# RAPID COMMUNICATION

# Extremely drug-resistant NDM-9-producing ST147 *Klebsiella pneumoniae* causing infections in Italy, May 2020

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A large outbreak of New Delhi metallo-beta-lactamase (NDM)-1-producing *Klebsiella pneumoniae* sequence type (ST) 147 occurred in Tuscany, Italy in 2018–2019. In 2020, ST147 NDM-9-producing K. pneumoniae were detected at the University Hospital of Pisa, Tuscany, in two critically ill patients; one developed bacteraemia. Genomic and phylogenetic analyses suggest relatedness of 2018-2019 and 2020 strains, with a change from NDM-1 to NDM-9 in the latter and evolution by colistin, tigecycline and fosfomycin resistance acquisition.

A large outbreak sustained by New Delhi metallo-betalactamase (NDM)-1-producing Klebsiella pneumo*niae* sequence type (ST) 147 occurred in Tuscany, Italy in 2018–2019 [1,2]. In 2020, NDM-9-producing K. pneumoniae were isolated in the same geographical area. In this study, comparisons at genomic level of these recent isolates with strains representative of the 2018-2019 outbreak were carried out. The 2020 strains were ST147 and had acquired resistance to colistin, tigecycline, and fosfomycin.

# Case reports

In May 2020, two critically ill patients were respectively colonised or infected by extensively drug-resistant (XDR), NDM-9-producing K. pneumoniae at the University Hospital of Pisa, Tuscany, Italy.

The first patient (P1) was admitted to the intensive care unit (ICU) of the hospital for a minor surgical intervention related to a cerebral trauma 1year earlier. At admission, a rectal swab culture was positive for NDMproducing *K. pneumoniae* (strain Kp-P1; identification by MALDI-ToF MS, Bruker Daltonics, Bremen, Germany).

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Susceptibility to a series of antibiotics was assessed (Merlin ITGN Panel, Tecan automated system, Tecan Trading AG, Männedorf, Switzerland), using minimum inhibitory concentrations (MICs) breakpoints established by the European Committee on Antimicrobial Susceptibility Testing (EUCAST) [3]. The isolated strain resulted resistant to all tested antibiotics, including colistin, fosfomycin (agar-dilution method; AD Fosfomycin, Liofilchem, Roseto degli Abruzzi, Italy) and tigecycline (Table 1). It was susceptible to cefiderocol (SensiTitre Cefiderocol MIC panel CMP1SHIH, Thermo Fisher, Waltham, MA, USA). No significant infections were diagnosed in this patient during the hospital stay.

A second patient (P2) was admitted 5 days later to the same ICU because of a traumatic injury. The patient had no history of previous hospitalisation. Throat and rectum swabs taken at admission yielded cultures negative for carbapenem-resistant Gram-negative bacilli. A rectal swab taken on day 7 post admission, however, led to the detection of an NDM-producing K. pneumoniae by PCR for the *bla* NDM gene. On day 13, the patient developed a central venous catheter-related bacteraemia due to NDM-producing K. pneumoniae (strain Kp-P2). The susceptibility profile of strain Kp-P2 was identical to that of strain Kp-P1 (Table 1). Checkerboards were set up with twofold dilutions of aztreonam (ATM; 0.03 to 128 mg/L) and ceftazidime-avibactam (CAZ-AVI; 1–0.25 to 64–16 mg/L) as previously described [4]: the combination resulted fully synergistic (fractional inhibitory concentration index, FICI = 0.03; Table 1) against strain Kp-P2. The patient was treated with intravenous CAZ-AVI 2.5g every 8 hours plus ATM 2g every 8 hours. The clinical conditions rapidly improved but the patient had a second episode of bloodstream infection (BSI)

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#### TABLE 1

Minimum inhibitory concentrations of ST147 NDM-producing *Klebsiella pneumoniae* strains described in this study, Pisa, Italy (n = 8 strains)

