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# Ab initio molecular dynamics and materials design for embedded phase-change memory

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The Ge<sub>2</sub>Sb<sub>2</sub>Te<sub>5</sub> alloy has served as the core material in phase-change memories with high switching speed and persistent storage capability at room temperature. However widely used, this composition is not suitable for embedded memories—for example, for automotive applications, which require very high working temperatures above 300 °C. Ge–Sb–Te alloys with higher Ge content, most prominently Ge<sub>2</sub>Sb<sub>1</sub>Te<sub>2</sub> ('212'), have been studied as suitable alternatives, but their atomic structures and structure–property relationships have remained widely unexplored. Here, we report comprehensive first-principles simulations that give insight into those emerging materials, located on the compositional tie-line between Ge<sub>2</sub>Sb<sub>1</sub>Te<sub>2</sub> and elemental Ge, allowing for a direct comparison with the established Ge<sub>2</sub>Sb<sub>2</sub>Te<sub>5</sub> material. Electronic-structure computations and smooth overlap of atomic positions (SOAP) similarity analyses explain the role of excess Ge content in the amorphous phases. Together with energetic analyses, a compositional threshold is identified for the viability of a homogeneous amorphous phase ('zero bit'), which is required for memory applications. Based on the acquired knowledge at the atomic scale, we provide a materials design strategy for high-performance embedded phase-change memories with balanced speed and stability, as well as potentially good cycling capability.

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#### INTRODUCTION

With increasing global demand for data storage and processing, massive efforts are underway to develop new electronic devices and platforms, including non-volatile memory and neuroinspired computing technologies<sup>1-3</sup>. Chalcogenide phasechange materials (PCMs) are leading candidates to implement these functionalities. Phase-change random access memory (PRAM) is programmed to switch between the amorphous and crystalline states of PCMs reversibly and rapidly, and the pronounced contrast in electrical resistance (over three orders of magnitude) or optical reflectance (over 30%) between the two states is used to encode data<sup>1-3</sup>. Competitive storage-class memory products based on PCMs—known as 3D Xpoint—have entered the global memory market recently, largely boosting computing efficiencies by bridging the performance gap between dynamic random access memory (DRAM) and flashmemory-based solid state hard drives (SSD). The core material in use is the Ge<sub>2</sub>Sb<sub>2</sub>Te<sub>5</sub> alloy, located at the midpoint of the GeTe-Sb<sub>2</sub>Te<sub>3</sub> pseudo-binary line<sup>4</sup>. As-deposited Ge<sub>2</sub>Sb<sub>2</sub>Te<sub>5</sub> thin films show a crystallization temperature  $T_x$  of ~150 °C, and a minimum switching time of  $\sim$ 10 ns in state-of-the-art devices<sup>5,6</sup>. Neuro-inspired computing utilizes the multilevel storage capability of Ge<sub>2</sub>Sb<sub>2</sub>Te<sub>5</sub>, making it possible to process data directly within the memory arrays. For a given artificial intelligence (AI) computing task, such as pattern classification, Ge<sub>2</sub>Sb<sub>2</sub>Te<sub>5</sub> based memory arrays were shown to improve the computing, energy, and areal efficiencies by one to two orders of magnitude compared to current computing hardware<sup>7,8</sup>.

Moving towards the Sb<sub>2</sub>Te<sub>3</sub> end of the compositional pseudobinary line, the switching speed is further improved, but the amorphous-phase stability is weakened, with a  $T_x$  as low as 85 °C<sup>9</sup>.

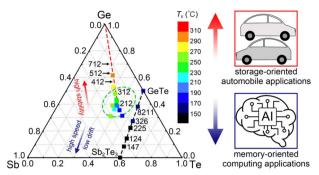
Ab initio materials screening studies have recently shown that alloying with a suitable element, such as  $Sc^{10-15}$  or  $Y^{16-19}$ , can largely increase the nucleation rate to improve switching speed at elevated temperatures. The recently designed Sc<sub>0.2</sub>Sb<sub>2</sub>Te<sub>3</sub> (SST) alloy brings the programming time down to ~0.7 ns, expanding the capability of PRAM for cache-type memory applications 10-1 The stability of amorphous Sb<sub>2</sub>Te<sub>3</sub> thin films can also be improved by alloying with Sc, leading to a  $T_x$  of about 150 °C—due to an increase in viscosity, and thus a decrease in growth rate, at low temperatures 10-12. For high-performance neuro-inspired computing, the spontaneous structural relaxation of amorphous GST is the major obstacle, causing the well-known issue of resistance drift<sup>20</sup>. In ref. 21, it was shown how this issue can be resolved: by the design of a heterostructure that confines amorphous Sb<sub>2</sub>Te<sub>3</sub> nanolayers between robust non-PCM TiTe<sub>2</sub> crystalline nanolayers, enabling memory operation with nine accurate resistance states. The computing and energy efficiencies of phase-change neuroinspired computing are expected to be further improved using this new heterostructure alloy<sup>22</sup>.

