

1 Thermal behavior and structural study of
2 ZrO₂/poly(ε-caprolactone) hybrids synthesized via sol-gel route

3
4 Michelina Catauro¹, Elisabetta Tranquillo¹, Alessandro Dell’Era², Riccardo Tuffi³,
5 Stefano Vecchio Cipriotti^{2,*}

6
7 ¹ *Department of Engineering,*
8 *University of Campania “Luigi Vanvitelli”, via Roma 29, Aversa (CE), Italy.*

9 ² *Department of Basic and Applied Science for Engineering (S.B.A.I.),*
10 *Sapienza University of Rome, via del Castro Laurenziano 7, Roma, Italy*

11 ³ *Department of Sustainability, ENEA – Casaccia Research Center,*
12 *Via Anguillarese 301, Rome, Italy*

13
14
15 *Corresponding author: Dr. Stefano Vecchio Cipriotti
16 Department of Basic and Applied Science for Engineering (S.B.A.I.)
17 Sapienza University of Rome
18 Via del Castro Laurenziano 7,
19 Building RM017, I-00161 Rome, Italy.
20 stefano.vecchio@uniroma1.it
21 Phone: 0039 0649766906
22 Fax: 0039 0649766749

23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44

Abstract:

The thermal behavior of pure ZrO_2 and four ZrO_2 -based organic-inorganic hybrids (OIHs) containing increasing amount (6, 12, 24 and 50 wt%) of poly(ϵ -caprolactone) (PCL) (named Z, ZP6, ZP12, ZP24 and ZP50 respectively) has been studied by simultaneous thermogravimetry (TG) and differential scanning calorimetry (DSC). The FTIR analysis of the gas mixture evolved at defined temperatures from the samples submitted to the TG experiments identified the mechanism of each thermally activated process. The obtained results suggest that the inorganic matrix of the OIHs prepared by this method exerts a stabilizing effect on the polymer, in particular for poor-PCL hybrid materials. In fact, the different thermal behavior of the ZP50 sample suggests that the polymer is not entirely bonded to the -OH groups of the zirconia matrix due to their saturation. For this reason a part of PCL is not affected by the stabilizing effect of the matrix and is subjected to thermal degradation. Finally, by observing their thermal behavior it was possible to select the most suitable temperatures for thermal pretreatment: 400, 600 and 1000°C. The structural analysis by X-ray diffraction (XRD) revealed that at 400°C the materials are amorphous, while at 600°C they are mostly tetragonal, and the content of the tetragonal phase decreases with increasing the amount of PCL in the OIHs. All the materials treated at 1000°C are monoclinic, but their crystallinity decreases with increasing the PCL content.

Keywords: Sol-gel method; ZrO_2 -based hybrids; poly(ϵ -caprolactone); Coupled TG-FTIR analysis; X-ray Diffraction analysis

45 **Introduction**

46 Organic-inorganic hybrids (OIHs) belong to an important class of materials consisting of organic
47 and inorganic components intimately mixed at a nanometric scale through weak hydrogen bonds or
48 van der Waals forces (Class I), or strong chemical bonds (covalent or ionic covalent bonds, Class
49 II) [1-3]. As a consequence, their properties are not simply the sum of those of the single
50 components, but exert synergetic or complementary effects of the two phases, becoming suitable for
51 several biomedical applications [4-7], with particular reference to bone repair and substitution [8,
52 9].

53 Zirconia and zirconia-based glasses and ceramic materials have attracted increasing interest due
54 to their remarkable biological and mechanical properties [10-13], which make them suitable to be
55 used in the biomedical field. In particular, after in vivo implantation of zirconia prostheses and
56 subsequent encapsulation by connective tissues, no local or systemic toxic effects were recorded [7,
57 10-14], although it is recognized as a bioinert material: it does not show either the ability of direct
58 bone bonding or osteoconduction behavior.

59 An ideal technique to prepare OIHs is the sol-gel methods, a versatile synthesis process used to
60 produce glasses and ceramics at low temperatures through a transition of the system from a
61 colloidal liquid ('sol') into a solid 'gel', involving hydrolysis of a metal alkoxide precursor and
62 polycondensation reactions occurring in a water-alcohol solution [15, 16]. The low processing
63 temperature allows entrapping thermolabile molecules (e.g. polymers and drugs) in the inorganic
64 matrix, thus producing OIHs. When a hybrid material is developed the main effort is focused on
65 trying to overcome the disadvantages of both the components and to retain their advantages. In
66 particular, the leading idea in the development of a zirconia-based organic-inorganic hybrid is to
67 retain the bioactivity and biocompatibility of the zirconia matrix and to decrease its brittleness by
68 adding a polymer with good mechanical properties.

69 Recently, our group has been involved in studying bioactive and biocompatible ZrO_2 -based
70 OIHs, with particular reference to ZrO_2 /hydroxyapatite (ZrO_2 /HAp) [17], and

71 ZrO₂/polycaprolactone (ZrO₂/PCL), hybrids containing increasing percentages of the organic
72 component (6, 12, 24 and 50 wt%) [7, 18-21], starting from their syntheses via the sol-gel process,
73 followed by their characterization by means of several thermoanalytical and spectroscopic
74 techniques. During the last years, the study carried out on the ZrO₂/HAp hybrids [17], as well as
75 those about other similar materials [22], showed that the biological properties of the developed sol-
76 gel systems can be modulated by the modification of the thermal treatment carried out on the
77 obtained gels. The thermal treatment is necessary to remove residual solvents and water from the
78 gels and can lead to a reorganization of the material structure. Amorphous zirconia, for example,
79 can evolve to tetragonal, monoclinic or cubic crystalline forms, which have different properties.
80 The temperature and the heating rate used are key factors able to affect the final structure of the sol-
81 gel materials (porosity, crystallinity degree, etc.) and, thus, their biological properties [8, 17, 23].
82 Cell adhesion and bioactivity (the ability of inducing HAp nucleation and, thus, osseointegration),
83 indeed, are influenced by surface topography and ion release ability [24-26], which depend on the
84 materials structure.

85 Therefore, the aim of the present work has been to investigate the thermal behavior of the
86 amorphous bioactive and biocompatible sol-gel ZrO₂/PCL materials in order to choose the most
87 suitable conditions to carry out their thermal treatments to obtain material structural modifications.
88 The knowledge of such information can be useful for developing ZrO₂/PCL materials with different
89 microstructure that, in a future study, could exhibit biological properties different from the
90 amorphous ZrO₂/PCL materials. In order to confirm that structural modifications induced upon
91 heating take place and to identify the new phases formed, X-Ray Diffraction (XRD) analysis was
92 carried out on fresh samples as well as on those treated at three suitable high temperatures.

93 So, a careful study of the degradation phenomena occurring in the hybrid materials and in which
94 the polymer is involved upon heating was performed using a multi-technique approach, similarly to
95 what has been carried out in the recent past using thermal analysis and X-ray spectroscopies [27] or
96 thermogravimetry coupled with Fourier transform infrared spectroscopy (TG/FTIR) [28] or to Mass

97 spectrometry (TG/MS) [29] to analyze the gases evolved during the TG experiments and to
98 elucidate the mechanisms of degradation.

99 Although the thermal degradation of PCL was widely investigated in the past [30-33], indeed, it
100 is not possible to predict the thermal behavior of the hybrids by comparing simply those of the pure
101 organic and inorganic components taken from the literature, because the polymer embedded in the
102 inorganic matrix, the formation of chemical bonds between the organic and the inorganic
103 components of the hybrids and the nature of such bonds can lead to new materials, whose
104 properties, in particular the thermal behavior is a priori unknown.

105

106 **Material and methods**

107 Pure ZrO₂ (hereinafter denoted as Z) and inorganic/organic ZrO₂/PCL hybrids materials
108 containing 6, 12, 24, and 50 wt% of the organic component (hereinafter denoted as ZP6, ZP12,
109 ZP24 and ZP50 respectively), were synthesized by the sol-gel process. A zirconium(IV) propoxide
110 solution (Zr(OC₃H₇)₄ 70 wt% in n-propanol, Sigma Aldrich) and PCL (average molar mass of
111 10,000 g mol⁻¹, Sigma Aldrich) were used as inorganic and organic precursors respectively. A 1.04
112 M solution of Zr(OC₃H₇)₄ was added to a mixture of ethanol (EtOH, 99.8%, Sigma-Aldrich) and
113 acetylacetonate (AcAc, Sigma-Aldrich). AcAc was added to control the hydrolytic activity of
114 zirconium alkoxide. The molar ratios among the reagents achieved in the mixture are:
115 EtOH/Zr(OC₃H₇)₄ = 8.1 Zr(OC₃H₇)₄/AcAc = 3.1. That mixture, then, was added to chloroform
116 solutions of PCL with concentration 1.46 mM, 1.49 mM, 1.90 mM and 4.00 mM to synthesize ZP6,
117 ZP12, ZP24 and ZP50, respectively.

118 The solution was kept under magnetic stirring and the resulting sols were uniform and
119 homogeneous. After gelation, all wet gels were air dried at 45°C for 48 h to remove the residual
120 solvents and no traces of any polymer degradation were found.

