

Electrical Vestibular Stimulation in Humans: A Narrative Review

Morgana Sluydts^a Ian Curthoys^b Robby Vanspauwen^a Blake Croll Papsin^c
Sharon Lynn Cushing^c Angel Ramos^d Angel Ramos de Miguel^d
Silvia Borkoski Barreiro^d Maurizio Barbara^e Manuel Manrique^f
Andrzej Zarowski^a

^aEuropean Institute for Otorhinolaryngology, GZA Hospitals Antwerp, Wilrijk, Belgium; ^bVestibular Research Laboratory, University of Sydney, Sydney, NSW, Australia; ^cDepartment of Otolaryngology – Head and Neck Surgery, The Hospital for Sick Children, Toronto, ON, Canada; ^dHearing Loss Unit, Otorhinolaryngology, Head and Neck Department, Complejo Hospitalario Universitario Insular Materno Infantil, Las Palmas of Gran Canaria, Spain; ^eNESMOS Department, ENT Clinic, Sapienza University, Rome, Italy; ^fOtorhinolaryngology Department, Clinica Universidad de Navarra, Pamplona, Spain

Keywords

Electrical stimulation · Vestibular implant · Galvanic vestibular stimulation · Vestibular co-stimulation · Humans

Abstract

Background: In patients with bilateral vestibulopathy, the regular treatment options, such as medication, surgery, and/or vestibular rehabilitation, do not always suffice. Therefore, the focus in this field of vestibular research shifted to electrical vestibular stimulation (EVS) and the development of a system capable of artificially restoring the vestibular function. **Key Message:** Currently, three approaches are being investigated: vestibular co-stimulation with a cochlear implant (CI), EVS with a vestibular implant (VI), and galvanic vestibular stimulation (GVS). All three applications show promising results but due to conceptual differences and the experimental state, a consensus on which application is the most ideal for which type of patient is still missing. **Summary:** Vestibular co-stimulation with a CI is based on “spread of excitation,” which is a phenomenon that occurs when the currents from the CI spread to the surrounding structures and stimulate them. It has been shown that CI activation can

indeed result in stimulation of the vestibular structures. Therefore, the question was raised whether vestibular co-stimulation can be functionally used in patients with bilateral vestibulopathy. A more direct vestibular stimulation method can be accomplished by implantation and activation of a VI. The concept of the VI is based on the technology and principles of the CI. Different VI prototypes are currently being evaluated regarding feasibility and functionality. So far, all of them were capable of activating different types of vestibular reflexes. A third stimulation method is GVS, which requires the use of surface electrodes instead of an implanted electrode array. However, as the currents are sent through the skull from one mastoid to the other, GVS is rather unspecific. It should be mentioned though, that the reported spread of excitation in both CI and VI use also seems to induce a more unspecific stimulation. Although all three applications of EVS were shown to be effective, it has yet to be defined which option is more desirable based on applicability and efficiency. It is possible and even likely that there is a place for all three approaches, given the diversity of the patient population who serves to gain from such technologies.

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Introduction

Vestibular stimulation can be accomplished by several stimulation techniques frequently used for diagnostic or therapeutic purposes. Overall, vestibular stimulation can be divided into two categories: nonphysiological and physiological vestibular stimulation. Electrical vestibular stimulation (EVS) is a nonphysiological approach, which has been studied in the past, mainly for investigating the anatomical and neurophysiological structures and pathways of the vestibular system.

Cohen and Suzuki indicated in the 1960s that eye movements could be evoked by electrically stimulating the ampullary and otolith nerves in animals [Cohen and Suzuki, 1963; Suzuki et al., 1964; Suzuki et al., 1968; Suzuki et al., 1969a, b]. Many other researchers subsequently further investigated the effects of acute EVS in both animal and human models [Tokumasu et al., 1971; Markham and Curthoys, 1972; Goldberg et al., 1984; Minor and Goldberg, 1991; Brönte-Stewart et al., 1994; Kushiro et al., 2000; Zhang et al., 2001; Schneider et al., 2002; Zhang et al., 2002; Goto et al., 2003, 2004; Basta et al., 2005; Uchino et al., 2005]. One important finding of these studies was the evidence for convergence of ampullary and otolith input onto single vestibular neurons in response to both natural and electrical vestibular nerve stimulation [Curthoys and Markham, 1971; Markham and Curthoys, 1972]. Due to this convergence at the brainstem level, the peripheral segregation of the semicircular canal (SCC) and otolith organs is not completely maintained centrally. Moreover, as vestibulo-ocular, vestibulo-spinal, and vestibulo-oculospinal neurons form synapses with the converging primary afferents, the vestibulo-ocular, vestibulo-spinal, and vestibulocollic reflexes (VCRs) can all be activated (each to a greater or lesser extent) in response to selective stimulation of a single vestibular end-organ [Curthoys and Markham, 1971; Markham and Curthoys, 1972; Kushiro et al., 2000; Zhang et al., 2001, 2002; Goto et al., 2004; Uchino et al., 2005; Uchino and Kushiro, 2011].

The inner ear can also be stimulated by galvanic stimuli presented at the mastoid process(es) through surface electrodes [Bos and Jongkees, 1963]. The term “galvanic” originally referred to a direct current signal with a unidirectional flow of the currents [Robinson, 2008; Schils, 2009]. If the stimulus is, however, induced by an alternating voltage or current source, the term “galvanic” no longer applies and the broader term “electrical” should be used [Schils, 2009]. Nonetheless, alternating signals have also been used in galvanic vestibular stimulation (GVS)

but in contrast to EVS, the stimulus frequency is much lower and within the physiological vestibular range [Coats, 1972; Hlavacka and Njiokiktjien, 1985; Latt et al., 2003; Gensberger et al., 2016].

Recently, many research groups are investigating the treatment options for patients with incapacitating bilateral vestibular loss, resulting in a renewed interest in EVS. In patients with bilateral vestibulopathy, the regular treatment options, such as medication, surgery, and/or vestibular rehabilitation, do not always suffice [Jacobson and Calder, 2000; Brown et al., 2001; Herdman and Clendaniel, 2007; Herdman et al., 2003; Hall et al., 2004; Herdman et al., 2015]. Sensory substitution with vibrotactile and/or auditory cues has been proposed and investigated as a possible solution but, unfortunately, this approach relies on slow-acting feedback systems, which are not capable of compensating for the fast-acting vestibular system [Krebs et al., 1993; Petersen et al., 1995; Brown et al., 2001; Wall et al., 2001; Zingler et al., 2008; Janssen et al., 2012]. Therefore, the focus in this field of vestibular research shifted to EVS for the development of a system capable of artificially restoring the vestibular function. Three approaches are currently being investigated: vestibular co-stimulation with a cochlear implant (CI), EVS with a vestibular implant (VI), and GVS. Each approach will be discussed below.

Results

Vestibular Co-Stimulation by a CI

Due to the close proximity of the auditory and vestibular structures, cochlear implantation can result in activation of the vestibular afferents. Some patients report vestibular sensations like vertigo or lightheadedness when the CI is turned on [Eisenberg et al., 1982; Coordes et al., 2012]. Other authors have reported nystagmus or subjective sensations of dizziness upon CI activation [Bance et al., 1998; Ito, 1998; Coordes et al., 2012]. Parkes et al. [2017] could even evoke cervical and ocular vestibular-evoked myogenic potentials. Similar levels of stimulation also corrected abnormal perceptions of verticality as measured by the subjective visual vertical in this same clinical population [Gnanasegaram et al., 2016]. Such observations support a “spread of excitation” hypothesis, which is a phenomenon that occurs when the currents from the CI spread to the surrounding vestibular structures and facial nerve, and stimulate them [Rubinstein et al., 1996]. Facial nerve stimulation (FNS), for example, is a known complication of CI use that is characterized by