	Klebsiella pneumoniae strains/isolates									
Antibiotic	Minimum inhibitory concentrations in mg/L									
	Kp-P1 Kp-P2	Kp-8Pi	Кр-9Рі	Kp-11Pi	Kp-12Pi	Kp-14Pi	Кр-16Рі	Кр-17Рі		
Ceftazidime	>128	>128	>128	>128	>128	>128	>128	>128		
Cefepime	>32	32	>32	>32	>32	>32	>32	>32		
Ceftriaxone	>4	>4	>4	>4	>4	>4	>4	>4		
Cefiderocol	2	NT	NT	NT	NT	NT	NT	NT		
Meropenem	>8	8	8	8	8	4	8	64		
Imipenem	>8	4	8	8	8	4	16	>16		
Ciprofloxacin	>2	>2	>2	>2	>2	>2	>2	>2		
Levofloxacin	>4	>4	>4	>4	>4	>4	>4	>4		
Amikacin	>16	>16	>16	>16	>16	>16	>16	>16		
Gentamycin	>4	>4	>4	>4	>4	>4	>4	>4		
SXT	>4	>4	>4	>4	>4	>4	>4	>4		
Tigecycline	2	0.25	0.25	0.5	0.5	0.25	1	0.25		
Fosfomycin	>128	≤16	≤16	≤16	≤16	≤16	32	16		
Colistin	16	<0.5	< 0.5	< 0.5	16	<0.5	16	< 0.5		
Aztreonam	>32	>32	>32	>32	>32	>32	>32	>32		
Ceftazidime/avibactam	>8/4	>8/4	>8/4	>8/4	>8/4	>8/4	>8/4	>8/4		
Ceftazidime/avibactam plus aztreonamª	FICI 0.03	Synergistic	NT	NT	NT	NT	NT	NT		

ATM: aztreonam; AVI: avibactam; FICI: fractional inhibitory concentration index; MIC: minimum inhibitory concentration; NDM: New Delhi metallo-beta-lactamase; NT: not tested; SXT: sulfamethoxazole-trimethoprim.

MICs were obtained using the Merlin ITGN Panel, Tecan automated system, Tecan Trading AG, Switzerland.

 $^{\rm a}$  FICI ATM MIC = 0.25 mg/L with AVI at concentration of 4 mg/L.

by NDM-producing *K. pneumoniae* on day 56. A second course of CAZ-AVI plus ATM was initiated, the patient's condition improved leading to discharge from ICU after 69 days. No relapse of infection was observed after 30 days from discharge.

# **Ethical statement**

The study was conducted according to the principles stated in the Declaration of Helsinki. The local Ethics Committee (Comitato Etico Area Vasta Nord Ovest) approved the study (IRB n 61185). Study participants signed the informed consent.

# Genomic analysis of the 2020-NDM-9 ST147 *Klebsiella pneumoniae* strains

Genomic DNAs were purified from Kp-P1 and Kp-P2 *K. pneumoniae* strains (Macherey Nagel DNA extraction kit, Düren, Germany) to build up paired-end libraries (Nextera XT), which were sequenced using the Illumina MiSeq instrument with 2x300PE protocol (Illumina Inc, San Diego, California, United States). De novo assembly of reads was performed by SPAdes v3.8 (https://w3.iss.it/site/aries/). Kp-P1 and Kp-P2 genomes were released under Bioproject PRJNA667843.

Kp-P1 and Kp-P2 strains were assigned to ST147 by in silico multilocus sequence typing (MLST; https://

bigsdb.pasteur.fr/klebsiella/klebsiella.html), the same ST as the NDM-1-producing *K. pneumoniae* clone that caused a large outbreak in Tuscany in 2018–2019 [1,2]. A phylogenetic tree using parsimony was built based on single nucleotide polymorphisms (SNP) (kSNP v3.0; https://w3.iss.it/site/aries/) in Kp-P1 and Kp-P2 genomes, as well as in 21 ST147 genomes of strains isolated in Pisa during the 2018–2019 outbreak and in 13 genomes of unrelated, internationally identified ST147 strains that were downloaded from GenBank.