121 Subsequently, all the samples were reduced to fine powders by gently grinding them in an agate
122 mortar for some minutes. Then, the obtained powder samples were further characterized by coupled

123 thermogravimetry/Fourier transform infrared spectroscopy (TG/FTIR), coupled TG/Differential
124 scanning calorimetry (TG/DSC) and X-ray diffraction (XRD) analyses.

125 The thermal behavior of the OIHs was studied using a simultaneous Mettler Toledo TG/DSC
126 2950 instrument, equipped with a STARe software. The instrument has been equipped with two
127 identical cylindrical crucibles, one for the reference filled with alumina in powder form and one for
128 the sample, uniformly covered with about 20–25 mg of solid to uniformly cover the bottom surface
129 area of a crucible. The TG/DSC experiments were carried out under an inert nitrogen flowing
130 atmosphere (60 mL min^{-1}) up to $700 \text{ }^\circ\text{C}$ at a heating rate of $10^\circ\text{C min}^{-1}$. Calibration of the sample
131 temperature was performed using very pure indium and zinc reference materials (purity higher than
132 99.998%), thus assuming a final average uncertainty $u(T)=\pm 1\text{K}$ was estimated over the whole
133 temperature range.

134 In order to elucidate the mechanism of thermally activated processes occurring in the OIHs
135 during the TG/DSC experiments, the TG/FTIR experiments were carried out using a SETARAM
136 92-16.18 TG apparatus under a stream of argon of 40 mL min^{-1} in the temperature range between
137 25 and 700°C at $10^\circ\text{C min}^{-1}$. This instrument has been equipped with $250 \text{ }\mu\text{L}$ alumina crucibles
138 filled with about 100-150 mg of sample to obtain the minimum amount of gaseous species to be
139 analyzed by FTIR measurements. A preliminary blank experiment was performed using empty
140 crucibles under the same experimental conditions of the samples tested. All the experimental data
141 were collected and analyzed using the Calisto software. The vapors evolved during the TG
142 experiments were conveyed to a Thermofisher Scientific Nicolet iS10 Spectrophotometer linked
143 through a heated transfer line kept at 200°C . The instrument allows monitoring the actual reaction
144 trend by collecting a spectrum each 11 s, being eight scans performed at 0.5 cm^{-1} intervals with a
145 resolution of 4 cm^{-1} .

146 The morphology of the synthesized gel materials was investigated by scanning electron
147 microscopy analysis performed using an AURIGA Zeiss High Resolution Field Emission (HR-
148 FESEM).

149 After a thermal treatment of the samples powders at 400, 600 and 1000°C (temperatures selected
150 on the basis of a careful examination of their thermal behavior shown by the TG experiments) for 2
151 h under argon purge gas atmosphere, the crystalline phases have been identified by XRD analysis
152 using a Philips diffractometer equipped with a PW 1830 generator, tungsten lamp and Cu anode,
153 where the source of X-ray is given by a Cu-K α radiation ($\lambda=0.15418$ nm).

154

155 **Results and Discussion**

156 **Thermal behavior study through TG/DSC and TG/FTIR experiments**

157 The TG/DSC curves of pure ZrO₂ and of the ZrO₂/PCL hybrids have been reported in Fig. 1.
158 Initial and final temperatures of all the processes accompanied by a mass loss are more clearly
159 identified in the first-order derivative curves of TG (DTG) curves displayed in Fig. 2, where a
160 vertical bar close to each DTG peak represents the temperature at which the gas or gases evolved
161 from TG experiments were collected and sent to the FTIR device for the evolution gas analysis
162 (EGA). The FTIR spectra of the gaseous species so collected from TG/DSC experiments of all
163 materials tested at all the temperatures close to the DTG peak temperatures where the reaction
164 reaches the maximum rates (corresponding to a vertical bars in the DTG curves) are shown in Fig.
165 3. Fig. 1 shows that all the materials undergo a first mass loss (corresponding to the first DTG peak
166 and an endothermic DSC peak) ascribed to loss of alcohol up to 140°C, except for ZP50 (short
167 dotted lines) for which the process is shifted toward lower temperature (ends at around 80°C). The
168 ZP hybrid materials, except the PCL-richest OHI, ZP50, show the same thermal behavior of pure Z.

169 As far as pure zirconia is concerned, the FTIR spectrum of the gaseous products collected during
170 the TG experiment of pure ZrO₂ at 64°C, close to the corresponding DTG peak showed the release
171 of propanol. This is proved by the presence of the intense bands at 2970 cm⁻¹ and 1060 cm⁻¹, with a
172 shoulder at 978 cm⁻¹ ascribable to C–H and C–C stretching in the propanol, by the weak signals at
173 3670 cm⁻¹, due to –OH stretching, and the signals in the region between 1200 and 1500 cm⁻¹,

174 ascribable to the C–H bending modes. This alcohol is produced by hydrolysis and condensation
175 reactions, which involve zirconium propoxide precursor.

176 Other two steps of mass loss are visible in the TG curve of pure ZrO_2 , which is accompanied by
177 several effects in the corresponding DSC curve related to decomposition/oxidation of acetylacetone
178 and crystallization of zirconia [34, 35]. The FTIR spectrum of the gaseous products collected during
179 the TG experiment on pure zirconia at 198°C shows propanol residues as well as the bands
180 corresponding to the wavenumbers equal to 1735 cm^{-1} , 1365 cm^{-1} and 1210 cm^{-1} , are due to C=O,
181 C–H and C–C deformations respectively, prove that acetone also evolved due to the thermal
182 degradation of the acetylacetone bonded to zirconium (acetylacetonate of zirconium) [36]. The
183 bands of propanol and acetone with lower intensity are visible also in the spectrum recorded at
184 343°C together with the signals typical of the CO_2 (the doublet at $2360 - 2319\text{ cm}^{-1}$ and the sharp
185 peak at 670 cm^{-1}) which, in turn, develops by the degradation of the acetone.

186 As far as the poor-PCL OHIs (with 6, 12 and 24% of PCL) are concerned, although the polymer
187 is intimately linked to the zirconia matrix, no evidences of thermal degradation of PCL are visible.
188 In previous studies the thermal degradation of PCL was observed to occur in the temperature range
189 between 230 and 470 °C as a function of the polymer chain length [31, 33] and the setting of the
190 instrument used to carry out the thermal analysis (gas carrier, resolution parameters, heating rate
191 [30-33]).

192 Other two steps of weight loss in the TG curve are visible, coupled with several effects in DSC
193 curve, which are related to the decomposition/oxidation of acetylacetone and the crystallization of
194 zirconia. Also in these samples, for temperature above 300°C, a decrease of the peaks related to
195 propanol and acetone and the development of CO_2 , due to the acetone degradation was recorded as
196 observed for Z.

197 Therefore, although the presence of polymer, no evidences of its thermal degradation are visible.
198 The obtained results suggest that the inorganic matrix exerts a stabilizing effect on the polymer.
199 Moreover, the FTIR spectrum of the gaseous mixture evolved during the TG experiment on ZP12 at

200 501°C revealed the presence of methane and CO₂, which are obtained by the thermal degradation of
201 acetone [36].

202 A completely different thermal behavior is observed when 50 wt% of PCL is embedded in the
203 zirconia matrix. In particular, no trace of propanol is found in the FTIR spectrum collected from the
204 gas released during the TG experiment of ZP50 at 43°C, whereas that at about 169°C reveals the
205 characteristic bands of acetone along with those of acetylacetone and propanol (in lower amount) at
206 about 1610 cm⁻¹ and 1060 cm⁻¹. The formation of ε-caprolactone (the cyclic monomer used to
207 synthesize the PCL) is evident in the FTIR spectrum of the gas collected at 263°C and causes the
208 appearance of the intense endothermic peak recorded in the DSC curve between 200 and 320°C. Its
209 formation is the result of the thermal degradation of PCL and, in particular, of an unzipping
210 depolymerization process, which takes place from the ends of the polymer chains, according with
211 Persenaire et al. [31] and Aoyagi et al. [30]. However, those two research groups proposed two
212 different mechanisms for the thermal degradation of PCL. Persenaire et al. [31] observed two
213 degradation peaks in the DTA curve at temperatures between 230°C and 420°C depending on gas
214 (He and O₂) and polymer chain length. Based on the analysis of the gas evolved, they proposed a
215 two-step mechanism, where the random rupture of the polyester chains via cis-elimination reaction,
216 which leads to the production of H₂O, CO₂, and 5-hexenoic acid, is followed by the unzipping
217 depolymerization process leading to the formation of the ε-caprolactone. Results obtained by
218 Aoyagi et al. [30] in N₂ environment and isothermal conditions show that the degradation takes
219 place at 280°C with one-step mechanism, which is the unzipping depolymerization from the
220 polymer-chain ends. However, Aoyagi et al. do not exclude that also the random rupture of the
221 polyester chains via a cis-elimination reaction occurs, but this process may be undetectable using a
222 traditional low resolution thermal analysis equipment because occurs at a temperature very close
223 that one of the depolymerization.