Table 1. Effects of a cochlear implant on vestibular function

	Comparison made	Effect CI ON	How was the effect of the CI evaluated?	Papers
VOR	CI OFF vs. CI ON	Positive	Absence or presence of VOR	Bance et al., 1998
Caloric test	Pre vs. CI ON	No difference	Slow phase velocity	Eisenberg et al., 1982
Rotational testing	CI OFF vs. CI ON	Variable	VOR gain, symmetry, and phase	Buchman et al., 2004
HIT	Pre vs. CI ON and OFF	No differences	Absence or presence of corrective saccades	le Nobel et al., 2016
vHIT	CI OFF vs. CI ON	Positive	Gain (hSCC)	Nassif et al., 2016
cVEMP	Intraoperative: CI ON	Positive	Amplitude	Basta et al., 2008
	Pre vs. CI ON	Positive	Amplitude and/or latencies	Basta et al., 2008; Coordes et al., 2012
		Variable	Amplitude and/or latencies	Jin et al., 2008; Parkes et al., 2017
		No difference	Interaural amplitude difference	Miwa et al., 2019
		Negative	Amplitude; absence or presence of cVEMP	Psillas et al., 2014; Xu et al., 2015
	CI OFF vs. CI ON	Positive	Absence or presence of cVEMP/ interaural amplitude difference	Xu et al., 2015; Miwa et al., 2019
No difference		Amplitude	Psillas et al., 2014	
oVEMP	Pre vs. CI ON	Negative	Absence or presence of oVEMP	Xu et al., 2015
	CI OFF vs. CI ON	Positive	Absence or presence of oVEMP	Xu et al., 2015
DHI	Pre vs. CI ON and OFF	No differences	DHI score	le Nobel et al., 2016
SVV	Pre vs. CI ON	No differences	SVV	le Nobel et al., 2016
	CI OFF vs. CI ON	No differences	SVV	le Nobel et al., 2016
		Positive	SVV	Gnanasegaram et al., 2016
SHV	CI OFF vs. CI ON	No differences	SVV	Coordes et al., 2012
Postural assessments	Pre vs. CI ON	Positive	Parameters of the Ataxia Test Battery; parameters of computerized posturography	Eisenberg et al., 1982; Buchman et al., 2004
	CI OFF vs. CI ON	Positive	Parameters of the Bruininks-Oseretsky Test 2, gait parameters, Romberg test	Cushing et al., 2008; Hallemans et al., 2017; Mazaheryazdi et al., 2017; Shayman et al., 2017
		No difference	Parameters of Postural Control test; dynamic posturography; Timed Up and Go test	Suarez et al., 2007; Coordes et al., 2012; le Nobel et al., 2016

(v)HIT, (video) Head Impulse Test; oVEMP, ocular Vestibular Evoked Myogenic Potential; cVEMP, cervical Vestibular Evoked Myogenic Potential; DHI, Dizziness Handicap Inventory; SVV, Subjective Visual Vertical; SHV, Subjective Haptic Vertical; CI ON/OFF, cochlear implant activated or deactivated; pre, preoperative results (before implantation); hSCC, horizontal semicircular canal; variable, improved, decreased, and/or stable vestibular results; VOR, vestibulo-ocular reflex. Studies investigating the influence of cochlear implantation on the vestibular function without including a test condition with CI activation were not included in this table.

facial twitching or facial sensations [Kelsall et al., 1997; Bigelow et al., 1998; Papsin, 2005; Berrettini et al., 2011]. This FNS can be reduced or avoided by simply adjusting the stimulation parameters of the CI, deactivating certain electrodes, or using perimodiolar electrode arrays (which lie closer to the modiolar wall) in patients at risk of FNS [Kelsall et al., 1997; Polak et al., 2006; Smullen et al., 2005; Berrettini et al., 2011].

In children with sensorineural hearing loss (SNHL) treated with CI, it was suggested that the incidence of FNS is higher than clinically perceivable [Cushing et al., 2006]. Cushing et al. [2006] reported in 39% (17/44) of children receiving a CI that FNS was only subjectively perceived without having any visually observable facial twitches. Facial twitching was only observable in one fourth of the examined children with CI (25%, 11/44) even though in

59% (26/44) FNS was detected at a subclinical myogenic level using electromyography of the facial musculature.

As the vestibular structures and nerves are also closely located to the cochlear structures and as the membranous labyrinths of the auditory and vestibular systems are connected through the fluid filled ductus reuniens [Rubinstein et al., 1996], current spread to the vestibular system (i.e., “vestibular co-stimulation”) is likely.

Many research groups have investigated the possible influences of cochlear implantation and CI use on vestibular function. A major influence on the measured outcomes is whether the CI was activated or deactivated during the testing.

When the CI was in active mode and thus delivering electrical stimulation to the cochlear structures, variable results have been reported [Eisenberg et al., 1982; Buchman et al., 2004; Suarez et al., 2007; Basta et al., 2008; Jin et al., 2008; Coordes et al., 2012; Xu et al., 2015; Gnanasagaram et al., 2016; le Nobel et al., 2016; Nassif et al., 2016; Parkes et al., 2017; Halleman et al., 2017; Shayman et al., 2017] (Table 1). Comparing preoperative results with postoperative results led to the conclusion that in some cases vestibular and balance function could indeed improve after implantation when the CI was on and active [Eisenberg et al., 1982; Buchman et al., 2004; Basta et al., 2008; Jin et al., 2008; Coordes et al., 2012; Parkes et al., 2017] (Table 1). In other studies, the difference between CI activation and deactivation was assessed after the implantation [Cushing et al., 2008; Xu et al., 2015; Gnanasagaram et al., 2016; Nassif et al., 2016; Halleman et al., 2017; Shayman et al., 2017]. The latter postoperative studies confirmed that activating the CI can also lead to improved vestibular and balance outcomes. However, not all results were uniform within and between studies, as well as within and between subjects (Table 1). It is therefore of crucial importance to consider all the differences in the experimental setup when comparing the results of studies investigating the effects of cochlear implantation and CI use.

The main conclusion of the above-described studies is that vestibular and balance function can vary upon CI activation. Consequently, the question is raised whether such “vestibular co-stimulation” can be applied functionally for restoring comorbid vestibular dysfunction in CI recipients and if yes, to what extent.

In some papers, the improved vestibular and balance function observed in CI use has been suggested to arise from the restoration of at times bilateral auditory cues [Halleman et al., 2017; Shayman et al., 2017]. In a current project (BalanCI project), a combination of vestibular co-

stimulation and auditory cues was evaluated. The “BalanCI” is a regular CI processor fitted with an accessory which allows the intracochlear electrode to be activated in response to deviations in position detected by accelerometers and gyroscopes (i.e., head-referenced transcochlear stabilization of balance) [Cushing et al., 2012; 2018a, b]. This study is conducted in children receiving a CI and the investigators have reported that there is a significant reduction of the number of falls and a better postural control [Cushing et al., 2012; 2018a, b]. Although the use of the BalanCI currently leads to an auditory percept, it is hypothesized based on previous work [Gnanasagaram et al., 2016; Parkes et al., 2017] that the electrical currents from the stimulus spread towards the vestibular system and contribute to the improved balance.

The hypotheses of vestibular co-stimulation and restored auditory cues probably both contribute to the observed findings in the BalanCI study and in previous literature, as they can both contribute to multisensory integration.

The Human VI

In order to assess the possibilities of a VI, many animal and computerized models were investigated [for reviews: Della Santina et al., 2010; Lewis, 2016]. A complete overview of the currently available animal VI studies would, however, require (at least) an additional review. Therefore, the appropriate references and conclusions will only be given when necessary for understanding or explaining the results concerning the human VI.

Research Groups

Currently, four research groups are developing a human VI. For each VI group, a brief update on their progress is provided. In addition to this brief update, the stimulation paradigms and vestibular outcomes are chronologically tabulated in Table 2. An important remark is that, even though in each patient vestibular stimulation was possible to some extent, the results were not always obtainable with all electrodes (e.g., in a patient with an electrode implanted in each of the semicircular canals, not all three electrodes might have been able to successfully stimulate the targeted SCC afferents). Furthermore, different patients often required different stimulation parameters for successfully activating the vestibular reflexes.

