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4 **Integrated Framework to Structurally Model Unreinforced Masonry Italian**  
5 **Medieval Churches from Photogrammetry to Finite Element Model Analysis**  
6 **through Building Information Modeling**

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19

## 20 **Abstract**

21 A novel, integrated framework is proposed to assess the vulnerability of a case study  
22 unreinforced masonry (URM) Italian church by applying interacting modern tools  
23 including unmanned aircraft systems (UAS), “structure from motion” (SfM)  
24 photogrammetric survey equipment and software, and finite element method (FEM)  
25 analysis software in a complete heritage building information model (HBIM). The FEM  
26 model was used to perform both a modal response spectrum analysis and a validation  
27 pushover using stiffness adaptation analysis (SAA) to investigate the global behavior of  
28 the church and to identify the most critical local mechanisms for collapse potential.  
29 Once the most vulnerable components of the church were identified, macro-block  
30 analysis was used to estimate the capacity of these collapse mechanisms. Macro-block  
31 analysis is well established in the field and was proposed for use as one step in the  
32 overall proposed methodology with the aim of providing a holistic methodology that is  
33 sophisticated enough to identify the most vulnerable elements of URM churches, but  
34 also practical and efficient enough to be applied by practitioners. Traditionally,  
35 obtaining the necessary geometric information to correctly conduct the macro-block  
36 analysis of such complex buildings requires time-demanding and expensive surveying  
37 campaigns. Furthermore, accurately and precisely identifying the local failure  
38 mechanisms most influential to macro-block behavior is numerically demanding. The  
39 novelty of the current research detailed herein regards a proposed comprehensive  
40 seismic vulnerability analysis of historic URM churches with increased efficiency and  
41 accuracy of surveying and capacity modeling using modern tools in a fashion  
42 approachable by practitioners.

43 **Keywords:** URM churches; unmanned aircraft systems; structure from motion;  
44 photogrammetry; dense points cloud; HBIM; macro-blocks; FEM; modal response  
45 spectrum; macro-block crack lines.

## 46 **1. Introduction**

47 Unreinforced masonry (URM) churches are a critical component of Italian Heritage due to their  
48 inherent historic value, ongoing community usage, and the large quantity and significance of artwork  
49 housed therein. According to Cagnana [1], most of the remaining Medieval churches in Italy were  
50 constructed using unreinforced masonry (URM) due to the prominence of URM construction

51 techniques during the High and Late Middle Ages, as well as the known durability of URM.  
52 Furthermore, URM churches are also present in other countries with regions of high seismicity [2].  
53 URM churches are usually composed of slender vertical elements which are especially vulnerable to  
54 damage and collapse under high lateral load demands, and the vulnerability of this construction type  
55 was widely observed during past earthquakes such as in Friuli-Venezia Giulia in 1976 [3], in  
56 Basilicata and Campania in 1980 [4], in Umbria-Marche in 1997 [5, 6], in L'Aquila in 2009 [7, 8, 9],  
57 in Emilia-Romagna in 2012 [10, 11], and in central Italy in 2016 [12, 13].

58 A holistic risk assessment methodology to guide the decision-making processes of the dioceses for  
59 prioritizing detailed assessments and retrofitting interventions was previously proposed [14]. Given  
60 the regional scale of this holistic methodology and its rapid application, the holistic methodology  
61 relied on simplistic, approximate methods to quantify structural vulnerabilities with the intention that  
62 churches with high holistic risk indices would subsequently be prioritized for more detailed  
63 vulnerability assessment. The church of *Santa Maria Maggiore* in Alatri (Figure 1) was identified as  
64 the church having the highest risk rating,  $i_R$ , among the seven churches in the Lazio region considered  
65 in the holistic study (Pirchio, et al. 2020a), and was thus identified as the highest priority candidate  
66 in the region for the subsequent detailed assessment as described herein. The church is located in the  
67 main square of the city, in the diocese of Anagni – Alatri (province of Frosinone). Construction of  
68 the church was completed in the 13<sup>th</sup> century, and it was constructed atop the ruins of a pagan temple  
69 dating from the 5<sup>th</sup> century A.D. The church was constructed with masonry in square-cut stones and  
70 lime-based mortar. It has three groin-vaulted naves (a main nave and two lateral aisles), no transept,  
71 and a vaulted apse divided from the rest of the church by a triumphal arch. Hemispherical-vaulted  
72 chapels were constructed on the south side of the church, while buttresses were placed by the north  
73 lateral wall. The main façade has three points of ingress (corresponding to each nave) and a large  
74 circular rose-window on top of the main entrance. The bell tower is attached to the body of the church,  
75 with two sides atop the façade and the north lateral wall of the main church structure, while the other

76 two sides of the bell tower are supported by independent walls with internal arches. A reinforced  
77 concrete bond beam sits atop the exterior walls, supporting timber trusses forming the pitched roof.



78

79 *Figure 1 - Church of Santa Maria Maggiore, Alatri, Lazio (Italy).*

80 The material mechanical properties (e.g., masonry compressive strength, shear strength,  
81 elastic modulus, etc.) often govern the in-plane and dynamic behavior of URM structures [15, 16, 17]  
82 and were determined for the case study church using an aggregation of non-destructive test techniques  
83 conducted by Pirchio, et al. [18]. The geometric properties of the building components are the  
84 governing parameters for the out-of-plane behavior of URM structures [19, 15, 20]. Thus, an adequate  
85 understanding of the three-dimensional (3D) configuration of the church is necessary for a proper  
86 detailed vulnerability analysis.

87 The proposed framework addresses the complete modeling procedure of a URM church  
88 starting from the acquisition of the geometric configuration to the global structural analysis of the  
89 church and finally then the local structural capacity analysis of its components using “macro-blocks.”  
90 The framework was developed with the primary aim of being generalizable for similar cases and  
91 applicable using software widely employed in engineering practice. Four steps describe the  
92 framework generally:

- 93 • *Step 1:* Acquisition of the geometry of the church via “structure from motion” (SfM)  
94 photogrammetry-based surveys using unmanned aircraft systems (UAS) and the development  
95 of a dense point cloud;
- 96 • *Step 2:* Development of a solid 3D model comprising geometric information, material  
97 properties, and various other risk-related information collected from site investigations [14,  
98 18]. This information is aggregated into a complete heritage building information model  
99 (HBIM);
- 100 • *Step 3:* Structural analysis of the church to identify the components most likely to experience  
101 high demands using finite element method (FEM) analysis software to carry out both a modal  
102 response spectrum analysis and a validation pushover using stiffness adaptation analysis  
103 (SAA); and
- 104 • *Step 4:* Simplified determination of component capacity using well-established methodologies  
105 for “macro-block” analysis of elements.

106 While each step listed above was studied extensively by previous researchers [e.g., 19, 22, 25, 27, 35,  
107 45, 48], the current research regards an aggregated and comprehensive framework comprising the  
108 different state of the art procedures of geometric data acquisition, 3D modeling, and structural  
109 analysis in a cohesive, consistent, and efficient fashion. In this regard, the novelty of the paper regards  
110 the effectiveness and the continuity across all steps which has not been previously established in the  
111 literature in a repeatable way for use by practitioners. The selection of the case study church was  
112 based on rational criteria pertaining to its overall risk relative to other churches in the local portfolio,  
113 but its size and components are also representative of a large number of churches, thus making it  
114 representative for exhibiting the usefulness of the proposed framework.

## 115 **2. Step 1: Acquisition of the Geometry of the Church using Photogrammetric Techniques**

116 Photogrammetric techniques are increasingly applied in building surveys to procure geometric  
117 information [21, 22, 23]. Geometric information is relevant to the accurate assessment of URM



118 buildings, both for out-of-plane behavior and for global model updating [19, 24, 22, 25]. Given the  
119 complex geometry of churches, traditional survey techniques and tools (e.g., triangulation method,  
120 total station, and laser scanner) may be inadequate due to inaccessible or visually obstructed church  
121 macro-block elements such as the bell tower, nave vaults, or roofs. Therefore, UAS (colloquially  
122 referred to as a “drone”) with an on-board high-resolution camera was used to photograph different  
123 perspectives of the exterior of the church. Stationary cameras were used for the interior of the church.  
124 Subsequently, those photographs were processed using photogrammetric software, resulting in a  
125 high-density point cloud in which each point’s position is defined in a three-dimensional reference  
126 system. A large number of photographs both outside and inside the building (Figure 2) is required to  
127 create a complete 3D model.



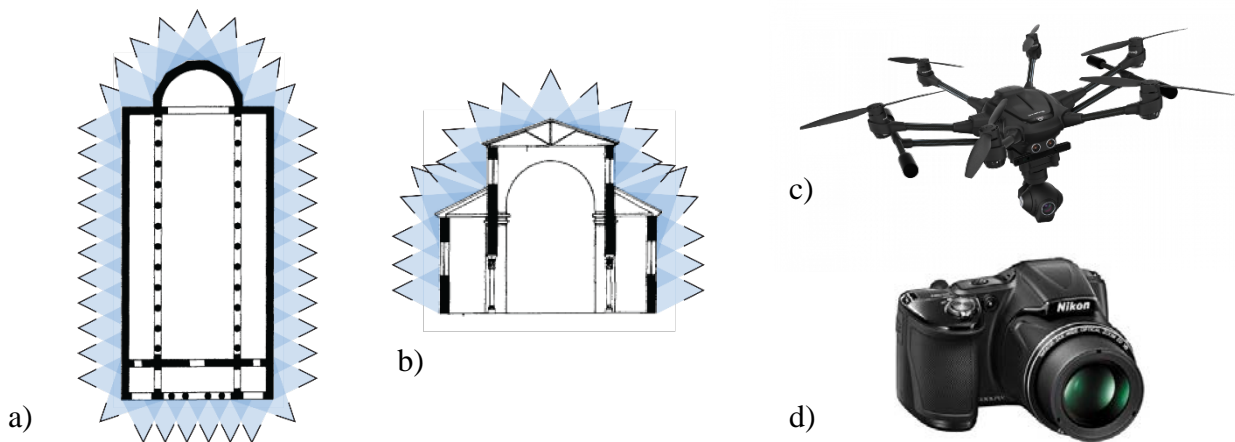
128

129 *Figure 2 – Examples of photographs taken both using UAS and stationary cameras to produce a high-density point*  
130 *cloud.*

131 The photographic acquisition was performed following three best-practice requirements [26]:

- 132 • *Completeness*: All exposed surfaces of the entire building were photographed. Any  
133 unphotographed “blank” areas could compromise the accuracy of the model and the point  
134 cloud density;
- 135 • *Overlap*: Adjacent photographs were overlapped for at least 40% of their planar dimensions  
136 to capture the same objects with different perspectives, allowing the photogrammetric  
137 software to process the photographs with less distortion; and
- 138 • *Redundancy*: “Key-points” of the building, such as wall corners or opening vertices were  
139 captured in several different photographs in case some of the photographs were discarded for  
140 any reason (e.g., blurriness).

141 A schematic drawing representing the configuration of the photograph acquisition is shown  
142 in Figure 3a-b. A *Typhoon H* UAS (Figure 3c) was used during the exterior photogrammetric survey,  
143 due to the increased stability under wind provided by the six-rotor configuration and the 360°  
144 rotational freedom of the camera. The exterior camera resolution size was  $3840 \times 2160$  pixels with a  
145 focal lens length of 35 mm. The photographs were acquired with a lens opening of  $f/2.8$  and ISO-100.  
146 A digital camera *NIKON COOLPIX L830* (Figure 3d) was used for the stationary interior  
147 photographs. The digital camera resolution size was  $4608 \times 3456$  pixels with a focal lens length of  
148 22 mm. The photographs were acquired with a lens opening of  $f/3$  and ISO-720.