224 Therefore, although the mechanism and temperature range of the thermal degradation of PCL is
225 well-known [30-33], a comparison of the results obtained in the present study with those taken from

226 literature is not simple, since the thermal behavior of the hybrids is usually quite different from
227 those of the pure organic and inorganic components. In fact, as stated above, the organic component
228 (i.e., the polymer) embedded in the inorganic matrix via the formation of chemical bonds are new
229 materials, whose properties and thermal behavior are a priori unpredictable. In particular, the
230 reported temperatures that caused the formation of the first degradation products are very different.
231 Unger et al. [32] in nitrogen atmosphere and using heating rate of 10°C/min (conditions similar to
232 those used in the present study) reported that the thermal degradation of PCL (average molecular
233 weight of 10000) starts at 280°C leading to the formation of ϵ -caprolactone and 5-hexenoic acid at
234 higher temperature. The recorded different thermal behavior of ZP50 and the formation of the first
235 degradation products at 263°C, in the present work, thus, suggest that when a significant amount of
236 PCL is present in the OIH materials, the polymer is not completely bonded to the –OH groups of
237 the zirconia matrix, due to their saturation. For this reason, a part of the PCL is not affected by the
238 stabilizing effect of the matrix and is subjected to thermal degradation following a behavior very
239 similar to that of pure PCL. Therefore, the obtained results confirm that when the polymer is linked
240 to the inorganic matrix, the formed hybrid is a new material with its own thermal behavior and
241 within the hybrid the organic component do not retain its thermal behavior. On the contrary, the
242 PCL moieties not linked through chemical bonds to the inorganic matrix behave similarly to pure
243 PCL. Moreover, the significant weight loss recorded for ZP50 below 300°C is ascribable to the
244 degradation of the synthesis residues retained in the inorganic matrix (acetyl acetone and solvents,
245 as well as recorded in the other samples) and to the free PCL (not linked to the zirconia matrix).
246 Moreover, the formation of 5-hexenoic acid, undetected under the used experimental condition,
247 cannot be excluded.

248 The saturation of the –OH groups explains also the lower content of propanol, not thermally
249 released at lower temperature. The dehydroxylation process that generally takes place in these
250 materials upon heating, leading to the formation of new O-bridging bonds with the production of
251 propanol or water, indeed, is hindered due to the absence of free –OH groups.

252 The spectra recorded at higher temperature (380 and 475°C) show a decrease in the intensity of
253 the ϵ -caprolactone band and an increase of that of CO₂. This result can be explained by the
254 occurrence of degradation of the ϵ -caprolactone, which leads to the formation of CO₂.

255

256 **Structural study of the solid phases after the thermal treatments of the OIHs**

257 The morphology of untreated and thermally treated OIHs (Figs. 4 and 5) was observed by carrying
258 out HR-FESEM measurements. Fig. 4 shows the micrographs of the untreated samples recorded at
259 high magnification. All the fresh materials have a compact morphology and proved that the organic
260 and inorganic components are interpenetrated on nanometric scale. Therefore, all the samples are
261 homogeneous and no phase separation is visible under 1 μ m, confirming that the synthesized
262 materials are hybrids, according to the IUPAC definition [37]. SEM images of the samples acquired
263 after heat treatments (Fig. 5), show that heating up to 600°C does not cause significant
264 morphological modifications, whereas the thermal treatment at 1000°C leads to a new morphology,
265 with a structure similar to particle agglomerates.

266 The XRD spectra shown in Figs. 6-9 reveal that the structure of all the OIH samples depends on the
267 temperature of the thermal treatment. In particular, the samples treated at 400°C (Fig. 6) are
268 amorphous, and the content of the amorphous phase in the OIHs treated at 400°C slightly decreases
269 with increasing the amount of PCL. This can be due to the crystalline nature of the pure PCL [38].
270 By observing carefully Fig. 7, it is worth noting to stress that the hybrid materials treated at 600°C
271 have a prevailing tetragonal crystalline structure, and the appearance of the monoclinic phase
272 depends on the amount of PCL in the OIH: the higher is the amount of PCL, the higher is the
273 content of the monoclinic phase in the material [39-42]. The Crystallographic Open Database
274 (C.O.D.), an open-access collection of crystal structures of organic, inorganic, metal-organics
275 compounds and minerals, excluding biopolymers) was used to identify the monoclinic and
276 tetragonal phases of zirconia (denoted as *m*-ZrO₂ and *t*-ZrO₂, respectively) were identified (with
277 C.O.D. codes equal to 9006695 and 9016714, respectively). Since PCL is not considered in this

278 database it was identified by comparing the peaks of the XRD spectra with those found in literature
279 [43, 44]. The same procedure was carried out for all the materials, and the XRD spectrum of ZP50
280 has been reported in Fig. 8 as an example.

281 The percentage of the monoclinic phase with respect to the tetragonal one has been evaluated for
282 the samples ZP12, ZP24 and ZP50 treated at 600°C according to Eq. (1) proposed in previous
283 studies [40, 42]:

$$284 \quad x = \frac{I_m(11-1) + I_m(111)}{I_m(11-1) + I_m(111) + I_t(101)} \quad (1)$$

285 where $I_m(11-1)$ and $I_m(111)$ represent the areas under the peaks (integrated intensity) related to
286 (11-1) and (111) of the monoclinic phase, while $I_t(101)$ is that of the tetragonal one, respectively.
287 The percentages of the monoclinic phase in the samples ZP12, ZP24 and ZP50 so determined have
288 been found to be 11.1, 20.8 and 23.2%, respectively. The increase of the content of the monoclinic
289 phase with increasing the amount of PCL can be attributed to the strongest interactions between the
290 PCL carbonyl and OH- groups, obtained according to Sato and Shimada [45] on reaction between
291 water and Zr-O-Zr bonds on the surface, resulting in the formation of OH-groups which in turn
292 cause the release of the strain, acting in stabilizing the metastable *t*-ZrO₂ phase [46]. This
293 interaction could make less effective the stabilizing effect of the OH- groups, thus favoring the m-
294 phase, thermodynamically more stable.

295 Finally, all the samples heated up to 1000°C show a monoclinic structure (Fig. 9), but the
296 intensity of the peaks decreases with increasing the amount of PCL from pure ZrO₂ to ZP50. It is
297 worth noting that the crystallite size of the samples treated at 600 and 1000°C does not depend on
298 the amount of PEG in the material (Fig. 10): practically constant values have been found within the
299 estimated uncertainties, equal to 43 and 10 nm, respectively.

300

301

302 **Conclusions**

303 The sol-gel method is a versatile process that allows synthesizing different organic-inorganic
304 hybrid materials, such as organic/inorganic ZrO_2 /PCL hybrids containing 6, 12, 24, and 50 wt% of
305 the organic component. The obtained results suggest that the inorganic matrix of the OIHs prepared
306 by this method exerts a stabilizing effect on the polymer, in particular with poor-PCL hybrid
307 materials. In fact, the different thermal behavior of the ZP50 sample suggests that the polymer is
308 not entirely bonded to the -OH groups of the zirconia matrix due to their saturation. For this reason,
309 a part of PCL is not affected by the stabilizing effect of the matrix and is subjected to thermal
310 degradation. Morphological observation carried out by SEM proved that no phase separation is
311 visible in the samples also when 50wt% of polymer is incorporated. Moreover, this analysis showed
312 that the materials are homogeneous before and after the thermal treatment at 400, 600 and 1000 °C,
313 confirming that they are organic-inorganic hybrid materials. However, the samples appear compact
314 up to 600°C heating but after 1000°C heating are subjected to a morphological modification which
315 leads to a particle agglomerates-like structure. That modification is ascribable to the crystalline
316 phase transition which take place in the zirconia matrix after 1000°C heating, since it is observed
317 also in the PCL-free samples (Z). The structural analysis by X-ray diffraction (XRD) revealed that
318 the material structures changed from amorphous (at 400°C) to mostly tetragonal mixed to
319 monoclinic (at 600°C). Moreover, the content of the metastable tetragonal phase decreases with
320 increasing the amount of PCL in the OIHs due to strongest interactions occurring between the PCL
321 carbonyl and OH- groups when water reacts with the surface Zr-O-Zr bonds. This result makes less
322 effective the stabilizing effect of the OH- groups, thus favoring the thermodynamically more stable
323 *m*- ZrO_2 . After heating all the materials at 1000°C a complete conversion from tetragonal to
324 monoclinic phase took place, while the crystallite size of the samples treated at higher temperatures
325 (600 and 1000°C) seems not to depend on the amount of PCL in the hybrid material.

326 Finally, the obtained results correlated with further investigation about the biological properties
327 of the ZrO_2 /PCL hybrids as a function of the heating temperature can be important information for
328 the design of innovative OIH biomaterials.

329

330 **Conflicts of Interest:** All the authors that they have no competing interests.

