



1 **Aerosol optical characteristics in the urban area of Rome, Italy, and their impact**  
2 **on the UV index.**

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14

15 **Abstract**

16 The aerosol optical characteristics in the urban area of Rome were retrieved over a period of 7 years  
17 from March to September 2010-2016. The impact of aerosol single scattering albedo (SSA), optical  
18 depth (AOD), estimated at 400 nm, and Ångström exponent on the ultraviolet (UV) index has been  
19 analyzed. Aerosol optical properties are provided by a PREDE-POM sun-sky radiometer of the  
20 ESR/SKYNET network and the UV index values were retrieved by a Brewer spectrophotometer both  
21 located in Rome. Chemical characterization of urban PM<sub>10</sub> (particulate matter 10 micrometers or less  
22 in diameter) samples, collected during the URban Sustainability Related to Observed and Monitored  
23 Aerosol (URBS ROMA) intensive field campaign held in summer 2011 in the same site, was performed.  
24 PM macro-components were grouped in order to evaluate the contribution of the main macro-sources  
25 (SOIL, SEA, SECONDARY INORGANIC, ORGANICS and TRAFFIC) and the analysis of the  
26 modulation of their concentration was found to strongly affects the absorption capability of the  
27 atmosphere over Rome. The surface forcing efficiency, provided by the decreasing trend of UV index  
28 with AOD, which is the primary parameter affecting the surface irradiance, was found very significant,  
29 probably masking the dependence of UV index on SSA and Ångström exponents. Moreover it was found  
30 greater for larger particles and with a more pronounced slope at the smaller solar zenith angle. In Rome  
31 large particles are generally less absorbing since related to the presence of SOIL and SEA components  
32 in the atmosphere. The former contribution was found much higher in summer months because of the  
33 numerous episodes of Saharan dust transport

34



35 **1. Introduction**

36 The aerosol influence on the incoming and outgoing solar radiation is a widely studied topic because of  
37 its relation with the Earth's radiative balance and climate. The aerosol influence on ultraviolet (UV)  
38 solar irradiance is also very important, particularly in urban areas, nevertheless still uncertain. In fact,  
39 the aerosol capability of absorbing UV radiation has important implications for tropospheric  
40 photochemistry, human health, and agricultural productivity (Dickerson et al., 1997; He and  
41 Carmichael, 1999; Castro et al., 2001; Casasanta et al., 2011; Mok et al. 2018).

42 The aerosol single scattering albedo (SSA), that is the ratio of the aerosol scattering to extinction  
43 coefficient, representing an index of the aerosol absorption capability, and the optical depth (AOD), are  
44 important radiative parameters to determine the aerosol effect on the UV irradiance at the surface.

45 Reuder and Schwander (1999) demonstrated that more than 80% of the aerosol effect on surface UV  
46 radiation due to increasing turbidity of the atmosphere can be estimated through aerosol optical depth  
47 and single scattering albedo.

48 UV absorption by aerosol, characterized by low SSA values at wavelengths shorter than 400 nm, is  
49 commonly attributed to organic aerosols that absorb predominantly in the UV region and show a stronger  
50 wavelength dependence than a purely black carbon absorption (Kirchstetter et al., 2004). Also mineral  
51 components shows a significant absorption in the UV region, as highlighted by Meloni et al. (2006).

52 Martins et al. (2009) indicated that the absorption efficiency of urban aerosol is considerably larger in  
53 the UV than in the visible and is probably linked to the absorption by organic aerosol. Similarly, an  
54 enhancement of aerosol absorption at UV wavelengths was observed in urban cities such as Rome, Italy  
55 (Ialongo et al., 2010) and Athens, Greece (Kazadzis et al., 2016), especially in winter.

56 di Sarra et al. (2002), Panicker et al. (2009), and Antón et al. (2011), among others, have shown that an  
57 increase of AOD induces a reduction of the UV index (UVI), an effective parameter to quantify the  
58 potentially harmful effects of UV radiation. These studies suggested that a unit increase in aerosol  
59 optical depth at about 400 nm may produce a significant decrease of UVI which depends on the solar  
60 zenith angle and aerosol properties, and may exceed 50%.

61 This work is aimed at determining for the first time the effect of aerosol optical properties retrieved in  
62 Rome on UV radiation, evaluating the role of SSA, AOD and Ångström exponent. The dataset covers  
63 the period from March to September of 6 years, from 2010 to 2016. Only Spring and Summer periods  
64 were selected, when solar zenith angles smaller than 40° and then higher values of UVI can be analyzed.  
65 For SZA>40, as in winter time, the UV index is low, and shows a little range of variability during the  
66 day. Therefore the estimation error of UV index, that is about 4-5%, (Schmalwieser et al., 2017) could  
67 affect the identification of its variation due to possible aerosol effect. , Aerosol optical properties were



68 provided by a PREDE-POM sun-sky radiometer of the ESR/SKYNET ([www.euroskyrad.net](http://www.euroskyrad.net)) network,  
69 and the UV index values were measured by a Brewer spectrophotometer.

70

## 71 **2. The site and Instruments**

72

73 Rome is a large urban site, with about 3 million inhabitants, located 25 km east of the Tyrrhenian Sea,  
74 in the middle of an undulating plain. The atmosphere is affected by urban emissions as well as by semi-  
75 rural particulates and, especially during the summer season, by sea breeze and long-range desert dust  
76 advection from the Saharan region (e.g., Ciardini et al., 2012).

77 Long term measurements of aerosol physical and optical properties, columnar ozone content and UV  
78 irradiance (290 -325 nm) are carried out in Rome, on the roof of the Physics Department of Sapienza  
79 University (41.9°N, 12.5°E; altitude 60 m) at the Laboratory of Geophysics. This site is located in the  
80 central sector of the city.

81 Aerosol properties are retrieved by the observations taken in clear sky conditions by the sun-sky  
82 radiometer PREDE/POM model 01, (hereafter called POM), a narrow band filter photometer able to  
83 perform measurements of direct solar and diffuse sky irradiances at selected wavelengths (315, 400, 500,  
84 675, 870, 940 and 1020 nm) and at 24 scattering angles, in the range [0 –180°] in the almucantar  
85 geometry. The 315 and 940 nm channels are used to retrieve ozone and water vapour columnar content,  
86 whereas the other ones provide information on aerosols. The time resolution is 1 minute for direct  
87 irradiance and 10 minutes for diffuse irradiances. This instrument is part of the European Skynet  
88 Radiometer network (ESR, Campanelli et al., 2012; [www.euroskyrad.net](http://www.euroskyrad.net)) that is a regional subnetwork  
89 of SKYNET (Takamura et al., 2004); it has been operating in Rome since 2010 up to present. Calibration  
90 is performed monthly by the Improved Langley method (Campanelli et al., 2007), a well-tested “on-  
91 site” procedure that allows to frequently check the instrument status.