150 *Figure 3 – a) Schematic plan view of the UAS photographic survey; b) schematic elevation of the UAS photographic*  
151 *survey; c) the UAS; and d) the digital camera utilized during the current study.*

152 The photographs were processed using a photogrammetric software (e.g., *Autodesk ReCap*  
153 *Pro*® or *Agisoft Photoscan*®), which utilizes georeferenced meta-data in the photographs to auto-scale  
154 the point cloud, thus reducing the post-process time for the scaling of the model. A few measurements  
155 of some church components (e.g., doors width and height, façade length, and arches net span) were  
156 taken manually to confirm the accuracy of the auto-scaled point cloud from the photogrammetric  
157 survey, with an error of approximately 1%. The models produced at the end of the photogrammetric  
158 process are shown in Figure 4a (exterior) and Figure 4b (interior) for the case study church.



159

160 *Figure 4 – High-density point cloud with applied texture of the: a) exterior; and b) interior of the church of Santa Maria*  
161 *Maggiore.*

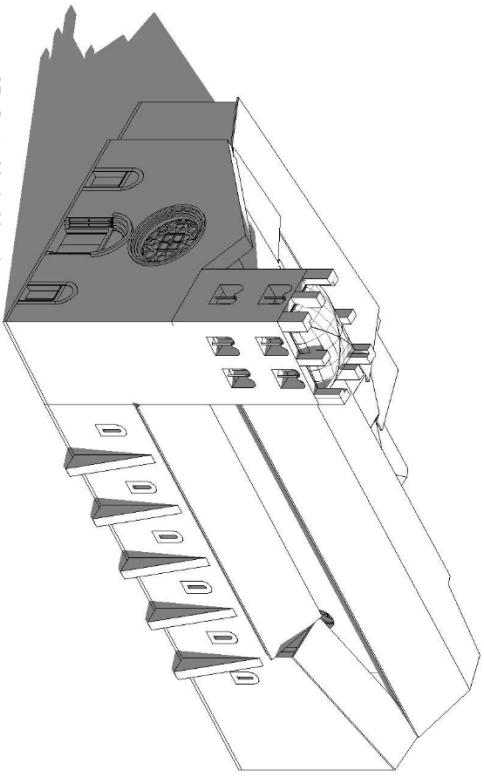
### 162 **3. Step 2: 3D Modeling of the Church using HBIM**

#### 163 ***3.1. The HBIM Approach to the seismic risk assessment***

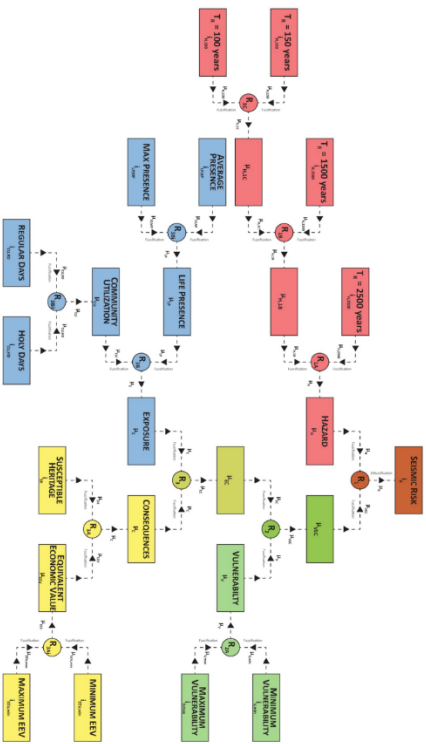
164 HBIM represents both a software tool as well as a holistic approach in the management of the design-  
165 related information for a building [27, 28, 29]. A HBIM package for a building may contain not only  
166 the 3D geometric shape of the building and its components but also various other data types (e.g.,  
167 mechanical material properties, structural shell and linear elements, and photographs and worksheets  
168 collected during the surveys) that might warrant exchange amongst various designers and facility  
169 managers [30]. Thus, “integration” (i.e., integrating in one single model a large amount of multi-  
170 source data) and “interoperability” (i.e., comprehensive and bi-lateral interaction with other software)  
171 should be considered the key words to apply to the HBIM approach [28]. The information regarding



172 the seismic risk assessment of the church of Santa Maria Maggiore developed by Pirchio, et al. [14]  
173 and the mechanical properties of the macro-blocks of the church defined using aggregated non-  
174 destructive test techniques (Pirchio, et al. 2020b) were included in the multi-dimensional HBIM-  
175 based model as shown in Figure 5. The modeling could be performed with any BIM-based software  
176 (e.g., *Autodesk Revit*<sup>®</sup> or *Graphisoft ArchiCAD*<sup>®</sup>)



1 3D\_Santa Maria Maggiore

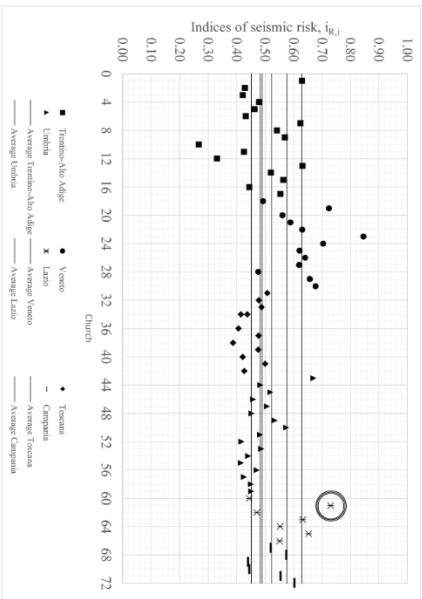


Index of Hazard	ih	0.816
Index of hazard for 90 years average return period	ih,90	0.277
Index of hazard for 90 years average return period	ih,90	0.343
Index of hazard for 90 years average return period	ih,90	0.717
Index of hazard for 90 years average return period	ih,90	0.938

Index of Vulnerability	iv	0.622
Index of vulnerability in the best-case scenario	iv,min	0.553
Index of vulnerability in the worst-case scenario	iv,max	0.622

Index of Exposure	ie	0.190
Index of average occupancy during the week	ie,av	0.420
Index of maximum occupancy throughout the year	ie,max	0.320
Index of community utilization during the regular weeks' masses (i.e., from Monday to Sunday)	ie,reg	0.010
Index of community utilization during the highest attended holy days' masses (i.e., Christmas or Easter)	ie,h	0.006

Index of Consequences	ic	0.844
Index of minimum equivalent economic value	ic,min	0.547
Index of maximum equivalent economic value	ic,max	0.726
Index of susceptible heritage	ish	0.844

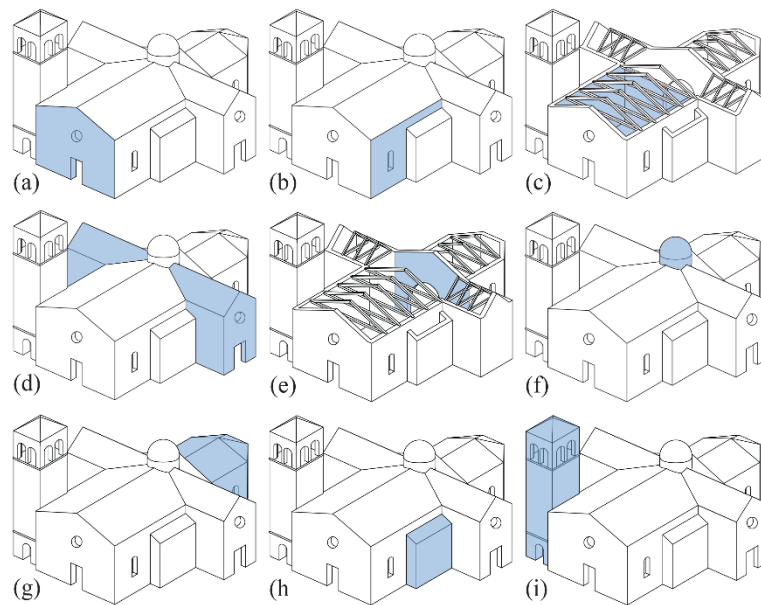


		Department of Civil & Environmental Engineering & Earth Science Masters of Science in Civil Engineering Advisor: David Perini, Assistant Professor Co-Advisor: Dr. Elizabeth Kerr	
The church of Santa Maria Maggiore is located in the main square of Alatri, in the province of Frosinone (Lazio), in the 13th century and it was built over the ruins of a previous pagan temple, dating from the 6th century BC.			
No.	Description	Date	
Diocese of Anagni-Alatri <b>Santa Maria Maggiore</b> LZ-AA-02 Project number: Church n° 61 Date: 03/02/2020 Drawn by: David Pirchio Checked by: None Seismic Risk Assessment Scale:			

178 Figure 5 – Overview of the seismic risk assessment of the church of Santa Maria Maggiore.

179 **3.2. The HBIM Approach to the Macro-Blocks Analysis**

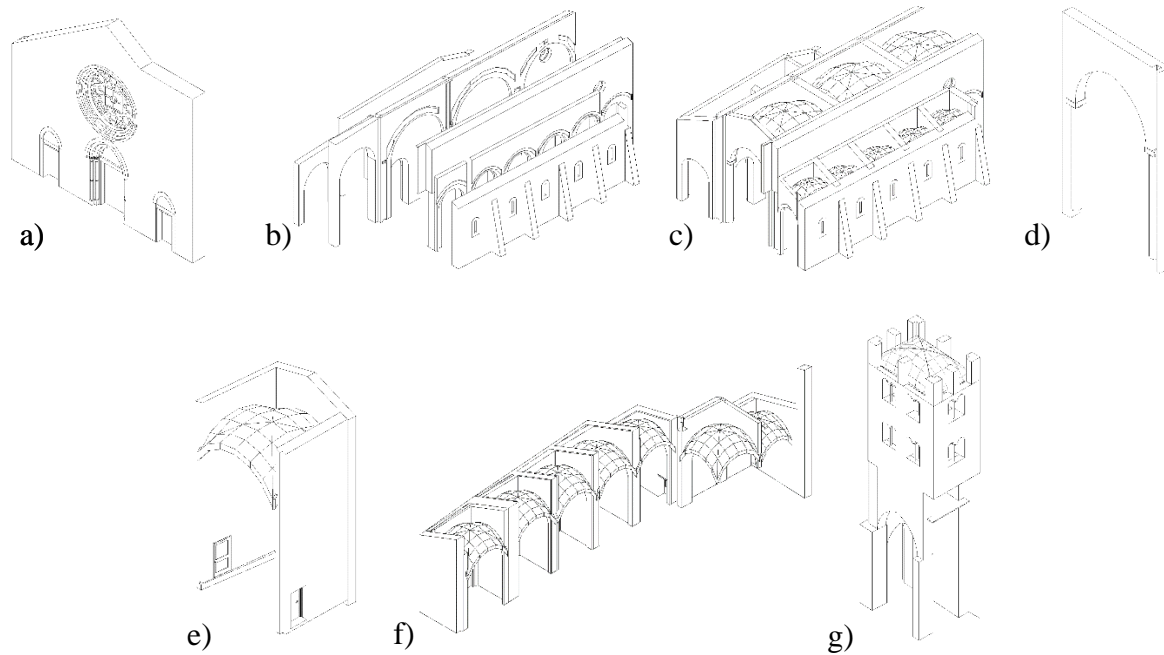
180 Due to the height and slenderness of church walls, as well as the poor quality of connections between  
181 different URM walls compared to most other types of buildings, subdividing URM churches into  
182 units called “macro-blocks” is the preferred method to assess churches and other complex URM  
183 buildings [3, 31, 32]. In the Italian seismic assessment guidelines for heritage buildings [33] nine  
184 different macro-blocks types are identified for URM churches (Figure 6).