Vestibular Pacemaker – Vestibular Neurostimulator. The group from the University of Washington led by Rubinstein, Philips and colleagues developed a vestibular pacemaker, a device for counteracting the symptoms

Table 2. Detailed overview of the original studies regarding the human vestibular implant

	Stimulation				
	patients	type, time, position	stimulus	modulation	results
Wall et al., 2007 (n = 3)	UVP/BVP	Acute: intraop stimulation of the PAN (EL)	Pulse trains of multiphasic pulses (repetition rates: 25–400 pps; maximum current amplitude = 1 mA)	/	Not a VI: platinum-iridium solid wire electrode. <i>Measured outcomes:</i> eVOR (2D VOG) <i>Results:</i> predominantly vertical eVOR + a robust nystagmus with SCV large enough to compensate for vertical head movements (increase in current amplitude = increase in SCV); responses measurable at 50 pps; increased SCV with increase in repetition rate with a maximum at 200 pps; longer duration run: significant decrease in response amplitude (erratic trajectory)
Guyot et al., 2011a (n = 3)	UVP (MD)	Acute: intraop stimulation of the LAN (EL)	Biphasic pulse train (400 µs/phase, repetition rate: 200 Hz)	/	Not a VI: 90% platinum – 10% iridium Teflon-coated wire <i>Measured outcomes:</i> eVOR (2D VOG) <i>Results:</i> patient 3 had a purely horizontal eVOR but patients 1 and 2 also had strong vertical components. High intersubject variability for the current amplitude required for evoking eVOR (facial nerve stimulation might occur)
Guyot et al., 2011b (n = 1)	BVP	Acute: postop stimulation of the PAN (EL)	Biphasic pulse train (400 µs/phase; repetition rate: 200 pps)	<i>Virtual AM:</i> amplitude: 360±60 µA; mod. freq.: 3Hz <i>Virtual FM:</i> amplitude: 200±120 pps	<i>Measured outcomes:</i> eVOR (2D VOG) <i>Results:</i> <i>Acute stimulation (no modulation)</i> Vestibular threshold: 300 µA Suprathreshold stimulation: high-frequency sound and intensity-dependent dizziness Baseline adaptation: successive ON-OFF cycling reduces duration of nystagmic response progressively After-effect (i.e., change of nystagmus direction when device is turned off after a continuous stimulation period) <i>Motion modulation:</i> AM and FM evoke similar responses but larger amplitudes are observed with AM
Guinand et al., 2011 (n = 1)	BVP	Postop: acute and intermittent stimulation of the PAN (EL)	Biphasic pulse train (400 µs/phase; repetition rate: 200 pps)	/	<i>Measured outcomes:</i> eVOR (2D VOG) <i>Results:</i> repeated ON-OFF cycling of the VI resulted in a shortening of adaptation time; progressive increase of device deactivation time shows that beneficial adaptation effect decreases and returns to its original state after 18 h without electrical stimulation
van de Berg et al., 2012 (n = 1)	BVP	Acute: intraop stimulation of the LAN/SAN/PAN (IL)	Biphasic pulse train (200 µs/phase; repetition rate: 200 pps) 10s pulse train duration with 0.5 s on/off periods	/	Not a VI: monopolar electrode Modified ampullar approach: safe technique to access the ampullae and VOR is evocable. <i>Measured outcomes:</i> eVOR (2D VOG) <i>Results:</i> tonic eye deviation elicited at 700 µA in all 3 ampullae (maximum vertical and horizontal amplitudes ranging from 6.6–19°); stopping the stimulation results into a return of the eye to the starting point; the horizontal component during SAN stimulation was delayed (5 s) in comparison with the vertical component
Phillips et al., 2013 (n = 4)	UVP (MD)	Acute: postop stimulation of perilymphatic space adjacent to the ampulla (ISCC, aSCC, pSCC)	2-s trains of constant-frequency (300 pps), constant-current biphasic pulses (100 µs/phase; IPG of 8 µs)	/	<i>Measured outcomes:</i> EEM (2D VOG); postural responses (computerized posturography). <i>Results:</i> <i>EEM:</i> SPV of resultant eye movements: primarily in plane of stimulated ampulla with smaller off-plane eye components <i>Postural responses:</i> sway responses were evocable in all SCCs (eyes open and closed); modulation of the stimulation current modulated the amplitude of the postural response; all subjects had a significant increase in sway variance when vision was suppressed; poor relationship between SPV (in dark) and postural responses (eyes closed)
Perez Fornos et al., 2014 (n = 3)	BVP	Acute: postop stimulation of the LAN (IL)	Biphasic pulse train (200 µs/phase; repetition rate: 400 pps)	Motion induced AM (chair frequency: 0.1, 0.25, 0.5, 1, and 2 Hz; mod. depth: 50 and 75% of DR)	<i>Measured outcomes:</i> eVOR (2D VOG) <i>Results:</i> motion induced AM: the eVOR was evocable, especially at 1 and 2 Hz rotation frequencies; the LAN stimulation induced a horizontal eVOR. VOR was significantly higher during device activation (up to 79% of normal VOR); no significant differences between these modulation depths
Pelizzone et al., 2014 (n = 3)	BVP	Acute: postop stimulation of LAN/SAN/PAN (IL or EL)	Biphasic pulse train (400 µs/phase; repetition rate: 200 pps)	Motion induced AM (sinusoidal 30°/s peak angular velocity at 1 and 2 Hz)	<i>Measured outcomes:</i> eVOR (rotatory chair testing) <i>Results:</i> significant increase in VOR gain when VI is activated; the gain increased significantly when the modulation depth increased; similar results were found at both rotation frequencies (1 and 2 Hz); the results are suggestive for multisensory integration processes (PAN + whole-body rotation in yaw-plan: vertical VOR-axis shifted towards horizontal)

Table 2 (continued)