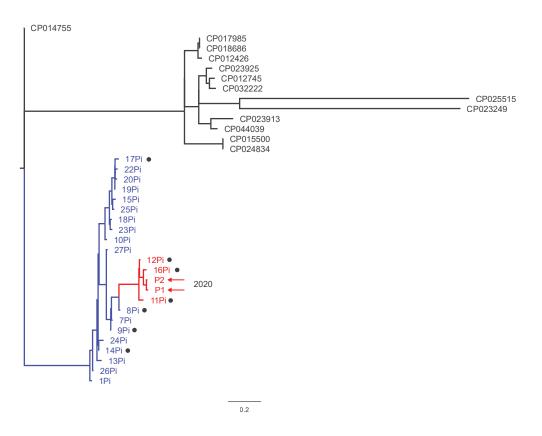
ST147 sequences of recent and prior isolates in Pisa formed a cluster, which was distinct from epidemiologically unrelated ST147 sequences. Within the Pisa cluster, Kp-P1 and Kp-P2 formed a further group with a subset of strains (Kp-11Pi, Kp-12Pi and Kp-16Pi) isolated in 2019. The sequences in this sub-cluster were highly related (Figure).

# Evolution of *Klebsiella pneumoniae* ST147 antimicrobial resistance

The Kp-P1 and Kp-P2 strains carried the *bla*  $_{NDM-9}$  gene, which presented one amino-acid substitution (E152K) with respect to *bla*  $_{NDM-1}$  gene identified in 2018–2019 isolates. A complex array of plasmids was identified in Kp-P1 and Kp-P2 strains, including derivatives of the pKpQIL, pKPN3, pNDM-MAR and IncR plasmids

#### FIGURE

Phylogenetic tree to analyse ST147 *Klebsiella pneumoniae* sequences from isolates of two patients in Pisa, Italy, 2020 (n = 36 sequences)



CDS: coding sequence; SNP: single nucleotide polymorphism; ST: sequence type.

All sequences analysed in the phylogenetic analysis are of ST147. The parsimony SNP analysis was performed by the kSNP3 (Galaxy version 3.1) software at the ARIES public Galaxy server (https://w3.iss.it/site/aries/). The phylogenetic tree was visualised using the Fig Tree programme v. 1.4.3 (http://tree.bio.ed.ac.uk/software/figtree/). The phylogenetic tree was based on the sequences of Kp-P1 and Kp-P2 genomes (highlighted by red arrows) as well as those of 34 genomes, including 21 from strains isolated during the 2018–2019 outbreak in Pisa (in blue or red font) and 13 from epidemiologically-unrelated strains (in black). The sequences of the 13 unrelated strains were downloaded from GenBank (accession numbers are indicated on the tree leaves). Black dots indicate the seven genomes selected for protein alignment analysis (https://rast.mpdr.org/seedviewer.cgi). Translations of the CDSs in these genomes were aligned to those of Kp-P1 and Kp-P2 genome CDSs for comparison. The scale bar indicates the extent of genetic change by estimating the average number of nucleotide substitutions per site.

(PlasmidFinder v2.1 [5]). It was not possible to obtain complete assembly of plasmids for these strains. No relevant differences were found in acquired resistance genes (Table 2, ResFinder v3.2 [6]) and replicon content, in particular comparing the two Kp-P1 and Kp-P2 strains with the three closely related Kp-11Pi, Kp-12Pi and Kp-16Pi strains. This observation suggested that the observed phenotypical variations in MICs for colistin (16 mg/L for Kp-1, Kp-2, Kp-12Pi and Kp-16Pi vs <0.5 mg/L for the other five strains), fosfomycin (>128 mg/L for Kp-1 and -2 vs ≤32 mg/L for the remaining strains) and tigecycline (2 mg/L for Kp-1 and -2 vs  $\leq$  1mg/L for the other strains) were likely due to acquired chromosomal mutations rather than to horizontal transfer of additional plasmid-mediated resistance genes.