331 **References:**

- 332 [1] P. Judeinstein, C. Sanchez, Hybrid organic-inorganic materials: a land of multidisciplinary,
333 *Journal of Materials Chemistry*, 6 (1996) 511-525.
- 334 [2] C. Sanchez, F. Ribot, Design of hybrid organic-inorganic materials synthesized via sol-gel
335 chemistry, *New J. Chem.*, 18 (1994) 1007-1047.
- 336 [3] Y. Wei, D. Jin, D.J. Brennan, D.N. Rivera, Q. Zhuang, N.J. DiNardo, K. Qiu, Atomic Force
337 Microscopy Study of Organic-Inorganic Hybrid Materials, *Chemistry of Materials*, 10 (1998)
338 769-772.
- 339 [4] Q. Chen, N. Miyata, T. Kokubo, T. Nakamura, Bioactivity and mechanical properties of PDMS-
340 modified CaO-SiO₂-TiO₂ hybrids prepared by sol-gel process, *J. Biomed. Mater. Res.*, 51 (2000)
341 605-611.
- 342 [5] N. Miyata, K.-i. Fuke, Q. Chen, M. Kawashita, T. Kokubo, T. Nakamura, Apatite-forming
343 ability and mechanical properties of PTMO-modified CaO-SiO₂ hybrids prepared by sol-gel
344 processing: effect of CaO and PTMO contents, *Biomaterials*, 23 (2002) 3033-3040.
- 345 [6] M. Catauro, F. Bollino, F. Papale, C. Ferrara, P. Mustarelli, Silica-polyethylene glycol hybrids
346 synthesized by sol-gel: Biocompatibility improvement of titanium implants by coating, *Materials*
347 *Science and Engineering C*, 55 (2015) 118-125.
- 348 [7] M. Catauro, S. Pacifico, Synthesis of bioactive chlorogenic acid-silica hybrid materials via the
349 sol-gel route and evaluation of their biocompatibility, *Materials*, 10 (2017).
- 350 [8] M. Catauro, R.A. Renella, F. Papale, S. Vecchio Cipriotti, Investigation of bioactivity,
351 biocompatibility and thermal behavior of sol-gel silica glass containing a high PEG percentage,
352 *Materials Science and Engineering C*, 61 (2016) 51-55.
- 353 [9] M. Vallet-Regí, D. Arcos, Nanostructured hybrid materials for bone tissue regeneration, *Current*
354 *Nanoscience*, 2 (2006) 179-189.
- 355 [10] C. Piconi, G. Maccauro, Zirconia as a ceramic biomaterial, *Biomaterials*, 20 (1999) 1-25.
- 356 [11] O.S. Abd El-Ghany, A.H. Sherief, Zirconia based ceramics, some clinical and biological
357 aspects: Review, *Future Dental Journal*, 2 (2016) 55-64.
- 358 [12] H. Harianawala, M. Kheur, S. Kheur, T. Sethi, A. Bal, M. Burhanpurwala, F. Sayed,
359 Biocompatibility of Zirconia, *Journal of Advanced Medical and Dental Sciences Research*, 4 (2016)
360 35-39.
- 361 [13] T.R. Ramesh, M. Gangaiah, P.V. Harish, U. Krishnakumar, B. Nandakishore, Zirconia
362 Ceramics as a Dental Biomaterial – An Over view, *Trends in Biomaterials and Artificial Organs*, 26
363 (2012) 154-160.
- 364 [14] M. Catauro, F. Bollino, F. Papale, Biocompatibility improvement of titanium implants by
365 coating with hybrid materials synthesized by sol-gel technique, *Journal of Biomedical Materials*
366 *Research - Part A*, 102 (2014) 4473-4479.
- 367 [15] C. Brinker, G. Scherer, *Sol-Gel Science: the Physics and Chemistry of Sol-Gel processing*,
368 Academic press, San Diego 1989.
- 369 [16] M. Catauro, G. Laudisio, A. Costantini, R. Fresa, F. Branda, Low Temperature Synthesis,
370 Structure and Bioactivity of 2CaO·3SiO₂ Glass, *Journal of Sol-Gel Science and Technology*, 10
371 (1997) 231-237.
- 372 [17] F. Bollino, E. Armenia, E. Tranquillo, Zirconia/hydroxyapatite composites synthesized via sol-
373 gel: Influence of hydroxyapatite content and heating on their biological properties, *Materials*, 10
374 (2017).
- 375 [18] M. Catauro, F. Bollino, F. Papale, Response of SAOS-2 cells to simulated microgravity and
376 effect of biocompatible sol-gel hybrid coatings, *Acta Astronautica*, 122 (2016) 237-242.
- 377 [19] M. Catauro, F. Bollino, F. Papale, P. Mozetic, A. Rainer, M. Trombetta, Biological response of
378 human mesenchymal stromal cells to titanium grade 4 implants coated with PCL/ZrO₂ hybrid
379 materials synthesized by sol-gel route: In vitro evaluation, *Materials Science and Engineering C*, 45
380 (2014) 395-401.

381 [20] M. Catauro, F. Bollino, P. Veronesi, G. Lamanna, Influence of PCL on mechanical properties
382 and bioactivity of ZrO₂-based hybrid coatings synthesized by sol-gel dip coating technique,
383 Materials Science and Engineering C, 39 (2014) 344-351.

384 [21] M. Catauro, E. Tranquillo, M. Illiano, L. Sapio, A. Spina, S. Naviglio, The influence of the
385 polymer amount on the biological properties of PCL/ZrO₂ hybrid materials synthesized via sol-gel
386 technique, Materials, 10 (2017).

387 [22] M. Catauro, F. Bollino, R.A. Renella, F. Papale, Sol-gel synthesis of SiO₂-CaO-P₂O₅ glasses:
388 Influence of the heat treatment on their bioactivity and biocompatibility, Ceramics International, 41
389 (2015) 12578-12588.

390 [23] M. Catauro, A. Dell'Era, S. Vecchio Cipriotti, Synthesis, structural, spectroscopic and
391 thermoanalytical study of sol-gel derived SiO₂-CaO-P₂O₅ gel and ceramic materials,
392 Thermochimica Acta, 625 (2016) 20-27.

393 [24] R. Kumar, H. Münstedt, Polyamide/silver antimicrobials: effect of crystallinity on the silver
394 ion release, Polymer International, 54 (2005) 1180-1186.

395 [25] E. Mavropoulos, A.M. Costa, L.T. Costa, C.A. Achete, A. Mello, J.M. Granjeiro, A.M. Rossi,
396 Adsorption and bioactivity studies of albumin onto hydroxyapatite surface, Colloids and Surfaces
397 B: Biointerfaces, 83 (2011) 1-9.

398 [26] L. Radev, Influence of thermal treatment on the structure and in vitro bioactivity of sol-gel
399 prepared CaO-SiO₂-P₂O₅ glass-ceramics, Processing and Application of Ceramics, 8 (2014) 155-
400 166.

401 [27] S. Vecchio Cipriotti, M. Catauro, Synthesis, structural and thermal behavior study of four Ca-
402 containing silicate gel-glasses, Journal of Thermal Analysis and Calorimetry, 123 (2016) 2091-
403 2101.

404 [28] S. Materazzi, S. Vecchio Cipriotti, L.W. Wo, S. De Angelis Curtis, TG-MS and TG-FTIR
405 studies of imidazole-substituted coordination compounds: Co(II) and Ni(II)-complexes of bis(1-
406 methylimidazol-2-yl)ketone, Thermochimica Acta, 543 (2012) 183-187.

407 [29] C. Papadopoulos, N. Kantiranis, S. Vecchio Cipriotti, M. Lalia-Kantouri, Lanthanide
408 complexes of 3-methoxy-salicylaldehyde, Journal of Thermal Analysis and Calorimetry, 99 (2010)
409 931-938.

410 [30] Y. Aoyagi, K. Yamashita, Y. Doi, Thermal degradation of poly[(R)-3-hydroxybutyrate],
411 poly[ε-caprolactone], and poly[(S)-lactide], Polymer Degradation and Stability, 76 (2002) 53-59.

412 [31] O. Persenaire, M. Alexandre, P. Degée, P. Dubois, Mechanisms and Kinetics of Thermal
413 Degradation of Poly(ε-caprolactone), Biomacromolecules, 2 (2001) 288-294.

414 [32] M. Unger, C. Vogel, H.W. Siesler, Molecular Weight Dependence of the Thermal Degradation
415 of Poly(ε-caprolactone): A Thermogravimetric Differential Thermal Fourier Transform Infrared
416 Spectroscopy Study, Applied Spectroscopy, 64 (2010) 805-809.

417 [33] C. Vogel, H.W. Siesler, Thermal Degradation of Poly(ε-caprolactone), Poly(L-lactic acid) and
418 their Blends with Poly(3-hydroxy-butyrates) Studied by TGA/FT-IR Spectroscopy, Macromolecular
419 Symposia, 265 (2008) 183-194.

420 [34] I. Georgieva, N. Danchova, S. Gutzov, N. Trendafilova, DFT modeling, UV-Vis and IR
421 spectroscopic study of acetylacetonate-modified zirconia sol-gel materials, J. Mol. Model., 18 (2012)
422 2409-2422.

423 [35] S. Vecchio Cipriotti, F. Bollino, E. Tranquillo, M. Catauro, Synthesis, thermal behavior and
424 physicochemical characterization of ZrO₂/PEG inorganic/organic hybrid materials via sol-gel
425 technique, Journal of Thermal Analysis and Calorimetry, 130 (2017) 535-540.