	Stimulation				
	patients	type, time, position	stimulus	modulation	results
Golub et al., 2014 (n = 1)	UVP (MD)	Acute: intra- and postop of perilymphatic space adjacent to the ampulla (ISCC, aSCC, pSCC)	EEM: 2-s pulse trains of constant current and frequency (300 or 600 pps) Also, biphasic pulses (100 µs/phase; IPG of 8 µs) (monopolar) vECAPs: forward masking paradigm		<i>Measured outcomes:</i> vECAPs (NRT; intra- and postop) and EEM (2D VOG; postop) <i>Results:</i> vECAPs: Intraop: adequate vECAPs: aSCC and ISCC, not from pSCC. 2 weeks postop: vECAPs still present at aSCC and ISCC. 63 weeks postop: vECAPs less pronounced for ISCC and aSCC. EEM: Electrode stimulation in 2/3 SCCs: EEM evocable (not in pSCC) Over time, stimulation thresholds increased. Increasing current: higher SPV Doubling pulse frequency (from 300 to 600 pps): slightly higher SPV 63 weeks postop: no longer EEM evocable with aSCC <i>Subjective sensations: hSCC</i> lower currents: sense of rolling to the right higher currents: rightward yaw rotation (amplitude and velocity increased with increased current) <i>Subjective sensations: aSCC:</i> movement down and to the left <i>Subjective sensations: pSCC:</i> sense of vertigo at early postoperative time points <i>Overall:</i> never nauseated or unsteady
van de Berg et al., 2015 (n = 7)	BVP	Acute: postop stimulation of LAN/SAN/PAN (IL or EL)	Biphasic pulse train (200 µs/phase; repetition rate: 400 pps)	Virtual AM (mod. depth: 50 or 75% of DR; 3 mod. freq.: 0.5, 1, and 2 Hz)	<i>Measured outcomes:</i> eVOR (2D VOG) <i>Results:</i> eVOR: intersubject variability; clear frequency-dependent behavior for LAN, PAN, and SAN stimulation (in general: increase in modulation frequency = increase in peak eye velocity with a maximum at 2 Hz); however, one patient showed opposite behavior (PAN) <i>Comparison natural VOR and eVOR:</i> similar frequency-dependent behavior between natural VOR and eVOR; the natural VOR angle was close to horizontal while the eVOR angle was almost vertical; both the eVOR and natural VOR show very little adaptation
Guinand et al., 2015b (n = 11)	BVP	Long-term follow-up of acute stimulation after baseline adaptation (LAN/SAN/PAN [IL or EL])	Biphasic pulse train (400 µs/phase; repetition rate: 200 pps)	Virtual AM (mod. freq: 3Hz; mod. depth: 75% of DR)	<i>Measured outcomes:</i> EEM (2D VOG), Visual Acuity (VA test), evoked perceptions (subjective reports) <i>Results:</i> EEM: dynamic range: high intersubject and interelectrode variability. Variable range of EEM (based on SPV) - Average SPV (SD): PAN = 8.70°/s (7.64); LAN = 13.03°/s (12.53); SAN = 11.90°/s (6.65) - Average axis (SD): PAN = 70.59° (10.06); LAN = 50.56° (23.30); SAN = 65.44° (15.84) - Large misalignment in 4 LAN electrodes and 1 SAN electrode <i>Visual acuity (n = 9):</i> stimulation of 7 PAN and 4 SAN electrodes induced a significant loss of visual acuity <i>Evoked perceptions:</i> increased perceptions with increased current intensity - PAN: none, vertigo, rotational sensation, sound, “tickling” sensation, vibration, eyes moving - SAN: rotatory sensation, eyes moving, “tickling” sensation, vibration, sound, pressure - LAN: needle in ear, rotatory sensation, “tickling” sensation, “current-flow” sensation, sound, pressure
Phillips et al., 2015 (n = 4)	UVP (MD)	Acute (intraop) and intermittent (postop) stimulation of perilymphatic spaces of SCCs	vECAPs: forward masking paradigm EEM: 2-s pulse trains of biphasic pulses (constant pulse rate and amplitude; 100 µs/phase; 8 µs IPG) pulse amplitude ≤400 µA	/	<i>Measured outcomes:</i> vECAPs (NRT), EEM (2D VOG), and perceptions (subjective reports) <i>Results:</i> vECAPs: used for electrode placement EEM - acute: EEM are evocable. SPV increased with increased current amplitude EEM - intermittent: decrease or fluctuations in SPV <i>Perceptions:</i> high frequency vibration in 2 patients with increased current amplitude; pain was only observed only during higher currents; perceived rotation was consistent with the stimulated SCC and side
Nguyen et al., 2016 (n = 4)	BVP	Acute: postop stimulation of LAN/SAN/PAN (IL or EL)	Biphasic pulse train (400 µs/phase; repetition rate: 200 pps)	Virtual AM (Mod. freq: 1 Hz; mod. depth: medium and high) Virtual FM (mod. freq: 1 Hz; Mod. depth: medium, high, and 2× high)	<i>Measured outcomes:</i> eVOR (2D VOG) <i>Results:</i> <i>Single mode modulation:</i> AM significantly higher responses than FM. No consistent impact of modulation depths on either AM or FM <i>Comodulation (neural network model):</i> stronger results than with AM or FM separately
McCrum et al., 2016 (n = 2)	BVP				<i>Measured outcomes:</i> gait <i>Results:</i> stride length and stride time increased: Especially when positive AM modulation was applied (i.e., EEM in opposite direction to head movement)
Guinand et al., 2016 (n = 6)	BVP	Acute: postop stimulation of SAN/PAN (IL or EL)	Biphasic pulse train (200 µs/phase; repetition rate: 400 pps)	Motion induced AM (mod. depth: 85% of DR)	<i>Measured outcomes:</i> visual acuity <i>Results:</i> VI activated: visual acuity improved upon activation (close to normal). Improvement disappeared in placebo condition

Table 2 (continued)

		Stimulation				
	patients	type, time, position	stimulus	modulation	results	
Nguyen et al., 2017 (n = 4)	BVP	Acute and chronic stimulation (IL or EL)	Biphasic pulses (59 µs/phase; IPG: 2,1 µs) Alternating polarity paradigm (artefact reduction)	/	<i>Measured outcomes:</i> ECAPs, vECAPs, and mixed CAPs (NRT) <i>Results:</i> cochlear eCAPs > mixed eCAPs > vestibular eCAPs; no correlations between stimulating and recording electrode distance, voltages used, and latencies	
Guinand et al., 2017 (n = 3)	BVP	Acute: postop stimulation of LAN/SAN/PAN (IL or EL)	Biphasic pulse train (200 µs/phase; repetition rate: 400 pps); pseudomonopolar	Motion induced AM	<i>Measured outcomes:</i> high-frequency eVOR (vHIT) <i>Results:</i> 3/5 tested electrodes: aVOR gain increased monotonically with increased stimulation strength of head impulse in plane of implanted SCC; Gains ranging from 0.4 to values above 1. A "reversed" aVOR: inversed stimulation paradigms; gain excitatory head impulses were higher than gain of inhibitory head impulses; improvements of the aVOR gain were accompanied by a concomitant decrease of corrective saccades; however, variable results depending on which SCC electrode and which subject were stimulated	
van de Berg et al., 2017 (n = 4)	BVP	Acute: postop stimulation of LAN/SAN/PAN (IL)	Biphasic pulse train (200 µs/phase; repetition rate: 400 pps)	Virtual and motion induced AM (mod. freq.: 1 Hz; mod. depth: 50 to 90% of DR)	<i>Measured outcomes:</i> virtual and motion-induced eVOR (rotatory chair) <i>Results:</i> nonlinear interaction between residual natural function and eVOR	
Perez Fornos et al., 2017 (n = 5)	BVP	Acute: postop stimulation of LAN/SAN (IL)	100 trials of single, cathodic-first, biphasic, charge balanced pulses (repetition rate: 5 pps)	/	<i>Measured outcomes:</i> VCR (ecVEMPs) <i>Results:</i> ecVEMPs elicited in 5 patients Average latencies (SD): latency P1 peak = 9.8 s (1.0); latency N1 peak = 16.9 s (1.7)	
Ramos de Miguel et al., 2017 (n = 4)	UVP (MD)	Acute: intraop stimulation of the vestibule	Single biphasic pulses (57 µs pulses, 25 µs/phase, 10 µs IPG)	/	<i>Measured outcomes:</i> vECAPs (VRT) and utriculo-ocular reflex (eoVEMPs) <i>Results:</i> otolith implant - vECAPs: obtained in 10/12 electrodes - eoVEMPs: recorded when vECAP was present (n = 10) (latency N1 peak: 400 µs; latency P1 peak: 800 µs; N1P1 peak-to-peak amplitude: 71.15 µV)	
Johns Hopkins group, no official paper published yet (Conference abstracts/ presentations: Boutros et al., 2018a-c; Chow, 2018a; Chow et al., 2018a; Della Santina, 2018a, b)	BVP - 70 dB HL	Chronic IL stimulation with longitudinal follow-up	Biphasic pulse trains	Motion modulation or constant electrical stimulation	<i>Measured outcomes:</i> VOR (0.5-5 Hz passive head or whole-body rotation), VCR, hearing (PTA and speech audiometry), posture, gait, QoL <i>Results:</i> eVOR: evocable and mostly aligned with stimulated SCC. Motion modulation > constant stimulation. Motion perception thresholds lower than eVOR thresholds VCR: evocable Hearing: sufficient for unaided communication <i>Postural outcomes:</i> improved posture and gait Re-initiation of daily life activities and improved; QoL; one patient experiences less improvement than the others (determined by the questionnaires)	

n, number of patients included in the respective study; UVP/BVP, uni- or bilateral vestibulopathy; intra-op/postop, intra-operative/postoperative testing; PAN/LAN/SAN, posterior, lateral, superior ampullary nerve; IL/EL, intra- or extra-labyrinthine surgical approach; pps, pulses per second; 2D VOG, two-dimensional video oculography; (e)VOR, (electrically evoked) vestibulo-ocular reflex; VI, vestibular implant; SCV, slow component velocity; MD, Ménière's disease; AM/FM, amplitude or frequency modulation; mod. freq., modulation frequency; (l/a/p) SCC, (lateral, anterior, or posterior) semicircular canal; IPG, interphase gap; EEM, electrically evoked eye movements; SPV, slow phase velocity; SD, standard deviation; mod. depth, modulation depth; DR, dynamic range; (v)ECAPs, (vestibular) electrically evoked compound action potentials; NRT, neural response telemetry; vHIT, video head impulse test; ecVEMPs, electrically evoked cervical vestibular evoked myogenic potentials; VCR, vestibulocollic reflex; PTA, pure tone audiometry; QoL, quality of life; empty cell, not specified/mentioned in paper.

evoked by a Ménière's disease (MD) attack [Rubinstein et al., 2010; Nie et al., 2011, 2013; Bierer et al., 2012; Rubinstein et al., 2012; Golub et al., 2014] (Table 2). The design of this vestibular pacemaker was based on a commercially available CI which was modified for semicircular canal afferent stimulation. The vestibular pacemaker consisted of two extracochlear reference electrodes (the plate and the ball electrode) and three stimulating SCC electrode arrays (each containing three electrode contacts). The possibilities of this device were investigated in animal models first, which led to the initiation of a human trial.

