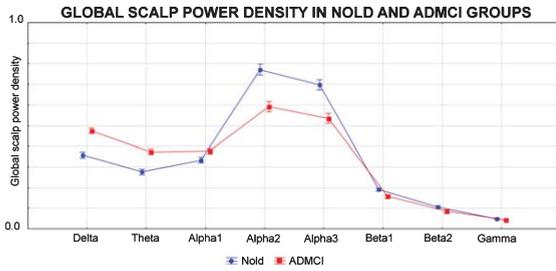


Fig. 3. Global scalp normalized power density values (mean across subjects, log-10 transformed) of rsEEG rhythms recorded in the groups of Nold ($N=60$) and ADMCI patients ($N=63$). Those values were computed for delta, theta, alpha 1, alpha 2, alpha 3, beta 1, beta 2, and gamma frequency bands.

to or lower than 17 is classified as “normal”, whereas an ADAS-Cog score higher than 17 is usually considered to be “abnormal” (indicating a possible cognitive impairment); the percentage of patients with abnormal ADAS-Cog score (i.e., ADAS-Cog score higher than 17) was 70% for the ADMCI 1st tertile, 65% for the ADMCI 2nd tertile, and 72.2% for the ADMCI 3rd tertile. Table 4 also includes the results of the presence or absence of statistically significant differences (ANOVA; log-10 transformed data) among the ADMCI tertiles (i.e., ADMCI 1st tertile versus ADMCI 2nd tertile versus ADMCI 3rd tertile) for the neuropsychological tests used. To consider the inflating effects of repetitive univariate tests, the statistical threshold was set at $p < 0.00625$ (i.e., 8 neuropsychological tests, $p < 0.05/8 = 0.00625$) to obtain the Bonferroni correction at $p < 0.05$ one tail. No statistically significant differences were found considering that correction ($p > 0.00625$). Furthermore, a worsening of the Verbal fluency for category was found in the ADMCI 3rd tertile compared to the ADMCI 2nd tertile using an explorative statistical threshold of $p < 0.05$ uncorrected ($F = 4.6$, $p < 0.05$).

RsEEG scalp rhythms in the Nold versus ADMCI groups

Figure 3 shows the mean values (\pm SE, log-10 transformed) of rsEEG global scalp normalized power densities relative for two groups (Nold and ADMCI) and eight bands (delta, theta, alpha 1, alpha 2, alpha 3, beta 1, beta 2, and gamma). In the Nold group, the global scalp normalized power densities showed maximum magnitude at the alpha 2 and alpha 3 bands. Delta, theta, and alpha 1 global scalp normalized power densities showed a moderate magnitude when compared to that of alpha 2 and alpha 3 values.

Table 5

Mean values (\pm SE) of TF and IAFp computed from rsEEG power density spectra in the Nold seniors and ADMCI patients stratified according to the age in the youngest age tertile (Nold 1st tertile and ADMCI 1st tertile), median age tertile (Nold 2nd tertile and ADMCI 2nd tertile), and oldest age tertile (Nold 3rd tertile and ADMCI 3rd tertile)

Transition frequency (TF) and individual alpha frequency peak (IAFp) in Nold seniors and ADMCI patients			
	Nold 1st tertile	Nold 2nd tertile	Nold 3rd tertile
TF	5.9 \pm 0.2 SE	6.1 \pm 0.2 SE	6.2 \pm 0.2 SE
IAFp	9.5 \pm 0.2 SE	9.4 \pm 0.2 SE	9.1 \pm 0.2 SE
	ADMCI 1st tertile	ADMCI 2nd tertile	ADMCI 3rd tertile
	TF	5.3 \pm 0.3 SE	5.5 \pm 0.3 SE
IAFp	8.2 \pm 0.4 SE	8.4 \pm 0.4 SE	8.7 \pm 0.3 SE

Finally, beta 1, beta 2, and normalized power densities were characterized by lowest magnitude. As compared to the Nold group, the ADMCI group showed a substantial decrease in the global scalp normalized power densities at the alpha 2 and alpha 3 bands. Furthermore, the ADMCI group showed a substantial increase in the global scalp normalized power densities at the delta and theta bands in line with previous evidence in ADMCI and ADD patients [20, 21] and confirmed the selection of delta, theta, alpha 2, and alpha3 bands for the following statistical analyses.

TF and IAFp in the Nold and ADMCI tertiles

Table 5 reports the mean values of TF and IAFp for the Nold (i.e., Nold 1st tertile, Nold 2nd tertile, and Nold 3rd tertile) and ADMCI (i.e., ADMCI 1st tertile, ADMCI 2nd tertile, ADMCI 3rd tertile) tertiles. The TF mean was 5.9 Hz (\pm 0.2 SE) in the Nold 1st tertile, 6.1 Hz (\pm 0.2 SE) in the Nold 2nd tertile, 6.2 Hz (\pm 0.2 SE) in the Nold 3rd tertile, 5.3 Hz (\pm 0.3 SE) in the ADMCI 1st tertile, 5.5 Hz (\pm 0.3 SE) in the ADMCI 2nd tertile, and 5.5 Hz (\pm 0.2 SE) in the ADMCI 3rd tertile. Furthermore, the IAFp mean was 9.5 Hz (\pm 0.2 SE) in the Nold 1st tertile, 9.4 Hz (\pm 0.2 SE) in the Nold 2nd tertile, 9.1 Hz (\pm 0.2 SE) in the Nold 3rd tertile, 8.2 Hz (\pm 0.4 SE) in the ADMCI 1st tertile, 8.4 Hz (\pm 0.4 SE) in the ADMCI 2nd tertile, and 8.7 Hz (\pm 0.3 SE) in the ADMCI 3rd tertile.

Two ANOVAs ($p < 0.05$) were performed to evaluate the presence or absence of statistically significant differences ($p < 0.05$) among the two groups and the age tertiles for the TF and IAFp. The ANOVA factors were Group (Nold and ADMCI) and Age (1st tertile, 2nd tertile, 3rd tertile). Both ANOVAs showed