92 UV irradiance and total ozone content have been measured since 1992 at Rome by the Brewer Mk IV  
93 spectrophotometer No.067. This instrument is also operating by the Physics Department of Sapienza  
94 University at the Laboratory of Geophysics in Rome and is part of a European Brewer Network  
95 (EUBREWNET). The Brewer Mk IV is a single monochromator spectrophotometer specifically  
96 designed to retrieve through a well-defined data processing (Siani et al., 2018) the total column ozone  
97 by measuring solar direct irradiances at selected UV wavelengths in the ozone absorption spectrum (Kerr  
98 et al., 1981). The accuracy of direct-sun measurements of total ozone taken with a well-maintained  
99 Brewer spectrophotometer is 1% (Vanicek, 2006). The performance of the Brewer instrument for UV  
100 measurements was controlled every two years till 2014 through intercomparisons to the traveling  
101 reference QASUME UV spectroradiometer operated by Physikalish Meteorologisches Observatorium



102 Davos/ World Radiation Centre. The mean ratio of Brewer integrated solar UV irradiances to QASUME  
103 is within +3% (see <https://www.pmodwrc.ch/en/world-radiation-center-2/wcc-uv/>). After that the UV  
104 calibration has been carried out by IOS using 1000w lamps which are traceable to the QASUME  
105 reference spectroradiometer (Siani, et al., 2013). The Brewer also measures global spectral irradiances  
106 from 290 nm to 325 nm with a spectral resolution of about 0.5 nm at 0.5 nm steps. UV spectral scans  
107 are performed at Rome every 30 min throughout the day. The Brewer algorithm for the spectral interval  
108 325 -400 nm assigns a higher weight to the measurement at 324 nm wavelength to compensate for the  
109 missing contribution of wavelengths longer than 325 nm. It has been found that this interpolation method  
110 introduces an error typically <2% in the UV index value for solar zenith angles <70°. (Fioletov et al.,  
111 2004).

112 To complete the characterization of aerosol properties at Rome during summer, results from an intensive  
113 field campaign (URBan Sustainability Related to Observed and Monitored Aerosol – URBS ROMA,  
114 Campanelli et al., 2012 ) conducted in the period June – July 2011 in the same location and aimed to  
115 determine the aerosol direct radiative effect at the surface, were used. Particulate matter 10 micrometers  
116 or less in diameter (PM10) mass concentrations were collected by using a dual channel sampler  
117 (HYDRA Dual Sampler, FAI Instruments, Fonte Nuova, Rome, IT) equipped with Teflon membrane  
118 filters and quartz fiber filters on the two channels, and PM10 mass concentration was measured on  
119 Teflon filters by gravimetry using an automated microbalance.

120 The elastic Lidar of the Sapienza University was also operative simultaneously with the other  
121 instruments and, in this study, it was used to discriminate days affected by desert dust.

122 Finally, during the period under analysis, the following ancillary meteorological parameters have also  
123 been used: atmospheric pressure provided by the Agenzia Regionale per la Protezione Ambientale  
124 (ARPA – Lazio), and cumulated precipitation measured at the station Roma Macao of the Ufficio  
125 Idrografico e Mareografico of Rome, less than 1 km far from the Department of Physics of Sapienza  
126 University.

127

### 128 **3. Methodology**

129

130 The POM normalized radiance (that is the ratio between the solar diffuse radiance and direct solar  
131 irradiance) is inverted using the Skyrad4.2 pack (Nakajima et al., 1996), which is an official computer  
132 code of the SKYNET network. Signals from the channels centered at the wavelengths of 400, 500, 675,  
133 870, and 1020 nm are analyzed in order to determine AOD, SSA, and Ångström exponent (Ang), the  
134 latter obtained by using all the wavelengths. In addition, the Ångström exponent is also calculated from  
135 the AOD at 400 and 500 nm ( $Ang_{400-500}$ ) to infer the AOD wavelength dependence in the spectral range



136 closest to the UV region. Cloud screening and quality check of the retrieved inversions are also  
137 performed. The cloud screening is based on the direct solar irradiance variability in 3 minute time  
138 interval, as explained in Estelles et al. (2012); the quality check of SSA and AOD at 400 nm ( $SSA_{400}$ ,  
139 and  $AOD_{400}$ , respectively), that is the POM shortest wavelength used in this analysis, is based on the  
140 results from the most recent literature on Skyrad pack. Hashimoto et al. (2012) performed numerical  
141 tests on the  $SSA_{400}$  retrievals using the Rstar-6b radiative transfer code (Nakajima and Tanaka, 1986)  
142 and Skyrad pack (versions 4.2 and 5.0) inversions. They obtained  $SSA_{400}$  values of about 0.70 for dust-  
143 like and water insoluble aerosol models. A cirrus contamination case, obtained by enhancing the coarse  
144 mode for simulating the presence of ice particle types, according to the cirrus particles model of the  
145 World Climate Programme report (Deepak and Gerber, 1983), provided values varying between 0.71-  
146 0.75. Therefore, in this study measurements of  $SSA_{400}$  lower than 0.70 were rejected even if values  
147 between 0.70 and 0.75 could contain information on dust presence during possible cloud contaminated  
148 cases. Hashimoto et al. (2012) also demonstrated that the SSA retrieval by Skyrad4.2 pack is  
149 problematic, since sometimes SSA tends to be unnaturally close to unity, irrespectively of the AOD.  
150 Therefore, inversions where  $SSA_{400}$  assumed values  $\geq 0.99$  were also rejected. In this work we used only  
151 SSA at 400 nm as absorption estimation parameter because the comparison against retrievals from other  
152 versions of the Skyrad code showed good agreement at this wavelength and discrepancies at the others.  
153 The UVI was introduced in Canada in 1992 (Fioletov, 2010) to represent the potentially harmful effects  
154 of UV radiation in a simple form. UVI is a unit-less quantity determined by multiplying the erythemally  
155 weighted UV irradiances (in  $W\ m^{-2}$ ) over the range 280-400 nm by  $40\ m^2W^{-1}$  (Cost -713, 2000). UVI  
156 values are grouped into exposure category expressing the risk for unprotected skin to Sun exposure.  
157 Typically at mid-latitudes, UVI values at noon vary from 0 to 10, but highest UVI values were  
158 experienced at high altitude (e.g., Casale et al., 2015) and lower latitude sites. Spectral UV irradiances  
159 measured by the Brewer spectrophotometer in clear sky conditions (no clouds over the sun) were used  
160 to retrieve UV index values. The spectral irradiances were processed using the SHICrivm software  
161 (version 3\_075) to obtain the biologically effective UV irradiance by weighting the solar irradiances  
162 with a function (action spectrum) representing the effectiveness of UV radiation to produce the  
163 erythema response in the skin (C.I.E., 1998). The SHICrivm software was also applied to check for  
164 any spectral wavelength shift and spectral anomalies (Slaper et al, 1995) in the UV data. In addition,  
165 since the Brewer MKIV spectrophotometer measures spectral irradiances up to 325 nm, the non-  
166 measured part of the UVA spectrum needed for the calculation of UVI was also extrapolated by the  
167 same software.