In this human study, the SCC electrode arrays were implanted in the perilymphatic space of the SCCs in order to stimulate the preferred semicircular canal and its af-

ferents. The choice for this surgical approach was based on the idea that an intact endolymphatic compartment would increase the likelihood of preserving the residual vestibular function [Rubinstein et al., 2012].

The hypothesis behind this vestibular pacemaker was to counteract the vestibular symptoms accompanying an attack in patients with incapacitating MD [Golub et al., 2014; Phillips et al., 2015]. Unfortunately, only the results of 1 patient with a mild MD attack lasting 1 h were reported [Golub et al., 2014]. During the attack (which occurred while the patient was at home), the patient cycled through the stimulation programs of the modified CI. Each program entailed an increase in current intensity steps of 25 µA. The first program (150 µA at 600 pulses

per second [pps]) suppressed the MD-induced symptoms but the second program (175 μ A at 600 pps) worsened the vertigo, as did turning the device off during the MD attack. These results support the hypothesis of a functional vestibular pacemaker designed for counteracting the vestibular symptoms/complaints that are still perceivable due to residual vestibular function. Unfortunately, the vestibular implantation led to complete loss of all vestibular and auditory function, with only limited auditory recovery [Golub et al., 2014; Phillips et al., 2015], which limits the functional applicability of this device.

The investigators of this research group further explored the possibilities of their device during acute and intermittent experiments inside the laboratory [Phillips et al., 2013, 2015]. The electrical stimulation of the semi-circular canal afferents resulted in postural responses (e.g., whole-body sway), subjective sensations (e.g., roll, yaw, rotation), eye movements (or the electrically evoked vestibulo-ocular reflex [eVOR]), and vestibular electrically evoked compound action potentials (vECAPs) in several cases [Phillips et al., 2013, 2015] (Table 2). Similar to the auditory ECAPs in CIs, the vECAPs can be used for confirming the electrode placement. Longitudinal intermittent stimulation with this vestibular neurostimulator, however, showed decreased vECAPs in some of the electrodes and decreased or fluctuating slow-phase velocities of the eVOR. Phillips et al. [2015] suggested that perhaps the sensitivity of the individual afferents to electrical stimulation changed over time, resulting in a changed neural signal [Golub et al., 2014; Phillips et al., 2015].

The device used in the aforementioned human studies did not include an intracochlear electrode array. As patients with combined cochleovestibular loss could benefit from a combined cochleovestibular stimulator, the investigators modified the design of the VI so that an intracochlear electrode array with 16 electrode contacts was also available. As the CI used for vestibular modification originally had 22 electrode contacts, the amount of electrode contacts per SCC electrode array was reduced to two [Phillips et al., 2018]. With this newly designed vestibular neurostimulator the relationship between the parameters of electrical stimulation and the eVOR in rhesus monkeys was examined. This animal study indicated that increasing the frequency and amplitude parameters in constant biphasic pulse trains resulted in an increase in slow-phase velocity [Phillips et al., 2018]. To date, three humans have been implanted with this new design with results and outcomes in review for publication [personal communication: Rubinstein J., April 30, 2019].

Vestibulocochlear Implant. A second group of researchers developing a VI is the Maastricht-Geneva group. In an initial proof-of-concept study, it was shown that the electrical stimulation of the ampullary nerve with separate wire electrodes could successfully evoke eye movements or the eVOR during intraoperative experiments (Table 2) [Wall et al., 2007; Guyot et al., 2011a]. Based on these preliminary results, a CI was modified and implanted in the vicinity of the afferents of the SCCs for initiating the SCC implant studies. The design of this vestibulocochlear implant is also based on a commercially available CI and provides 1–3 vestibular electrodes for the SCCs, together with an intracochlear electrode array for the cochlea (with a minimum of 9 electrode contacts) [Guyot et al., 2011a; van de Berg et al., 2012; Guinand et al., 2015a]. The housing of this vestibulocochlear implant is used as the reference electrode.

Until now (April 2019), 13 patients with bilateral vestibular areflexia have been implanted following an extra- or intra-labyrinthine surgical technique (cf. *infra*). All of these patients were either deaf or showed profound SNHL. Therefore, reliable interpretation of hearing preservation was not possible [Perez Fornos et al., 2017]. Stimulation with this vestibulocochlear implant resulted in the eVOR, vECAPs, and perceptual sensations. For the eVOR, frequency-dependent behavior was detected for a broad frequency range and was found to be similar to the natural frequency dependency of the angular vestibulo-ocular reflex (aVOR) [van de Berg et al., 2015; Guinand et al., 2017]. Furthermore, the VCR could be evoked and improvements of the dynamic visual acuity and gait were established [Guyot et al., 2011b; Perez Fornos et al., 2014; Pelizzone et al., 2014; van de Berg et al., 2015; Guinand et al., 2015b, 2016; McCrum et al., 2016; Nguyen et al., 2016, 2017; Perez Fornos et al., 2017; van de Berg et al., 2017] (Table 2). The variability in artificially evoked vestibular responses can be partially explained by the neural convergence as both the artificially and naturally stimulated neurons can provide information to the convergent neurons [Curthoys and Markham, 1971; Markham and Curthoys, 1972; Kushiro et al., 2000; Zhang et al., 2001, 2002; Goto et al., 2004; Uchino et al., 2005, 2011].

In a recent study, the investigators of the Maastricht-Geneva group examined the effect of combining the naturally evoked aVOR (generated by residual vestibular function) with its electrically induced equivalent, the eVOR (presented at 400 pps) [van de Berg et al., 2017]. The general conclusion was that the output of the eVOR and the aVOR combine in a nonlinear way, so that the strongest component (either the eVOR or the aVOR) de-

finer the characteristics of the combined VOR. Inverting the stimulation paradigm by changing the orientation of the gyroscope resulted in a reduced combined VOR, as the artificial input (partially) counteracted the residual natural function. It was therefore suggested that the vestibulocochlear implant might be capable of acting like a vestibular pacemaker, a concept that was earlier introduced by the University of Washington group (cf. supra) [Golub et al., 2014; van de Berg et al., 2017]. So far, the VI was only activated inside the laboratory and in the hospital setting.

Multichannel VI. The third group of VI investigators from Johns Hopkins has developed a multichannel VI (MVI) [Hageman et al., 2016; Della Santina, 2018a]. This MVI has built-in gyroscopes and accelerometers capable of sensing and encoding three-dimensional rotations and linear accelerations [Hageman et al., 2016]. Fifty stimulating electrode contacts are distributed over three electrode shanks: one shank for the saccule (13 electrode contacts) and the horizontal SCC (8 electrode contacts), one shank for the utricle (13 electrode contacts) and the superior SCC (8 electrode contacts), and one separate shank for the posterior SCC (8 electrode contacts) [Hageman et al., 2016; Della Santina, 2018a]. At the initiation of the human trial in 2016, implantation of electrodes in the human otolith system was not yet approved by the appropriate authorities. Therefore, a modified version of the abovementioned MVI was implanted in 4 patients and the results were obtained with SCC stimulation only [Boutros et al., 2018a]. The investigators have, however, investigated the effects of combined electrical SCC and otolith stimulation in an animal model with chinchillas (cf. infra) [Chow et al., 2018b; Hageman et al., 2018]. Both MVI designs (used in the animal and human studies) did not include an intracochlear electrode array. The researchers attempted and partially succeeded in preserving the hearing in 4 patients with bilateral loss of the SCC functions and pure tone audiometry averages better than 70 dB HL. In 1 patient, a slight component of low-frequency hearing loss was found and in 2 out of 4 a new high-frequency SNHL was detected, but the speech recognition scores remained stable in all 4 MVI patients [Schoo, 2018; Schoo et al., 2018] (Table 2).