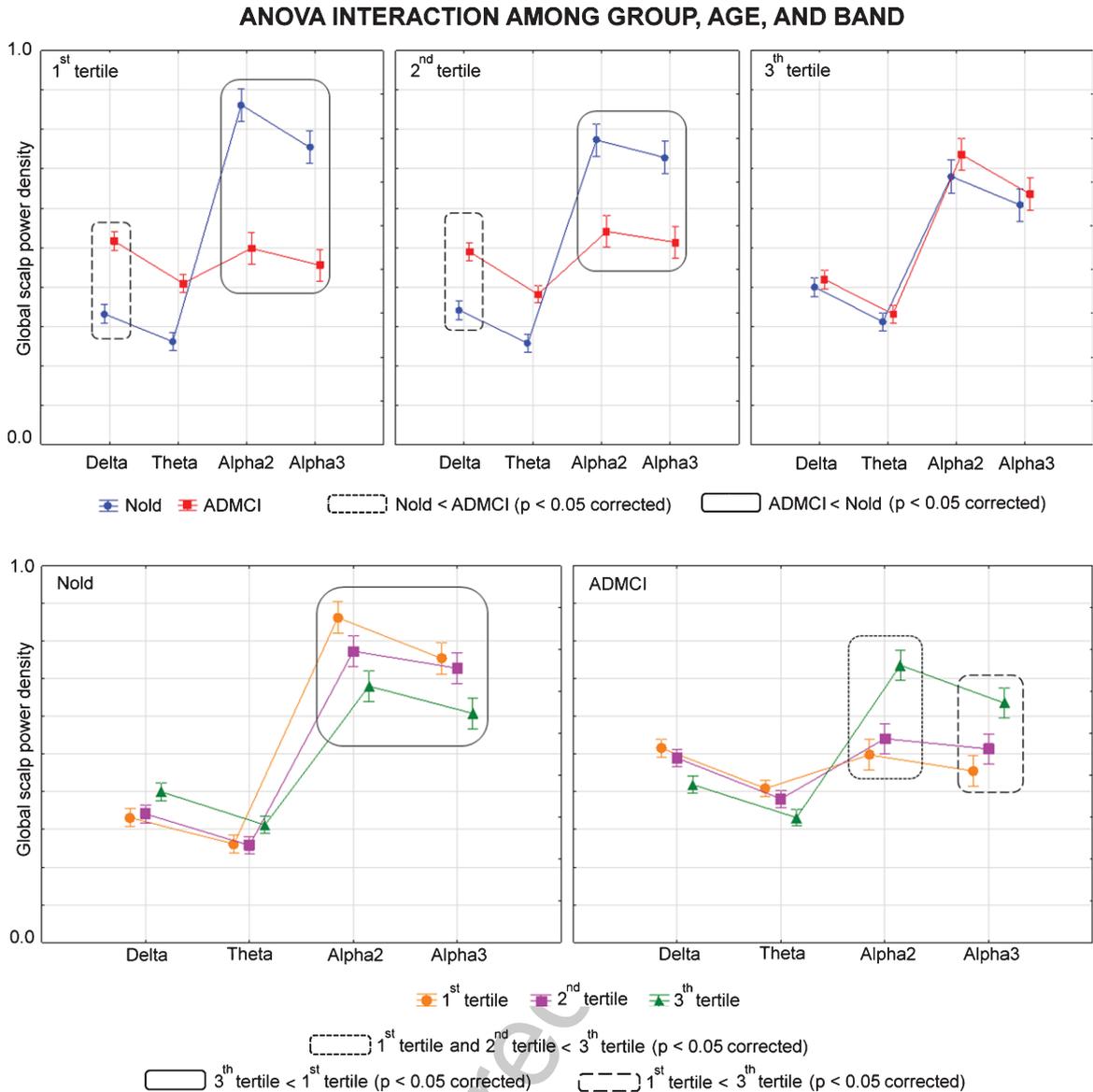


Fig. 4. Global scalp normalized rsEEG power density values (mean across subjects, log-10 transformed) about a statistical ANOVA interaction ($F=12.4$, $p<0.00001$) among the factors Group (Nold and ADMCI), Age (1st tertile, 2nd tertile, and 3rd tertile), and Band (delta, theta, alpha 2, and alpha 3). This ANOVA design used the global scalp normalized power densities as a dependent variable. The between-group (Nold versus ADMCI; *top figure*) and within-group (1st tertile versus 2nd tertile versus 3rd tertile; *bottom figure*) differences are illustrated. Legend: the rectangles indicate the frequency bands in which the global scalp normalized power densities statistically presented a significant difference among the two groups and the age tertiles ($p < 0.05$ corrected = $p < 0.002$).

876 a statistically significant main effect for the fac-
877 tor Group (TF: $F=9.3$, $p < 0.005$; IAFp: $F=13.3$,
878 $p < 0.0005$), indicating that the TF and IAFp mean
879 values were lower in the ADMCI than the Nold group.

880 These findings confirm that the alpha rhythms were
881 slower in frequencies in the ADMCI group (about
882 6–10 Hz) than the Nold group (about 7–11 Hz).
883 Therefore, the use of a fixed alpha frequency band

884 at about 8–12 Hz would have penalized the cortical
885 alpha source estimates in the ADMCI group.

886 *RsEEG scalp rhythms in the Nold and ADMCI* 887 *tertiles*

888 Figure 4 shows the mean values (\pm SE, log-10
889 transformed) of the global rsEEG scalp normalized

Table 6

Size effect by Cohen's d as well as sample size by an alpha level of 0.05 and the desired power of 0.8 for the global scalp normalized power densities showing statistically significant ($p < 0.05$ corrected) between-group (Nold versus ADMCI) or within-group (1st tertile versus 2nd tertile versus 3rd tertile) differences

	Global scalp normalized power density	Size effect	Sample size
Nold 1st tertile versus ADMCI 1st tertile	Delta	-1.70	7
	Alpha 2	2.35	4
	Alpha 3	1.84	6
Nold 2nd tertile versus ADMCI 2nd tertile	Delta	-1.26	11
	Alpha 2	1.21	12
	Alpha 3	1.12	14
Nold 1st tertile versus Nold 3rd tertile	Alpha 2	1.29	11
	Alpha 3	1.15	13
ADMCI 1st tertile versus ADMCI 3rd tertile	Alpha 2	-1.17	13
	Alpha 3	-0.86	23
ADMCI 2nd tertile versus ADMCI 3rd tertile	Alpha 2	-0.88	22

power density relative to a statistically significant ANOVA interaction effect ($F = 12.4$, $p < 0.00001$) among the factors Group (Nold and ADMCI), Age (1st tertile, 2nd tertile, 3rd tertile), and Band (delta, theta, alpha 2, and alpha 3).

The Fig. 4 (top) illustrates the between-group differences (Nold versus ADMCI). The Duncan planned *post-hoc* ($p < 0.05$ Bonferroni correction for 2 groups X 3 age X 4 frequency bands, $p < 0.05/24 = 0.002$) testing showed that: 1) for the 1st tertile and 2nd tertile, the discriminant pattern Nold < ADMCI was fitted by the delta ($p < 0.001$) global scalp normalized power densities; and 2) for the 1st tertile and 2nd tertile, the discriminant pattern Nold > ADMCI was fitted by the alpha 2 ($p < 0.00001$) and alpha 3 ($p < 0.00001$) global scalp normalized power densities. No statistically significant between-group differences were observed for the 3rd tertile ($p > 0.002$).

The Fig. 4 (bottom) illustrates the within-group differences (1st tertile versus 2nd tertile versus 3rd tertile). The Duncan planned *post-hoc* ($p < 0.05$ corrected = $p < 0.002$) showed that: 1) for the Nold group, the discriminant pattern 1st tertile > 3rd tertile was fitted by the alpha 2 ($p < 0.0001$) and alpha 3 ($p < 0.002$) global scalp normalized power densities; and 2) for the ADMCI group, the discriminant pattern 1st tertile and 2nd tertile < 3rd tertile was fitted by the alpha 2 ($p < 0.00005$) global scalp normalized power densities; 3) for the ADMCI group, the discriminant pattern 1st tertile < 3rd tertile was fitted by the alpha 2 ($p < 0.0001$) global scalp normalized power densities.

Table 6 reports the size effect by Cohen's d and sample size by an alpha level of 0.05 and the desired

power of 0.8 for the global scalp normalized power densities showing statistically significant ($p < 0.05$ corrected = $p < 0.002$) between-group (Nold versus ADMCI) or within-group (1st tertile versus 2nd tertile versus 3rd tertile) differences. The sample sizes ranged from 4 to 23, in line with the number of participants in the present Nold and ADMCI groups.

Of note, the above findings were not due to outliers from those individual global scalp normalized power densities (log-10 transformed), as shown by Grubbs' test with an arbitrary threshold of $p > 0.001$ (see Fig. 5).

RsEEG source activities in the Nold and ADMCI tertiles

Figure 6 shows the mean values (\pm SE, log-10 transformed) of rsEEG source activities (i.e., regional normalized eLORETA current densities) relative to a statistically significant ANOVA interaction effect ($F = 6.5$ $p < 0.0001$) among the factors Group (Nold and ADMCI), Age (1st tertile, 2nd tertile, 3rd tertile), Band (delta, theta, alpha 2, and alpha 3), and ROI (frontal, central, parietal, occipital, temporal, and limbic).

The Fig. 6 (top) illustrates the between-group differences (Nold versus ADMCI). The Duncan planned *post-hoc* ($p < 0.05$ Bonferroni correction for 2 groups X 3 age X 4 frequency bands X 6 ROIs, $p < 0.05/144 = 0.000347$) testing showed that: () for the 1st tertile, the discriminant pattern Nold < ADMCI was fitted by the frontal ($p < 0.00001$), occipital ($p < 0.0005$), and temporal ($p < 0.00001$) delta source activities; 2) for the 1st tertile, the discriminant pattern Nold > ADMCI was fitted by the central ($p < 0.0001$), parietal ($p < 0.00001$), occipital ($p < 0.00001$), temporal ($p < 0.00001$), and limbic ($p < 0.00001$) alpha 2 source activities as well as the central ($p < 0.0001$), parietal ($p < 0.00001$), occipital ($p < 0.00001$), temporal ($p < 0.00001$), and limbic ($p < 0.00001$) alpha 3 source activities; 3) for the 2nd tertile, the discriminant pattern Nold > ADMCI was fitted by the parietal ($p < 0.00001$), occipital ($p < 0.00001$), and limbic ($p < 0.0001$) alpha 2 source activities as well as the parietal ($p < 0.00001$), occipital ($p < 0.00001$), and limbic ($p < 0.0001$) alpha 3 source activities.

The Fig. 6 (bottom) illustrates the within-group differences (1st tertile versus 2nd tertile versus 3rd tertile). The Duncan planned *post-hoc* ($p < 0.05$ corrected = $p < 0.000347$) showed that: 1) for the Nold group, the discriminant pattern 1st tertile and 2nd