168 Total ozone values ( $O_3$ ) from direct-sun measurements were generated by using Brewer Processing  
169 Software, applying the rejection criteria on ozone values less than 100 DU and greater than 500 DU



170 (Siani et al., 2018). Yet, individual total ozone values were discarded when standard deviation is above  
171 2.5 DU and ozone air mass (defined as the ratio of the actual ozone path length taken by the direct solar  
172 beam to the analogous vertical ozone path when the Sun is overhead from the surface to the top of the  
173 atmosphere) is above 3.5.

174

175 To discern the dependence of UVI only on aerosol characteristics, the UVI dependence on the solar  
176 zenith angle ( $\theta$ ), ozone content, and orbital parameters (varying Earth-Sun distance) must be taken into  
177 account. Therefore, firstly the UVI was corrected for the variation of the Earth-Sun distance and values  
178 were reduced to the mean Sun-Earth distance (Madronich, 1993). Secondly, only data at two values of  
179  $\theta$ ,  $30^\circ$  and  $40^\circ$ , were selected. This criterion excludes winter data, when the solar zenith angle is always  
180 higher than  $40^\circ$  in Rome. Thirdly, the UVI dependence on total  $O_3$  has been removed. This correction  
181 has been implemented using the Radiation Amplification Factor (RAF) and scaling the UVI to the total  
182 ozone value measured during the day with the lowest  $AOD_{400}$  recorded in the entire dataset (303 DU on  
183 September 2, 2014). Infact the effect of ozone on the erythemal UV irradiance may be described as  
184 suggested by Madronich (1993) and Booth and Madronich (1994):

$$185 \quad \frac{E^*}{E} = \left( \frac{O_3}{O_3^*} \right)^{RAF}, \quad (1)$$

186 where  $E$  and  $E^*$  are two UV irradiances observations, and  $O_3$  and  $O_3^*$  their corresponding total ozone  
187 amounts.

188 Similarly, it is possible to apply the above relationship to UVI:

$$189 \quad UVI^* = UVI \left( \frac{\langle O_3 \rangle}{O_3^*} \right)^{RAF}, \quad (2)$$

190 where  $\langle O_3 \rangle$  is the diurnal ozone average value,  $O_3^*$  is the diurnal ozone average value during the day  
191 with the minimum average  $AOD_{400}$ , and RAF is assumed to be equal to 1.25, according to di Sarra et al.  
192 (2002). To point out the possible effect of aerosol optical characteristics measured at 400 nm on  $UVI^*$ ,  
193  $AOD_{400}$ ,  $SSA_{400}$ ,  $Ang$  and  $Ang_{400-500}$  were analyzed as function of  $UVI^*$  at the two fixed solar zenith  
194 angles, taking estimations of aerosol parameters and  $UVI^*$  within  $\pm 5$  minutes.

195

196 Chemical characterization of the collected PM10 dust, during the URBS campaign, was carried out  
197 according to the method reported in Perrino et al. (2009). Briefly, elements were determined on Teflon  
198 filters by X-ray fluorescence (XRF); then the filters were water-extracted and analyzed for their ionic  
199 content by ion chromatography (IC); elemental and organic carbon (EC and OC) were detected on quartz  
200 filters by thermo-optical analysis (NIOSH-QUARTZ temperature protocol). This overall analytical  
201 procedure allows the determination of each individual component typically accounting for more than



202 1% of the mass amount of PM<sub>10</sub> (macro-components: Si, Al, Fe, Na, K, Mg, Ca, chloride, nitrate,  
203 sulfate, ammonium, elemental carbon, organic carbon) and to obtain the mass closure.  
204 PM<sub>10</sub> macro-components can be grouped into five clusters to estimate the contribution of the main  
205 macro-sources: SOIL, SEA, SECONDARY INORGANICS, ORGANICS, and TRAFFIC. Details about  
206 the algorithms are reported in Perrino et al. (2014). Briefly, the contribution of SOIL was calculated by  
207 adding the concentration of elements (as metal oxides) generally associated with mineral dust: Al, Si,  
208 Fe, the insoluble fractions of K, Mg, and Ca (calculated as the difference between XRF and IC  
209 determinations), calcium and magnesium carbonate (calculated as the sum of soluble calcium multiplied  
210 by 1.5 and soluble magnesium multiplied by 2.5); SEA was estimated from the sum of Na<sup>+</sup> and Cl<sup>-</sup>,  
211 multiplied by 1.176 in order to take into account minor sea-water components; SECONDARY  
212 INORGANICS were calculated as the sum of non-sea-salt sulphate, nitrate, and ammonium; the  
213 contribution of road TRAFFIC was estimated by adding elemental carbon to an equivalent amount  
214 multiplied by 1.1 in order to consider the contribution of primary organic matter that can be adsorbed on  
215 particles surface; the remaining organic carbon, multiplied by 1.6 to take into account non-C atoms,  
216 constituted the ORGANICS and included both secondary organic species and primary components.