Storage-oriented applications, such as embedded memories, require PCMs with higher amorphous-phase stability at (very) high temperature. This is important, for instance, in the automotive industry, where the ability of the material to endure high operating temperatures outweighs an associated reduction in switching speed<sup>23,24</sup>. Resistance drift is also not an issue for binary storage based embedded memories, as the resistance window widens with time (making the contrast larger and the distinction between 'ones' and 'zeroes' clearer). Two major approaches to create high-temperature stable PCMs include heavy alloying of nitrogen and carbon<sup>25–27</sup>, as well as the compositional tuning of Ge–Sb–Te materials (abbreviated as GST in the following) away

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**Fig. 1 Ge–Sb–Te ternary diagram.** Crystallization temperatures  $T_x$  as a function of composition in the Ge–Sb–Te ternary phase diagram. This overview figure is drawn with data from refs  $^{30,34-36}$ , where these values were experimentally determined using asdeposited thin films. Two dashed lines are shown, including the pseudo-binary line (black) between  $Sb_2Te_3$  and GeTe, and the tieline (red) connecting  $Ge_2Sb_1Te_2$  and Ge. GST alloys approaching the  $Sb_2Te_3$  endpoint (blue arrow) are most suitable for memory-oriented applications with high switching speed or for neuro-inspired computing with low resistance drift, while those approaching Gerich regions (red arrow) are better suited for storage-oriented applications that primarily require high amorphous-phase stability. Our ab initio simulations indicate an optimal compositional range, as marked by the green dashed line, for high-performance embedded phase-change memories.

from the GeTe-Sb<sub>2</sub>Te<sub>3</sub> pseudo-binary line. A so-called 'golden composition'—Ge<sub>2</sub>Sb<sub>1</sub>Te<sub>2</sub>—was proposed several years ago, which showed a high  $T_x \sim 250$  °C with still guite fast speed ~80 ns<sup>28,29</sup>. Upon further enrichment in Ge content,  $T_x$  can reach ~350 °C but with a much slower crystallization speed<sup>28,29</sup>. It was demonstrated that Ge-rich GST alloys function well in integrated memory chips at 190 °C over 108 operation cycles28, making them suitable for automotive micro-controller applications<sup>30</sup>. However, phase segregation is a critical issue in these alloys. If Ge atoms segregate and form small clusters, then the remaining switching component reverts to stoichiometric GST phases, e.g., Ge<sub>2</sub>Sb<sub>2</sub>Te<sub>5</sub>, Ge<sub>1</sub>Sb<sub>2</sub>Te<sub>4</sub>, or others<sup>31</sup>, and the amorphous-phase stability in subsequent switching processes would therefore be seriously weakened. A survey of the relevant PCMs in the ternary system, including an indication of their application frontiers, is given in Fig. 1. The measured  $T_x$  values, indicated by color coding in that figure, are taken from refs 28,32-34.

Despite the practical importance of Ge-rich GST alloys, the atomic origin of the enhanced amorphous stability remains elusive, which has precluded the development of materials optimization guidelines (as have been successful for SST and TiTe<sub>2</sub>/Sb<sub>2</sub>Te<sub>3</sub> heterostructure). Therefore, in the present work, we carry out density functional theory (DFT) based ab initio molecular dynamics (AIMD) simulations and orbital-based bonding analyses to assess the structural features and bonding nature of amorphous Ge-rich GST phases, ranging in composition from Ge<sub>2</sub>Sb<sub>1</sub>Te<sub>2</sub> up to Ge<sub>7</sub>Sb<sub>1</sub>Te<sub>2</sub>. In comparison with pure amorphous Ge, GeTe, and several stoichiometric GST alloys, the role of excess Ge content in improving the amorphous stability is elucidated. Analyses of medium-range order and kernel similarity to crystalline phases through the smooth overlap of atomic positions (SOAP) method<sup>19,35,36</sup> provide insight into the structural nature of the increasingly Ge-rich phases. Our computations allow us to suggest a threshold value of Ge concentration for viable Ge-rich GST alloys, marked by the green dashed circle in Fig. 1, and a materials design strategy for high-performance embedded phase-change memory applications.