The Johns Hopkins group led by Della Santina and his colleagues were the first to evoke a three-dimensional aVOR with electrical stimulation of more than one SCC [Boutros et al., 2018a–c] (Table 2). Furthermore, the human patients used the device chronically throughout the trial in and outside the clinic for 8 weeks, which is different from the protocols of the aforementioned SCC im-

plants in which only brief outside-clinic activations (or none at all) were approved by the local ethics committees [Nguyen et al., 2014; Phillips et al., 2015].

Electrical stimulation of the SCC afferents also resulted in sensations of motion, activation of the VCR, and subjectively and objectively improved postural control and gait [Boutros, 2018b; Chow, 2018a; Chow et al., 2018a; Della Santina, 2018a] (Table 2). These findings can be explained by the neural convergence of the vestibular primary afferents onto single central neurons [Curthoys and Markham, 1971; Markham and Curthoys, 1972; Kushiro et al., 2000; Zhang et al., 2001, 2002; Goto et al., 2004; Uchino et al., 2005, 2011]. It should be considered that current spread due to the close proximity of the vestibular end-organs can be an important contributing factor as well. Furthermore, the multisensory integration and overall neural plasticity probably also contributed to these outcomes as the patients were allowed to use the device in real-life situations outside the clinic. Additionally, the patients were obliged to follow an extensive program of vestibular rehabilitation during the trial. Although the patients had at least 1 year of vestibular rehabilitation without experiencing any functional benefit prior to the trial, the presence of the artificial vestibular input may have been enough for the human brain to integrate it with the natural input provided by the non-vestibular senses. In future research, it is likely that the comparison of VI use with and without vestibular rehabilitation will be made. Furthermore, 2 of the MVI patients had intact pre- and postoperative otolith function, which may have contributed to the postural improvements as well.

An additional observation in this study was that the subjectively reported improvement of the unsteadiness was larger than the objectified outcomes [Della Santina, 2018a].

So far, simultaneous activation of the otolith and SCC electrodes has only been done in animal models [Chow, 2018b, c; Chow et al., 2018b; Hageman et al., 2018] (Table 2). Ocular counter-roll (OCR) responses could be elicited when SCC and otolith stimulation were combined in chinchillas, a response that was expected based on the previous work of Suzuki and colleagues in animal studies [Cohen and Suzuki, 1963; Suzuki et al., 1964, 1968, 1969a, b; Tokumasu et al., 1971; Markham and Curthoys, 1972; Kushiro et al., 2000; Zhang et al., 2001, 2002; Goto et al., 2003, 2004; Uchino et al., 2005, 2011]. Linearly increasing the stimulation parameters resulted in a linear increase in the OCR's amplitude [Chow, 2018c; Hageman et al., 2018]. The electrically evoked OCR approximated the eye

responses expected during a natural head tilt in chinchillas [Chow, 2018b; Chow et al., 2018b].

The temporal characteristics of the measured eye movements evoked with an otolith electrode were dependent on where the reference electrode was placed. When the reference electrode was positioned in the vicinity (in the common crus) of the stimulating otolith electrode, a slow onset of the response was measured. In contrast, when the reference electrode was placed at a more distant location (in a distant muscle), a quick response onset occurred. A possible explanation can be found in the fundamentals of electrical stimulation. In monopolar stimulation, the reference or return electrode is placed at a distant location. Doing so results in less selective stimulation than can be expected with, for example, bipolar stimulation (i.e., one of the electrodes on the electrode array serves as return/reference electrode while another serves as stimulating electrode). In monopolar stimulation, the position of the reference electrode defines the area that is affected by the electrical currents. Placing the reference electrode far away from the stimulating electrode results in a larger area of neurons being (partially or fully) activated. Therefore, the activation of more (convergent and nonconvergent, regular and irregular) ampullary and otolith afferents that can contribute to the OCR is more likely. A reference electrode positioned closer to the stimulating electrode will result in a smaller area of electrically activated neurons and thus, less afferents that send information to initiate the OCR.

Otolith Implant. In addition to these 3 VI prototypes for SCC stimulation, initial steps have been taken to develop an implant for direct otolith stimulation by a fourth research group from the University of Las Palmas [Ramos de Miguel et al., 2017] (Table 2). So far, vestibular responses were captured during acute intraoperative measurements by temporarily inserting up to 3 apical electrodes of a standard CI in the vestibule. As reference electrode, a plate electrode or a ball electrode was used. This experiment was conducted in 3 patients suffering from definite unilateral MD. These results showed that direct otolith stimulation with single biphasic pulses (57 μ s pulses, 25 μ s/phase, 7 μ s interphase gap) evoked vestibular ECAPs and ocular VEMPs. After the experiments, the electrode array was removed from the vestibular system and implanted in the cochlea [Ramos de Miguel et al., 2017].

Basic Principles and Challenges of EVS with a VI

Restoration of Spontaneous Spike Rate. Converting the natural head and body movements into an adequate neu-

ral spike pattern requires a spontaneous firing rate that can be up- or downmodulated (i.e., motion modulation) [Hain and Helminski, 2007; Halmagyi and Curthoys, 2007]. The basic principle of a VI is, therefore, to restore this motion modulation as accurately as possible. The first step in this process of artificially restoring the vestibular function is therefore the restoration of the spontaneous firing rate of the vestibular afferents so that motion modulation can be simulated subsequently [Guyot et al., 2016].

The currently available results of human VI trials are obtained with unilaterally implanted SCC implants in patients with bilateral vestibulopathy (BVP) according to the Bárány Society diagnostic criteria [Strupp et al., 2017]. These diagnostic criteria provide very precise guidelines for the function of the semicircular canals but less detailed information regarding utricular and saccular function. Therefore, the patients with a SCC implant may have residual or even normal otolith function (cf. two MVI patients of the Johns Hopkins group). As only SCC implants are currently permanently implanted, the following section will focus on the principles and challenges of electrically stimulating the ampullary nerves in human subjects.

The spike rate pattern of the semicircular canals is based on the push-pull principle in which excitation of one SCC implies inhibition of the coplanar SCC [Precht et al., 1966]. Unfortunately, the current human VI research is limited to unilateral vestibular implantation in BVP patients due to a risk of iatrogenic hearing loss, higher costs, ethical considerations, and the experimental state of the VI [van de Berg et al., 2011; Golub et al., 2014; Della Santina, 2018a]. Due to this limitation, the restoration of the spontaneous firing rate is more challenging, as unilateral stimulation in BVP patients will lead to an artificial asymmetry in the afferents' spike rate. Consequently, nystagmus and possibly also vertigo and/or nausea will be induced at both the onset and offset of the stimulation [Guyot et al., 2011b].