217

#### 218 4. Results

219 The analyzed dataset covers the period March – September from 2010 to 2016 (for the last year the series  
220 end in August). Figure 1 shows monthly average SSA<sub>400</sub>, AOD<sub>400</sub>, and Ångström exponent for the period  
221 under examination. Annual means (calculated over the 7 months under study) of SSA<sub>400</sub> vary between  
222 a minimum value of 0.84±0.08 (observed in 2016) and a maximum of 0.97±0.03 (observed in 2015); the  
223 large SSA decrease in 2016 is also observed by AERONET estimates of SSA at 440 nm (shown with  
224 red points in Figure 1), obtained from measurements taken in TorVergata, a semirural area 14 km south  
225 east of the town. The AERONET inversion is performed according to Dubovik and King (2000) and it  
226 is able to retrieve aerosol optical properties from Sun and sky radiance measurements. In this study we  
227 used level 1.5 data and Version 3 inversion algorithm (Giles et al., 2019). Although the two sites are  
228 slightly different in terms of atmospheric particles optical properties, and the wavelength used for AOD,  
229 SSA and Ångström differs, the agreement between the AERONET and SKYNET properties for the 3  
230 common months in 2016 is significant and the decreasing trend in SSA is visible from AERONET  
231 inversion. The decrease is even stronger from March to May. However, we are not able to identify the  
232 reason for this enhanced aerosol absorption. AOD<sub>400</sub> mean values range between a minimum of  
233 0.14±0.06 (in 2014) and a maximum of 0.36±0.10 (in 2015; values higher than 0.3 are measured only in  
234 this year for the period under study). The Ångström exponent varies between 0.56±0.29 (in 2012) and  
235 1.49±0.21 (in 2011). The total ozone content values and UVI at local noon are also plotted in Figure.1.



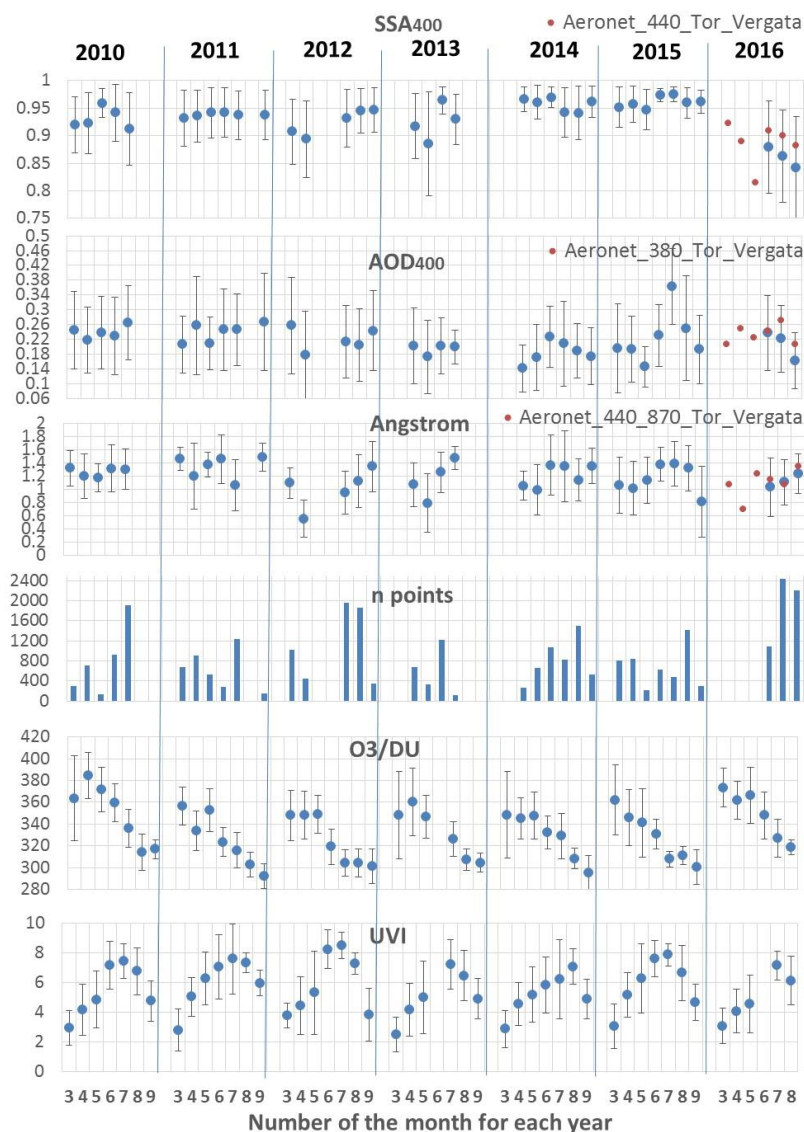


236 The seasonal ozone behavior is typical of mid-latitude sites, with highest values measured in 2010 and  
237 2016. As expected, UVI has a bell-shape behavior generally peaked in July. The monthly cumulated  
238 precipitation and the monthly average atmospheric pressure are also plotted in the same figure.  
239 Scatter plots of monthly average AOD<sub>400</sub>, SSA<sub>400</sub>, Ang, and UVI versus monthly precipitation (Figure.  
240 2) were performed in order to check if precipitation can affect on average the optical parameters.  
241 The only two parameters showing a slight correlation are SSA<sub>400</sub> (R=0.30) and UVI (R= -0.60),  
242 highlighting that higher precipitation is associated with higher values of SSA (therefore less absorbent  
243 particulate) and with lower UVI values. These correlations among monthly mean values may be  
244 incidental, or due to the combination of different processes. In particular, we may expect that a higher  
245 occurrence of scattered clouds conditions, corresponding to lower UVI values, may be associated with  
246 periods with high precipitation during short-lived weather spring-summer disturbances. Possible effects  
247 on SSA<sub>400</sub> may be linked to the possible influence of high humidity conditions, leading to a larger water  
248 content in soluble particles. This is however speculative, and a detailed analysis goes beyond the scope  
249 of this paper.

250 During June-July 2011 the chemical analysis of the collected PM<sub>10</sub> (Figure 3) measured an average  
251 contribution over the entire mass of about 29% of SOIL, 6% of SEA, 23% of SECONDARY  
252 INORGANIC, 28% of ORGANICS and 9% of TRAFFIC components. During the URBS- ROMA  
253 campaign, the elastic Lidar showed the presence of significant events of desert dust transport, the  
254 strongest observed during the days highlighted in orange in Figure 3. It must be considered that in the  
255 days flagged as “dusty”, dust can remain at a higher level and not measurable at ground (this is the case  
256 of 3 and 18 July). Conversely, sometimes a lot of aerosol is visible at ground level but it was not possible  
257 discriminating the presence of desert dust from the local SOIL component (this is the case of July 2 and  
258 17).. The atmosphere over Rome, during summer, generally is characterized by a contribution of SEA  
259 comparable with the TRAFFIC, or even greater during days with no desert dust advection. The  
260 absorption capability of these two components is very different: in the Rstar radiative transfer model  
261 (Nakajima and Tanaka 1986), at 413 nm the imaginary part of marine aerosol refractive index is  
262  $2.42 \times 10^{-8}$ , whereas for soot, that is the fundamental material characterizing the TRAFFIC component,  
263 is  $4.57 \times 10^{-1}$ . The mineral component has a refractive index of  $7.95 \times 10^{-3}$  at the same wavelength. It is  
264 therefore expected that modulation of the concentration of the three co-existent materials, can strongly  
265 affect the absorption capability of the atmosphere over Rome.