185  
186 *Figure 6 – Macro-blocks considered: (a) façade; (b) lateral walls; (c) naves; (d) transept; (e) triumphal arch; (f) dome;*  
187 *(g) apse; (h) chapels; (i) bell tower.*

188 Each macro-block of the church of Santa Maria Maggiore was identified in the HBIM-based  
189 approach, and each single sub-component (e.g., one of the vaults of the macro-block “nave”) could  
190 be classified and assigned within the HBIM file with particular data regarding the macro-block’s  
191 material properties and geometry.

192 Thus, starting from the high-density point clouds developed in step 1, each macro-block was defined  
193 and singularly modelled (Figure 7), for use in subsequent analysis of the entire church building.



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*Figure 7 – The macro-blocks of the church of Santa Maria Maggiore: a) façade; b) lateral walls; c) naves; d) triumphal arch; e) apse; f) chapels; g) bell tower.*

#### **4. Step 3: Structural Analysis of the Church using FEM Analysis**

Simplified analysis techniques (e.g., linear equivalent static or modal response spectrum) and FEM analysis are not suitable for particularly complex URM buildings (such as churches) due to the discontinuity and non-homogeneity of the URM [34]. Alternative structural modeling approaches based on finite-discrete elements (FDE) and discrete elements (DE) were proposed by different authors [35, 36, 37, 38]. However, these alternative approaches require a niche expertise as well as specific software that is not common to the industry at large.

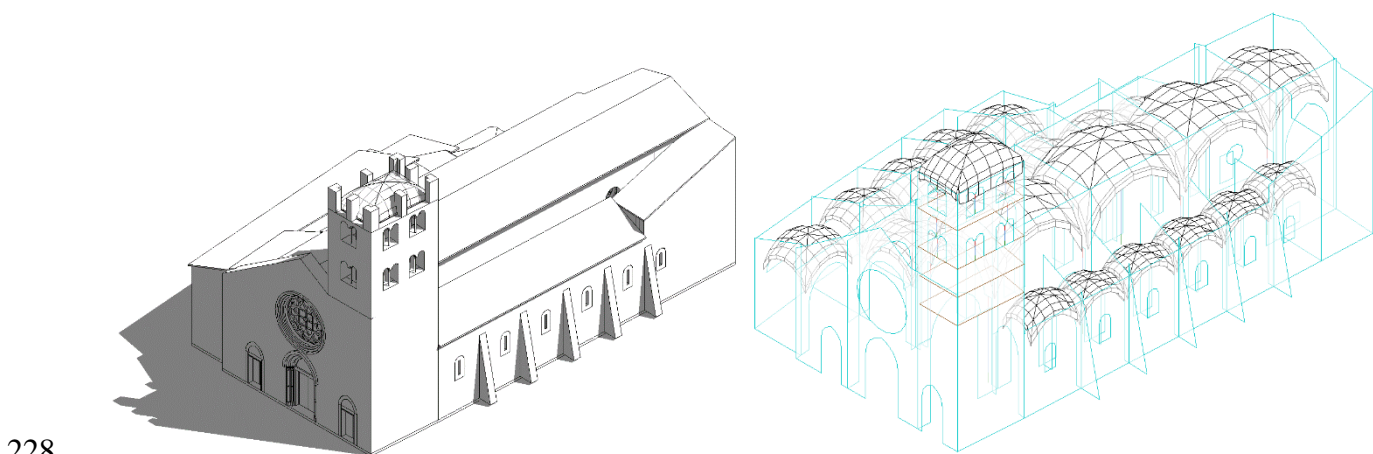
Given the practice limitations of highly specialized analysis, the current research shared the aim of other authors [39, 40] to explore the possibilities of FEM analysis and modal response spectrum analysis to approximate reasonable results for complex URM structures like the selected case study church. Shell elements were chosen for the FEM analysis – as opposed to solid elements – to maintain a direct interconnection between the geometric 3D model and the finite element model,

211 because only shell elements could be directly exported from the HBIM-based software into the FEM  
212 software.

213 Finite-discrete element models (FDEM) and discrete element models (DEM) with discrete,  
214 solid elements representing the masonry units have been shown to provide more precise behavior of  
215 URM buildings than do shell elements in a FEM [34]. However, a shell-element-based FEM offers  
216 non-negligible advantages in modeling, both in terms of cost-efficiency and in terms of replicability  
217 of the procedure for practicing engineers, and it still provide accurate results [41]. Furthermore, some  
218 of the limitations of the shell element modeling can be overcome by using the model as a starting  
219 point for the macro-block analysis and by performing a stiffness adaptation analysis (SAA), both of  
220 which were considered in the current study and described hereafter.

#### 221 **4.1. The HBIM Approach to the FEM Analysis**

222 In addition to being a useful storage of information regarding the composing material, the macro-  
223 blocks, and the provisional regional-scale qualitative seismic risk assessment of the case study church,  
224 the developed HBIM-based model (Figure 8a) was also implemented as a base for a FEM of the  
225 church. Consistent with the principle of interoperability” [30, 28], the model also contains structural  
226 information regarding the approximated shell elements representing the walls and the vaults of the  
227 church (Figure 8b).



228  
229 *Figure 8 – a) Geometric HBIM-based model; and b) structural HBIM-based shell elements model of the church of Santa*  
230 *Maria Maggiore.*



231 The shell elements for the model were directly exported to the FEM software through the .ifc  
 232 file [30] with limited data-loss regarding the modeled macro-blocks (e.g., a few shell elements could  
 233 not be exported due to their significant geometric complexity). To obtain a correct exportation of the  
 234 vaults during the HBIM modeling, a parametric approximation of flat 4-node surfaces was utilized  
 235 (Figure 8).

#### 236 **4.2. Design Response Spectra**

237 The response spectrum analysis was performed assuming a 710-year median return period to address  
 238 the largest resulting stresses and the dominate modal shapes (in terms of participating mass) for each  
 239 macro-block, as a necessary premise to any retrofiting intervention proposal. The resulting seismic  
 240 inertial forces were combined using Equation 1 – 2 provided by the Italian Technical Standard for  
 241 Constructions [42] and its commentary [43]:

$$242 \quad 1.00E_x + 0.30E_y \quad (1)$$

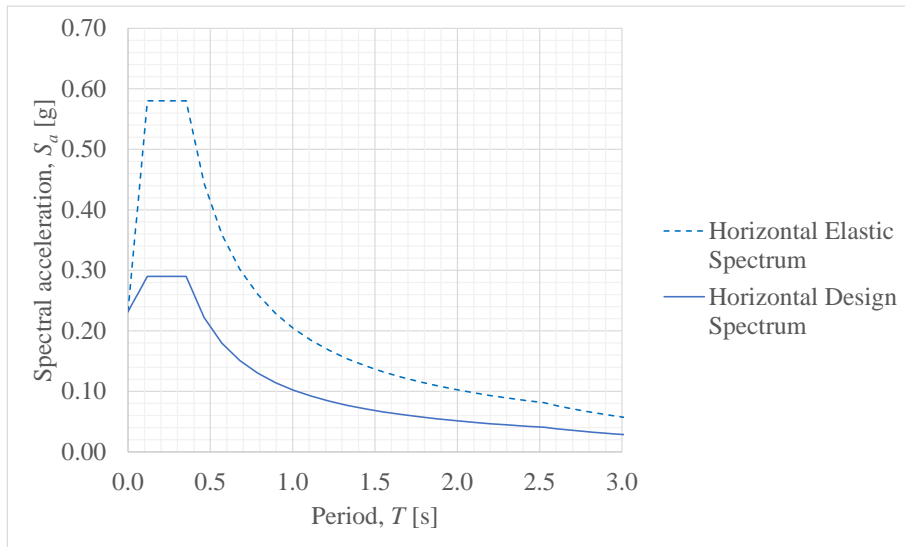
$$243 \quad 0.30E_x + 1.00E_y \quad (2)$$

244 where:  $E_x$  and  $E_y$  are the resulting seismic inertial forces in  $x$  and  $y$  building plan principal  
 245 directions.

Variable		Value
Reference period in which the earthquake might happen	$V_R$ [years]	75
Probability of exceedance of the considered earthquake intensity within the reference period	$P_{V_R}$ [%]	10
Soil category	-	A
Topographic category	-	T1
Peak ground acceleration	$a_g$ [g]	0.2687
Magnification factor	$F_0$	2.5206
Corner period	$T_C^*$ [s]	0.3616
Behavior factor for horizontal accelerations (corresponding to the $R$ factor in the ASCE 7)	$q_h$	2

246 *Table 1 – Assumptions to determine the elastic and design response spectra according to MIT [42, 43].*

247 The elastic and design response spectra were determined accordingly with the MIT [42] using  
 248 the assumptions in Table 1, and they are shown in Figure 9. Please note that the corresponding  
 249 acceleration at the plateau of the elastic response spectrum for the 1-in-500 years earthquake,  $S_{DS}$ ,  
 250 would correspond to a moderate level of seismicity according to the American Standards [44], since  
 251  $0.33g < S_{DS} = 0.41 < 0.50 g$ .



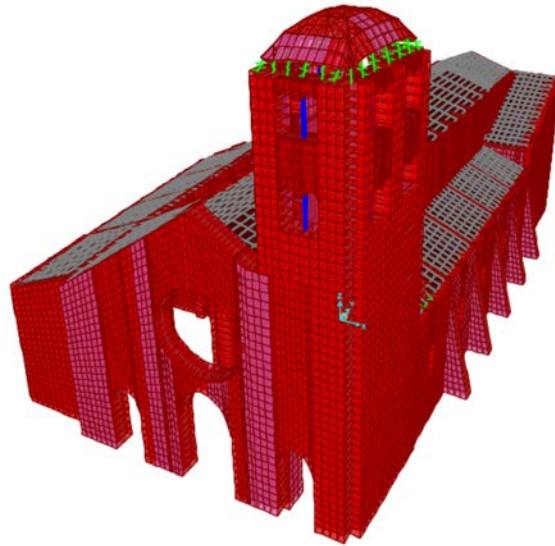
252  
 253 *Figure 9 – Horizontal elastic and design response spectra.*

254 **4.3. Structural analysis**

255 *4.3.1. The FEM Model*

256 The HBIM-based model was exported into *CSi SAP2000*<sup>®</sup>, and the FEM model is shown in Figure  
 257 10. The walls were initially modeled as shell elements fully “fixed” (i.e., translationally and  
 258 rotationally restrained in all three axes) at the base. The masonry piers were initially modeled with  
 259 rotational restraints at the top and bottom. A rotationally restrained connection between perpendicular  
 260 walls was assumed as well. The masonry columns were modeled as frame elements assumed as  
 261 hinged both at the top and at the bottom. The masonry vaults were modelled consistently with their  
 262 geometric imperfections such that the edges were not perfectly coincident with the centerlines of the  
 263 walls. Thus, translationally rigid connectors were added to link the vaults and the walls. Nonetheless,  
 264 the rotation of the vault edges around their weak axis was allowed. Given that it was not possible to

265 survey the roof and the reinforced concrete bond beam sitting on top of the walls, the connection  
 266 between the roof and the top of the walls was conservatively assumed to be poor, consistent with  
 267 observation in late 20<sup>th</sup> century following retrofitting interventions [45, 46]. Thus, the roof was  
 268 modelled only as an additional dead load and assumed to provide no diaphragm action. The latter  
 269 choice was conservative since because of the lack of diaphragm action, the walls would respond to  
 270 the seismic excitation more similarly to cantilever systems (the response would still not be completely  
 271 independent given the wall-to-wall connections). Furthermore, considering the roof as a dead load  
 272 resulted in larger seismic demand and allowed to still capture the compression on top of the walls,  
 273 which play a key role when determining the shear and rocking strength of URM walls.