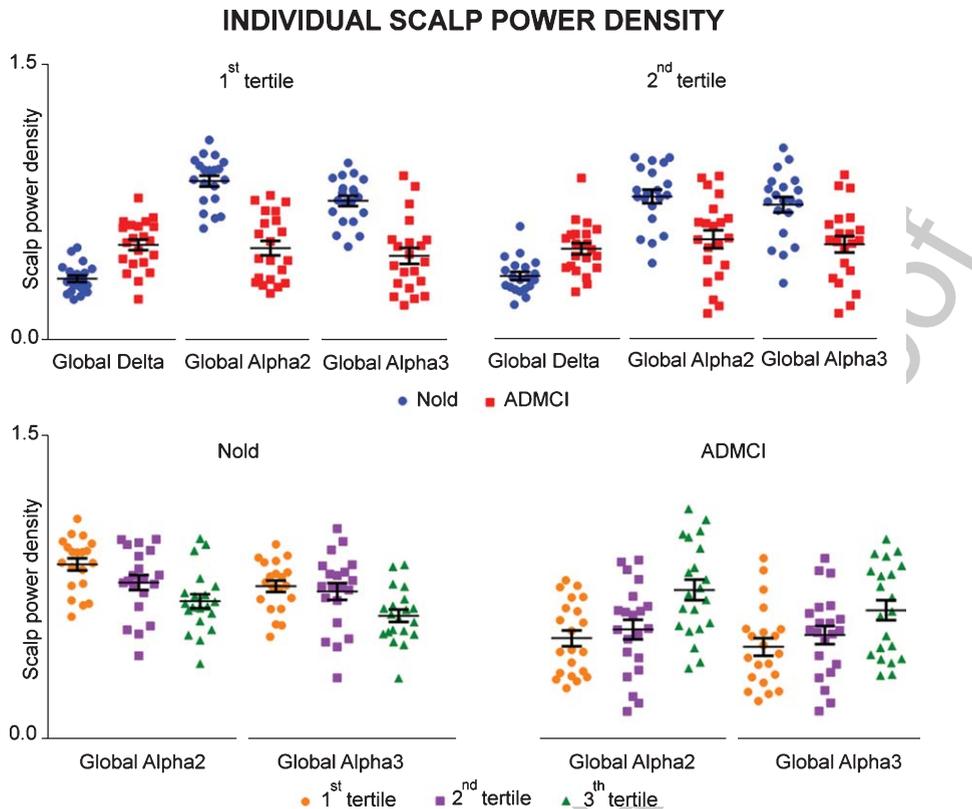


Fig. 5. Individual values (log-10 transformed) of the global scalp normalized rsEEG power densities showing statistically significant ($p < 0.05$ corrected = $p < 0.002$) between-group (Nold versus ADMCI) and within-group (1st tertile versus 2nd tertile versus 3rd tertile) differences.

tertile > 3rd tertile was fitted by the occipital alpha 2 ($p < 0.00001$) and alpha 3 ($p < 0.00001$) source activities; 2) the discriminant pattern 1st tertile > 3rd tertile was fitted by the parietal ($p < 0.00001$) and temporal ($p < 0.00001$) alpha 2 source activities as well as parietal ($p < 0.0001$) alpha 3 source activities; 3) for the ADMCI group, the discriminant pattern 1st tertile and 2nd tertile < 3rd tertile was fitted by the occipital ($p < 0.00001$) and temporal ($p < 0.00001$) alpha 2 source activities.

Table 7 reports the size effect by Cohen's d as well as the sample size by an alpha level of 0.05 and the desired power of 0.8 for the rsEEG source activities (i.e., regional normalized eLORETA current densities) showing statistically significant ($p < 0.05$ corrected = $p < 0.000347$) between-group (Nold versus ADMCI) or within-group (1st tertile versus 2nd tertile versus 3rd tertile) differences.

Of note, these findings were not due to outliers from those individual regional normalized eLORETA current densities (log-10 transformed), as shown by Grubbs' test with an arbitrary threshold of $p > 0.001$ (see Fig. 7).

Results of the control analyses

A first control analysis ($p < 0.05$ corrected) was performed to evaluate whether the above-described relationships between the rsEEG scalp variables and aging in the Nold seniors and the ADMCI patients may also be observed using standardized fixed delta, theta, and alpha frequency bands. To address this issue, the procedure was performed as follows: 1) for each Nold and ADMCI subjects, the global scalp normalized rsEEG power density values at each fixed frequency band of interest were averaged to obtain the frequency band values. The rsEEG fixed frequency bands of interest were delta from 2 to 4 Hz, theta from 4 to 8 Hz, low-frequency alpha from 8 to 10.5 Hz, and high-frequency alpha from 10.5 to 13 Hz; 2) For each fixed frequency band of interest, the rsEEG source activities (i.e., regional normalized eLORETA solutions) were log 10 transformed to make them Gaussian before the subsequent parametric statistical analysis; 3) An ANOVA was computed having the global scalp normalized rsEEG power density

1017 as a dependent variable ($p < 0.05$). The ANOVA
 1018 factors were Group (Nold and ADMCI), Age (1st
 1019 tertile, 2nd tertile, 3rd tertile), and Band (delta, theta,
 1020 low-frequency alpha, and high-frequency alpha).
 1021 The different clinical units were used as a covariate.
 1022 The results showed a statistically significant

interaction effect ($F = 5.5$; $p < 0.0001$; see Fig. 8)
 among the three factors. Figure 8 (top) illustrates the
 between-group differences (Nold versus ADMCI).
 The Duncan planned *post-hoc* ($p < 0.05$ Bonferroni
 correction for 2 groups X 3 age X 4 frequency
 bands, $p < 0.05/24 = 0.002$) testing showed that for

1023
 1024
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ANOVA INTERACTION AMONG GROUP, AGE, BAND AND, ROI

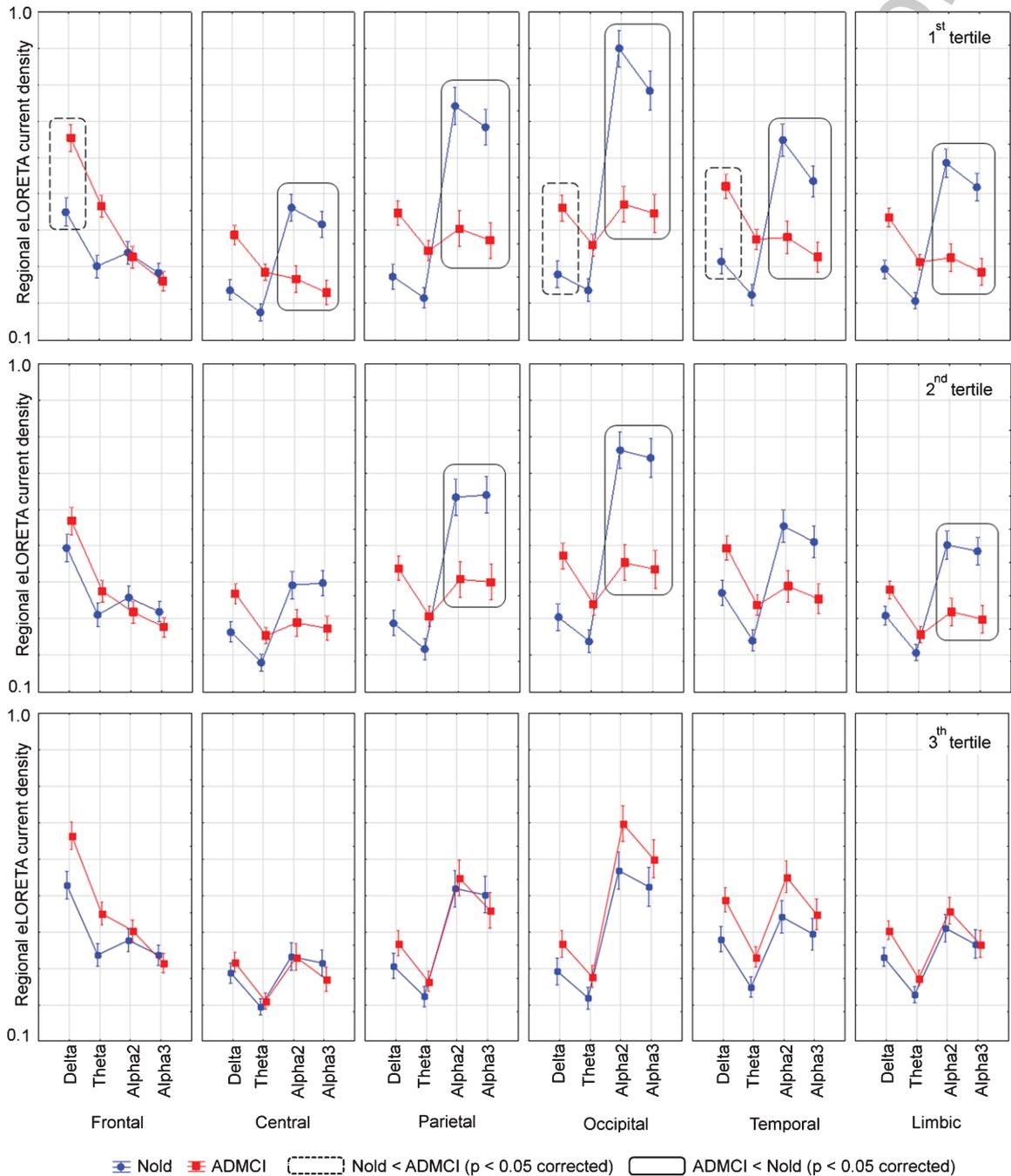


Fig. 6. (Continued)