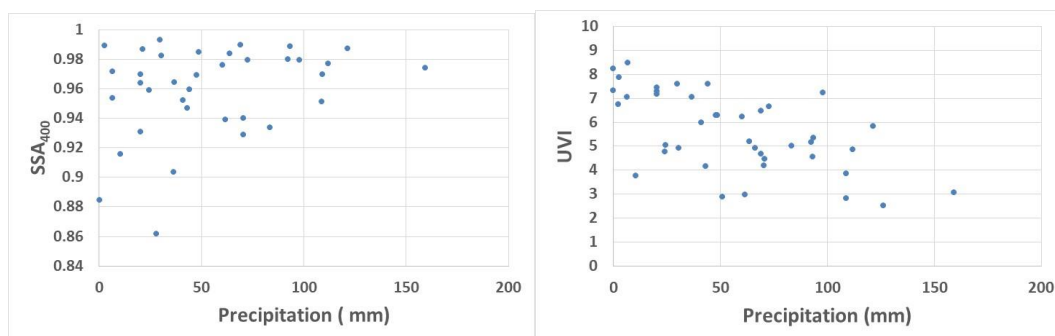
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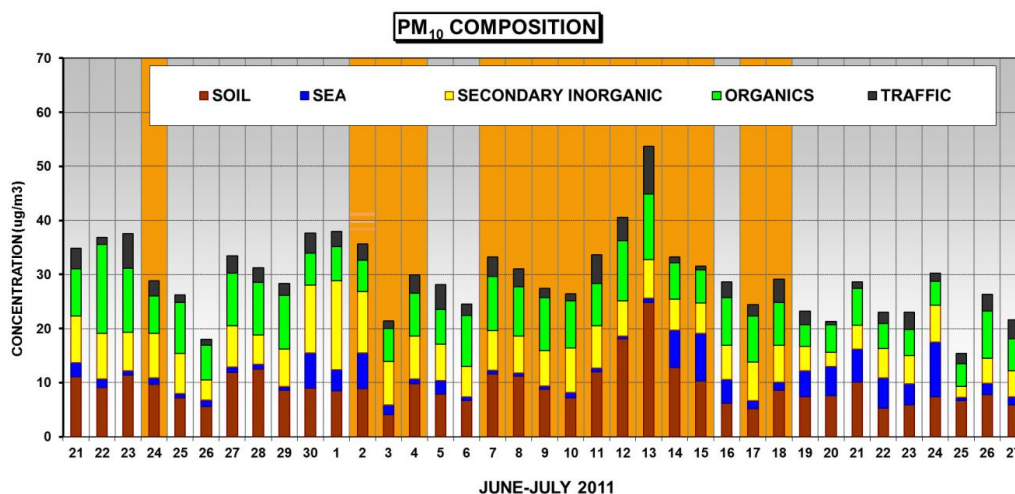
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269 Figure 1: Monthly averages of  $SSA_{400}$ ,  $AOD_{400}$ , Ångström exponent, cumulated precipitation, total  $O_3$ ,  
270 UVI, and atmospheric pressure for each year from 2010 to 2106. The number of points refers to the data  
271 used to retrieve the aerosol parameters. Error bars are the standard deviation.



272  
273  
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Figure 2: monthly average of  $SSA_{400}$  (left) and UVI (right) versus monthly precipitation



276

277 Figure 3: Concentration of the components of  $PM_{10}$  collected in Rome from 21 June to 27 July 2011 as  
278 derived from chemical analyses. Orange columns represent days affected by the passage of desert dust,  
279 as measured by Lidar.

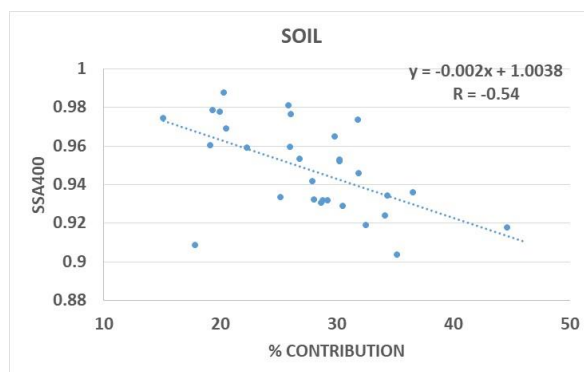
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281 A statistical analysis of daily means of  $SSA_{400}$ ,  $AOD_{400}$  and Ångström exponent with the percentage  
282 contribution of each chemical component, has been performed in order to connect optical properties and  
283 chemical analysis. In fact, assuming that the in situ measurements are representative of the entire column,  
284 their variation affects particles refractive index and particles dimensions, and consequently their  
285 absorption capability and Ångström exponent. Scatter plot of  $SSA_{400}$  versus the SOIL component  
286 (Figure 4) shows a slight negative correlation ( $R = -0.54$ ), whereas no other correlation is visible for the  
287 other components and other optical and physical parameters. This result underlines that in situ



288 measurements may not provide information correlated with the columnar properties, because optical and  
289 physical properties at the ground may differ from those of the entire column. Therefore, both information  
290 must be used complementarily for understanding the radiative effects of such a mixture of different  
291 components.

292



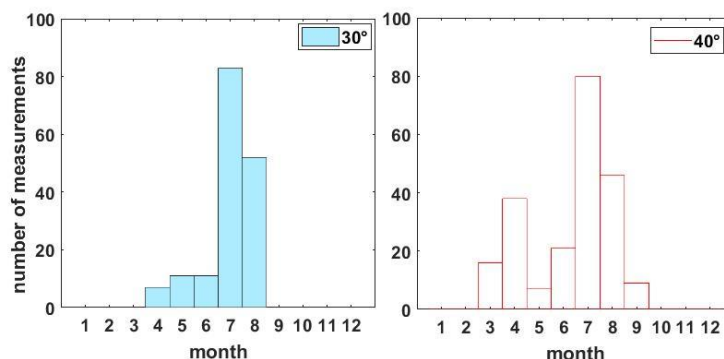
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294 Figure 4. Behaviour of SSA<sub>400</sub> versus the percentage contribution of SOIL component as retrieved during  
295 the URBS campaign.

296

297 Assuming that relations between aerosol composition and their optical properties, measured during  
298 summer 2011, are comparable in the last years, they can be considered as representative of the summer  
299 period 2010-2016 studied in this paper.