274

275 *Figure 10 – FEM model of the church of Santa Maria Maggiore.*

276 The sum of the resulting shear stresses,  $\tau_{21}$  and  $\tau_{23}$  in Figure 11 ( $\sigma_{33}$  is assumed equal to zero  
 277 in the structural analysis model), was checked against the frictional shear capacity of the wall  
 278 determined accordingly with the Mohr-Coulomb theory [47] in Equation 3:

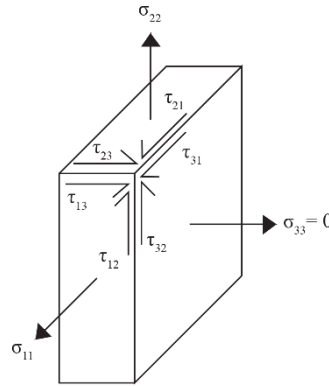
279 
$$\tau_{21} + \tau_{23} \leq f_{vn} = c + \mu\sigma_{22} \quad (3)$$

280 where:  $f_{vn}$  is the shear capacity of the URM;

281  $c$  is the cohesion of the URM;

282  $\mu$  is the coefficient of friction of the URM;

283  $\sigma_{22}$  is the compressive stress acting at the considered section of the wall.



284

285 *Figure 11 – Positive direction of the stresses on a typical wall shell element.*

286 Both sides of the connection were controlled (i.e., the two edges of the connected  
 287 perpendicular walls). If the condition expressed in Equation 3 was satisfied, then the fixed connection  
 288 between the connected walls was retained in the model. Otherwise, horizontal translational releases  
 289 were applied to the connection in the out-of-plane direction of the wall as well as rotational releases  
 290 with respect of the out-of-plane rotation. The condition provided by Equation 4 was checked  
 291 iteratively until all the wall-to-wall connections and the wall-base restraint shear demands satisfied  
 292 the shear friction capacity. Note that residual friction capacity was neglected in the analysis.

293 In Table 2 the mechanical material properties of each macroblock of the case study church  
 294 are shown. The material properties were determined by Pirchio, et al. [18].

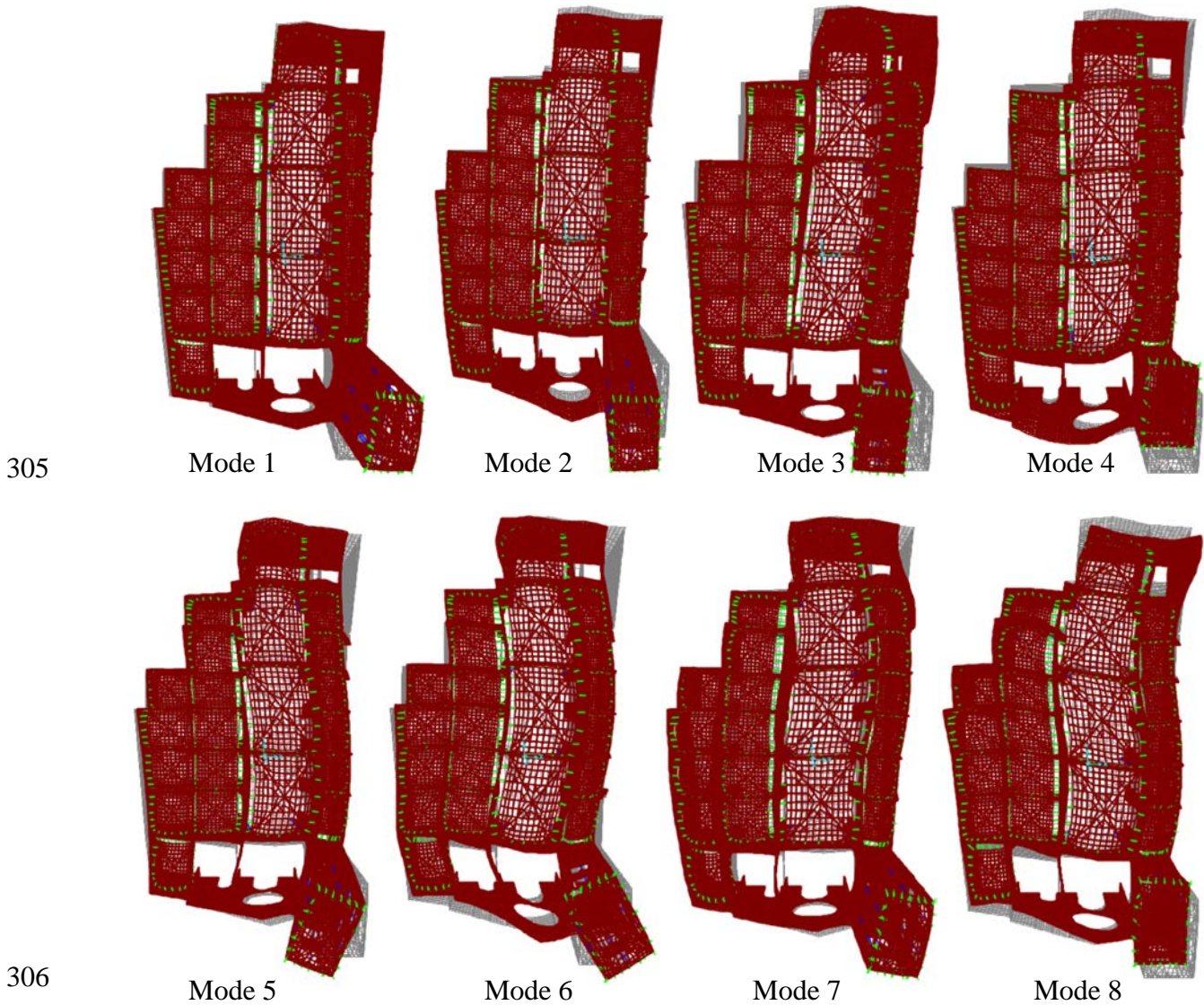
Macroblock	Compressive strength, $f'_m$ [MPa]	Young's modulus, $E_m$ [MPa]	Shear modulus, $G_m$ [MPa]	Cohesion, $c$ [MPa]	Coefficient of friction, $\mu$	Density, $\gamma$ [kN/m <sup>3</sup> ]
Façade	8.13	3258	995	0.102	0.722	22
Lateral Walls	3.77	1608	502	0.073	0.563	21
Naves	5.54	2218	678	0.109	0.768	22
Triumphal Arch	6.96	2787	851	0.109	0.768	22
Roofs	25 kN/m <sup>3</sup> specific weight concrete was assumed for determining the dead load					
Apse	3.77 <sup>1</sup>	1608 <sup>1</sup>	502 <sup>1</sup>	0.073 <sup>1</sup>	0.563 <sup>1</sup>	21
Chapels	3.77 <sup>1</sup>	1608 <sup>1</sup>	502 <sup>1</sup>	0.073 <sup>1</sup>	0.563 <sup>1</sup>	21
Bell Tower	6.80	2724	832	0.102	0.722	22

295 <sup>1</sup>Since no measurements were taken at these locations, the worst material properties measured in other locations on the  
 296 case study church were assumed.

297 *Table 2 – Mechanical material properties assumed for the analysis.*

298 *4.3.2. Dynamic Properties and Stress Status of the Structure*

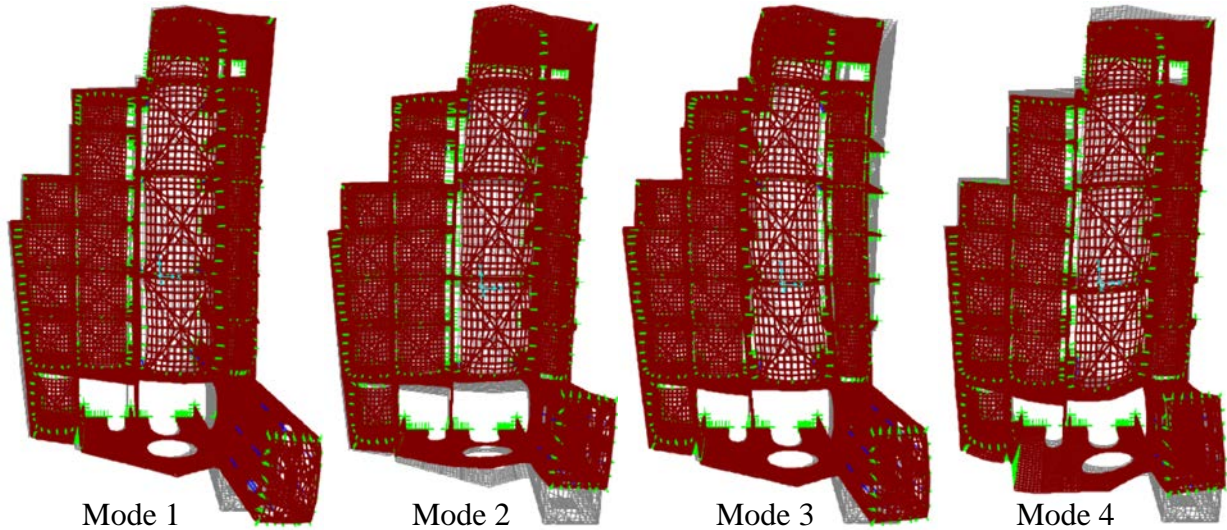
299 A modal analysis was performed on the FEM model of the case study both for the initial condition  
300 (i.e., fixed wall-to-wall connections) and for the final condition (following the end of the process of  
301 iteratively releasing the connections). Sixteen modes were analyzed to achieve at least 70% of  
302 participating mass in  $x$  and  $y$  direction. The first eight mode shapes are shown both for the initial and  
303 final conditions (Figure 12 and Figure 13). The periods of vibration and the corresponding  
304 participating masses for each of these modes are shown in Table 3.



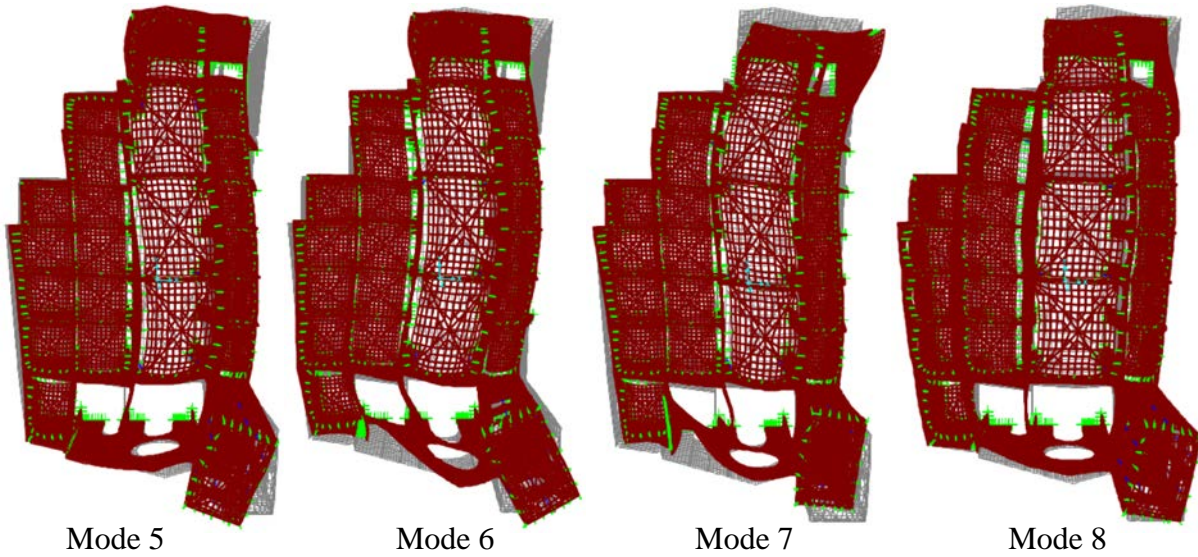
307 *Figure 12 – First eight mode shapes for the initial condition.*



308



309



310

Figure 13 – First eight mode shapes for the final condition.