#### **RESULTS**

## Modeling Ge-rich phase-change materials

Structural models of amorphous Ge, GeTe, and multiple GST alloys of different stoichiometric compositions were generated following a melt-quench protocol<sup>37</sup> (see "Methods"). For each composition, three independent runs were performed for data collection. All models, including the stoichiometric compositions Ge<sub>2</sub>Sb<sub>2</sub>Te<sub>5</sub>, Ge<sub>1</sub>Sb<sub>2</sub>Te<sub>4</sub>, Ge<sub>1</sub>Sb<sub>4</sub>Te<sub>7</sub>, Ge<sub>3</sub>Sb<sub>2</sub>Te<sub>6</sub>, and Ge<sub>8</sub>Sb<sub>2</sub>Te<sub>11</sub>, were generated in cubic boxes with 189–210 atoms, corresponding to a  $3 \times$  $3 \times 3$  supercell of the recrystallized cubic rocksalt-type phase The number of atoms in some models was slightly increased to account for the exact stoichiometry, e.g., Ge<sub>2</sub>Sb<sub>2</sub>Te<sub>5</sub> with 44 Ge, 44 Sb, and 110 Te atoms. Keeping the number of Sb and Te atoms fixed to the value found in the Ge<sub>1</sub>Sb<sub>2</sub>Te<sub>4</sub> model (that is, 54 Sb and 108 Te atoms, respectively), the number of Ge atoms was increased to describe amorphous Ge<sub>2</sub>Sb<sub>1</sub>Te<sub>2</sub> (270 atoms),  $Ge_3Sb_1Te_2$  (324 atoms),  $Ge_4Sb_1Te_2$  (378 atoms),  $Ge_5Sb_1Te_2$  (432 atoms), Ge<sub>6</sub>Sb<sub>1</sub>Te<sub>2</sub> (486 atoms), and Ge<sub>7</sub>Sb<sub>1</sub>Te<sub>2</sub> (540 atoms). The size of the simulation box was adjusted several times during quenching to reduce the internal pressure for all models. Figure 2a shows the atomic structures of four amorphous alloys with increasing Ge content. The theoretical number density value is gradually increased with higher Ge content: 0.0278, 0.0310, 0.0327, and 0.0358  $\mathring{A}^{-3}$  for amorphous  $Ge_2Sb_2Te_5$ ,  $Ge_2Sb_1Te_2$ , Ge<sub>4</sub>Sb<sub>1</sub>Te<sub>2</sub>, and Ge<sub>7</sub>Sb<sub>1</sub>Te<sub>2</sub>, respectively, approaching that computed for elemental amorphous Ge with the same melt-quench protocol and high cooling rate, viz.  $0.0430 \, \text{Å}^{-3}$  (Supplementary Figure 1). The radial distribution functions (RDFs) of these Ge-rich phases at 300 K are shown in Supplementary Figure 2. As the Ge concentration increases, the peaks of the Ge-Ge and Ge-Sb RDFs become more pronounced. However, even in amorphous Ge<sub>7</sub>Sb<sub>1</sub>Te<sub>2</sub>, Ge–Te bonds still remain a major structural feature, whereas no Te-Te pair is found at a small interatomic distance. Visual inspection also does not suggest substantial de-mixing: all generated amorphous models, including the Ge-rich ones, still represent homogeneous phases (Fig. 2a). The spatial homogeneity of stoichiometric and Ge-rich GST amorphous models is further quantified by SOAP analyses in Supplementary Figure 3. Thorough X-ray or electron diffraction based measurements<sup>41–47</sup> are anticipated to assess the geometrical configurations of these amorphous Ge-rich GST alloys.

Chemical bonding analyses using the crystal orbital overlap population (COOP) method and a projection onto local, atomcentered orbitals<sup>48–50</sup> were performed for relaxed structural snapshots of the Ge-rich amorphous phases, following an approach we have previously proposed for amorphous GeTe<sup>46,47</sup> The COOP curves show no strong antibonding interaction at the Fermi level for both amorphous Ge<sub>2</sub>Sb<sub>2</sub>Te<sub>5</sub> and Ge<sub>2</sub>Sb<sub>1</sub>Te<sub>2</sub> (Supplementary Figure 4), indicating reasonable chemical stability of the amorphous models. For a specific pair of atoms A and B, the strength of the covalent bond between them can be estimated by calculating the bond population,  $B_{AB}$ , by integrating its projected COOP up to the Fermi level,  $E_{\rm F}$ . As shown in Supplementary Figure 2, all interatomic pairs show positive bond populations at a small interatomic distance below 3 Å, while as the interatomic distance further increases, a crossover from stabilizing bonding interaction to destabilizing antibonding interaction appears for heteropolar Ge-Te and Sb-Te bonds, and (quasi-)homopolar Ge-Ge, Ge-Sb, and Sb-Sb bonds. Te-Te bonds instead show mostly antibonding interaction at larger interatomic distances. Despite the difference in composition, all the four amorphous alloys show a consistent set of crossover from bonding to antibonding interactions (positive to negative  $B_{AB}$ ), defining the bond cutoffs as Ge–Ge: 3.06 Å, Ge-Sb: 3.19 Å, Sb-Sb: 3.18 Å, Ge-Te: 3.15 Å, and Sb-Te: 3.22 Å, respectively (see Supplementary Figure 2 for details).

These BWDF-determined bond-length cutoffs now enabled a series of geometrical analyses to understand the structural

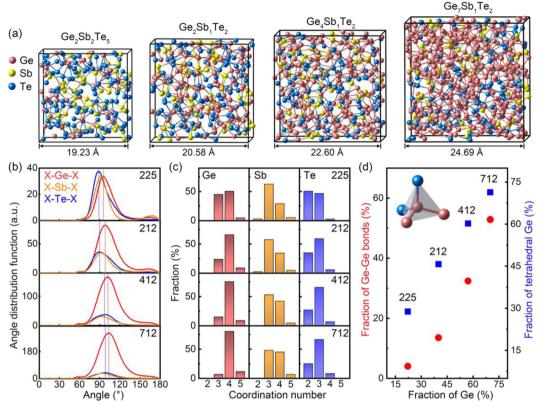


Fig. 2 Structural features of amorphous GST models. a Atomic structures of amorphous  $Ge_2Sb_2Te_5$ ,  $Ge_2Sb_1Te_2$ ,  $Ge_4Sb_1Te_2$ , and  $Ge_7Sb_1Te_2$  generated via melt-quench AIMD simulations. Ge, Sb, and Te atoms are rendered as red, yellow, and blue spheres, respectively. **b** The angular distribution functions (ADF), **c** the distribution of the coordination number (CN) and **d** the fraction of homopolar Ge–Ge bonds and tetrahedral Ge motifs for the four amorphous GST models. The inset in **d** shows a typical tetrahedral Ge motif with two homopolar Ge–Ge bonds.