426 [36] J. Von Hoene, R.G. Charles, W.M. Hickam, Thermal Decomposition of Metal
427 Acetylacetonates: Mass Spectrometer Studies, The Journal of Physical Chemistry, 62 (1958) 1098-
428 1101.

429 [37] A.D. McNaught, A. Wilkinson, Compendium of Chemical Terminology. Gold Book, 2nd
430 Edition, Blackwell 1997.

- 431 [38] M. Catauro, F. Bollino, Release kinetics of anti-Inflammatory drug, and characterization and
432 bioactivity of SiO₂+PCL hybrid material synthesized by sol-Gel processing, *Journal of Applied*
433 *Biomaterials and Fundamental Materials*, 12 (2014) 218-227.
- 434 [39] S.N. Basahel, T.T. Ali, M. Mokhtar, K. Narasimharao, Influence of crystal structure of
435 nanosized ZrO₂ on photocatalytic degradation of methyl orange, *Nanoscale research letters*, 10
436 (2015) 73.
- 437 [40] A. Behbahani, S. Rowshanzamir, A. Esmailifar, Hydrothermal synthesis of zirconia
438 nanoparticles from commercial zirconia, *Procedia Engineering*, 42 (2012) 908-917.
- 439 [41] M. Gauna, M. Conconi, S. Gomez, G. Suarez, E. Aglietti, N. Rendtorff, Monoclinic-tetragonal
440 zirconia quantification of commercial nanopowder mixtures by XRD and DTA, *Ceramics–Silikáty*,
441 59 (2015) 318-325.
- 442 [42] M. Tahmasebpour, A.A. Babaluo, M.K.R. Aghjeh, Synthesis of zirconia nanopowders from
443 various zirconium salts via polyacrylamide gel method, *Journal of the European Ceramic Society*,
444 28 (2008) 773-778.
- 445 [43] H.-W. Kim, J.C. Knowles, H.-E. Kim, Hydroxyapatite/poly (ϵ -caprolactone) composite
446 coatings on hydroxyapatite porous bone scaffold for drug delivery, *Biomaterials*, 25 (2004) 1279-
447 1287.
- 448 [44] M. Shoja, K. Shameli, M. Ahmad, Z. Zakaria, Preparation and characterization of poly (ϵ -
449 caprolactone)/TiO₂ micro-composites, *Digest Journal of Nanomaterials and Biostructures*, 10
450 (2015) 471-477.
- 451 [45] T. Sato, M. Shimada, Control of the tetragonal-to-monoclinic phase transformation of yttria
452 partially stabilized zirconia in hot water, *Journal of materials science*, 20 (1985) 3988-3992.
- 453 [46] M. Skovgaard, K. Almdal, A. Van Lelieveld, Stabilization of metastable tetragonal zirconia
454 nanocrystallites by surface modification, *Journal of materials science*, 46 (2011) 1824-1829.
- 455
456

457

458 **Captions of the figures**

459 **Fig. 1.** Simultaneous TG (a) and DSC (b) curves of pure ZrO₂ and of the ZrO₂/PCL hybrids

460 **Fig. 2.** DTG curves of pure ZrO₂ and of the ZrO₂/PCL hybrids

461 **Fig. 3.** FTIR spectra of the gaseous species evolved during the TG/FTIR experiments of pure ZrO₂
462 and of the ZrO₂/PCL hybrids.

463 **Fig. 4.** HR-FESEM micrographs of untreated: A) Z, B) ZP6, C) ZP12, D) ZP24, E) ZP50.

464 **Fig. 5.** HR-FESEM micrographs of representative samples (Z, ZP12 and ZP50) after their treatment
465 at 400°C, 600° and 1000°C.

466 **Fig. 6.** XRD spectra of pure ZrO₂ and of the ZrO₂/PCL hybrids treated at 400°C for 2h under a
467 flowing Ar atmosphere.

468 **Fig. 7.** XRD spectra of pure ZrO₂ and of the ZrO₂/PCL hybrids treated at 600°C for 2h under a
469 flowing Ar atmosphere.

470 **Fig. 8.** Identification of (11-1), (111) and (101) peaks related to monoclinic and tetragonal phases in
471 the XRD spectrum of ZP50 treated at 600°C.

472 **Fig. 9.** XRD spectra of pure ZrO₂ and of the ZrO₂/PCL hybrids treated at 1000°C for 2h under a
473 flowing Ar atmosphere.

474 **Fig. 10.** Crystallite size of all the materials as a function of the amount of PCL.
475

Figure 1)

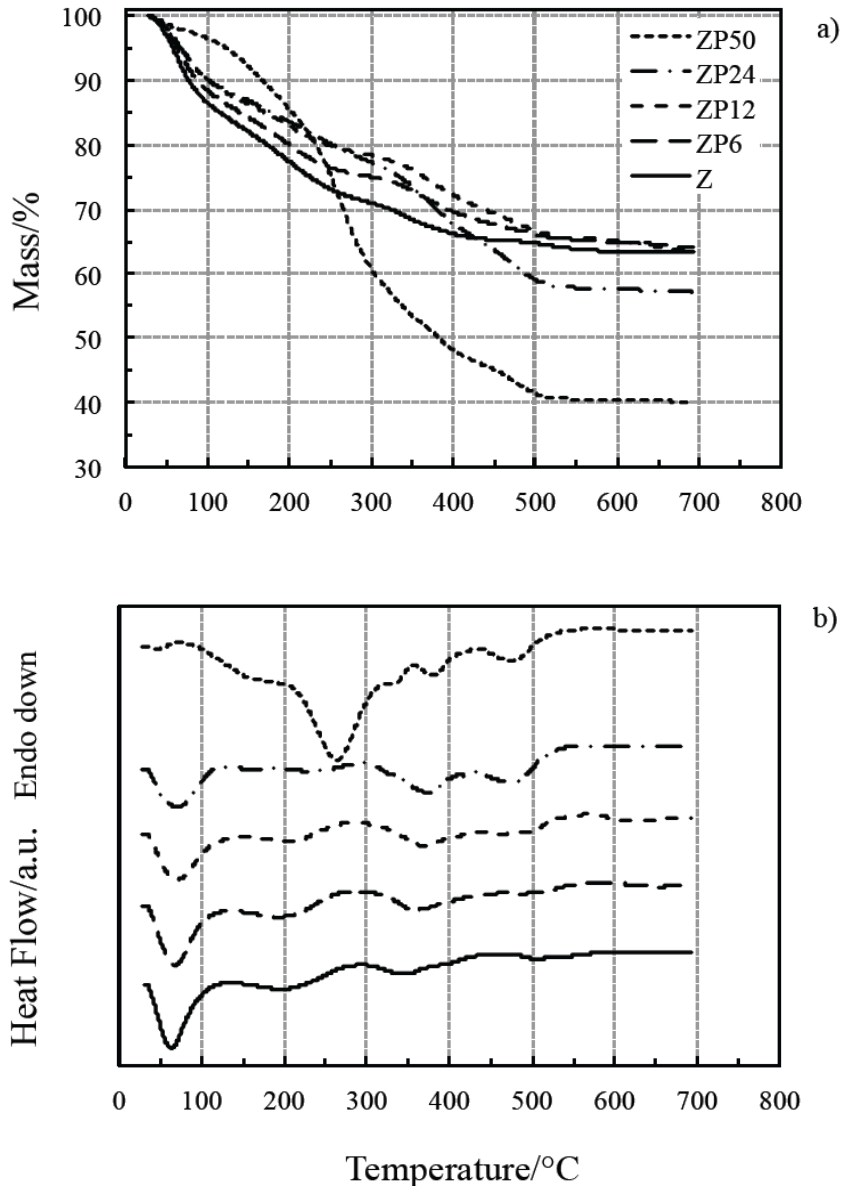


Figure 2)

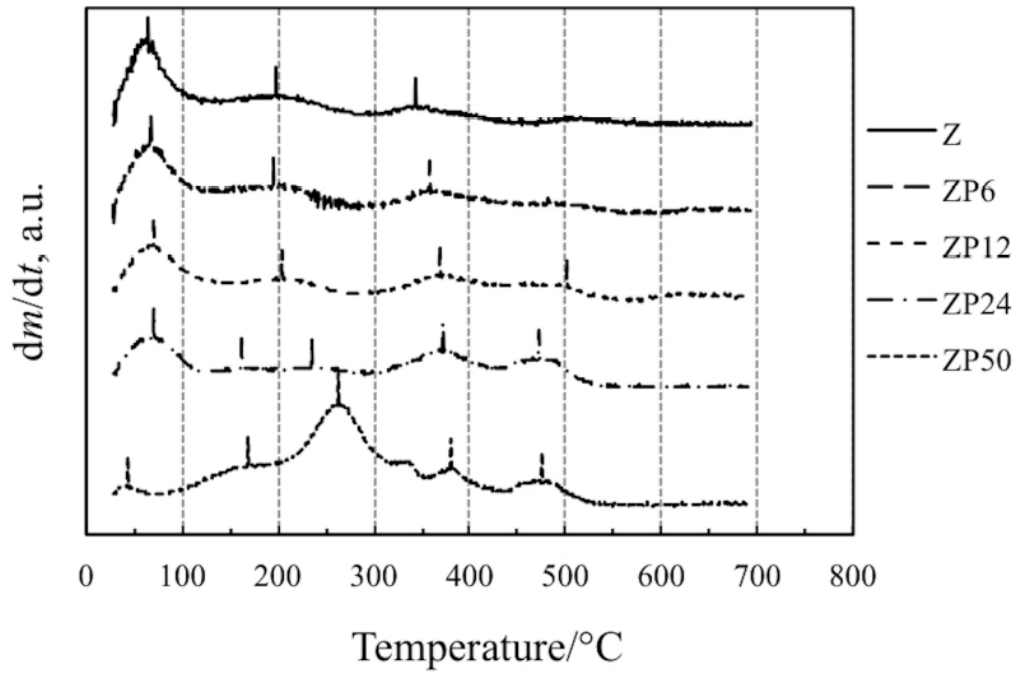


Figure 3)