However, experimental evidence shows that continuous stimulation with a constant electrical stimulus (i.e., baseline stimulation) results in reduction and elimination of these unwanted vestibular symptoms within 30 min (i.e., baseline adaptation to the baseline stimulus) [Guinand et al., 2011; Guyot et al., 2011b]. Turning the device off after baseline adaptation initiates the vertigo and nausea again with a nystagmus beating in the opposite direction [Guinand et al., 2011; Guyot et al., 2011b]. Fortunately, these symptoms, arising in response to the device deactivation, disappear even quicker than the 30-min

adaptation time required for VI activation [Guinand et al., 2011]. The same phenomenon has been reported earlier in animal studies and was attributed to the central nervous system being more capable of recognizing the known, nonstimulated state of the vestibular system as opposed to the new, electrically stimulated state [Gong and Merfeld, 2002]. Repeatedly turning the VI on and off resulted in a reduced adaptation time for the central nervous system to suppress the responses evoked by the change in stimulation state (both after device activation and deactivation, i.e., dual state adaptation) [Gong and Merfeld, 2002; Guinand et al., 2011; Guyot et al., 2011b]. This reduction in adaptation time is progressively lost when the device is deactivated for longer periods of time (e.g., 1 h). After approximately 18 h, the benefit of the rapid on and off cycling is completely lost [Guinand et al., 2011]. These results suggest that daily life activities with a VI (including situations requiring short device deactivation) should be feasible without too much patient discomfort [Guinand et al., 2011; Guyot et al., 2011b; van de Berg et al., 2011]. It should be mentioned, however, that the VI patients might not benefit yet from the VI in activities requiring quick activation and use of the device. Turning the device briefly on for a midnight bathroom break, for example, requires a fast adaptation to the electrical stimulation. Due to this sudden activation, the artificial tone imbalance might even further increase the risk of falling. Moreover, as the patient might be a bit drowsy right after awakening and as the visual conditions are less than optimal (dark room), the fall risk might be even higher.

Motion Modulation. A second prerequisite for EVS is adequate modulation of the electrical input signal, with a motion profile (i.e., so-called “motion modulation”). In BVP patients with a unilateral VI, the restored spontaneous firing rate needs to be up- and downmodulated for mimicking the natural excitatory and inhibitory head movements, respectively [Davidovics et al., 2012; Guyot et al., 2016]. Especially inhibitory responses can be difficult to encode with unilateral electrical stimulation because the downmodulation must be large enough for the brain to interpret this lowering in firing rate as an actual inhibition [Davidovics et al., 2012]. Therefore, the baseline stimulus is usually set at a suprphysiological level, so that the stimulus can be up- and downmodulated symmetrically [Guyot et al., 2016]. However, suprphysiological baseline stimulation has been shown to increase the inhibitory responses but decrease the excitatory responses and moreover, symmetrical motion modulation does not approximate the natural asymmetrical sensitivity of the vestibular system. This might therefore result in less

optimal vestibular stimulation [Davidovics et al., 2012]. Nonetheless, as the human vestibular system is more sensitive to excitatory movements, this should not be a functional limitation of the SCC implant.

Motion modulation around a baseline stimulus can be accomplished by pulse frequency modulation, pulse amplitude modulation, or a combination of the two (co-modulation). The latter has only been investigated in animal and neural network models so far [Guyot et al., 2011b; Davidovics et al., 2012; Nguyen et al., 2016].

Frequency modulation is most similar to the natural neural coding of the vestibular system and could therefore be expected to be the most effective [Fernandez and Goldberg, 1971]. However, in several studies, amplitude modulation has been shown to be more effective in evoking eye movements than frequency modulation [Guyot et al., 2011b; Perez Fornos et al., 2014; Nguyen et al., 2016]. Davidovics et al. [2012] suggested that this might be explained by a depletion of the synaptic vesicle pool induced by the continuous firing of the recruited afferents during the baseline adaptation. Frequency modulation of the already adapted afferents with the depleted synaptic vesicle pools will result in a limited increase in firing rate of the already recruited afferents whereas amplitude modulation will recruit additional nonadapted afferents. Therefore, the outcomes obtained with amplitude modulation may be better than those achieved with frequency modulation. Nonetheless, improved gain, symmetry, and alignment of the eVOR have been observed in animals during chronic frequency modulation [Lewis et al., 2010]. The animals were free to move around in their cages during these chronic experiments, which probably contributed to the multisensory integration.

Furthermore, the results of animal and neural network models have indicated that the evoked responses with co-modulation are even larger than those with amplitude modulation or frequency modulation alone. It is, therefore, suspected that co-modulation combines the effects of amplitude modulation and frequency modulation (i.e., spatiotemporal summation) [Davidovics et al., 2012; Di-Giovanna et al., 2016; Nguyen et al., 2016].

Acute or Chronic Stimulation. The abovementioned results of the SCC implant were mostly obtained during acute or intermittent EVS [Golub et al., 2014; Phillips et al., 2015; Nguyen et al., 2017]. Only the Johns Hopkins group has reported on 8-week trials of continuous stimulation and this in both a laboratory setting as well as the home setting of the recipients (cf. supra).

During all human VI studies, similar results were obtained, supporting the feasibility of the SCC implant.

However, intermittent testing showed that certain outcome parameters were less consistent with time and that the stimulation parameters being capable of inducing vestibular responses showed a great inter- and intra-subject variability. As a result, it is not possible (yet) to identify a stimulus most optimal for vestibular stimulation. A more detailed overview of the currently available VI papers is presented in Table 2. No further data regarding additional effects of chronic stimulation is available at the moment (April 2019).

Central Vestibular Convergence in the Vestibular Nuclei and Multisensory Integration. As mentioned above, SCC stimulation resulted quite often in improved otolith-induced responses. It is likely that these responses are due to a combination of current spread and central convergence of the primary (regular and irregular) vestibular afferents on the second-order vestibular nuclei neurons. As some of the stimulated SCC afferents send action potentials to central convergent neurons, both otolith and SCC components will be represented at a central level [Curthoys and Markham, 1971; Markham and Curthoys, 1972]. The rather unselective nature of the electrical stimuli presented to the SCC afferents may therefore also be responsible for the reported misalignment of the VOR axis [Golub et al., 2014; Guinand et al., 2015a; Boutros, 2018b]. Due to current spread, other nontargeted primary SCC or otolith afferents may be activated and thus further influence the misalignment.

In animal models, the VOR axis misalignment was shown to be significantly reduced within 1 week of chronic electrical stimulation [Dai et al., 2013]. This phenomenon is called cross-axis adaptation, which represents the directional plasticity of the vestibulo-ocular central nervous system. A similar finding was observed in the human VI study of Pelizzone et al. [2014], in which artificial stimulation of the posterior ampullary nerve and a whole-body rotation in the yaw plane were combined. The vertical VOR axis induced by the artificial posterior ampullary nerve stimulation shifted towards horizontal when the patient was rotated in the yaw plane. The horizontal eye movement turned back into a vertical one when the subject was stimulated with the same motion profile (i.e., rotation of the gyroscope in the yaw plane) while sitting on a nonrotating, stable chair. Similar findings were also previously reported by Lewis et al. [2002] in an animal model.

Preservation of the (Residual) Hearing. The use of a VI requires implantation of an electrode in or in the vicinity of the vestibular system and its afferents. Two main surgical approaches have been developed: the intralabyrinthine

approach and the extralabyrinthine approach [Wall et al., 2007; Rubinstein et al., 2012]. The intralabyrinthine approach entails opening up the bony labyrinth so that the electrode can be inserted in the perilymphatic space [Bierer et al., 2012; Rubinstein et al., 2012; van de Berg et al., 2012]. Placing the vestibular electrode outside of the labyrinth and directly on the vestibular nerve or even on Scarpa's ganglion is called the extralabyrinthine approach [Wall et al., 2007; van de Berg et al., 2012]. Both approaches can lead to electrically evoked vestibular responses, but the risk of losing residual auditory and vestibular function is believed to be higher with the intralabyrinthine approach as it is likely that the endolymphatic compartment is penetrated during the insertion of the electrode in the perilymphatic space. As most of the abovementioned VI studies included patients with severe SNHL or deafness, preservation of residual hearing was not the primary research objective. In other study populations, hearing preservation during and after the vestibular implantation might be, however, of great importance. Approximately 31–78% of the BVP patients have been shown to have concurrent hearing loss, which means that 22–69% of the BVP patients have normal hearing and would absolutely benefit from hearing preserving surgical techniques [Zingler et al., 2009; Lucieer et al., 2016; Dobbels et al., 2018].

A disadvantage of the extralabyrinthine approach is that it carries the risk of inducing both sensorineural and conductive hearing loss together with facial nerve damage. Nonetheless, the electrode is much closer to its target than it is with the intralabyrinthine approach. Therefore, a smaller amount of electrical currents might be needed with reduced current spread as a result [Feigl et al., 2009; van de Berg et al., 2012].