properties of the Ge-rich amorphous phases. Figure 2b shows the angular distribution functions (ADFs) collected for each elemental species. A strong shift of the ADF peak for Ge-centered motifs towards 109° is observed upon moving from amorphous Ge<sub>2</sub>Sb<sub>2</sub>Te<sub>5</sub> to Ge<sub>7</sub>Sb<sub>1</sub>Te<sub>2</sub>, while the ADF for Sb-centered motifs remains around 90°. These trends indicate that the Sb atoms are mostly in defective octahedral configurations even in amorphous Ge<sub>7</sub>Sb<sub>1</sub>Te<sub>2</sub>, while the Ge atoms become mostly tetrahedrally bonded in amorphous Ge-rich GST alloys, resembling more closely the amorphous Ge. The moderate shift toward higher angles in the Te-ADF is due to more Te atoms becoming associated with Ge atoms in tetrahedral coordination in amorphous Ge-rich GST. This observation is further supported by the distribution of coordination numbers (CNs) shown in Fig. 2c, where a major change is found in Ge-centered motifs from a mixture of three- and fourfold motifs in amorphous Ge<sub>2</sub>Sb<sub>2</sub>Te<sub>5</sub> to mostly fourfold ones in the other three Ge-rich GST models. Sb and Te atoms also tend to have more neighboring atoms in the latter three amorphous models. We calculated the bond order parameter  $q=1-\frac{3}{8}\sum_{\rm B>A}\left(\frac{1}{3}+\cos\theta_{\rm ABC}\right)^2$  between three neighboring atoms A, B, and C for all Ge atoms, where  $\theta_{ABC}$  refers to the bond angle between bond A–B and bond B–C<sup>51</sup>. A gradual increase in tetrahedral concentration (defined as q > 0.8) from ~30 to ~70% from Ge<sub>2</sub>Sb<sub>2</sub>Te<sub>5</sub> to Ge<sub>7</sub>Sb<sub>1</sub>Te<sub>2</sub> was found (Fig. 2d). As expected, this increase in the fraction of tetrahedral Ge is strongly correlated with the occurrence of homopolar Ge-Ge bonds, which were shown to be the primary source of stabilization for tetrahedral motifs in amorphous GeTe<sup>52</sup>.

Next, we assess the medium-range order of the amorphous models through primitive-ring statistics (Fig. 3). For the stoichiometric GST alloys with comparable fraction of GeTe and Sb<sub>2</sub>Te<sub>3</sub>

content, the fourfold rings, in particular ABAB rings (A = Ge or Sb, B = Te), are the dominant structural fragment. Figure 3a shows the case of amorphous Ge<sub>2</sub>Sb<sub>2</sub>Te<sub>5</sub>, with almost 9 out of 10 fourfold rings showing ABAB alternation 42,51,53,54. As the GeTe content increases, the fraction of fivefold rings becomes comparable to that of fourfold ones in amorphous Ge<sub>8</sub>Sb<sub>2</sub>Te<sub>11</sub> and GeTe (Fig. 3b, c). Within the fourfold rings, ABAB alternation still dominates with a share of  $\sim$ 90% (Table 1) $^{54-56}$ . The abundant presence of ABAB rings is regarded as the precursor for rapid crystallization at elevated temperatures<sup>2,42,51,53,54</sup>. However, the rings pattern changes drastically in amorphous Ge-rich GST alloys. As shown in Fig. 3d-f, fivefold rings are the dominant structural fragment identified in our simulations. The fraction of fourfold rings as well as ABAB rings reduces sharply in these Ge-rich GST alloys (Table 1) due to the much more abundant homopolar Ge-Ge bonds (Fig. 2d). In amorphous Ge<sub>4</sub>Sb<sub>1</sub>Te<sub>2</sub> and Ge<sub>7</sub>Sb<sub>1</sub>Te<sub>2</sub>, a non-negligible fraction of threefold Ge-Ge-Ge rings is also observed, at variance with the Ge-poorer phases, indicating a possible local instability associated with large ring strain. There is also a gradual increase in sixfold rings in Ge-rich GST moving towards elemental Ge, as the sixfold rings are the most abundant ring pattern in amorphous Ge (Supplementary Figure 5) as well as in the homologous amorphous silicon (see, e.g., ref. <sup>57</sup> and references therein). We note that fivefold ring dominated structures were also observed in Sb-rich PCMs, for which the nucleation rate is much lower than that of stoichiometric GST<sup>58–61</sup>.