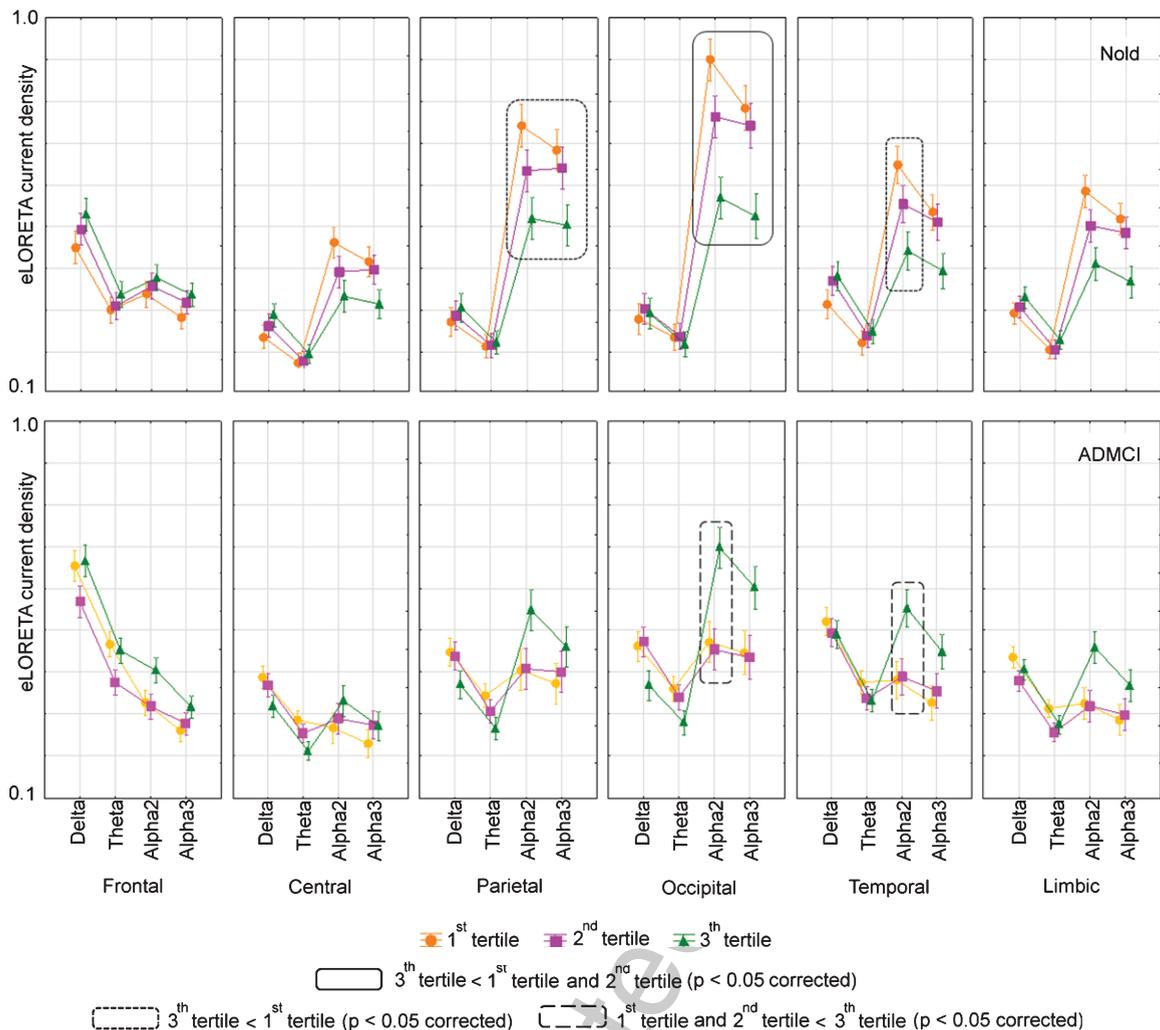


Fig. 6. Regional normalized exact low-resolution brain electromagnetic source tomography (eLORETA) solutions (mean across subjects, log-10 transformed) modeling cortical sources of eyes-closed rsEEG rhythms relative to a statistical ANOVA interaction ($F = 6.5$ $p < 0.0001$) among the factors Group (Nold and ADMCI), Age (1st tertile, 2nd tertile, and 3rd tertile), Band (delta, theta, alpha 2, and alpha 3), and Region of interest, ROI (central, frontal, parietal, occipital, temporal, and limbic). This ANOVA design used the regional normalized eLORETA solutions as a dependent variable. The between-group (Nold versus ADMCI; *top figure*) and within-group (1st tertile versus 2nd tertile versus 3rd tertile; *bottom figure*) differences are illustrated. Legend: the rectangles indicate the cortical regions and frequency bands in which the eLORETA solutions statistically presented a significant difference among the two groups and the age tertiles ($p < 0.05$ corrected = $p < 0.00035$).

1029 the 1st tertile and 2nd tertile, the discriminant pattern
 1030 Nold > ADMCI was fitted by the low-frequency alpha
 1031 global scalp normalized power densities ($p < 0.0001$).
 1032 No statistically significant between-group differences
 1033 were observed for the 3rd tertile ($p > 0.002$).
 1034 Figure 8 (bottom) illustrates the within-group differ-
 1035 ences (1st tertile versus 2nd tertile versus 3rd
 1036 tertile). The Duncan planned *post-hoc* ($p < 0.002$)
 1037 showed that: (i) for the Nold group, the discriminant
 1038 pattern 1st tertile > 3rd tertile was fitted by the low-
 1039 frequency global scalp normalized power densities

($p < 0.0005$); for the ADMCI group, the discrimi-
 1040 nant pattern 1st tertile < 3rd tertile was fitted by the
 1041 low-frequency global scalp normalized power densi-
 1042 ties ($p < 0.0005$). Of note, these findings were not
 1043 due to outliers from those individual global scalp
 1044 normalized power densities (log-10 transformed),
 1045 as shown by Grubbs' test with an arbitrary thresh-
 1046 old of $p > 0.001$. Overall, the results of the first
 1047 control analysis with fixed rsEEG frequency bands
 1048 confirmed most of the age-related effects on the
 1049 global rsEEG power density in the Nold and ADMCI
 1050

Table 7

Size effect by Cohen's d as well as sample size by an alpha level of 0.05 and the desired power of 0.8 for the rsEEG source activities (i.e., regional normalized eLORETA current densities) showing statistically significant ($p < 0.05$ corrected) between-group (Nold versus ADMCI) or within-group (1st tertile versus 2nd tertile versus 3rd tertile) differences

	Normalized eLORETA current density	Size effect	Sample size
Nold 1st tertile versus ADMCI 1st tertile	Frontal delta	-1.43	9
	Occipital delta	-1.06	16
	Temporal delta	-1.53	8
	Central alpha 2	1.14	14
	Parietal alpha 2	1.54	8
	Occipital alpha 2	1.91	6
	Temporal alpha 2	1.31	10
	Limbic alpha 2	1.54	8
	Central alpha 3	1.21	12
	Parietal alpha 3	1.37	10
	Occipital alpha 3	1.32	11
	Temporal alpha 3	1.12	14
	Limbic alpha 3	1.35	10
	Nold 2nd tertile versus ADMCI 2nd tertile	Parietal alpha 2	1.13
Occipital alpha 2		1.44	9
Limbic alpha 2		1.22	12
Parietal alpha 3		1.14	14
Nold 1st tertile versus Nold 3rd tertile	Occipital alpha 3	1.30	11
	Limbic alpha 3	1.21	12
	Parietal alpha 2	1.02	17
	Occipital alpha 2	1.84	6
Nold 2nd tertile versus Nold 3rd tertile	Temporal alpha 2	1.10	15
	Parietal alpha 3	0.77	28
	Occipital alpha 3	1.22	12
ADMCI 1st tertile versus ADMCI 3rd tertile	Occipital alpha 2	0.96	19
	Occipital alpha 3	1.04	16
ADMCI 2nd tertile versus ADMCI 3rd tertile	Occipital alpha 2	-0.88	22
	Temporal alpha 2	-0.78	27
	Occipital alpha 2	-1.06	16
	Temporal alpha 2	-0.78	27

groups observed using the individual rsEEG frequency bands.

A second control analysis ($p < 0.05$ corrected) was performed to confirm that the above differences among ADMCI tertiles in the rsEEG scalp and eLORETA source variables may be not due to 1) the global neurodegeneration of the cerebral cortex; 2) the neurodegeneration of particular cerebral structures as the mesial temporal cortex, basal ganglia, and lateral ventricle; and 3) cerebrovascular lesions. In that control analysis, we evaluated whether the MRI markers reflecting those pathological brain processes may differ among ADMCI 1st tertile, ADMCI 2nd tertile, and ADMCI 3rd tertile ($p < 0.05$ corrected for multiple comparisons as explained in the following).