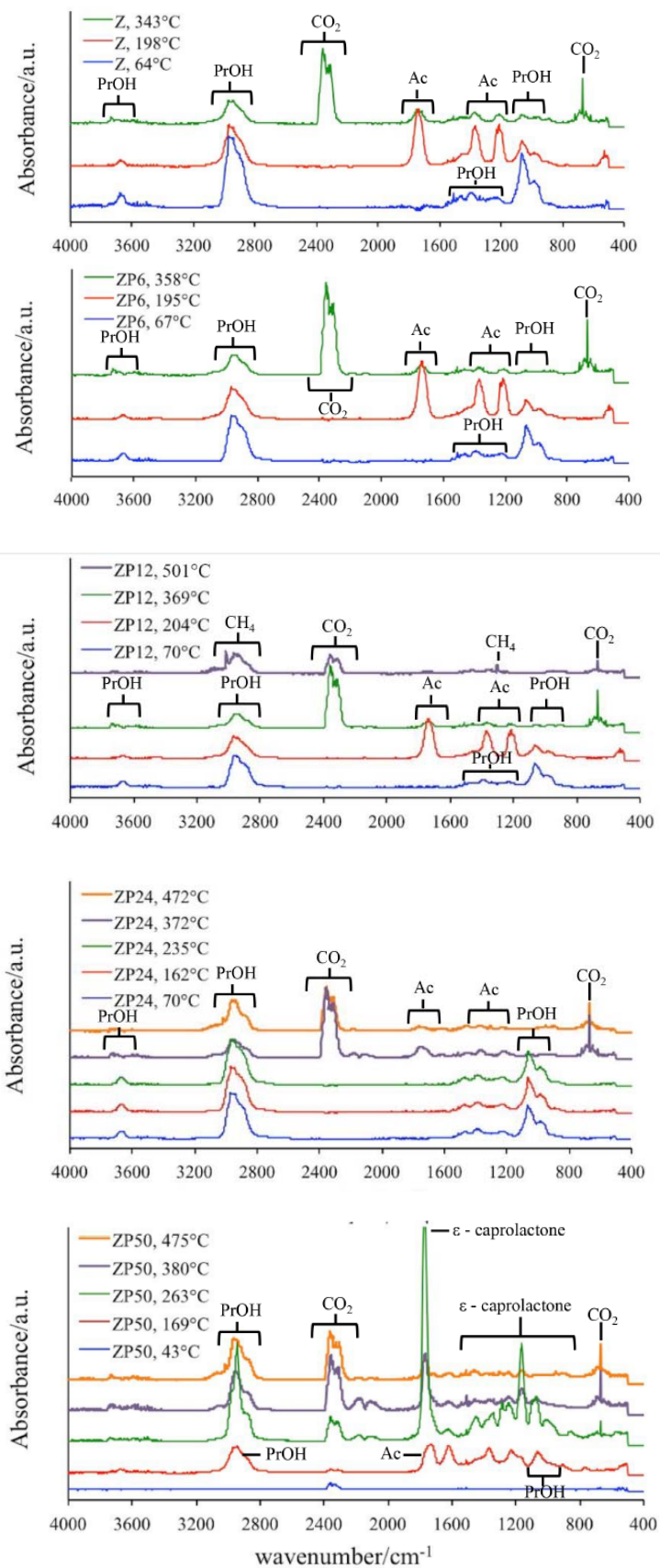


Figure 4)

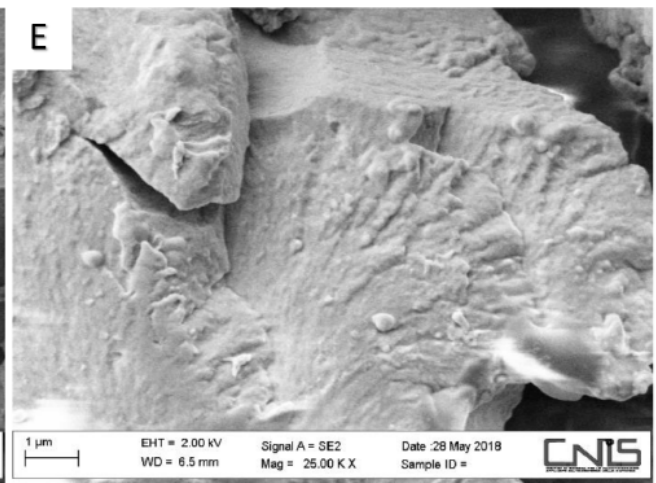
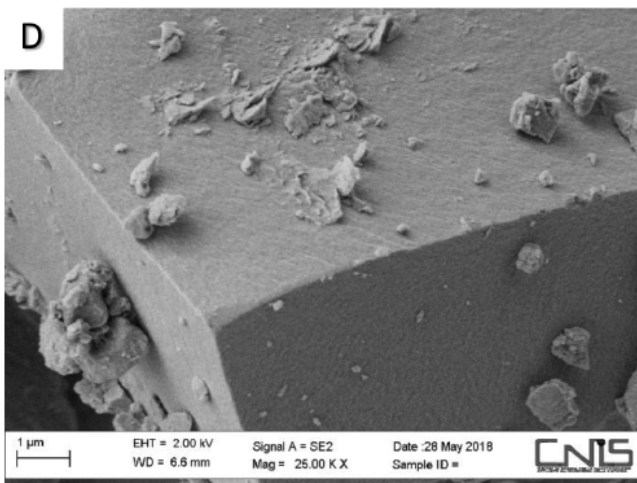
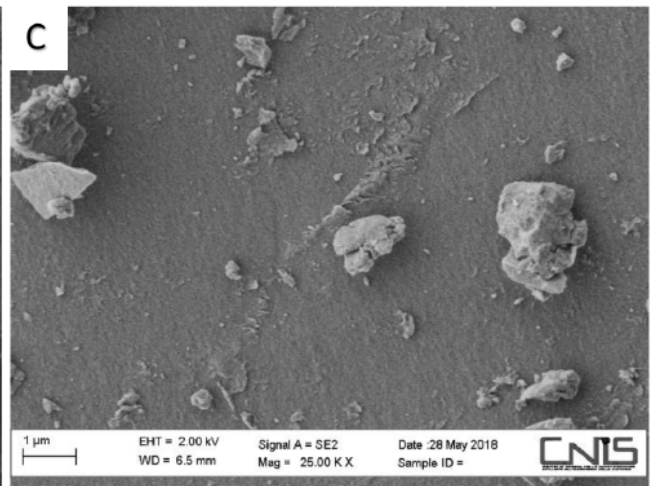
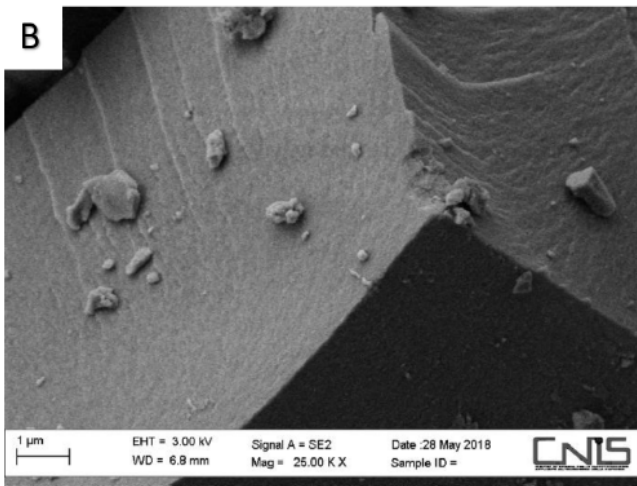
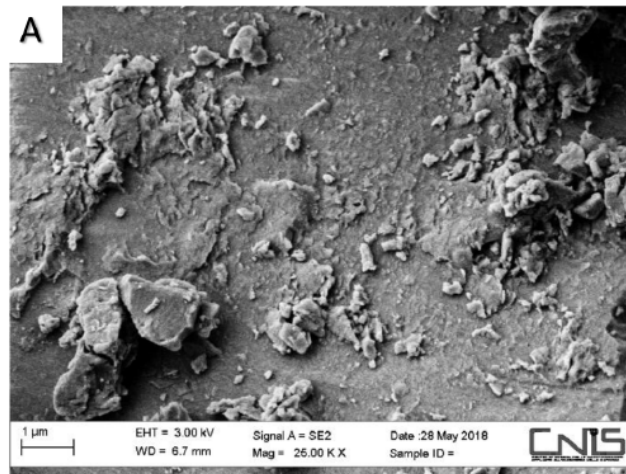