## **SOAP** similarity analysis

Clearly, Ge atoms tend to cluster in amorphous  $Ge_7Sb_1Te_2$ , reducing the abundance of those structural patterns that are essential for rapid nucleation in the established GST alloys:



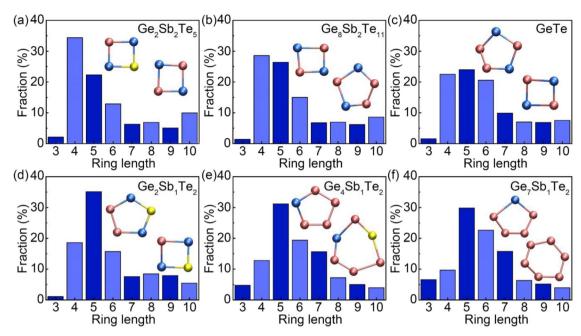


Fig. 3 Primitive rings statistics. The distribution of primitive rings for six amorphous alloys, including stoichiometric GST  $\mathbf{a}$  Ge<sub>2</sub>Sb<sub>2</sub>Te<sub>5</sub> and  $\mathbf{b}$  Ge<sub>8</sub>Sb<sub>2</sub>Te<sub>11</sub>,  $\mathbf{c}$  GeTe, and off-stoichiometric GST  $\mathbf{d}$  Ge<sub>2</sub>Sb<sub>1</sub>Te<sub>2</sub>,  $\mathbf{e}$  Ge<sub>4</sub>Sb<sub>1</sub>Te<sub>2</sub>, and  $\mathbf{f}$  Ge<sub>7</sub>Sb<sub>1</sub>Te<sub>2</sub>. The insets show typical ring fragments in respective amorphous models. Ge, Sb, and Te atoms are shown in red, yellow, and blue, respectively.

Table 1. The statistics of ABAB rings for the six alloys characterized in Fig. 3.						
ABAB/4-fold rings (%)	Ge₂Sb₂Te₅	Ge <sub>8</sub> Sb <sub>2</sub> Te <sub>11</sub>	GeTe	Ge <sub>2</sub> Sb <sub>1</sub> Te <sub>2</sub>	Ge <sub>4</sub> Sb <sub>1</sub> Te <sub>2</sub>	Ge <sub>7</sub> Sb₁Te₂
Ge-Te-Ge-Te	26.9	62.6	90.0	41.3	23.0	9.7
Sb-Te-Sb-Te	13.1	2.0	/	1.1	0.0	0.0
Ge-Te-Sb-Te	48.1	23.1	/	10.0	1.7	0.0
Sum	88.1	87.7	90.0	52.4	24.7	9.7

The fractional values are obtained by dividing the number of ABAB rings by the total number of fourfold rings. The remaining fourfold rings (e.g., about 12% in Ge<sub>2</sub>Sb<sub>2</sub>Te<sub>5</sub>) do not have ABAB composition but include homopolar contacts.

namely, the predominance of defective octahedral motifs and ABAB rings. To quantify the local structural similarity with respect to elemental amorphous Ge (a-Ge), we use the SOAP formalism. As shown in the inset of Fig. 4, a set of Gaussian functions is placed on each atomic position inside a sphere with a cutoff  $r_{\rm c}$ . The SOAP kernel value is then obtained via an expansion of this neighbor density in a series of basis functions, as detailed in ref. <sup>35</sup>. The result is a value ranging from 0 to 1, characterizing the degree of structural similarity between two given atomic environments. We consider both the nearest-neighbor (NNN) and the next-nearest-neighbor (NNN) environments in the amorphous models <sup>57</sup>: note that we use cut-off values larger than the BWDF bond cutoffs to include more aspects of the atomic structure (here,  $r_{\rm c}=3.5$  and 5.5 Å for NN and NNN). Further details can be found in the "Methods" or in refs <sup>19,35,36</sup>.

We calculated the SOAP kernel values for all simulated amorphous GST models with respect to a rapidly quenched a-Ge structure (obtained using the same quenching approach as our GST models, for full consistency), as shown in Fig. 4. Moving along the stoichiometric GeTe-Sb<sub>2</sub>Te<sub>3</sub> quasi-binary line towards amorphous GeTe (squares), a nearly monotonous increase is observed in both NN (blue), and NNN (red) SOAP similarity to a-Ge. In contrast, the amorphous Ge-rich GST alloys (circles) clearly deviate from this behavior as soon as one leaves the GeTe-Sb<sub>2</sub>Te<sub>3</sub> quasi-binary line (i.e., the 'usual' GST materials), emphasizing how a change in chemical composition is associated with a change in

local structure. A sharp increase, in particular, in the NNN SOAP similarity to a-Ge is found between  $Ge_2Sb_1Te_2$  and  $Ge_5Sb_1Te_2$ . A structural snapshot, together with RDF and bond population analyses, of rapidly quenched amorphous Ge is shown in Supplementary Figure 1. For  $Ge_7Sb_1Te_2$ , the overall structural similarity to a-Ge is rather high, as expected, at least up to the chosen NNN cutoff. Nevertheless, this phase is still very different from the strongly segregated phase observed in experiments under high annealing temperatures, where stoichiometric GST grains and nanoscale elemental Ge clusters were found  $^{31}$ .