Condition	Dynamic property	Mode 1	Mode 2	Mode 3	Mode 4	Mode 5	Mode 6	Mode 7	Mode 8
Initial	Period, $T$ [s]	0.234	0.205	0.194	0.154	0.151	0.123	0.111	0.110
	Participating mass, $U_x$ [%]	48.85	3.36	13.22	0.26	0.15	0.10	6.98	0.02
	Participating mass, $U_y$ [%]	2.23	46.44	0.02	18.83	2.34	1.61	0.01	0.13
Final	Period, $T$ [s]	0.239	0.205	0.196	0.157	0.153	0.125	0.114	0.111
	Participating mass, $U_x$ [%]	45.25	3.88	16.62	0.35	0.02	0.20	1.26	5.50
	Participating mass, $U_y$ [%]	2.55	47.06	0.09	18.32	0.06	0.66	2.18	0.16

311

Table 3 – Dynamic properties of the first eight mode shapes for both the initial and the final conditions.

312

As can be observed in Figure 12 and Figure 13, the first two modes (which involve the largest

313

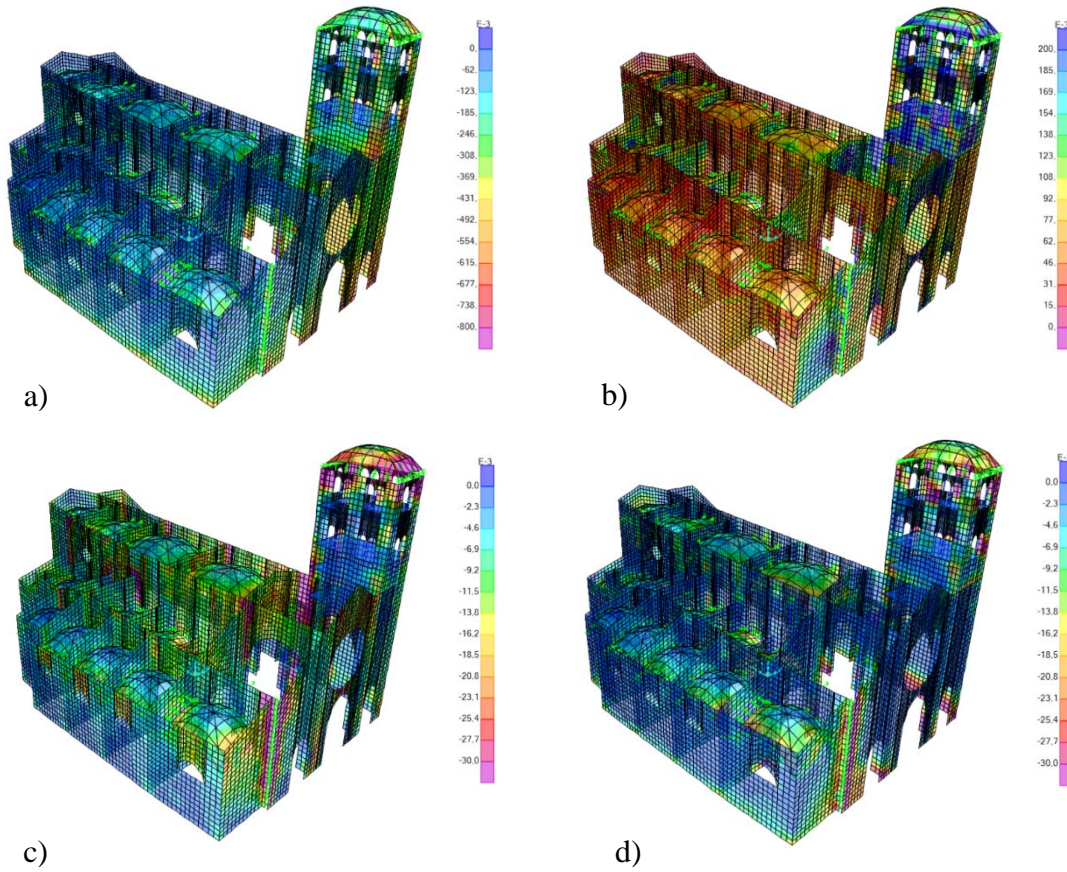
participating mass) are dominated by the vibration of the bell tower and the façade. Furthermore,

314

modes 1, 3, and 7 together contribute approximately 75% of participating mass in the x-direction,

315 while modes 2, 4, and 5 together contribute approximately 70% of participating mass in the y-  
316 direction (Table 3). Although the rotational-translational releases applied to the wall-to-wall and wall-  
317 to-base connections slightly affected the dynamic behavior of the building (Table 3), the differences  
318 were almost negligible. In fact, although the new restraints are much less stiff, only a few wall-to-  
319 wall connections experienced a shear and moment failure and mainly on the façade and bell tower.  
320 Therefore, the global behavior represented in Table 3 resulted lightly affected and only small increase  
321 of the period was experienced. More differences might be noticed in modal shape mainly involving  
322 the façade and bell tower (i.e., modes 2, 6, 7, and 8) when comparing Figure 12 and Figure 13.

323 Subsequently, a modal response spectrum analysis was performed to compute the design  
324 demands associated with the 1-in-710 years earthquake. The compressive stresses and the shear  
325 stresses (both in-plane and out-of-plane) were determined to identify critical zones of stress  
326 concentration. In general, the compressive stresses determined in the worst-case scenario (Figure 14a)  
327 were smaller than the compressive capacity of the URM material (Table 2). Nonetheless, the piers of  
328 the façade and of the bell tower were found to be subjected to large shear stresses (Figure 14b, c, and  
329 d), and thus, these macro-block elements were analysed in greater detail, as discussed in the next  
330 section.



331

a)

b)

332

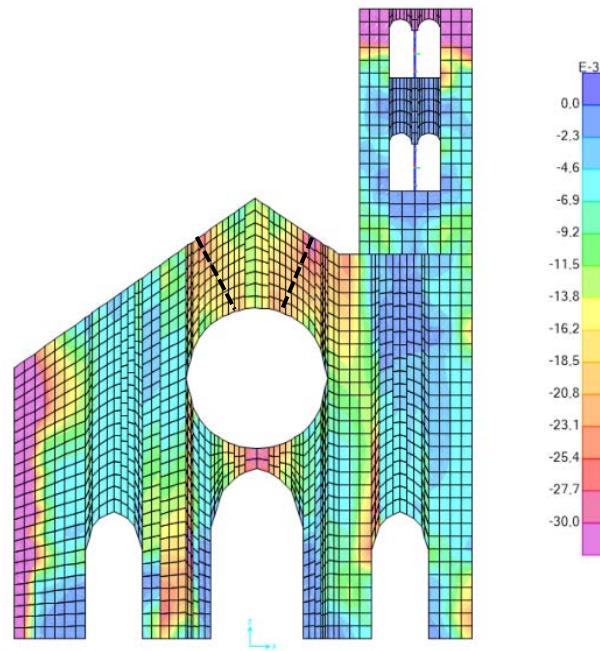
c)

d)

333 *Figure 14 – Stress: a)  $\sigma_{22}$ ; b)  $\tau_{12}$ ; c)  $\tau_{13}$ ; d)  $\tau_{23}$ . Please note that the units in Figure 14 are in MPa and that the stress*  
 334 *directions are in accordance with Figure 11.*

335 Although the FEM analysis adopted herein is not suitable to simulate the actual failure  
 336 mechanisms of the macro-blocks, in the current research it was used (given its wide practitioner  
 337 acceptance) to determine the resulting stresses that can be used to identify where crack lines  
 338 governing macro-block formation would most-likely develop. The most likely crack lines of the gable  
 339 mechanism on the façade were identified using the FEM (Figure 15) and assessed via the virtual  
 340 works approach as discussed in the next section.





341

342 *Figure 15 – Out-of-plane shear stresses on the façade with likely crack lines for the gable mechanism identified.*

### 343 **5. Step 4: Local Macro-blocks Failure Mechanisms**

344 Although the analysis of local macro-blocks failure mechanisms underwent major advancements in  
 345 the last decade via use of NURBS-based software and sequential linear programming of adaptive  
 346 mesh [48, 49, 50, 51], the knowledge and the tools to perform this type of advanced analysis are still  
 347 not available to the wide audience of practitioners which currently populate the world of engineering.

348 The aim of the current section was to show how procedure developed so far can be used as a  
 349 aid for the traditional macro-blocks analysis in terms of selection of the relevant local failure  
 350 mechanisms and identification of the most likely crack lines (Figure 14, and Figure 15). Therefore,  
 351 the the pier mechanisms of the bell tower and on the gable mechanism of the façade were analyzed  
 352 herein a possible example.

#### 353 **5.1. Pier Mechanism**

354 URM piers should be checked against three mechanisms: rocking and toe crushing, diagonal shear,  
 355 and sliding shear [52, 53, 54, 42] resulting in Equation 4 – 6.