Protein basic local alignment search tool (BLASTP) analysis was performed on deduced coding sequences (CDSs) obtained by translation of Kp-P1 and Kp-P2

genomes (https://rast.nmpdr.org/seedviewer.cgi) in comparison with CDSs deduced from seven genomes, with different susceptibility to colistin, fosfomycin and tigecycline antibiotics (Table 1), which included also those of the three Kp-11Pi, Kp-12Pi and Kp-16Pi strains. A mutation in the *ramR* gene ( $C^{364}$ , T), creating a premature stop codon at position 122 was identified in Kp-P1, Kp-P2, Kp-11Pi, Kp-12Pi, and Kp-16Pi strains, respectively. The resistance antibiotic multiple A regulator (RamR) protein is otherwise 194 amino acids in the wild type (GenBank accession number: ARJ36702.1). RamR has been reported to act as the negative regulator of resistance antibiotic multiple A (RamA) that is the positive regulator of the AcrAB efflux pump system. Depletion of RamR results in constitutive activation of the efflux pump increasing MICs for tigecycline [7]. In this study, depletion of RamR increased tigecycline MICs from 0.25 mg/L (observed in strains with a wild type RamR) to 0.5 mg/L in strains Kp-11Pi and Kp-12Pi, to 1mg/L in strain KP-16Pi and to 2mg/L in Kp-P1 and

# TABLE 2

# Genetic features of 2020-ST147 *Klebsiella pneumoniae* strains described in this study compared with six strains representative of the 2018–2019-ST147 outbreak, Pisa, Italy (n=8 strains)

Strain SRA	Year	Acquired beta-lactamases	Other acquired resistance genes	Replicon content	TygR, ColR, and FosR chromosomal loci
Km Dr			armA, aadA1, aac(6')-Ib,	HI1B-FIB(pNDM-Mar); FIIk-	<i>ramR</i> (C <sup>364</sup> ·····}T)-∆RamR
Kp-P1 SRR12785639	2020	NDM-9, CTX-M-15, OXA-1, OXA-9, TEM-1A	aph(3')-VI, aph(3')-1A, catB3, dfrA5, mph(E), msr(E), qnrS1,	FIB(pKpQlL); FIB(pKpN3); R; FIB(pKPHS1); Col(pHAD28); ColRNAI	mgrB (A <sup>7</sup> ·····}T)-∆MgrB
58812703039			sul1, sul2		glpT (C⁴º¹·····}T)-∆GlpT
Kp-P2 2020 SRR12785638			armA, aadA1, aac(6')-lb3,	HI1B-FIB(pNDM-Mar); FIIk-	<i>ramR</i> (C <sup>364</sup> ·····}T)-∆RamR
	NDM-9, CTX-M-15, OXA-1, OXA-9, TEM-1A	aph(3')-VI, aph(3')-1A, catB3, dfrA5, mph(E), msr(E), qnrS1,	FIB(pKpQIL); FIB(pKpN3); R; N; FIB(pKPHS1); Col(pHAD28);	<i>mgrB</i> (A <sup>7</sup> ····›⟩T)-ΔMgrB	
			sul1, sul2	ColRNAI	glpT (C⁴º¹·····⟩T)-∆GlpT
		NDM-1, CTX-M-15, OXA- 1, OXA-9, TEM-1A	armA, aadA1, aac(6')-Ib,		RamR wt
Kp-8Pi SRR12518027	2018		aph(3')-VI, aph(3')-1A, catB3, dfrA5, mph(E), msr(E), qnrS1,	HI1B-FIB(pNDM-Mar); FIB(pKpQIL);	MgrB wt
3KK12516027		sul1, sul2		GlpT wt	
			armA, aadA1, aac(6')-Ib,		RamR wt
Kp-9Pi 2018 SRR12518023	NDM-1, CTX-M-15, OXA- 1, OXA-9, TEM-1A	aph(3')-VI, aph(3')-1A, catB3, dfrA5, mph(E), msr(E), qnrS1,	HI1B-FIB(pNDM-Mar); FIB(pKpQIL);	MgrB wt	
			sul1, sul2		GlpT wt
Kp-11Pi SRR12518041			armA, aadA1, aac(6')-Ib,		<i>ramR</i> (C <sup>364</sup> ·····⟩T)-∆RamR
	NDM-1, CTX-M-15, OXA- 1, OXA-9, TEM-1A	aph(3')-VI, aph(3')-1A, catB3, dfrA5, mph(E), msr(E), qnrS1,	HI1B-FIB(pNDM-Mar); FIB(pKpQIL); FIIk-FIB(pKpN3); R; FIB(pKPHS1)	MgrB wt	
			sul1, sul2	SI - 7	GlpT wt
Kp-12Pi 20 SRR12518021		NDM-1, CTX-M-15, OXA- 1, OXA-9, TEM-1A			<i>ramR</i> (C <sup>364</sup> }T)-∆RamR
	2019		armA, aadA1, aac(6')-Ib, aph(3')-VI, aph(3')-1A, catB3, dfrA5, mph(E), msr(E), anrS1, sul1, sul2	HI1B-FIB(pNDM-Mar); FIB(pKpQIL); FIIk-FIB(pKpN3); R; FIB(pKPHS1); ColRNAI	PmrA mut
					MgrB wt
					GlpT wt
Kp-14Pi 2019 SRR12518062			armA, aadA1, aac(6')-Ib,	HI1B-FIB(pNDM-Mar);	RamR wt
	NDM-1, CTX-M-15, OXA- 1, OXA-9, TEM-1A	aph(3')-VI, aph(3')-1A, catB3, dfrA5, mph(E), msr(E), qnrS1,	FIB(pKpQIL); R; FIB(pKPHS1); ColRNAI	MgrB wt	
			sul1, sul2	CORMAN	GlpT wt
Kp-16Pi SRR12518060		NDM-1, CTX-M-15, OXA- 1, OXA-9, TEM-1A			<i>ramR</i> (C <sup>364</sup> }T)-∆RamR
	2019		armA, aadA1, aac(6')-lb, aph(3')-VI, aph(3')-1A, catB3, dfrA5, mph(E), msr(E), qnrS1,	HI1B-FIB(pNDM-Mar); FIB(pKpQIL); FIIk-FIB(pKpN3); R;	<i>mgrB</i> (A <sup>7</sup> ····›⟩T)-∆MgrB
			sul1, sul2	FIB(pKPHS1); ColRNAI	<i>glpT</i> (G¹º <sup>88</sup> ·····}C)-GlpT mut
Kp-17Pi SRR12518059		NDM-1, CTX-M-15, OXA- 1, OXA-9, TEM-1B	armA, aadA1, aac(6')-Ib,		RamR wt
	2019		aph(3')-VI, aph(3')-1A, catB3, dfrA5, mph(E), msr(E), qnrS1,	HI1B-FIB(pNDM-Mar); FIB(pKpQIL); R; FIB(pKPHS1); X4	MgrB wt
			sul1, sul2		GlpT wt