# **Energetics of phase separation**

To probe the compositional boundary of phase separation, we compute the energy difference  $E_{\rm diff}$  of the amorphous phases in analogy to the formation energy of crystalline alloys. Given that all the Ge-rich GST models were constructed by adding more Ge atoms into the Ge<sub>1</sub>Sb<sub>2</sub>Te<sub>4</sub> model, we calculated  $E_{\rm diff}$  by subtracting the total energy of amorphous Ge-rich models with respect to Ge<sub>1</sub>Sb<sub>2</sub>Te<sub>4</sub> and Ge in the amorphous form,  $E_{\rm diff} = E_{\rm GeRich} - E_{124} - N_{\rm Ge}E_{\rm Ge}$ , as shown in Fig. 5a. For each amorphous alloy, three independent melt-quenched models are considered for average. The large error bars come mostly from the calculations of amorphous Ge<sub>1</sub>Sb<sub>2</sub>Te<sub>4</sub> and amorphous Ge, due to their smaller model size. Nevertheless, a clear crossover from negative values to positive ones is identified in  $E_{\rm diff}$  between '312' and '412'. As sketched in Fig. 5b, the negative  $E_{\rm diff}$ 

values indicate that the Ge-rich GST is still energetically more favorable in the homogeneous amorphous form, while the positive values would suggest that the system becomes energetically more favorable in the form of stoichiometric GST and amorphous Ge. This composition threshold determined by energetics may be compared with the SOAP structural analyses, where a rapid increase in structural similarity with respect to elemental a-Ge is observed between the '212' and '512' stoichiometric compositions.

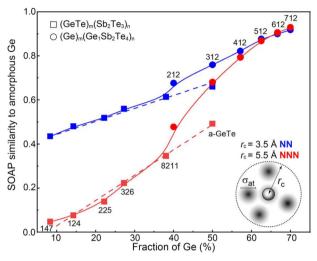


Fig. 4 SOAP analysis. Structural similarity of the various amorphous phases to amorphous Ge, as quantified by the SOAP kernel (see "Methods"). The normalized SOAP similarity represents the global similarity of all Ge-centered motifs in amorphous stoichiometric GST and GeTe (squares) and Ge-rich GST (circles), with respect to amorphous Ge (quenched using the same protocol); a value of 1.0 indicates indistinguishable environments (up to a given cut-off radius). More specifically, for a given atom in one amorphous GST or GeTe sample, a SOAP similarity value with respect to one atom in one amorphous Ge model is calculated, and then averaged over all atomic environments in three independent amorphous Ge models. The kernel similarity is then averaged over all atomic environments in a given amorphous sample of GST or GeTe, and subsequently, over three independent amorphous samples. The lower inset sketches the smoothed atomic neighbor density that is used as the basis for SOAP analysis. The radial cutoffs are 3.5 Å for shortrange (NN-nearest neighbor, blue) and 5.5 Å for medium-range (NNN—next nearest neighbor, red) similarity to amorphous Ge.

## Device degradation and materials design strategy

For embedded memories in automobiles, the stored digital information has to endure very high environmental temperatures. e.g., above 250 °C. It is also crucial that phase separation is avoided during cycling to maintain the high stability of the homogeneous amorphous phase. Once phase separation occurs, elemental Ge clusters could be gradually crystallized during the RESET operation, during which the memory cell is heated above the melting temperature  $T_{\rm M}$  of GST ~650 °C, as the crystallization temperature of amorphous Ge is between 400 and 650 °C (this large variation is due to different experimental conditions, as discussed in ref. 62). For subsequent programming cycles, it would not likely be possible to switch the crystalline Ge clusters any more, due to the very high melting point of Ge crystals above 938 °C. Therefore, the remainder, stoichiometric 'regular' GST phases, would act as programmable medium (according to a formal reaction of, e.g., 2  $Ge_2Sb_1Te_2 \rightarrow 3$   $Ge + Ge_1Sb_2Te_4$ ), but these phases have much lower  $T_x$  (~150 °C). Therefore, if Ge precipitation occurred, the device would be expected to fail for further embedded memory use.

As shown in Fig. 1,  $T_x$  increases from  $Sb_2Te_3$  (85 °C) to GeTe (192 °C) along the stoichiometric tie-line, while all the offstoichiometric GST show a relatively high  $T_x$ . Starting from  $Ge_2Sb_1Te_2$ ,  $T_x$  is increased from ~250 to ~310 °C at a composition close to  $Ge_5Sb_1Te_2$ . The increase in  $T_x$  for either the stoichiometric or Ge-rich GST phases can be understood as being due to the increase in structural similarity with respect to amorphous Ge. It is noted that despite the higher Ge concentration in GeTe (50%) than in Ge<sub>2</sub>Sb<sub>1</sub>Te<sub>2</sub> (40%), the amorphous structure of the latter resembles amorphous Ge more closely than that of the former (Fig. 4). Accordingly, the corresponding  $T_x$  of GeTe thin film is lower than that of  $Ge_2Sb_1Te_2$ . It is also important to note that all  $T_x$ values shown in Fig. 5b were measured using as-deposited amorphous thin films, corresponding only to one-time crystallization under isothermal heating condition. While for practical applications in devices, repeated programming through meltquenched amorphization and subsequent crystallization would result in a very strong tendency towards phase separation, if the Ge concentration exceeded ~50%. A very recent experimental work on crystallization kinetics of Ge-rich GST provides strong support for this theoretical prediction<sup>63</sup>. In ref. <sup>63</sup>, the total Ge concentration of the as-deposited Ge-rich GST thin film was over 50% (Ge<sub>2</sub>Sb<sub>2</sub>Te<sub>5</sub> with 45% excess Ge), and many crystalline Ge clusters precipitated upon annealing at 400 °C, which were no