300 In order to point out the possible effect of aerosol optical characteristics measured at 400 nm on UVI\*,  
301 the AOD<sub>400</sub>, SSA<sub>400</sub>, Ang, and Ang<sub>400-500</sub>, were analyzed as function of UVI\*, at the two selected values  
302 of solar zenith angle. Figure 5 shows the frequency distributions of the number of measurements for  
303 each of the two angles.  $\theta=30^\circ$  is more representative of the warmest months, whereas  $40^\circ$  covers a wider  
304 period.



305

306

Figure 5: Number of measurements available for each zenith angle.



307

308 The dependency of  $UVI^*$  on  $AOD_{400}$ ,  $SSA_{400}$ ,  $Ang$  and  $Ang_{400-500}$  for  $30^\circ$  and  $40^\circ$  solar zenith angles are  
309 shown in Figure 6, colored for different values of  $SSA_{400}$  or  $AOD_{400}$ . A clear linear decreasing trend of  
310  $UVI^*$  when increasing  $AOD_{400}$  is evident. The slope in these graphs corresponds to the  $UVI^*$  radiative  
311 forcing efficiency, i.e., the change in  $UVI^*$  produced by a unit change in AOD. The slope is more  
312 pronounced at the smaller solar zenith angle (Table I), as already found by previous studies (di Sarra et  
313 al., 2008; Antón et al., 2011). No clear dependence of  $UVI^*$  on  $SSA_{400}$  or on Ångström exponents can  
314 be noticed. If existent, it is expected to be masked by the dependency on AOD, which is the primary  
315 parameter affecting the surface irradiance.

316 To investigate in more detail, the entire dataset was divided in three groups of  $Ang_{400-500}$ , below 0.8,  
317 between 0.8 and 1.7, and above 1.7, and in two groups of  $SSA_{400}$ , smaller and larger than 0.85,  
318 respectively. The values separating the different groups were determined according to the frequency  
319 distributions of the two variables for the entire investigation period, shown in Figure 7. Scatter plots and  
320 linear fits of  $UVI^*$  versus the two variables, for each group, were performed and points with a distance  
321 greater than  $2\sigma$  from the regression line (nout), with  $\sigma$  the standard deviation of the residuals, were  
322 rejected.

323 The dependence of  $UVI^*$  on AOD for the three classes of  $Ang_{400-500}$  is shown in Figure 8, colored for  
324 different values of  $SSA_{400}$ , and in Table I. The slope is generally larger for smaller values of  $Ang_{400-500}$ ,  
325 similarly to what found by Antón et al. (2011). At  $30^\circ$  the other two classes of  $Ang_{400-500}$  have a very  
326 similar slope, differing of 0.15 that is below its uncertainty estimation from the fit. Conversely at  $40^\circ$  an  
327 intermediate value of the slope is found for  $Ang_{400-500} \geq 1.7$ ; this value appears essentially driven, for  
328 both the zenith angles, by cases with low SSA and low AOD, which might be attributed to a possible  
329 influence from combustion particles characterized by small size and high absorption (see, e.g., Pace et  
330 al., 2005). A similar dependency on the Ångström exponent was found by di Sarra et al. (2008) when  
331 considering the forcing efficiency over the whole shortwave spectral range. The smallest slope is  
332 associated to the  $0.8 < Ang_{400-500} \leq 1.7$ , range which is characterized by a larger mixture of absorption  
333 capabilities.

334 The Ångström exponent in Rome varies between about 0.5 and 1.8 (Figure 7), with a typical range of  
335 variability of 1.3. The estimated effect of the  $Ang$  variability can be determined by considering the slope  
336 difference among the different values of  $Ang$ , which is of the order of 1.5 at  $30^\circ$  solar zenith angle. The  
337 corresponding change of  $UVI^*$  is about 2.

338 Figure 9 shows the scatter plots of  $UVI^*$  vs  $AOD_{400}$  for  $SSA_{400} < 0.85$  (left side) and  $SSA_{400} \geq 0.85$  (right  
339 side), with a colour scale for different values of the Ångström exponent at the two zenith angles.. For  
340 solar zenith angles  $30^\circ$  (Table II) the slope of  $UVI^*$  versus  $AOD_{400}$  is larger for  $SSA_{400} \geq 0.85$ , increasing



341 of about 67% going from -1.77 to -2.96. This increase is significant, since it is greater than the  
 342 uncertainty of the estimated slope. For solar zenith angles  $40^\circ$  the increase is about 9%, going from -  
 343 1.42 to -1.55, but in this case it is comparable with the estimated uncertainties of the slope, varying from  
 344 15% for  $SSA_{400} < 0.85$ , to 7% for  $SSA_{400} \geq 0.85$ . This result is opposite to what Antòn et al. (2011) found  
 345 in Granada, Spain, where, as expected, stronger aerosol absorption leads to a large surface forcing  
 346 efficiency.

347 Looking at the UVI\* versus  $AOD_{400}$  or the UVI\* versus  $SSA_{400}$  scatter plots in Figure 6 it is evident that  
 348 for both solar zenith angles (but mostly at the smaller one) less absorbing particles (higher  $SSA_{400}$ )  
 349 correspond to higher  $AOD_{400}$ . This is also confirmed by the mean and median  $AOD_{400}$  values calculated  
 350 over all the years in the months analyzed in Rome (Table III) with the additional information that higher  
 351  $AOD_{400}$  are also characterized by greater particles ( $Ang_{400-500} < 0.8$ ). This is probably due to the presence  
 352 of SOIL and SEA salt in the atmosphere, as highlighted during URBS.

353 As shown in Figure 7, SSA varies between about 0.75 and 1.0, for a variability range of 0.25. The slope  
 354 difference among the different values of SSA about 1, and a rough estimate of the corresponding change  
 355 of UVI\* is of about 0.25. This value is much smaller than the expected effect produced by Ang that is  
 356 a change of about 2. Thus, it is very likely that the effect of variations of single scattering albedo may  
 357 be masked by concomitant changes of Ang.