GlpT: glycerol-3-phosphate transporter; mut: mutation; NDM: New Delhi metallo-beta-lactamase; OXA: oxacillinase; PmrA: multi-drug resistance efflux pump PmrA; RamR: resistance antibiotic multiple (Ram)A regulator; SRA: Sequence Read Archive accession number at the National Center for Biotechnology Information database (NCBI; https://www.ncbi.nlm.nih.gov/); ST: sequence type; wt: wild type.

Kp-P1 and Kp-P2 are the *K. pneumoniae* strains derived from patient 1 and patient 2, in 2020 in Pisa, who are described in this study. The rest of the strains in the table are representative of the 2018–2019 outbreak in Pisa.

Kp Δ is used when mutations caused premature termination of translation of the deduced protein sequence. Mut indicates that the deduced protein sequence is mutated with respect to the wt amino-acid sequence.

Kp-P2 strains (Table 1). MIC differences observed among *ramR* mutants were likely due to additional mutations in the Kp-P1 and Kp-P2 genomes that were not identified in this study.

Fosfomycin resistance in Kp-P1 and Kp-P2 was due to a mutation in the *glpT* gene creating a premature stop codon at position 134 (wild type glycerol-3-phosphate transporter (GlpT) is 448 amino acids; GenBank accession number: KLY15715) causing the depletion of the GlpT (Table 2). Defects in one of two GlpT or uptake hexose phosphate (UhpT) transporters lead to reduced fosfomycin uptake into the bacterial cells causing increasing MICs for this antibiotic [9,10]. A substitution S79L was also found in the hexose-phosphate uptake two-component transcriptional response regulator UhpA protein in Kp-P1 and -2 strains. No mutations were found in MurA, UhpT, FosA, CyaA, Crp, PtsI, UhpB and UhpC proteins related to fosfomycin importation and metabolism [11-13].