For each ADMCI patient, the procedure was performed as follows: 1) the MRI markers included (i) the total GM and WM volumes (normalized with the total intracranial volume) and the total cortical thickness; (ii) the volumes of caudate, putamen, pallidum, accumbens, hippocampus, amygdala, and lateral ventricle (normalized with the total intracranial volume), and the thicknesses of the entorhinal cortex; and (iii) the WM hypointensity and WM lesions (see Table 8); 2) these MRI markers were log-10 transformed to make them Gaussian before the subsequent parametric statistical analysis; 3) ANOVAs were computed to evaluate the presence or absence of statistically significant differences among ADMCI tertiles for the above-mentioned MRI markers. To consider the inflating effects of multiple univariate tests, the statistical threshold was set at $p < 0.0038$ (i.e., 13 MRI markers, $p < 0.05/13 = 0.0038$) to obtain the Bonferroni correction at $p < 0.05$ on tail. No statistically significant differences were found considering the Bonferroni correction ($p > 0.0038 = 0.05$ corrected). Using an explorative statistical threshold of $p < 0.05$ uncorrected, a decrease in the total GM volume and total cortical thickness as well as an increase in the WM hypointensity were found in the ADMCI 3rd tertile as compared to the ADMCI 2nd tertile and ADMCI 1st tertile (total GM volume: $F = 3.2$, $p < 0.05$; total cortical thickness: $F = 4.3$, $p < 0.05$; WM hypointensity: $F = 5.5$, $p < 0.01$). Figure 9 plots the individual values, the group means, and the SE of the total GM volume, the total cortical thickness, and the WM hypointensity in the three subgroups of the ADMCI patients (1st, 2nd, and 3rd tertiles).

To further confirm that the above differences in the global rsEEG scalp power density among the ADMCI tertiles may be not due to the global neurodegeneration of the cerebral cortex and cerebrovascular lesions, we also performed a third control analysis. In that control analysis, we implemented the following procedure: 1) the enrolled ADMCI patients were stratified into two subgroups, respectively, based on the low and high normalized total GM volume (GM- and GM+), normalized WM volume (WM- and WM+), cortical thickness (THICK- and THICK+), and WM hypointensity (WM-Hypo- and WM-Hypo+); 2) Four ANOVAs were computed having the global scalp normalized rsEEG power density as a dependent variable ($p < 0.05$). The ANOVA factors were MRI level (GM- and GM+; WM- and WM+; THICK- and THICK+; WM-Hypo- and WM-Hypo+), Age (1st tertile, 2nd tertile, 3rd tertile), and Band (delta, theta, alpha 2, and alpha 3). Education,

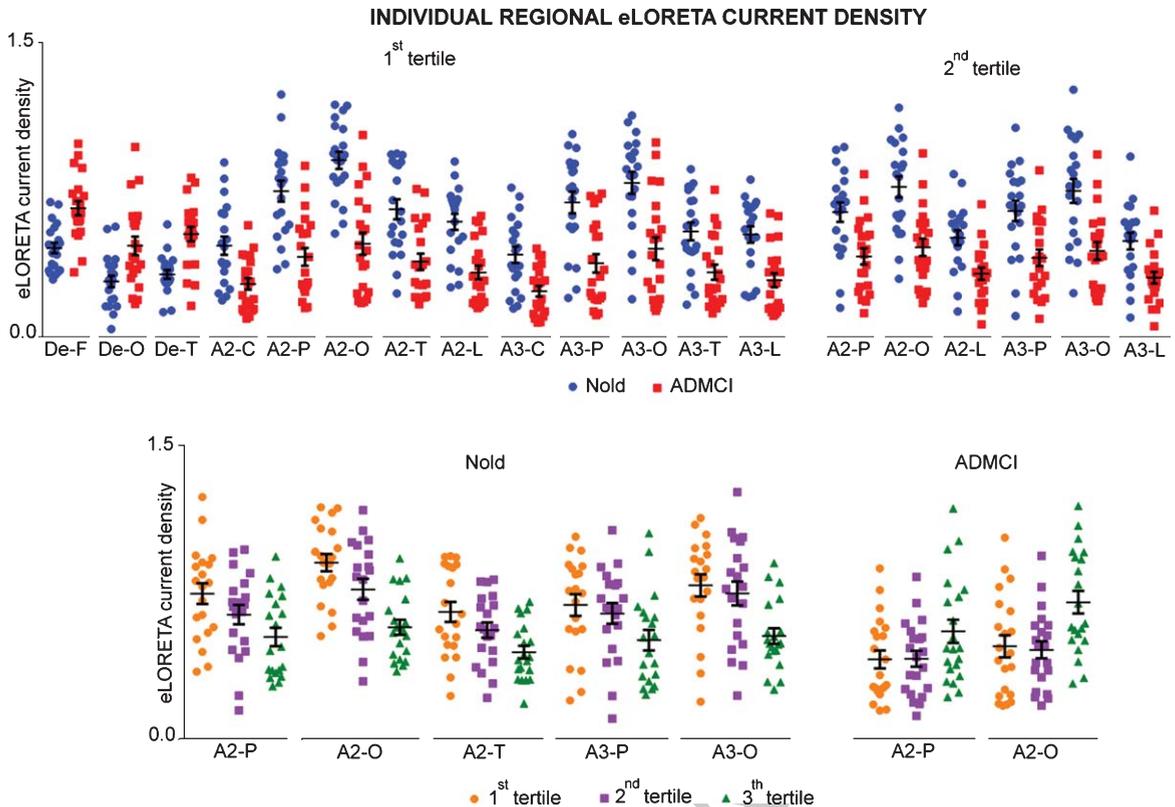


Fig. 7. Individual values (log-10 transformed) of the regional normalized eLORETA solutions showing statistically significant ($p < 0.05$ corrected = $p < 0.0003$) between-group (Nold versus ADMCI) and within-group (1st tertile versus 2nd tertile versus 3rd tertile) differences.

1118 gender, MMSE score, TF, IAFp, and different clin- 1141
 1119 ical units were used as covariates. No statistically 1142
 1120 significant main effect for the factor MRI level or sta- 1143
 1121 tistically significant interaction, including the factor 1144
 1122 MRI level, were found ($p > 0.05$). 1145

1123 Overall, the results of the second and third control 1146
 1124 analyses suggest that the age-related effects on the 1147
 1125 global rsEEG scalp power density and source activi- 1148
 1126 ties among the ADMCI tertiles may be not substan- 1149
 1127 tially due to the neurodegeneration of cortical 1150
 1128 structures or cerebrovascular lesions. 1151

1129 A fourth control analysis was implemented by an 1152
 1130 independent statistical approach ($p < 0.05$ corrected) 1153
 1131 to “cross-validate” the results of the main statisti- 1154
 1132 cal analysis. This control analysis tested the associa- 1155
 1133 tion between the age and the global rsEEG alpha 2 and 1156
 1134 3 scalp normalized power density in the Nold and 1157
 1135 ADMCI groups. Given the complexity of the ANOVA 1158
 1136 models of the main analysis, it served to give robust- 1159
 1137 ness to the main findings and conclusions. 1160

1138 To the aim of the fourth control analysis, several 1161
 1139 linear regression models were computed. Specifi- 1162
 1140 cally, the Age variable was considered as both

1141 categorical (e.g., ADMCI 1st tertile, ADMCI 2nd ter- 1142
 1143 tile, ADMCI 3rd tertile) and continuous to serve as 1144
 1145 a predictor, while the global rsEEG alpha 2 and 3 1146
 1147 scalp normalized power density (one model for each 1148
 1149 variable) served as target variables. Also, the effect 1150
 1151 of *APOE4* was evaluated only in ADMCI patients. 1152
 1153 In these patients, the control statistical models con- 1154
 1155 sidered the interaction between the Age variable as 1156
 1157 categorical (ADMCI 1st tertile, ADMCI 2nd ter- 1158
 1159 tile, ADMCI 3rd tertile) and the presence of *APOE4* 1160
 1161 (dichotomized in *APOE4* and *APOnonE4*) as a pre- 1162
 1163 dictors and the global rsEEG alpha 2 and 3 scalp 1164
 1165 normalized power densities as target variables. Over- 1166
 1167 all, the results of the fourth control analysis confirmed 1168
 1169 the findings of the main analysis. There were statisti- 1170
 1171 cally significant effects of the Age (both categorical 1172
 1173 and continuous) on the global rsEEG alpha 2 and 1174
 1175 3 scalp normalized power density in both Nold and 1176
 1177 ADMCI groups ($p < 0.05$). Notably, no effect of the 1178
 1179 interaction between Age and *APOE4* was observed 1179
 1180 in the ADMCI patients ($p > 0.05$). Beta coefficients 1180
 1181 of the model estimates, together with the relative 1181
 1182 statistics, are also reported in Table 9. 1182