Figure 5)

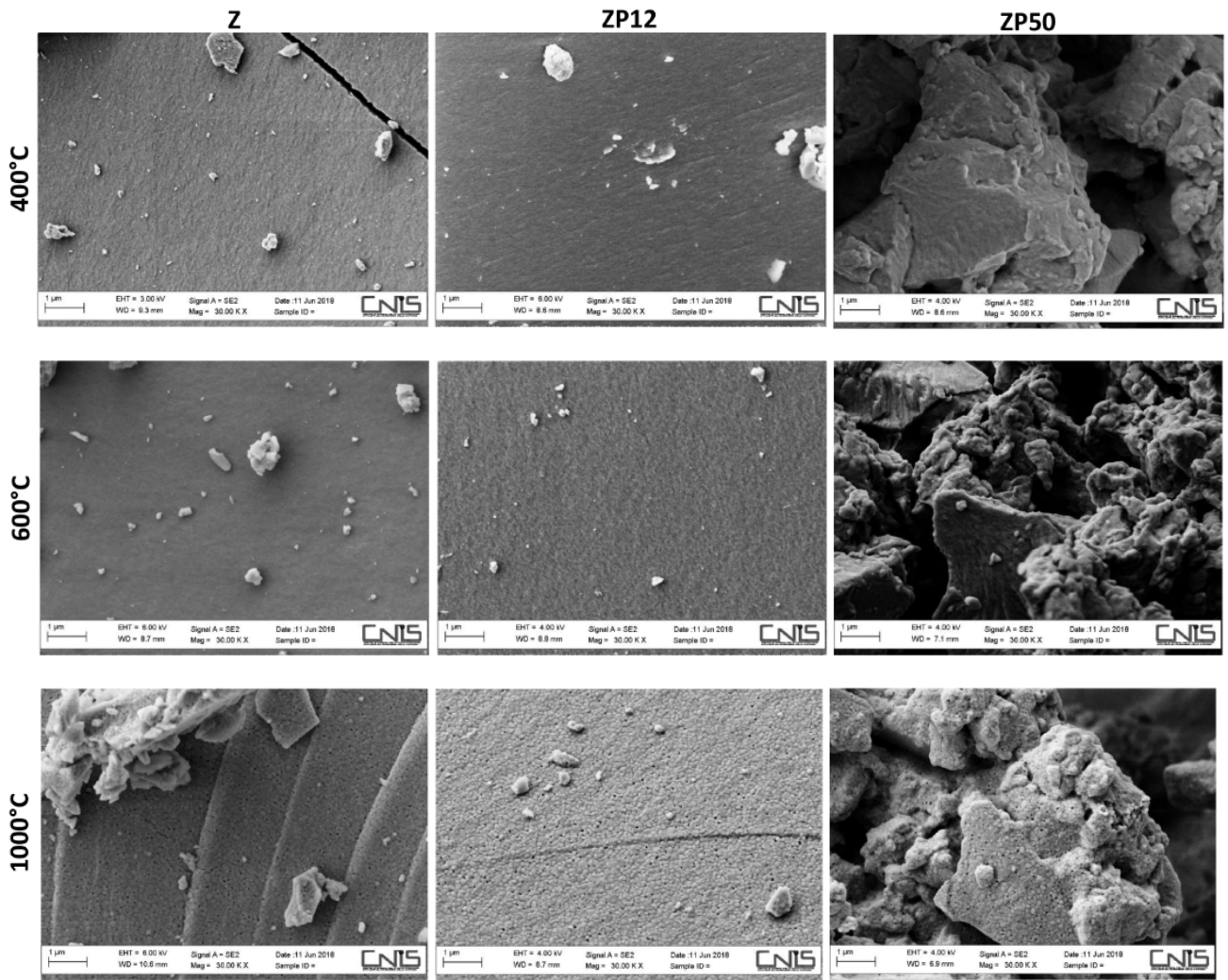


Figure 6)

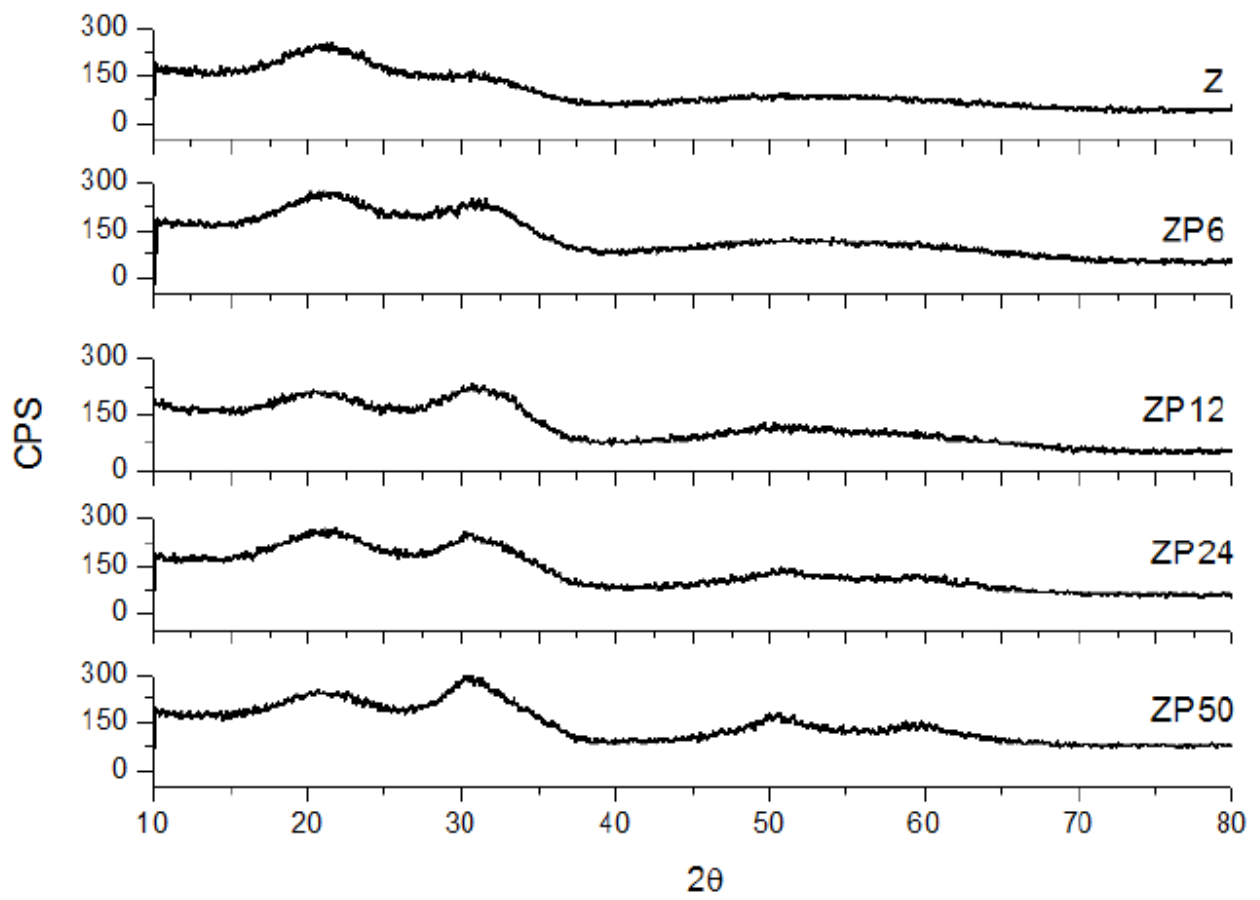


Figure 7)