358

$\theta=30^\circ$	Slope (m)	Intercept (q)	R	$\theta=40^\circ$	Slope (m)	Intercept (q)	R
$Ang_{400-500} < 0.8$	$-3.73 \pm 0.31$	8.04	-0.96	$Ang_{400-500} < 0.8$	$-2.46 \pm 0.34$	6.00	-0.87
$0.8 \leq Ang_{400-500} < 1.7$	$-2.28 \pm 0.24$	7.82	-0.77	$0.8 \leq Ang_{400-500} < 1.7$	$-1.38 \pm 0.11$	5.68	-0.78
$Ang_{400-500} \geq 1.7$	$-2.13 \pm 0.37$	7.76	-0.78	$Ang_{400-500} \geq 1.7$	$-1.62 \pm 0.24$	5.62	-0.83

359

360 Table I: The slope, intercept and correlation coefficient for the linear fit of UVI\* vs  $AOD_{400}$ , in three  
 361 cases: data selected for  $Ang_{400-500} < 0.8$ ;  $0.8 \leq Ang_{400-500} < 1.7$ ;  $Ang_{400-500} \geq 1.7$ , for the two zenith angles

362

$\theta=30^\circ$	Slope (m)	Intercept (q)	R	$\theta=40^\circ$	Slope (m)	Intercept (q)	R
All data	$-1.97 \pm 0.21$	7.80	-0.65	All data	$-1.36 \pm 0.14$	5.68	-0.60
$SSA_{400} < 0.85$	$-1.77 \pm 0.21$	7.71	-0.77	$SSA_{400} < 0.85$	$-1.42 \pm 0.22$	5.61	-0.73
$SSA_{400} \geq 0.85$	$-2.96 \pm 0.21$	8.17	-0.89	$SSA_{400} > 0.85$	$-1.55 \pm 0.11$	5.76	-0.82

363

364 Table II: slope, intercept and correlation coefficient for the linear fit of UVI\* vs  $AOD_{400}$ , in three cases:  
 365 all the dataset, data selected for  $SSA_{400} < 0.85$  and  $SSA_{400} \geq 0.85$  for the two zenith angles.

366



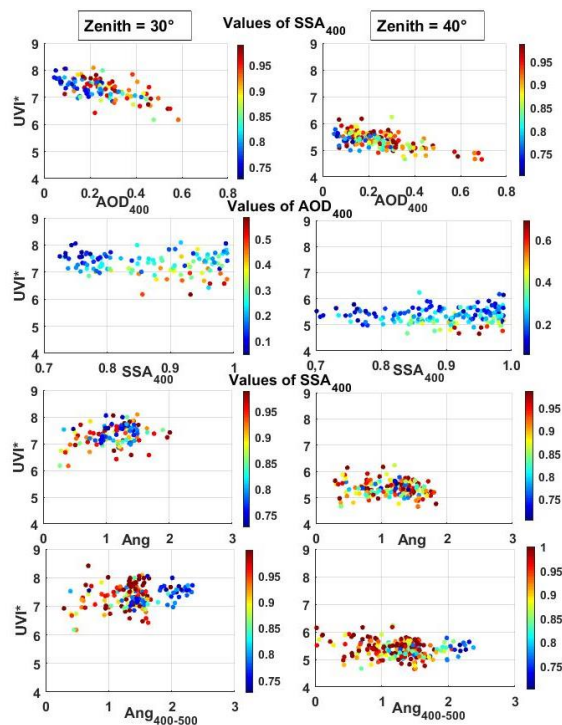
	AOD <sub>400</sub> at $\theta=30^\circ$		AOD <sub>400</sub> at $\theta=40^\circ$	
	Mean $\pm$ std	median	Mean $\pm$ std	median
SSA <sub>400</sub> <0.85	0.186 $\pm$ 0.099	0.185	0.200 $\pm$ 0.095	0.187
SSA <sub>400</sub> $\geq$ 0.85	0.296 $\pm$ 0.118	0.274	0.262 $\pm$ 0.135	0.249
Ang <sub>400_500</sub> <0.8	0.345 $\pm$ 0.134	0.330	0.218 $\pm$ 0.129	0.174
Ang <sub>400_500</sub> $\geq$ 1.7	0.117 $\pm$ 0.066	0.105	0.155 $\pm$ 0.088	0.124

367

368 Table III: mean and median AOD<sub>400</sub> values calculated over all the years in the months analyzed in Rome,  
 369 separately for different classes of SSA and Ang.

370

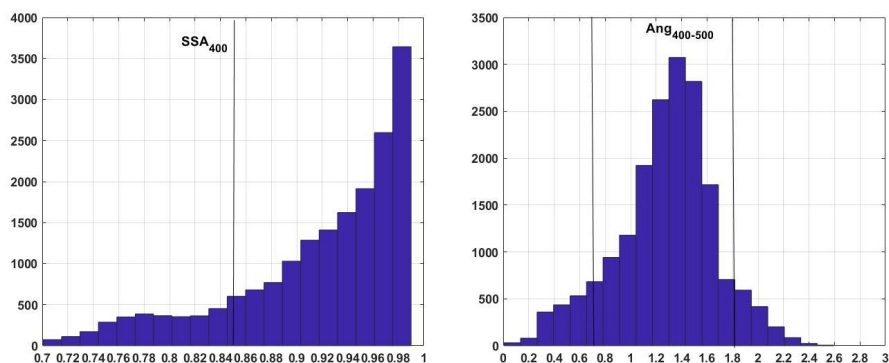
371



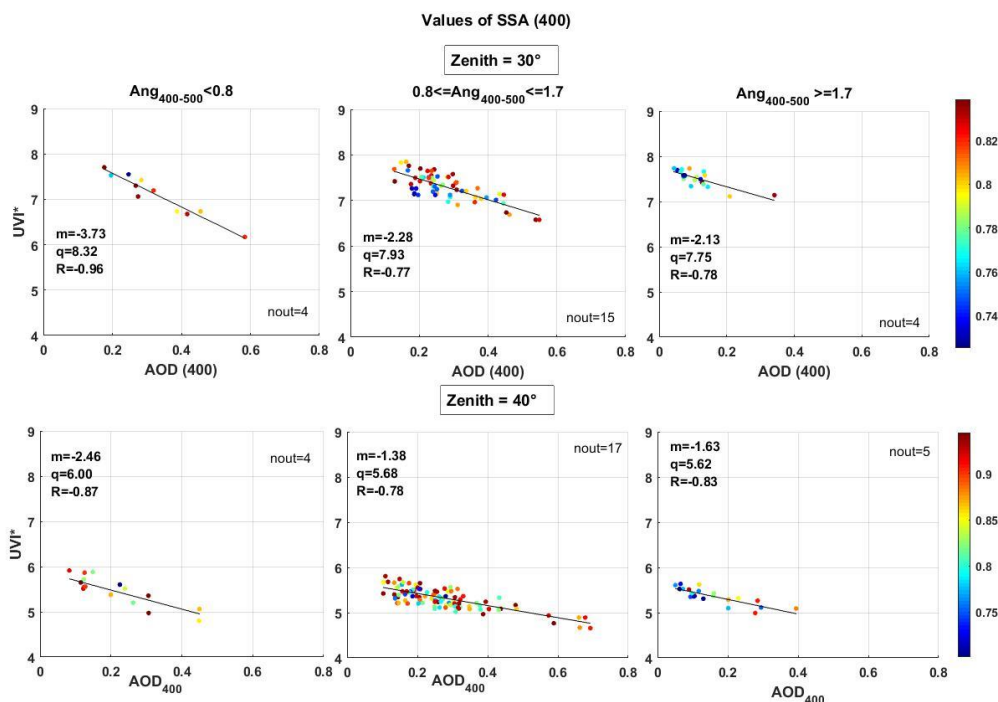
372

373 Figure 6. Scatter plot of UVI\* vs AOD<sub>400</sub> (top), SSA<sub>400</sub> (middle), and Ang and Ang<sub>400-500</sub>(bottom) for  
 374 the solar zenith angles of 30° (left) and of 40° (right). The colors represent the values of SSA<sub>400</sub> (first,  
 375 third and fourth rows) and AOD<sub>400</sub> (second row).