ANOVA INTERACTION AMONG GROUP, AGE, AND FIXED BAND

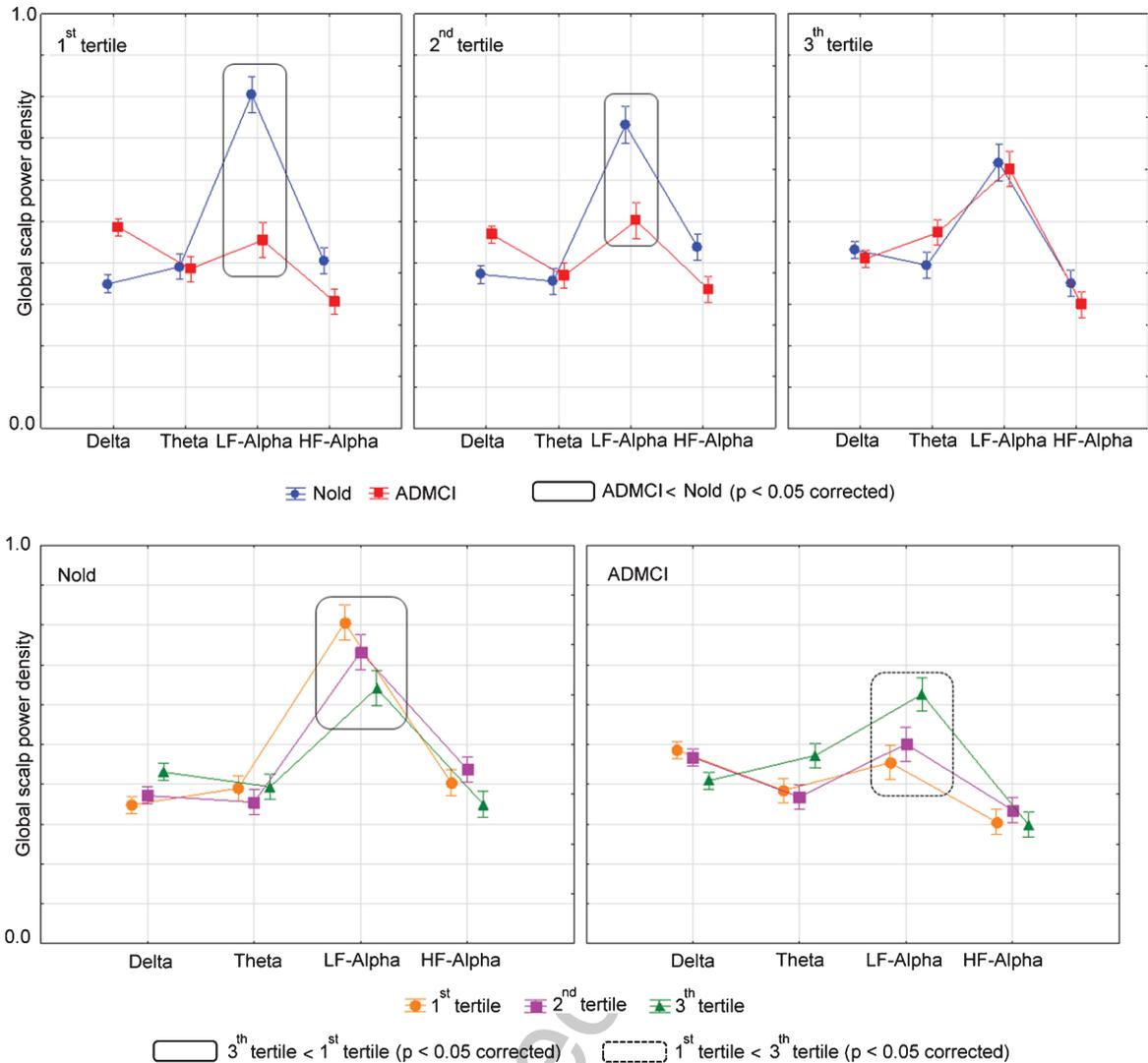


Fig. 8. Global scalp normalized rsEEG power density values (mean across subjects, log-10 transformed) about a statistical ANOVA interaction ($F=5.5$, $p<0.0001$) among the factors Group (Nold and ADMCI), Age (1st tertile, 2nd tertile, and 3rd tertile), and Fixed Band (delta, theta, low-frequency alpha, and high-frequency alpha). This ANOVA design used the global scalp normalized power densities as a dependent variable. The between-group (Nold versus ADMCI; *top figure*) and within-group (1st tertile versus 2nd tertile versus 3rd tertile; *bottom figure*) differences are illustrated. Legend: the rectangles indicate the frequency bands in which the global scalp normalized power densities statistically presented a significant difference among the two groups and the age tertiles ($p<0.05$ corrected = $p<0.002$).

1163 **DISCUSSION**

1164 In the present retrospective and exploratory study,
 1165 we investigated whether the age factor may show
 1166 similar progressive deranging effects on rsEEG
 1167 rhythms in Nold and ADMCI seniors. The novel
 1168 and original results are discussed in the following
 sections.

*Progressive derangement of rsEEG alpha
 rhythms with age in Nold seniors*

1169
 1170
 1171 Concerning the physiological aging, the results of
 1172 the main analysis showed that in the Nold group, the
 1173 age factor did affect neither the TF nor the IAFp,
 1174 which are the most robust individual benchmarks
 1175 reflecting the slowing in frequency over the age of

Table 8

Mean values (\pm SE) of the magnetic resonance imaging (MRI) markers (i.e., volumes of the total gray matter, total white matter, caudate, putamen, pallidum, accumbens, hippocampus, amygdala, and lateral ventricle; cortical thicknesses of the total and entorhinal cortex; white matter hypointensity and lesions) as well as the results of their statistical comparisons (ANOVA on log-10 transformed data; $p < 0.05$ corrected) in the ADMCI patients stratified according to the age in the youngest age tertile (ADMCI 1st tertile, $N = 21$), median age tertile (ADMCI 2nd tertile, $N = 21$), and oldest age tertile (ADMCI 3rd tertile, $N = 21$). The volumes were normalized with reference to the total intracranial volume. n.s., not significant ($p > 0.05$ corrected)

MRI markers in ADMCI patients				
	ADMCI 1st tertile	ADMCI 2nd tertile	ADMCI 3rd tertile	ANOVA
<i>Global markers</i>				
Normalized WM volume	0.30 \pm 0.01 SE	0.30 \pm 0.01 SE	0.29 \pm 0.01 SE	n.s.
Normalized GM volume	0.39 \pm 0.01 SE	0.39 \pm 0.01 SE	0.37 \pm 0.01 SE	ANOVA: n.s. ($F = 3.2$, $p < 0.05$)
Cortical thickness	4.7 \pm 0.05 SE	4.8 \pm 0.05 SE	4.6 \pm 0.06 SE	n.s. ($F = 4.3$, $p < 0.01$)
<i>Basal ganglia markers</i>				
Normalized caudate volume	0.004 \pm 0.001 SE	0.004 \pm 0.001 SE	0.005 \pm 0.001 SE	n.s.
Normalized putamen volume	0.006 \pm 0.001 SE	0.006 \pm 0.001 SE	0.006 \pm 0.001 SE	n.s.
Normalized pallidum volume	0.003 \pm 0.001 SE	0.002 \pm 0.001 SE	0.002 \pm 0.001 SE	n.s.
Normalized accumbens volume	0.0006 \pm 0.0001 SE	0.0006 \pm 0.0001 SE	0.0005 \pm 0.0001 SE	n.s.
<i>Mesial temporal markers</i>				
Normalized hippocampus volume	0.005 \pm 0.001 SE	0.005 \pm 0.001 SE	0.005 \pm 0.001 SE	n.s.
Normalized amygdala volume	0.002 \pm 0.001 SE	0.002 \pm 0.001 SE	0.002 \pm 0.001 SE	n.s.
Entorhinal cortical thickness	6.7 \pm 0.1 SE	6.6 \pm 0.1 SE	6.1 \pm 0.1 SE	n.s.
<i>Ventricular markers</i>				
Normalized lateral ventricle volume	0.019 \pm 0.001 SE	0.019 \pm 0.001 SE	0.024 \pm 0.001 SE	n.s.
<i>Hypointensity/lesion WM markers</i>				
WM hypointensity	2,022 \pm 251 SE	2,364 \pm 329 SE	3,907 \pm 507 SE	n.s.
WM lesions	1,647 \pm 419 SE	2,273 \pm 631 SE	4,691 \pm 1257 SE	n.s. ($F = 5.5$, $p < 0.005$)

1176 the background rsEEG rhythms [4]. In contrast, the
1177 age factor affected the magnitude of rsEEG rhythms
1178 in the Nold seniors. As compared to the younger Nold
1179 seniors, the older ones were characterized by a lower
1180 global magnitude of the rsEEG alpha rhythms at the
1181 scalp sensors. Notably, this effect was mainly evident
1182 in posterior (eLORETA) cortical sources estimated
1183 from those alpha rhythms.

1184 The present results confirm previous findings
1185 showing that the physiological aging is related to
1186 less evident alpha waveforms and power density in
1187 rsEEG rhythms recorded in Nold seniors [4, 11, 13,
1188 14, 19, 65], especially at the scalp electrodes placed
1189 in posterior regions [15]. The present results also
1190 extend previous findings by our research group show-
1191 ing a decline in posterior cortical sources of rsEEG
1192 alpha rhythms estimated in Nold seniors compared to
1193 healthy young adults [17].