356 
$$V_{rocking} = \frac{Dt\sigma_0}{2\psi \frac{H}{D} \text{ (or } t)} \left( 1 - \frac{\sigma_{22}}{0.85f'_m} \right) \quad (4)$$

357 
$$V_{diagonal} = \frac{Dt}{b} f_{ut} \sqrt{1 + \frac{\sigma_{22}}{f_{ut}}} \quad (5)$$

358 
$$V_{sliding,in-plane} = Dt f_{ut} \quad (6)$$

359 where:  $D$  is the depth of the URM pier;

360  $t$  is the thickness of the URM pier;

361  $H$  is the height of the URM pier;

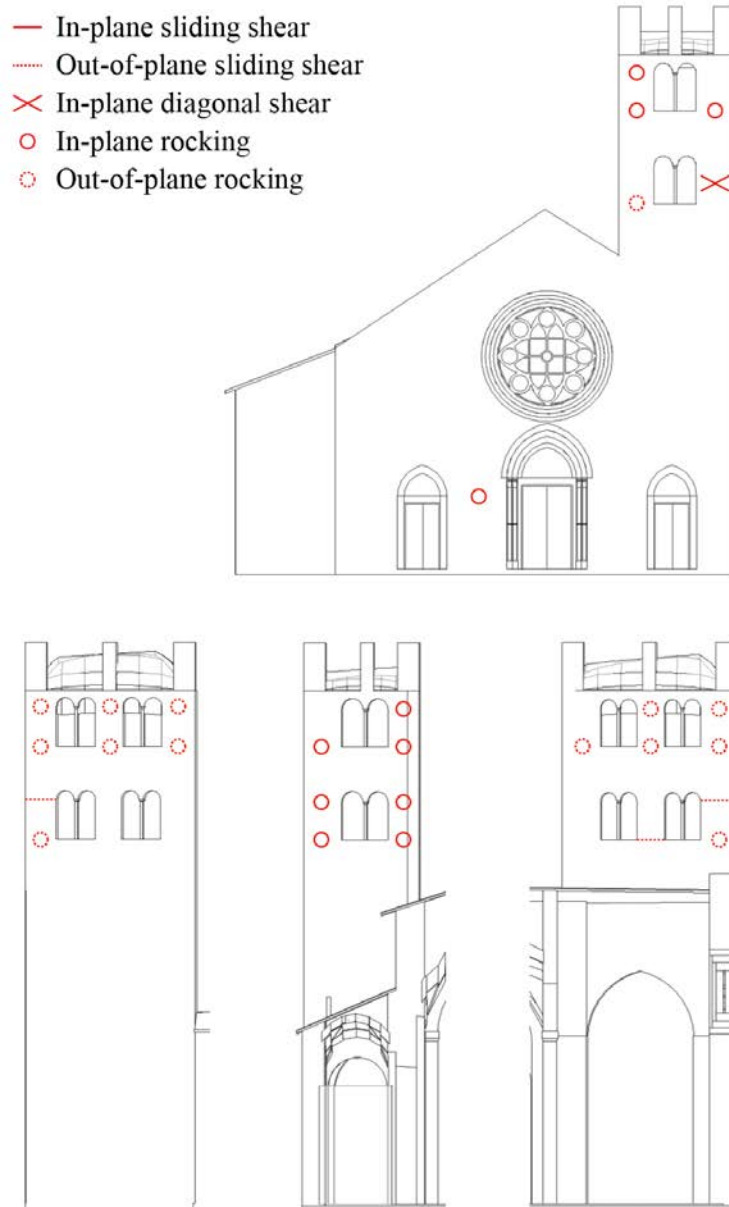
362  $\psi$  is a coefficient equal to 1.0 for cantilever piers and 0.5 for fixed-fixed piers;

363  $f_{ut}$  is the tensile strength of URM,  $f_{ut} = c + \mu\sigma_{22}$ ;

364  $b$  is the shear stress distribution factor, with  $1 \leq b = H/D \leq 1.5$

365 The FEM model might be used to determine the forces and the moments acting at the base  
366 and at the top of each URM pier in order to perform a demand versus capacity check. As an example,  
367 the capacity of the piers of the façade and the bell tower were checked against the force demand  
368 obtained by the modal response spectrum analysis. The results are shown in Figure 16.



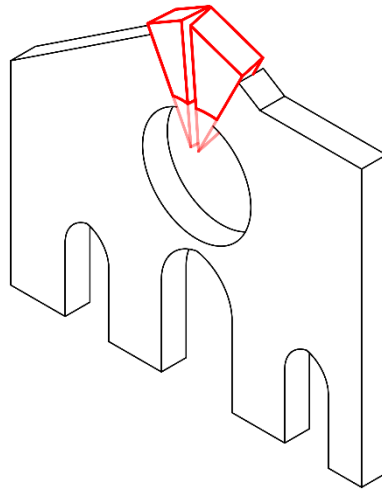


369

370 *Figure 16 – Failure mechanisms of the piers of the façade and of the bell tower.*

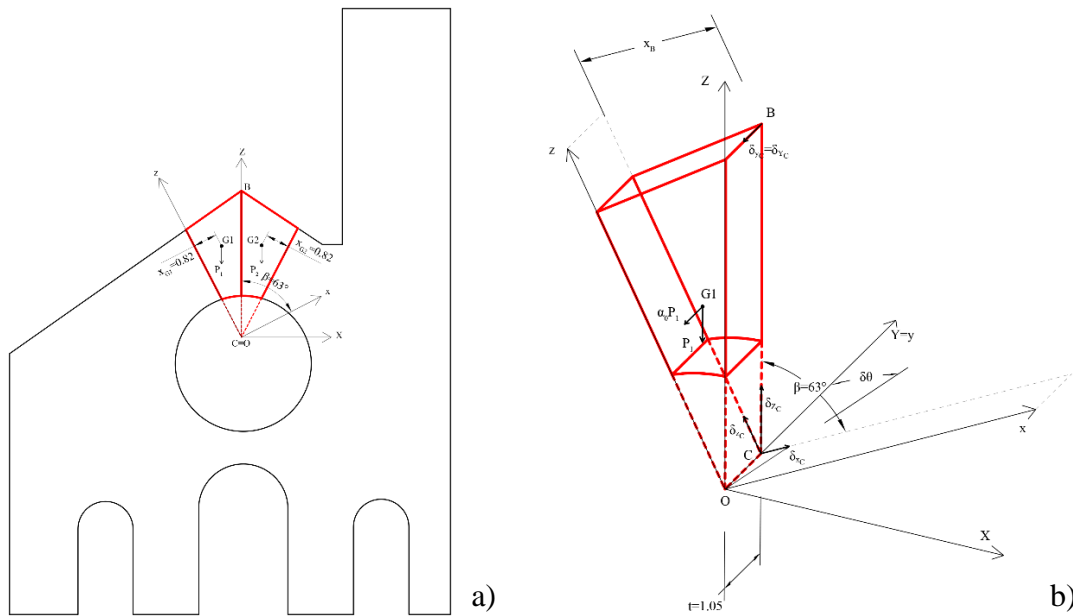
371 **5.2. Gable Mechanism**

372 The gable mechanism is identified as one on the most affecting macro-blocks failure mechanism for  
 373 the façade [33]. Due to the rose-window (i.e., the large circular opening on the façade), the gable of  
 374 the façade of the church of Santa Maria Maggiore is subjected to significant out-of-plane forces which  
 375 might likely lead to the out-of-plane collapse of the gable (Figure 17, Figure 18a and b).



376

377 *Figure 17 – Schematic representation of the gable mechanism.*



378

379 *Figure 18 – a) elevation of the gable mechanism; b) isometric representation of one of the rigid blocks and relative*  
 380 *displacements. Units of m.*

381 To determine if the gable might collapse under the inertial forces imposed by the considered  
 382 design response spectrum (Figure 9), the linear kinematic approach was used [55], which is a type of  
 383 analysis based on the virtual work principle. The horizontal inertial forces acting on the gable are  
 384 considered equal to the self-weight multiplied by an inertial multiplier  $\alpha_0$ , as shown in Figure 18b (in  
 385 which one single block is shown considering the second one symmetric). Considering the two blocks  
 386 composing the mechanism as rigid (Figure 17), the sum of work produced by the inertial forces and  
 387 the work produced by the self-weights of the rigid blocks was equated to zero in Equation 7 [55]:

388 
$$\alpha_0 \left( \sum_{i=1}^2 P_i \cdot \delta_{Y,P_i} \right) - \sum_{i=1}^2 P_i \cdot \delta_{Z,P_i} = 0 \quad (7)$$

389 where:  $P_i$  is the self-weight of the  $i$ -th block;

390  $\delta_{Y,P_i}$  is the translation along the Y-axis of the center of gravity of the  $i$ -th block;

391  $\delta_{Z,P_i}$  is the translation along the Z-axis of the center of gravity of the  $i$ -th block.

392 The inertial multiplier,  $\alpha_0$ , necessary to develop the mechanism can be determined using  
393 Equation 8 [55].

394 
$$\alpha_0 = \frac{(P_1+P_2) \left[ \frac{t}{2} \cos \beta + t \tan \beta \sin \beta \right] \delta \vartheta}{(P_1 x_{G1} + P_2 x_{G2}) \delta \vartheta} \quad (8)$$

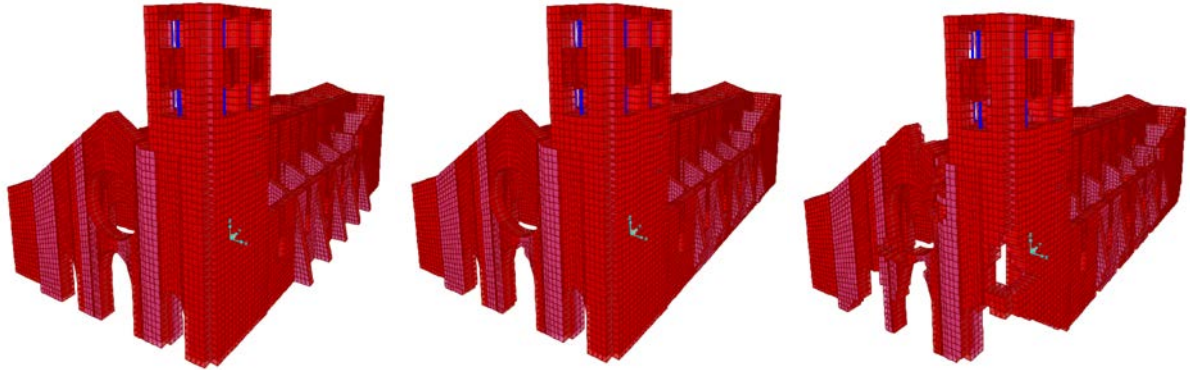
395 In general, given that the position and the inclination of the yield lines would be unknown,  
396 Equation 8 would have too many variables (i.e.,  $\alpha_0$ ,  $\beta$ , and  $x_{G1}=x_{G2}$ ) and a relatively complex  
397 optimization problem would be required to determine the minimum value of  $\alpha_0$ . However, thanks to  
398 the FEM analysis, the most likely configuration of the yield lines was determined already (Figure 15),  
399 thus, the value of the inertial multiplier can be easily determined to be  $\alpha_0 = 1.26$ .

## 400 **6. Validation of the Findings by applying a Pushover Analysis via Stiffness Adaptation**

401 To confirm the results and the observations obtained via the simplified response spectrum analysis,  
402 an enhanced non-linear static analysis (i.e., non-linear pushover analysis) was performed in the  
403 structural model. Non-linear stress-strain analysis for URM buildings is sometimes carried out in  
404 highly specialized software [56, 57, 58], but with the goal of providing an accurate means of  
405 replicating the precision and accuracy of results from highly specialized software but in FEM tools  
406 more commonly used in practice, the traditional non-linear pushover analysis was enhanced into a  
407 multi-step pushover method called a “stiffness adaptation analysis” (SAA) [59].

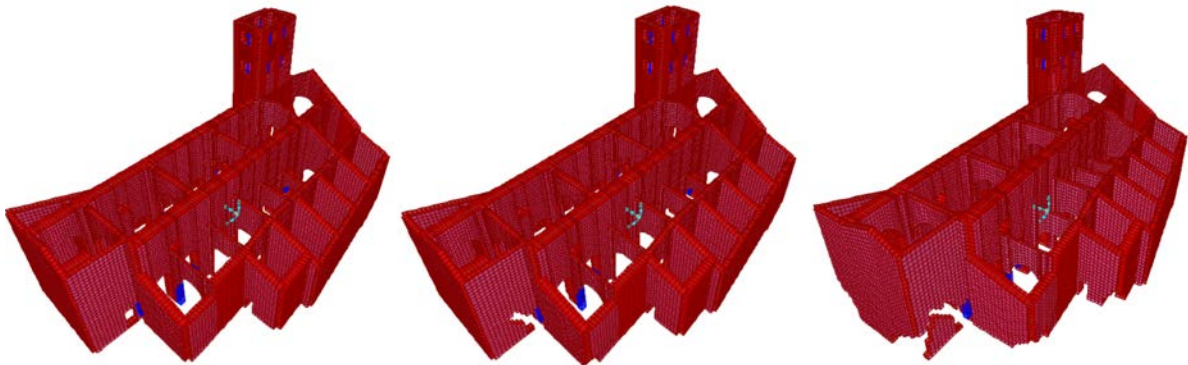
408 The SAA consists of an iterative linear pushover analysis in which at the end of each step the  
409 shell elements that experienced tensile stresses or exceeded the compressive strength of the material

410 are removed. Thus, the result of the iterative SAA process is equivalent to performing multiple non-  
411 linear pushover analyses but with the initial stiffness being degraded at each iterative step. A graphic  
412 representation of different steps is shown in Figure 19 and 20, while the algorithm applied in the  
413 iterative process was described in Figure 21.