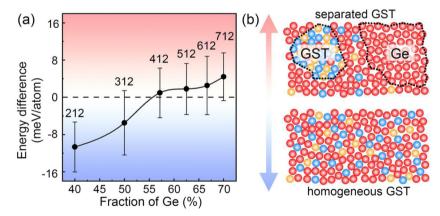


Fig. 5 Energy analysis of the phase segregation. a The energy difference  $E_{\rm diff}$  of amorphous Ge-rich GST with respect to amorphous Ge<sub>1</sub>Sb<sub>2</sub>Te<sub>4</sub> and amorphous Ge as a function of the Ge concentration, as defined in the text. Each data point is averaged over three samples of a specific Ge-rich GST alloy, three samples of amorphous Ge<sub>1</sub>Sb<sub>2</sub>Te<sub>4</sub> and three samples of amorphous Ge. The large error bars are mostly contributed by the energy fluctuations of amorphous Ge<sub>1</sub>Sb<sub>2</sub>Te<sub>4</sub> and amorphous Ge models, due to their limited system size. **b** The sketches of separated GST phase and homogeneous GST phase. Positive  $E_{\rm diff}$  values reveal an intrinsic tendency toward phase separation into amorphous Ge<sub>1</sub>Sb<sub>2</sub>Te<sub>4</sub> and amorphous Ge, while negative  $E_{\rm diff}$  values indicate that the amorphous models are energetically favorable in the homogeneous phase.



longer switchable in the subsequent melt-quenching process by laser irradiation. Depending on the irradiation conditions, the residual excess Ge in the programmable area was estimated to be ~15–20%. Although not specified, the initial  $T_{\rm x}$  of the as-deposited Ge-rich GST thin film is derived to be above 380 °C, as compared to the compositions reported in refs.  $^{28-31}$ , and the  $T_{\rm x}$  drops to 245–275 °C after partial segregation of excess Ge<sup>63</sup>.

Therefore, for storage-oriented applications that would require very high working temperatures above, e.g., 300 °C, we suggest further materials engineering starting from  $Ge_3Sb_1Te_2$  ( $T_x \sim$ 275 °C),  $Ge_2Sb_1Te_2$  ( $T_x \sim 250$  °C), or other GST compositions with smaller Ge concentration, as marked by the green dashed circle in Fig. 1. Enhanced amorphous stability might be achieved, for instance, via doping with impurities like C or N<sup>25-27</sup>. For example, N atoms were shown to bond mostly with Ge atoms in amorphous Ge<sub>2</sub>Sb<sub>2</sub>Te<sub>5</sub><sup>64</sup>. This bonding pattern is expected to reduce the tendency of elemental Ge segregation. Alternatively, the amorphous-phase stability of Ge-rich GST alloys could potentially be enhanced by reducing the film thickness to a few nanometers. Indeed, it was shown that elemental Sb, which normally crystallizes spontaneously at room temperature, could be stabilized in either melt-quenched<sup>65</sup> or as-deposited<sup>66</sup> amorphous forms for several tens of hours by reducing the system size to ~3-4 nm thin films. This approach employs nanosized confinement effects brought about by the surrounding materials<sup>67</sup>, with no need to change the chemical composition of the active PCM.

Future work on the crystalline phase is anticipated for a better understanding of the SET process of Ge-rich GST devices. It is well known that the GST alloys along the GeTe-Sb<sub>2</sub>Te<sub>3</sub> pseudo-binary line tend to crystallize into a cubic rocksalt structure with a high amount of atomic vacancies<sup>38–40</sup>. Yet, for the Ge-rich GST devices used in practice<sup>28-31</sup>, the Ge concentration ranges from ~35 to ~45%, exceeding the maximum of a cubic structure by full occupation of the vacant sites, i.e.,  $Ge_1Sb_2Te_4 \rightarrow Ge_1Sb_1Te_2$  (25%) or  $Ge_2Sb_2Te_5 \rightarrow Ge_3Sb_2Te_5$  (30%). In refs. <sup>29,31</sup>, the recrystallized Ge-rich GST thin films upon long-term thermal annealing, e.g., 30 min at 400 °C and above, show a clear separation into GST and Ge crystals. However, this crystalline state may be different from what obtained in devices via rapid electrical pulses of tens to hundreds of ns. Because an effective reduction, rather than an increase, in RESET current is consistently observed in Ge-rich GST devices<sup>28,29,31</sup>, indicating weaker stability of the crystalline state. In contrast, crystalline Ge with a much higher stability ( $T_{\rm M} = 938$  °C) would require more thermal energy to melt down. As shown in ref. 63, further phase segregation upon crystallization at 300 °C was not observed for the medium-level Ge-rich GST areas (Ge<sub>2</sub>Sb<sub>2</sub>Te<sub>5</sub> with 15-20% excess Ge), indicating the formation of a different crystalline structure other than the well-studied cubic rocksalt phase. Hence, more research efforts, for example, cross-sectional transmission electron microscopy (TEM) characterizations of the SET state of Ge-rich GST devices, are needed for better clarity.