376



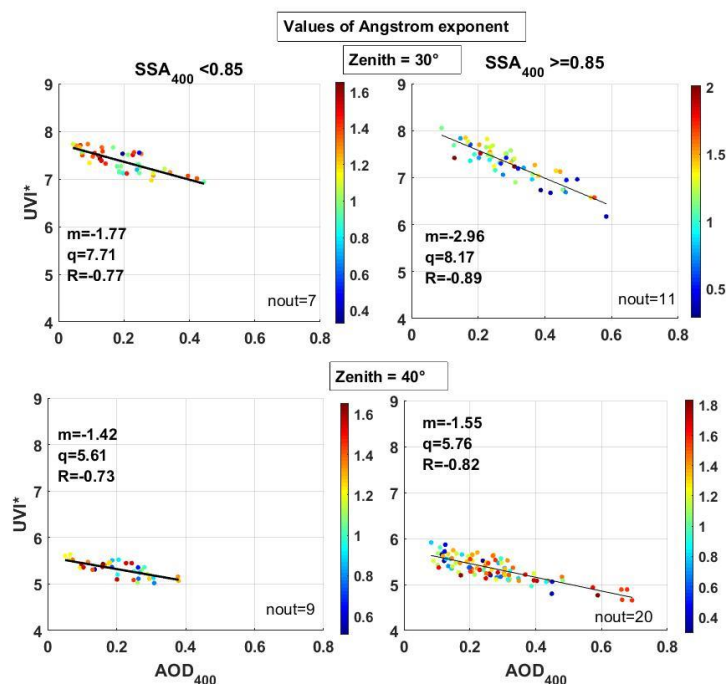
377  
 378 Figure 7. Frequency distributions of  $SSA_{400}$  (left) and  $Ang_{400-500}$  (right) for the entire investigation  
 379 period. The threshold values separating the different classes are highlighted with vertical black lines.  
 380



381  
 382 Figure 8: scatter plot of  $UVI^*$  vs  $AOD_{400}$  for three groups of  $Ang_{400-500}$  (left, middle, right) and two  
 383 solar zenith angles (top, bottom). The colors represent the values of  $SSA_{400}$ .  $n_{out}$  is the number of  
 384 rejected outliers.

385  
 386





387

388 Figure 9: scatter plot of UVI\* vs AOD<sub>400</sub> for two groups of SSA<sub>400</sub> (right and left) and two solar  
389 zenith angles (top and bottom). The colour scale refers to the values of Ang.  $n_{out}$  is the number of  
390 rejected outliers.

391

## 392 5. Conclusions

393 The aerosol optical characteristics in the urban area of Rome were retrieved for a period of 7 years, in  
394 the months from March to September 2010-2016. The impact of SSA, AOD at 400 nm, and Ångström  
395 exponent on the UV index has been analyzed. The evolution of UVI\*, which is the measured UV index  
396 corrected for total ozone changes and scaled at the mean Sun-Earth distance, was studied with respect  
397 to AOD<sub>400</sub>, SSA<sub>400</sub>, and Ångström exponent calculated using all the wavelengths (Ang) and only AOD  
398 at 400 and 500 nm. Data at two fixed values of the solar zenith angle were selected in order to point out  
399 the possible effect of aerosol optical characteristics measured at 400 nm on UVI\*.

400 A clear linear decreasing trend of UVI\* when increasing AOD<sub>400</sub> was found, with a more pronounced  
401 slope at the smaller solar zenith angle, as already shown by previous studies. The dependence of UVI\*  
402 on SSA<sub>400</sub> and Ångström exponents is probably masked by the dependency on AOD, which is the  
403 primary parameter affecting the surface irradiance. The entire dataset was also analyzed separately for  
404 different absorption properties (by fixing a threshold value for SSA<sub>400</sub>) and for different aerosols  
405 dimensions (by fixing threshold values for Ang<sub>400-500</sub>). The surface forcing efficiency, provided by the



406 decreasing trend of UVI\* with AOD<sub>400</sub>, was found greater for larger particles. In Rome these particles,  
407 having small Ångström exponent values, are generally less absorbing since related to the presence of  
408 SOIL and SEA components in the atmosphere. Moreover the former contribution is much higher in  
409 summer months (as highlighted from the chemical characterization of suspended particulate matter over  
410 Rome during the URBS ROMA intensive field campaign held in 2011) because of the numerous  
411 episodes of Saharan dust transport. The result is that the effect of the Angstrom exponent on the incoming  
412 UV radiation could mask the dependence on the SSA.

413 The general behavior observed for the five macro-sources (SOIL, SEA, SECONDARY INORGANIC,  
414 ORGANICS and TRAFFIC) during summer 2011 has been assumed not substantially changed in the  
415 last years, and the variations in the absorption capability of the atmosphere over Rome were attributed  
416 to the different absorption characteristics of the macro- components and their modulation of  
417 concentration in the atmospheric mixture.

418 A better understanding of the impact of aerosol optical properties in Rome on UVI\* can be done in the  
419 next future using measurements of direct and diffuses solar radiation at 340 nm, instead of 400, available  
420 at the ESR Rome site from 2018. Also the use of different versions of the Skyrad code (as version 5.0)  
421 can improve the retrieval of the SSA wavelength dependence, making possible the calculation of the  
422 Absorption Ångström Exponent for a better characterization of the absorption properties.

423

#### 424 **6. Acknowledgements:**

425 We thank Gian Paolo Gobbi and collaborators for establishing and maintaining the Rome–Tor Vergata  
426 AERONET site used in this investigation. We also thanks ARPA-LAZIO for providing meteorological  
427 data over Rome.

428

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