# Discussion

A total of 1,645 cases with NDM-carbapenem-resistant Enterobacteriaceae (CRE)-positive microbiological samples were identified in the period from 1 November 2018 to 31 October 2019 in Tuscany [1]. In the same period, at the University Hospital of Pisa 705 patients were colonised or infected by CRE, and among them 388 were reported as testing positive for NDM-CRE (data not shown). The outbreak in Tuscany was dominated by the K. pneumoniae ST147 clone (1,495 isolates; 90.9%), characterised by the presence of the *bla* <sub>NDM-1</sub> gene [1,2]. Data from the Pisa University Hospital showed that 98% of NDM-producing strains were K. pneumoniae. The outbreak was controlled but some sporadic cases occurred in Tuscany later in 2019 [1]. The outbreak clone was resistant to almost all beta-lactam antibiotics, including expanded-spectrum cephalosporins, carbapenems, beta-lactamase inhibitor combinations, aztreonam, and aminoglycosides. Susceptibility to colistin and fosfomycin was detected in most of the isolates collected during the outbreak. The combination CAZ-AVI/ATM resulted synergistic in vitro and associated with clinical benefit [2,14].

In this study, we describe the resistance genetic features of XDR ST147 *K. pneumoniae* strains isolated in 2020. These isolates were genetically related to the 2018–2019 outbreak clone, but had the NDM-9 instead of the NDM-1 carbapenemase variant, with no apparent changes in beta-lactam susceptibility. Mutations in chromosomally encoded genes conferred resistance to tigecycline, fosfomycin and colistin. From a clinical perspective, the 2020 strains represent a new variant, which may become a notable challenge for clinicians, because of the extended resistant profile.

The new variant likely emerged from a subgroup of highly related ST147 strains from the 2018-2019 outbreak. These strains harboured mutations in RamR and MgrB proteins, implicated in tigecycline and colistin resistance, respectively. In particular, the RamR depletion detected in three of the strains, coincided, in one of them, with the MgrB depletion, similar what is observed for the new variant. RamR followed by MgrB protein depletions may have been intermediate steps in the evolution of the variant. The acquired fosfomycin resistance appears to be the most novel characteristic of the emerging ST147 variant and appeared associated with a defect in the GlpT. Few K. pneumoniae strains have been reported with this fosfomycin resistance mechanism [13], therefore it represents an interesting case of resistance evolution. Further investigation is needed to understand in which setting and by which combination therapy this XDR clone has been selected.

The persistence of *K. pneumoniae* ST147 isolation suggests that this clone can be considered as endemic in the Pisa University Hospital and there is risk of spread to other hospitals in Tuscany and elsewhere. Several actions, such as systematic screening of hospitalised patients, contact precautions, and cohorting of colonised/infected patients are ongoing to contain the spread of this clone.

# Data availability

Kp-P1 and Kp-P2 genomes have been released under Bioproject PRJNA667843

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#### **Conflict of interest**

M. F. received grants and/or speaker honoraria from MSD, Angelini, Shionogi, Pfizer, Menarini and Nordic Pharma. F. M. has participated in advisory board and/or received speaker honoraria from Angelini, Correvio, Merck Sharp & Dohme (MSD), Nordic Pharma, Pfizer, Astellas, Gilead, Bristol-Myers Squibb (BMS), Janssen, ViiV, bioMérieux, Biotest, Becton Dickinson, Pfizer, and Shionogi. Declared conflicts of interest did not affect scientific objectivity of this study. The other authors have none to declare.

#### Authors' contributions

MF conceived the study and wrote the manuscript; CG collected data, performed the experimental investigation and wrote the manuscript; SB performed experiments and revised manuscript; GT collected data; AL performed experiments; PM and FM were involved in patient assistance and revised the manuscript; AC coordinated experimental design, performed data analysis and wrote the manuscript.

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