# **DISCUSSION**

We performed a comprehensive ab initio molecular dynamics study of Ge-rich GST alloys for embedded phase-change memory applications. The essential bonding patterns for rapid nucleation of stoichiometric GST, including octahedral motifs and ABAB rings, are strongly affected by the presence of additional Ge content off the GeTe–Sb<sub>2</sub>Te<sub>3</sub> pseudo-binary line. With the increase in Ge–Ge homopolar bonds, the concentration of tetrahedral motifs is increased from ~30% in amorphous Ge<sub>2</sub>Sb<sub>2</sub>Te<sub>5</sub> to ~70% in amorphous Ge<sub>7</sub>Sb<sub>1</sub>Te<sub>2</sub>. SOAP kernel similarity analyses show a gradual increase in structural similarity of amorphous GST with respect to amorphous Ge along both the GeTe–Sb<sub>2</sub>Te<sub>3</sub> pseudo-binary line and the Ge<sub>2</sub>Sb<sub>1</sub>Te<sub>2</sub>–Ge tie-line, but with a distinctly different trend in both materials classes, which is easily visualized using the kernel similarity approach. The increased structural

similarity to amorphous Ge is consistent with the rise in crystallization temperature of amorphous GST, as amorphous Ge has a high  $T_{\rm x}$  above 400 °C. However, our energetic considerations suggest an optimal compositional pool in the Ge–Sb–Te ternary diagram around  ${\rm Ge_2Sb_1Te_2}$  and  ${\rm Ge_3Sb_1Te_2}$ , as we identify a compositional threshold of ~50% Ge concentration, distinguishing homogenous GST alloys from heterogeneous ones with a strong tendency towards phase separation. Based on these ab initio simulations, we propose a materials design strategy for high-performance embedded phase-change memories with balanced speed and stability as well as potentially good cycling capability.

## **METHODS**

# **DFT** computations

To generate amorphous structural models, density functional theory (DFT) based ab initio molecular dynamics (AIMD) simulations were performed using the second-generation Car-Parrinello scheme in the canonical ensemble (NVT) with a stochastic Langevin thermostat<sup>68</sup>. The size of the simulation box was adjusted five times to reduce the internal stress during quenching. The time step was 2 fs, and the  $\Gamma$  point was used to sample the Brillouin zone of all models. All AIMD simulations were performed using the CP2K package<sup>69</sup>, employing a mixed scheme of plane waves and Gaussian-type basis sets. Plane waves with a cutoff of 300 Ry were used to calculate the charge density. The Kohn–Sham orbitals were expanded in Gaussian basis sets with triple-zeta plus polarization quality. The Goedecker pseudopotentials<sup>70</sup> and the Perdew–Burke–Ernzerhof (PBE) functional<sup>71</sup> were used. The obtained amorphous structures were further relaxed using the Vienna Ab initio Simulation Package (VASP)<sup>72</sup> with the projector augmented-wave (PAW) pseudopotentials<sup>73</sup> and the PBE functional. The Kohn-Sham states were expanded in plane waves with an energy cutoff of 500 eV. After the self-consistent calculations, the VASP wave functions were then projected onto an auxiliary basis of localized, atom-centered orbitals for crystal orbital overlap population (COOP) analysis, as implemented in the local orbital basis suite towards electronic-structure reconstruction (LOBSTER) code<sup>48–50</sup>.

# **SOAP structural analysis**

The SOAP formalism has been applied in structural analyses of a variety of materials  $^{74}$ , including alloyed  $\mathrm{Sb}_2\mathrm{Te}_3^{19}$ , and in the fitting of machine learning interatomic potentials (ref.  $^{75}$  and references therein) including one for liquid, amorphous, and crystalline  $\mathrm{Ge}_2\mathrm{Sb}_2\mathrm{Te}_5^{76}$ . The SOAP similarity measure is based on the local atomic density, smoothed by Gaussian functions and expanded on the basis of radial functions and spherical harmonics. Here we use the DScribe  $^{77}$  implementation of SOAP, with  $n_{\mathrm{max}}=14$  and  $I_{\mathrm{max}}=9$  as convergence parameters. Similar to ref.  $^{57}$ , different  $\sigma_{\mathrm{at}}$  values of 0.3 and 0.6 Å are used for NN ( $r_{\mathrm{c}}=3.5$  Å) and NNN ( $r_{\mathrm{c}}=5.5$  Å) environments, respectively, controlling the 'fuzziness' of the atomic neighbor densities.

## DATA AVAILABILITY

The data that support the findings of this study are available from the corresponding author, Professor Wei Zhang (email: wzhang0@mail.xjtu.edu.cn), upon reasonable request.

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#### **AUTHOR CONTRIBUTIONS**

W.Z. and L.S. conceptualized the work. The ab initio simulations were performed by Y.-X.Z. and L.S. The paper was written by W.Z. with input from V.L.D. and R.M. All authors contributed to the discussions and analyses of the data, and approved the final version.

#### **COMPETING INTERESTS**

The authors declare no competing interests.

### ADDITIONAL INFORMATION

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