414

415 *Figure 19 – SAA in N-S direction.*



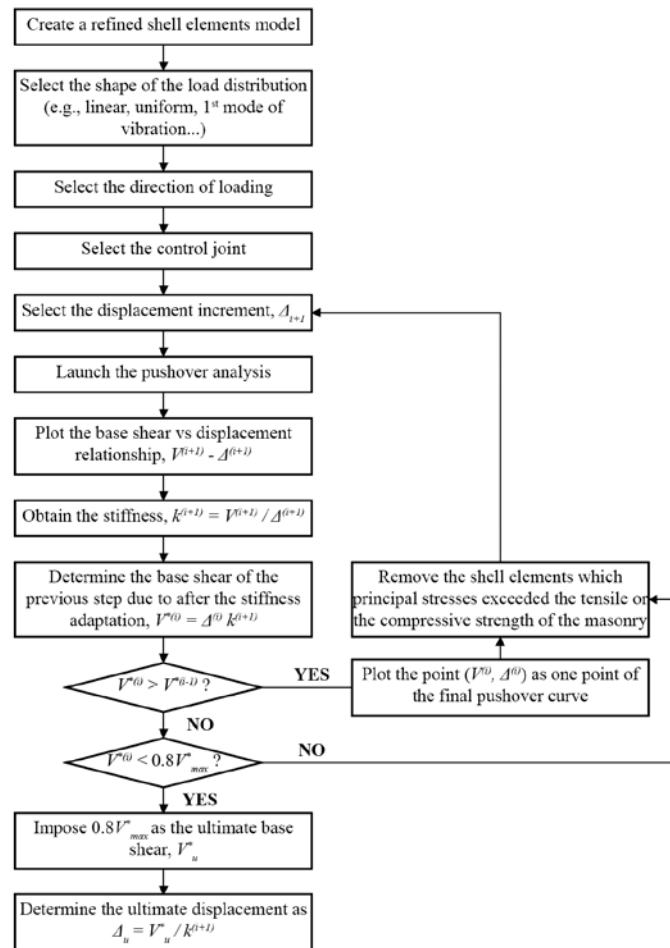
416

417 *Figure 20 – SAA in E-W direction.*

418 Although the SAA can be used to accurately represent URM component behavior and to  
419 ensure numerical convergence in the model, it still presents some limitations as follows:

- 420
- As for the traditional non-linear pushover analysis, out-of-plane behavior is disregarded;
  - The SAA has a high computational demand since the multiple iterations require running the  
421 model multiple times;
- 422

- 423 • The SAA is sensitive to the selected displacement increment,  $\Delta_{i+1}$  (Figure 21), as smaller  
 424 intervals would result in more accurate results but also in extended computational times;  
 425 therefore, a careful calibration of  $\Delta_{i+1}$  is required;
- 426 • The identification and removal of the shell elements in the model which exceeded the  
 427 compressive or the tensile material capacity may be need to be done manually pending the  
 428 development of a separate program to automate this process; and
- 429 • Since some elements are removed from the model at each step of the iterative process, SAA  
 430 is not suitable for cyclic analysis.



431

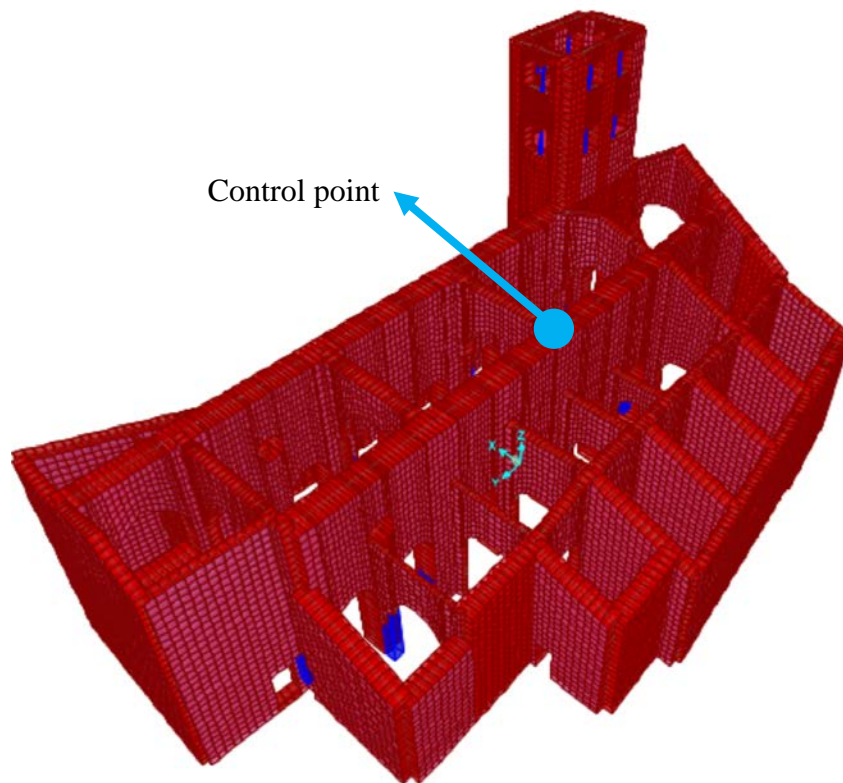
432 *Figure 21 – Algorithm of the SAA iterative process.*

433 Thus, the pushover capacity curves in North-South and East-West directions (respectively  $x$   
 434 and  $y$  in the model) were determined for the multi-degree of freedom (MDoF) model as shown in  
 435 Figure 23. The loads were distributed proportionally to the fundamental mode in the direction under



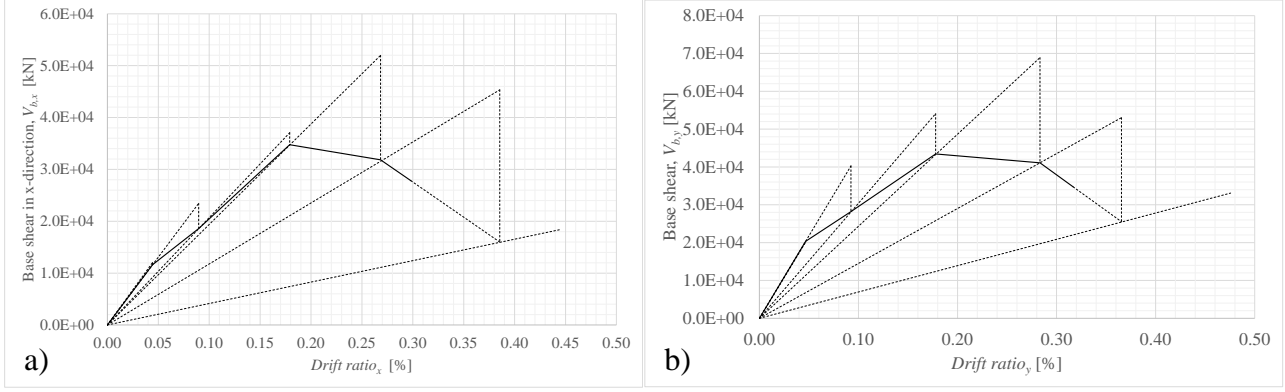
436 consideration (i.e., a triangular load pattern). Given the representative nature of the study case, no  
437 other load patterns were considered as further analysis would have exceeded the scope of the research.

438 Note that the displacement was expressed in terms of drift ratio of the selected control point  
439 (Figure 22). Since a global analysis was performed, the control point was selected as close to the  
440 center of gravity of the roof level as possible and at the intersection of two bearing walls of the  
441 structure so that the increased stiffness given by the return wall prevented the local deformation of  
442 the wall to significantly affect the results. Please note that the SAA, as well as the traditional non-  
443 linear pushover analysis, is sensitive to the selection of the control point. Local SAA of single macro-  
444 blocks could be performed as well by selecting the localized control point accordingly.



445

446 *Figure 22 – Selected control point for the pushover analysis.*



447

448 Figure 23 – Capacity curves for the MDoF system: a) N-S direction (x); b) E-W direction (y).

449 For comparison with the demand spectrum, an equivalent single degree of freedom (SDoF)  
 450 capacity curve was derived from the MDoF curve. The equivalent SDoF curve was obtained per the  
 451 provisions of MIT [43] by scaling both the coordinates ( $Drift\ ratio_i$ ) and the ordinates (base shear,  
 452  $V_{b,i}$ ) of the original curve using the sum of modal participation factors of the first eight modes,  $\sum \Gamma_i$ ,  
 453 as described in Equation 9. Only the modal participation factor of the first mode would typically be  
 454 used to scale the MDoF curve into a SDoF curve; however, previous studies showed that this  
 455 simplification is inadequate to capture the often significant effects of higher modes for complex  
 456 structures [60].

457

$$\begin{cases} Drift\ ratio_i^{(SDoF)} = Drift\ ratio_i^{(MDoF)} / \sum \Gamma_i \\ V_{b,i}^{(SDoF)} = V_{b,i}^{(MDoF)} / \sum \Gamma_i \end{cases} \quad (9)$$

458 where:  $\Gamma$  is the modal participation factor as defined in Equation 10.

459

$$\Gamma_i = \frac{\varphi_i^T M \tau}{\varphi_i^T M \varphi_i} \quad (10)$$

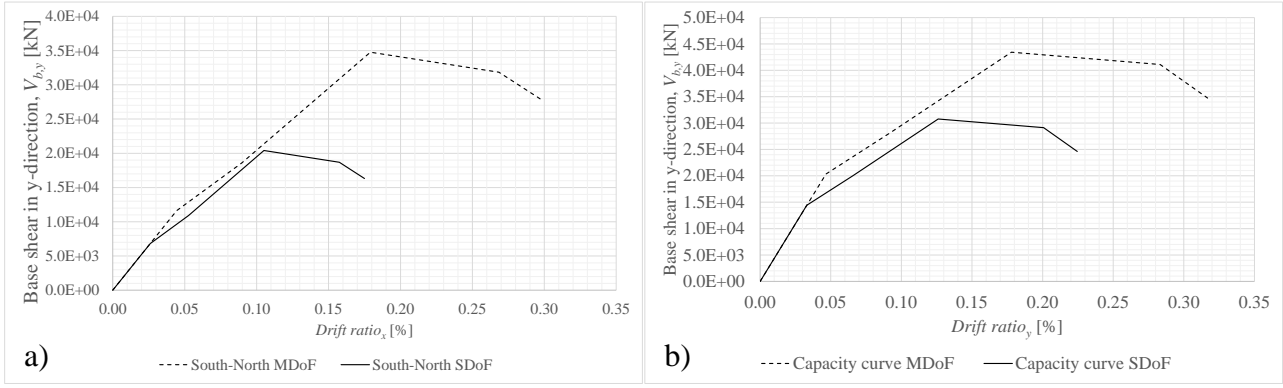
460 where:  $\varphi_i$  is the vector of the modal  $i$ -th mode of vibration;

461  $M$  is mass matrix of the structure;

462  $\tau$  is the influence vector corresponding to the direction of loading.