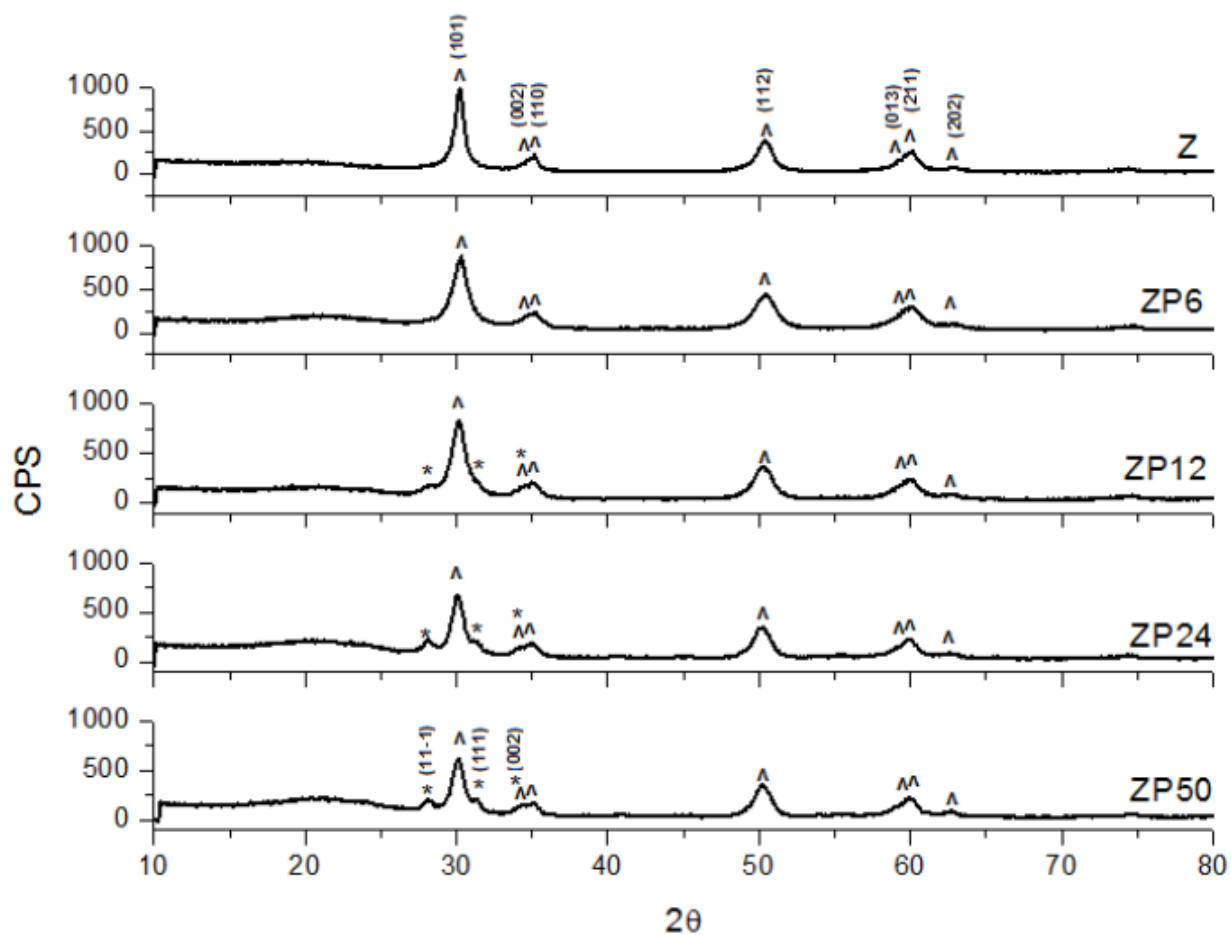


Figure 8)

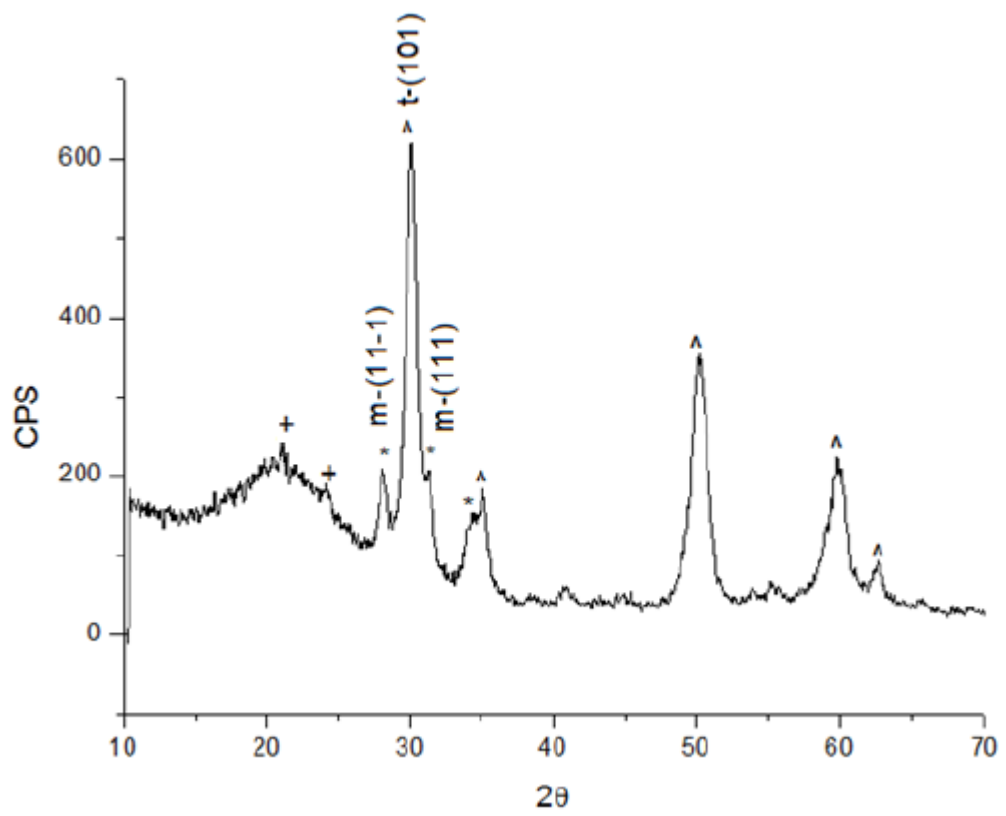


Figure 9)

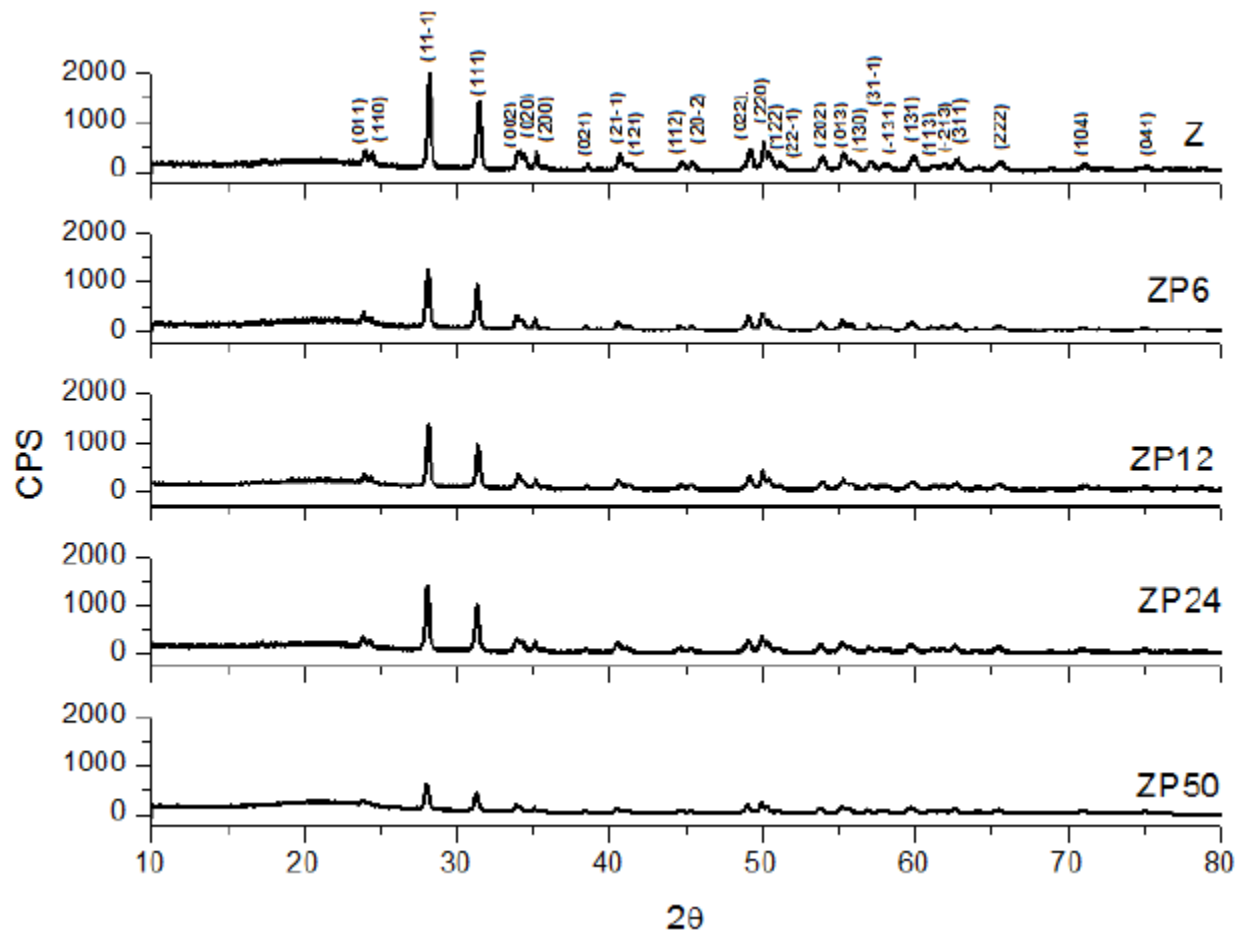


Figure 10)