1194 Keeping in mind the above results, we posit that
1195 both global rsEEG alpha power density and its pos-
1196 terior cortical sources might be useful in clinical
1197 research to monitor aging effects on cortical neural
1198 synchronization mechanisms regulating brain arousal
1199 and vigilance in quiet wakefulness as basis for Nold
1200 seniors' global cognitive status [39]. They may be
1201 combined with rsEEG biomarkers in other frequency
1202 bands typically deranging with age in Nold seniors

1203 [66–69]. In this vein, previous rsEEG studies in Nold
1204 seniors reported that intermittent temporal delta or
1205 theta rhythms may be associated with WM hyperin-
1206 tensities or neurodegenerative processes as revealed
1207 by structural MRIs [7, 68, 70], especially when sev-
1208 eral features indicating their benign nature are not
1209 observed [7]. Another rsEEG study considering delta
1210 to beta rhythms in Nold seniors reported that an
1211 increase in posterior delta rhythms was associated
1212 to cognitive decline and reduced acetylcholinesterase
1213 activity in the CSF [19]. Furthermore, an rsEEG study
1214 in Nold seniors > 90 years reported abnormalities in
1215 delta and/or alpha rhythms in the majority of them
1216 [67]. Moreover, an MRI study in Nold seniors showed
1217 that the DMN and MM microstructure progressively
1218 deranged with the age [36]. In the same line, the
1219 atrophy of medial-temporal, parietal, and cingulate
1220 cortical areas also showed a progressive increase in
1221 Nold seniors at the follow-up [34].

1222 Keeping in mind the above data and considerations,
1223 future rsEEG studies in Nold seniors may take into
1224 account the following variables to improve the moni-
1225 toring of pathological brain aging: 1) delta-theta and
1226 posterior alpha power density measures computed on
1227 individual basis based on the TF and IAFp [4]; 2)
1228 broad range of ages > 50 years including Nold per-
1229 sons over 90s; 3) AD-related biomarkers based on

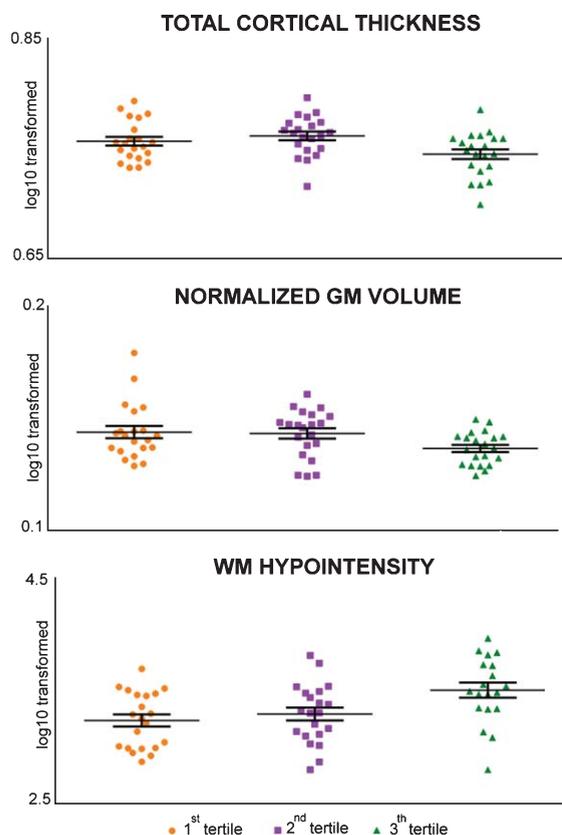


Fig. 9. Individual values (log-10 transformed) of the total grey matter (GM) volume, total cortical thickness, and white matter (WM) hypointensity in the three subgroups of the ADMCI patients (1st, 2nd, and 3rd tertiles).

CSF and MRI measures (e.g., $A\beta_{42}$, p-tau, t-tau, cortical GM thickness and volumes, acetylcholinesterase activity, WM hyperintensity, etc.) for stratifying Nold seniors in those being positive versus negative to those biomarkers; and 4) well-known risk factors of neurodegenerative dementing disorders such as blood hypertension, diabetes, obesity, chronic neuroinflammation, chronic kidney diseases, subtle depression, and sleep disorders for stratification of Nold seniors in those being positive versus negative to those risk factors [71, 72]. Future studies should also consider that even “statistically normal” rsEEG rhythms might not exclude the existence of brain neuropathological processes.

AD variants overwhelm aging effects on rsEEG alpha rhythms in ADMCI patients

In the present ADMCI patients, the age factor did affect neither the TF nor the IAFp. In contrast, it affected the magnitude of rsEEG alpha rhythms

interacting with the disease. As compared to the younger ADMCI patients, the older ones (matched as education, gender, and global cognitive status) were characterized by a paradoxical smaller abnormality in the global magnitude of rsEEG alpha rhythms at the scalp sensors. This effect was mainly evident in the alpha posterior cortical sources, partially in agreement with previous evidence showing that abnormalities in rsEEG delta and alpha rhythms were more pronounced in younger than older ADD patients [73]. Therefore, the rsEEG alpha rhythms may be more affected by the early-onset than the late-onset ADMCI.

Notably, the paradoxical aging effects on rsEEG activity observed in the present ADMCI patients did not depend on group differences in the following AD hallmarks: (1) *APOE4* genetic risk of sporadic AD; (2) CSF (i.e., $A\beta_{42}$, p-tau, t-tau) markers of AD neuropathology; and 3) MRI markers of structural brain impairment such as brain GM and WM volumes, the ventricular brain volume, and brain WM hyperintensities. Consistently, here we report no aging effects on the rsEEG delta-theta source activities, typically related to the mentioned AD hallmarks in ADMCI and ADD patients [25, 31, 33, 74, 75].

The lack of aging effects on the MRI biomarkers measured in the present ADMCI groups apparently contrasts with previous findings. In an MRI study in ADMCI seniors, the medial temporal, parietal, and cingulate cortical areas showed increased atrophy related to age [34]. In another MRI study, the hippocampus and amygdala exhibited more atrophy over time in younger (but not older) ADMCI patients with *APOE4* than without *APOE4* [38]. Furthermore, even stronger age effects were found in ADD patients. In an MRI study in ADD patients, addictive aging and AD factors significantly affected GM atrophy in many brain regions [35]. In another MRI study, there was a marked association between the GM atrophy in brain regions and cognitive deficits in several domains in younger ADD patients suffering from an early-onset disease [76]. In the same study, the late onset ADD patients also manifested an association between global cerebral atrophy and episodic memory impairment [76]. Finally, further MRI evidence showed that early-onset and late-onset ADD patients were characterized by different cortical and subcortical atrophy [77, 78].

Why did not we see relationships among age, MRI, and *APOE4* biomarkers in the present ADMCI patients? It can be speculated that this lack of relationships may be due to their relatively high

Table 9

Results of the linear regression models evaluating the association between the age and the global rsEEG alpha 2 and 3 scalp normalized power density in the Nold and ADMCI groups

Dependent Variable	Predictors	Beta coefficient	t-statistics (<i>p</i>)	Lower Confidence Interval (95%)	Upper Confidence Interval (95%)
<i>Nold</i>					
Global alpha 2	<i>Intercept</i>	0.681	t = 19.032, <i>p</i> = 0.0001	0.609	0.752
	<i>Age group = First tertile</i>	0.155	t = 3.060, <i>p</i> = 0.003	0.053	0.256
	<i>Age group = Second tertile</i>	0.093	n.s.	-0.009	0.194
Global alpha 2	<i>Intercept</i>	1.414	t = 6.414, <i>p</i> = 0.0001	0.972	1.856
	<i>Age</i>	-0.009	t = -2.960, <i>p</i> = 0.004	-0.016	-0.003
Global alpha 3	<i>Intercept</i>	0.609	t = 17.499, <i>p</i> = 0.0001	0.539	0.678
	<i>Age group = First tertile</i>	0.146	t = 2.979, <i>p</i> = 0.004	0.048	0.245
	<i>Age group = Second tertile</i>	0.120	t = 2.443, <i>p</i> = 0.018	0.022	0.219
Global alpha 3	<i>Intercept</i>	1.391	t = 6.539, <i>p</i> = 0.0001	0.965	1.816
	<i>Age</i>	-0.010	t = -3.274, <i>p</i> = 0.002	-0.016	-0.004
<i>ADMCI</i>					
Global alpha 2	<i>Intercept</i>	0.734	t = 15.635, <i>p</i> = 0.0001	0.640	0.828
	<i>Age group = First tertile</i>	-0.239	t = -3.604, <i>p</i> = 0.001	-0.372	-0.106
	<i>Age group = Second tertile</i>	-0.190	t = -2.860, <i>p</i> = 0.006	-0.323	-0.057
Global alpha 2	<i>Intercept</i>	-0.307	n.s.	-0.932	0.318
	<i>Age</i>	0.013	t = 2.885, <i>p</i> = 0.005	0.004	0.022
Global alpha 3	<i>Intercept</i>	0.632	t = 13.797, <i>p</i> = 0.0001	0.541	0.724
	<i>Age group = First tertile</i>	-0.183	t = -2.819, <i>p</i> = 0.007	-0.312	-0.053
	<i>Age group = Second tertile</i>	-0.121	n.s.	-0.250	0.009
Global alpha 3	<i>Intercept</i>	-0.113	n.s.	-0.714	0.487
	<i>Age</i>	0.009	t = 2.157, <i>p</i> = 0.04	0.001	0.018
Global alpha 2	<i>Age groups * APOE4</i>	0.00005	n.s.	-0.023	0.024
Global alpha 3	<i>Age groups * APOE4</i>	-0.0005	n.s.	-0.023	0.023