463

In Figure 24 the equivalent capacity curve relative to the SDoF system was shown.



464

465 Figure 24 – Capacity curves for the SDoF system: a) in N-S direction (x); b) in E-W direction (y).

466

467 Once the capacity curve for the SDoF system was obtained, the performance point (PP) for  
 468 all the limit states (i.e., immediate occupancy, damage limitation, life safety, and collapse prevention)  
 469 was determined comparing the capacity curve with the corresponding demand spectrum. The  
 470 comparison was based on an iterative process in order to find the equivalent damping ratio,  $\xi_{eq}$ , to be  
 471 used for each limit state to scale the demand spectrum. MIT [43] proposed Equation 11 to determine  
 472  $\xi_{eq}$ , and the iterative process to obtain the PP is charted in Figure 25.

473

$$\xi_{eq}^{(i+1)} = k \frac{63.7(F_y^{*(i)} d_{PP}^{*(i)} - F_{PP}^{*(i)} d_y^{*(i)})}{F_{PP}^{*(i)} d_{PP}^{*(i)}} + 5 \quad (11)$$

474

where:  $\xi_{eq}^{(i+1)}$  is the equivalent damping ratio (percentage) to be used in the  $i+1$ -th step;

475

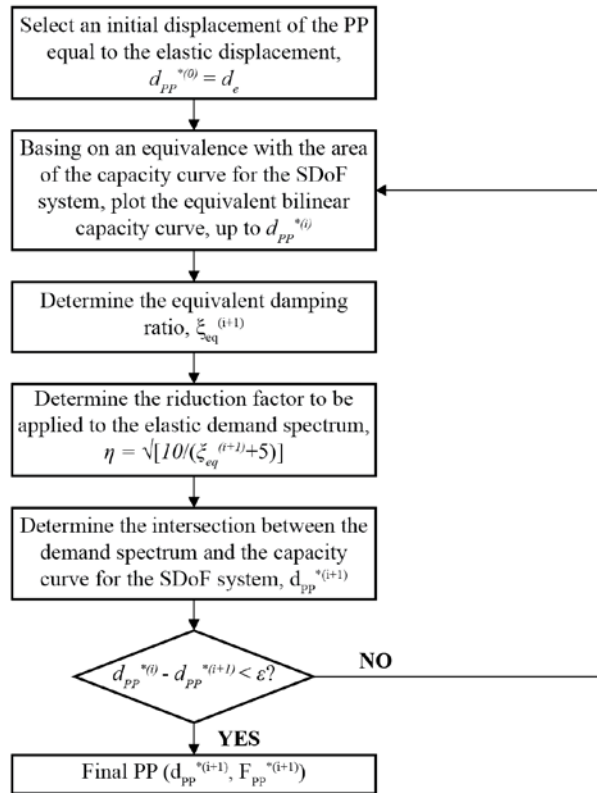
$F_y^{*(i)}$  and  $d_y^{*(i)}$  are the coordinates of the equivalent yielding point of the bilinear curve;

476

$F_{PP}^{*(i)}$  and  $d_{PP}^{*(i)}$  are the coordinates of the equivalent PP of the bilinear curve;

477

$k$  is 0.33 for structures with low dissipation capacity.



478

479 Figure 25 – Algorithm for the iterative process to determine the PP, according to MIT [43].

480

481

482

483

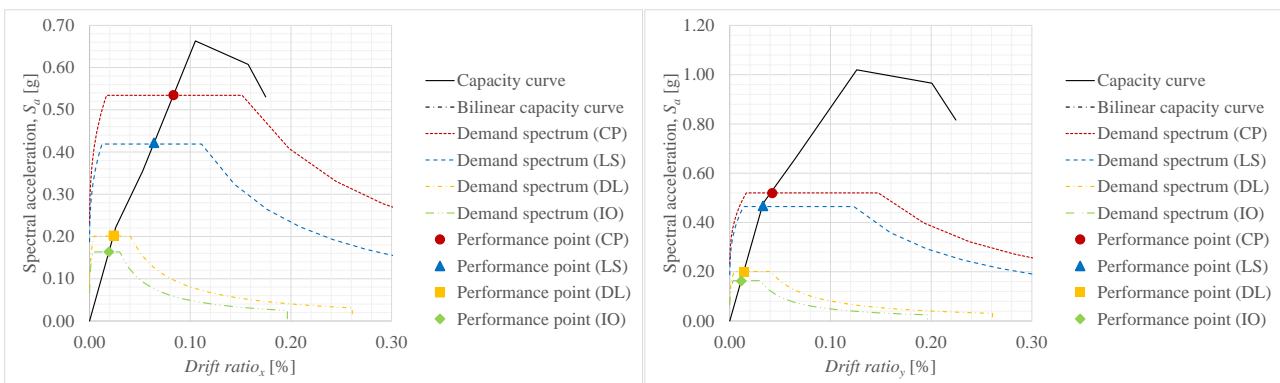
484

485

486

487

Applying the procedure shown in Figure 25 for each limit state resulted in the PPs indicated in Figure 26. It might be noticed that the poorest performance corresponded to an earthquake excitation in North-South direction (Figure 26a). In the East-West direction, the capacity largely exceeded the demand (Figure 26b) most likely due to the substantially proportioned resisting walls oriented in the East-West direction. Furthermore, focusing on Figure 20, it might be noticed that, according to the analysis, the damage was concentrated on the façade and the bell tower. This observation is consistent with the results of the modal analysis (Figure 13) and the response spectrum analysis (Figure 14, Figure 15, and Figure 16).



488

489 *Figure 26 – a) Performance point (PP) in N-S direction (x); b) PP in E-W direction (y).*

490 The equivalent damping ratio,  $\zeta_{eq}$ , the reduction factor to be applied to the elastic demand  
 491 spectrum,  $\eta$ , and the behavior factor for horizontal acceleration (corresponding to the  $R$  factor in  
 492 ASCE 7),  $q_h$ , related with each PP are listed in Table 4. Please note that, although they have different  
 493 definitions, the factor  $\eta$  and the coefficient  $q_h$  are applied for the same purpose and with the same  
 494 physical meaning (i.e., reducing the demand spectrum due to the capability of dissipating energy of  
 495 the structure), and they can be considered as reciprocal values in the equations proposed by MIT [42].  
 496 Note that the maximum response spectrum modification factor,  $q_h$ , resulting from the pushover SAA  
 497 was smaller than the one assumed in the response spectrum analysis as suggested by MIT [42] in  
 498 general for URM buildings.

499

Considered direction	Limit state considered for the PP	Equivalent damping ratio, $\zeta_{eq}$ [%]	Reduction factor, $\eta$	Response spectrum modification coefficient for horizontal acceleration, $q_h$
North-South	Immediate occupancy, IO	5.00	1.00	1.00
	Damage limitation, DL	5.00	1.00	1.00
	Life Safety, LS	7.29	0.90	1.11
	Collapse prevention, CP	6.98	0.91	1.09
East-West	Immediate occupancy, IO	5.00	1.00	1.00
	Damage limitation, DL	5.00	1.00	1.00
	Life Safety, LS	5.00	1.00	1.00
	Collapse prevention, CP	7.47	0.90	1.12

500 *Table 4 – Equivalent damping ratios and reduction factors related with the structure performance points.*

501 The pushover analysis via SAA was applied in order to model the global behavior of the  
 502 church, but the authors wish to highlight the possibility of this application also for addressing the  
 503 failure mechanisms of single macro-blocks by selecting appropriate control point [61]. As the global  
 504 SAA pushover was used to validate the response spectrum analysis, the local pushover SAA might  
 505 be used as a validation for the kinematic analysis shown in Figure 17 and Figure 18.

## 506 **7. Summary and Conclusion**

507 A four-step framework was developed and applied to the case study of the URM church of Santa  
 508 Maria Maggiore in the diocese of Anagni-Alatri (Lazio, Italy) to acquire the necessary geometric



509 dimensions in form of a high-density point cloud (1), to convert the point cloud into a solid 3D HBIM-  
510 based model attached with data regarding the material properties and the structural elements (2), and  
511 to export the latter into FEM software to perform a modal response spectrum analysis, a local collapse  
512 mechanisms analysis, and a SAA(3).

513 Beneficial features of the proposed framework could be identified for each step as follows:

- 514 • *Step 1:* The use of UAS and stationary cameras to perform a photogrammetric survey of the  
515 case study church represented a cost-efficient on-site data gathering campaign, that does not  
516 require contact with the surfaces and can be rapidly used even during a seismic sequence. A  
517 complete in-site geometrical survey of a complex building such as a church could be  
518 performed in a few hours by moving most of the survey into post-processing operations (e.g.,  
519 creation of the high-density point cloud);
- 520 • *Step 2:* The use of HBIM-based modeling effectuated an optimal interoperability between  
521 step 1 (i.e., point cloud development) and step 3 (FEM). Furthermore, the parametric  
522 modeling integrated data coming from different sources (e.g., the point cloud, the mechanical  
523 material properties, the geometry of the macro-blocks, the results of previous provisional risk  
524 assessment, and the structural model) and to store them in a single file reducing the risk of  
525 loss of information between the different steps; and
- 526 • *Step 3 and 4:* The use of FEM analysis effectuated the detailed seismic assessment of a very  
527 complex structure. The modal analysis, which can be carried out by most experienced  
528 structural engineers, was used to identify the most highly stressed macro-blocks in an  
529 earthquake scenario. The forces and moments demand could be easily obtained via modal  
530 response spectrum analysis, exported, and used to classify the failure mechanisms of the  
531 masonry piers. Eventually, the stress condition of the shell elements in the FEM was used to  
532 identify the most-likely yield lines of the local collapse mechanisms establishing a logical  
533 connection between FEM analysis and the more appropriate, but highly sensitive on the

534 mechanism selection, macro-block modeling approach. The simplified linear modal response  
535 spectrum analysis was further checked via an enhanced non-linear pushover SAA resulting in  
536 a validation of the identified main collapse mechanism. However, the behavior factor,  $q_h$ ,  
537 prescribed by MIT [42] for URM ordinary buildings was larger than the one obtained for the  
538 collapse prevention performance point through the comparison of the capacity curve for the  
539 SDoF system with the demand spectrum. Other sources [62, 44] suggested that smaller values  
540 for the behavior factor that might be more appropriate for the modeling of churches. The  
541 authors encourage for further research on the topic for allowing a larger number of practicing  
542 engineers to be able to approach the simplified modeling of complex URM buildings such as  
543 churches.

544 Although the proposed four-step framework may be improved in terms of automatization of  
545 the process and accuracy of the results, the authors forecast that it might serve as a useful methodology  
546 for the detailed analysis of complex, historic URM buildings that can be applied by the practicing  
547 engineering community. The authors also encourage further research on the interaction of HBIM-  
548 based and FEM-based software as, while the .ifc files permit discrete interoperability between the  
549 geometric and the structural modeling software, the current state of the art requires the engineers  
550 either to oversimplify the modeling or to perform significant manual adjustments when exporting the  
551 model from one software to the other.

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563 Don Francesco Valentini and the Dr. Giovanna Bandinu (diocese of Orvieto – Todi), the Arch.  
564 Agapito Fornari (diocese of Palestrina), Don Riccardo Pascolini (diocese of Perugia – Città della  
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