1301 education attainment and mild clinical manifesta-
 1302 tions. Indeed, they showed a mean MMSE score > 25
 1303 corrected by the age (best cognitive status = 30) and
 1304 about 11 years of mean education attainment. Such
 1305 attainment may be related to a significant cognitive
 1306 reserve and premorbid intelligence that may compen-
 1307 sate for the natural derangement of brain structure
 1308 and function with age [79]. In this speculative
 1309 line, previous evidence showed that high educa-
 1310 tion attainment might partially counteract structural
 1311 brain lesions as revealed by MRI biomarkers in
 1312 AD patients, thus delaying the onset of MCI and
 1313 dementia in seniors with remarkable cerebral abnor-
 1314 malities [80, 81]. Furthermore, compared to ADMCI
 1315 patients with low education attainment, those with
 1316 high education attainment showed similar cogni-
 1317 tive deficits despite greater macroscopic WM lesions
 1318 [82]. Future cross-sectional studies may test this
 1319 speculative explanation. To this aim, the effects of
 1320 age and education attainment on rsEEG and neuroimaging biomarkers may be investigated in AD patients enrolled at the clinical stages of pre-MCI, MCI, mild and moderate ADD as a function of both.

1324 *A tentative neurophysiological model*

1325 At the present early stage of the research, we poorly
 1326 know what neurophysiological aging mechanisms
 1327 may induce abnormalities in rsEEG alpha rhythms
 1328 recorded in Nold and ADMCI seniors as a function
 1329 of aging and disease variants. As part of the scientific challenge, those mechanisms may be sensitive to both constitutional and environmental factors and may operate in the brain at various spatial scales [83, 84].

1334 According to the present neurophysiological approach, here we discuss age-related neurophysiological mechanisms affecting rsEEG alpha rhythms at a large spatial macroscale involving multiple subcortical and cortical oscillating circuits. Those neurophysiological mechanisms may modulate delays in the synchronization at alpha frequencies of the neural activity within ascending brain networks [85, 86]. At the cellular and molecular level, these networks may include reciprocal thalamus and cortical loops formed by thalamocortical high-threshold glutamatergic neurons, thalamocortical relay-mode glutamatergic neurons, reticular thalamic

GABAergic neurons, and corticothalamic pyramidal glutamatergic neurons [85, 87, 88]. Furthermore, they may include ascending activating reticular systems mainly shaped by brainstem noradrenergic and dopaminergic neurons as well as basal forebrain cholinergic neurons [85, 87].

In physiological conditions, these brain networks might enhance the (inhibitory) synchronization at alpha frequencies of cortical neurons not actually involved in the active information processing, thus reducing cortical neural noise and making more efficient the activation of relevant cortical neural populations in response to actual sensory and cognitive-motor events [85, 87, 89]. During physiological aging, the efficiency of that synchronization may be reduced in Nold (and ADMCI) seniors. It may partially derange alpha rhythms in target occipital-parietal visual and visuospatial cortical areas, possibly interfering with the event-related desynchronization of alpha rhythms underpinning attention and sensory-motor information processing [1, 8, 89, 90].

In this physiological aging mechanism, it can be speculated that a prominent role may be played by the progressive loss of cholinergic basal forebrain projections to the thalamus and posterior cerebral cortex. In a recent study, both young adults and Nold seniors showed that functional rsMRI connectivity between the cholinergic basal forebrain and the occipital cortex increased from the eyes-closed to the eyes-open condition proportionally to the reduction in amplitude of rsEEG alpha rhythms [86]. In the Nold seniors, lesions in the WM connectivity between the cholinergic basal forebrain and the occipital cortex were related to a reduction of rsEEG alpha reactivity to eye opening [86].

In the case of early-onset AD, the above subcortical-cortical neural systems generating rsEEG alpha rhythms might be especially impaired. In a previous neuroimaging (MRI-PET) study, abnormalities in subcortical structures (amygdala, caudate, and putamen) were more widely associated with AD hallmarks (amyloidosis, tauopathy, and atrophy) and multi-domain cognitive impairment in early-onset than late-onset ADD patients [91]. As compared to the late-onset ADD patients, the early-onset ADD patients also showed a more rapid cognitive impairment (attention, language, and frontal-executive) related to the volumetric decline in subcortical (caudate, putamen, and thalamus) and cortical associative regions at 3-year follow-up [92, 93]. Following this “subcortical” hypothesis, it can be speculated that

as compared to the late-onset ADMCI patients, the early-onset ADMCI patients may suffer from prominent abnormalities in the rsEEG alpha rhythms related to greater alterations in the cholinergic ascending systems to the cerebral cortex. In this vein, a previous study showed greater alterations in those systems and posterior rsEEG alpha rhythms in ADMCI and ADD patients [94]. Furthermore, the chronic administration of Donepezil (an acetylcholinesterase inhibitor licensed for the treatment of ADMCI and ADD patients) showed specific beneficial effects on posterior rsEEG alpha rhythms and global cognitive status in ADMCI and ADD patients [95].

Methodological remarks

The clinical 10–20 electrode montage (i.e., 19 scalp electrodes) adopted for the present rsEEG recordings is suboptimal for accurate rsEEG source estimations [96, 97], as an optimal rsEEG spatial sampling would require >64 scalp electrodes [96, 97]. Therefore, the present spatial analysis of age-related effects on rsEEG cortical sources should be considered explorative.

Important critical aspects of the present individual spectral analysis are the following: 1) We divided the alpha band into sub-bands because of, in the eyes-closed rsEEG condition, dominant low-frequency alpha rhythms (alpha 1 and alpha 2) may denote the synchronization of diffuse neural networks regulating the fluctuation of the subject’s global awake and conscious states, while high-frequency alpha rhythms (alpha 3) may denote the synchronization of more selective neural networks specialized in the processing of modal specific or semantic information [4, 89]. When the subject is engaged in sensorimotor or cognitive tasks, alpha and low-frequency beta (beta 1) rhythms reduce in power (i.e., desynchronization or blocking) and are replaced by fast EEG oscillations at high-frequency beta (beta 2) and gamma rhythms [89]. 2) We considered individual delta, theta, and alpha frequency bands because a clinical group may be characterized by a mean slowing in the peak frequency of the alpha power density without any substantial change in the magnitude of the power density. In that specific case, the use of fixed frequency bands would result in a statistical effect erroneously showing alpha power density values lower in the clinical than the control group; 3) We used fixed frequency ranges for the beta and gamma bands because the individual beta and gamma frequency peaks were

evident only in a few subjects (<10%); and 4) We selected the beginning of the beta frequency range at 14 Hz to avoid the overlapping between individual alpha and fixed beta frequency ranges (i.e., individual alpha frequency band ranged from TF to 14 Hz with an IAFp = 12 Hz). The interpretation of the present results should consider the above methodological options.

Another significant methodological limitation is the availability of the rsEEG recordings only at a single data acquisition session, thus preventing the evaluation of the relationship between the age and the deterioration over time in the rsEEG alpha rhythms recorded in Nold and ADMCI seniors.

The above methodological limitations motivate resource investments to develop future prospective, longitudinal, and multi-center studies using 1) harmonized EEG hardware systems and clinical protocols; 2) a higher number of exploring scalp electrodes for spatially enhanced rsEEG source estimates; and 3) at least 2 follow-ups better capturing the effects of the age on rsEEG alpha rhythms in both Nold and ADMCI seniors.

CONCLUSIONS

Here, we tested whether the age may differently affect rsEEG alpha rhythms in Nold and ADMCI persons.

As compared to the younger Nold seniors, the older ones showed greater reductions in rsEEG alpha rhythms with major topographical effects in posterior regions. On the contrary, in relation to the younger ADMCI patients, the older ones displayed lesser reductions in those rhythms. Notably, these results in the ADMCI patients were not affected by CSF AD-related diagnostic biomarkers, GM and WM brain lesions, and clinical and neuropsychological scores.

The results of the present study suggest that in Nold seniors, the aging factor may significantly affect neurophysiological brain neural synchronization mechanisms underpinning the generation of dominant rsEEG alpha rhythms for the regulation of cortical arousal during the quiet vigilance. In contrast, rsEEG alpha rhythms recorded in ADMCI patients may be more affected by the disease variants, with more deleterious effects observed in early- than the late-onset ADMCI patients. In the ADMCI patients, the mere effects of the aging factor may be hidden by dysfunctions in subcortical structures, including the cholinergic basal forebrain and thalamus.

Keeping in mind the above data and considerations, the present rsEEG measures may be included in an ideal biomarker panel for future longitudinal clinical trials involving both Nold and ADMCI groups of seniors. These measures may account for the aging and disease effects on the neurophysiological mechanisms underpinning brain arousal and vigilance, in line with the recent recommendations by an Expert Workgroup of the Electrophysiology Professional Interest of Alzheimer's Association [39].

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