In some VI designs, intracochlear electrode arrays are available so that simultaneous vestibular and cochlear electrical stimulation can be provided, although no reports of the simultaneous activation have been reported yet. Patients with cochleovestibular disorders may benefit from this combined stimulation, but in patients with preoperatively residual and functional hearing, the need for the intracochlear electrode would only become apparent after the vestibular implantation if the hearing appears to be lost. This postoperative, iatrogenic hearing loss would imply additional surgery with consequent risks and costs.

Preservation and Influence of the Residual Vestibular Function. Currently, the patient population recruited for VI implantation mostly consists of patients with “complete” bilateral vestibular loss. However, most patients with such losses still have some residual ampullary and/

or otolith function. More specifically, the vestibular system has a sustained and transient response system which receive their input from a continuum of regular and irregular primary afferents. In some disorders or treatment options, the structures of one of these systems may be partially or even fully preserved (e.g., gentamicin mostly affects the transient system) [Curthoys et al., 2017]. If the natural stimulus fits into the physiological response profile of these intact afferents, a combined artificial and natural stimulation may result in an interaction between the evoked responses. Such phenomena have been previously reported by Golub et al. [2014] and van de Berg et al. [2017].

Additionally, structural damage induced by the implantation can result in fibrosis. In case of device failure, the fibrosis might prevent a reimplantation. Device failure is not unlikely to occur as vestibular patients are at increased risk of falling and this has also been born out in the setting of cochlear implantation [Herdman et al., 2000; Agrawal et al., 2009; Wolter et al., 2015].

Summarizing, it should be at least attempted to preserve the residual functions of the entire inner ear during vestibular (and cochlear) implantation, depending on the nature and the clinical profile of the vestibular patient's disorder.

Galvanic Stimulation of the Transient Vestibular System

Recently, a renewed interest in GVS has also arisen from the need of treatment options for BVP patients. Although both the sustained and transient vestibular system are activated by the galvanic stimuli, it was previously shown that the irregular afferents have a significantly lower threshold for GVS than the regular afferents [Goldberg et al., 1984; Kim and Curthoys, 2004]. Different types of stimuli have been used for evoking otolith and SCC responses with GVS in both healthy subjects and in patients with vestibular dysfunctions: monophasic pulses (or direct current signals) [Krizkova and Hlavacka, 1994; Kim and Curthoys, 2004; MacDougall et al., 2005; Aw et al., 2006; Day et al., 2011; Kammermeier et al., 2017], stochastic stimuli or noise [Mulavara et al., 2011; Iwasaki et al., 2014; Goel et al., 2015; Mulavara et al., 2015; Fujimoto et al., 2016; Wuehr et al., 2016a, b; Wuehr et al., 2017; Iwasaki et al., 2018; Keywan et al., 2018; Serrador et al., 2018; Temple et al., 2018; Wuehr et al., 2018], sinusoidal waves [Coats, 1972; Petersen et al., 1994; Mackenzie and Reynolds, 2018] or multi-sine waves [MacDougall et al., 2006].

Currently, different research groups are investigating the stochastic stimuli (i.e., noise), as the (controversial)

concept of stochastic resonance indicates that in a non-linear system, like the vestibular system, low residual function may be amplified when an imperceptible noise is added [Mulavara et al., 2011; Iwasaki et al., 2014; Goel et al., 2015; Mulavara et al., 2015; Fujimoto et al., 2016; Wuehr et al., 2016a, b, 2017; Iwasaki et al., 2018; Keywan et al., 2018; Serrador et al., 2018; Temple et al., 2018; Wuehr et al., 2018]. The trademark characteristic of stochastic resonance is a bell-shaped response curve to an increased noise strength; implying that noisy stimulation with too low or too high amplitudes will degrade the evoked response and that the amplitude range in between these upper and lower limits will result in improved outcomes [Moss et al., 2004; Mulavara et al., 2015]. Recently, Wuehr et al. [2018] confirmed this theory by adding a noisy galvanic stimulus to a sinusoidal galvanic stimulus. In 90% (22/24) of the healthy subjects, this addition led to a lowered threshold for evoking the vestibulospinal reflex. This effect was seen for stimulation amplitudes ranging from 0.3 to 1.1 mA but stimulation levels outside this range (i.e., below 0.3 mA or above 1.1 mA) resulted in a decreased outcome. The response curve in this study was thus bell-shaped and supportive for the existence of a distinct response to stochastic resonance in the human vestibular system [Wuehr et al., 2018].

Furthermore, Serrador et al. [2018] showed that the addition of noise to a sinusoidal galvanic stimulus also increased the gain of the OCR in elderly patients, but not in young patients. Moreover, subjects with gains close to normal did not improve when noise was added to the sinusoidal signal. A ceiling effect was suggested based on these results. As the vestibular function is known to decrease with age, this study provided evidence for the beneficial effects of noisy GVS (nGVS) in patients with otolith-impaired function and possibly also of stochastic resonance in the human vestibular system.

The beneficial effects of nGVS are, however, not only present during acute stimulation. In several papers, a temporary, positive after-effect was reported, i.e., the improved balance functions remained for a couple of hours, even after the nGVS was ceased [Fujimoto et al., 2016]. Furthermore, it has been suggested that combining vestibular rehabilitation exercises with GVS can be beneficial for the overall outcome [Wuehr et al., 2017; Keywan et al., 2018; Serrador et al., 2018]. The feasibility of chronic motion modulation with GVS, however, has yet to be defined.

A huge difference compared to the unilaterally implanted SCC implant is that GVS can provide bilateral vestibular information simultaneously to the vestibular

receptors and afferents [Gensberger et al., 2016]. Therefore, baseline adaptation is not needed, which might be beneficial for the functional implementation of this approach. Furthermore, the reported adverse effects of GVS are limited and, in general, it is believed that GVS is a safe and well tolerable method [MacDougall et al., 2006; Wilkinson et al., 2009]. Although rare, skin lesions or pain may occur as the currents are being sent (repetitively) through the skin [Bos and Jongkees, 1963; Fitzpatrick et al., 1994]. Other examples of reported sensations were “prickly,” “tickling,” or “tapping” cutaneous sensations, head movement, and poststimulation nausea [Dakin et al., 2007; Ehtemam et al., 2012]. Furthermore, unintentional (sub)clinical cochlear or facial nerve stimulation should be further investigated, together with a more user-friendly application method of the electrical stimuli [Ueberfuhr et al., 2017].

Discussion

The overall trend in the abovementioned studies is that electrical stimuli can activate the vestibular system to a certain extent. Nonetheless, all three stimulation methods (vestibular co-stimulation, EVS with a VI, and GVS) are still under investigation and require further optimization in order to become functional applications.

A major issue that needs to be addressed in future research is which stimulation paradigm (type of modulation, stimulus waveform etc.) is the most appropriate for each of these stimulation methods. Concurrent firm- and software modifications will probably be required in order to provide the desired stimuli. Further, the concept of stochastic resonance in the vestibular system may play a role in the restoration of (or amplification of residual) vestibular function.

The electrode design is another aspect that will be subjected to further research, especially for the VI. As mentioned above, the preservation of residual auditory and vestibular function is one of the targets in both vestibular and cochlear implantation. This aspect will concur with the further optimization and exploration of the surgical techniques.

Another aspect of the VI designs is whether they should be single- or multichannel. So far, only the human MVI trial of the Johns Hopkins group showed results on simultaneously activating more than one SCC electrode with a torsional eye movement as a result [Boutros et al., 2018c; Della Santina, 2018a]. These results might indicate that more complex stimulation patterns may result in

more accurate response patterns. Whether a multichannel VI is needed to provide those more complex patterns is a question that remains to be answered. The abovementioned concepts of central vestibular convergence and multisensory integration may not need explicit multichannel input. Perhaps a simple electrical baseline provided by a single-channel VI will already suffice for activating and/or boosting the multisensory integration in a functional way?

Another aspect that also applies mainly to the VI is that the currently available VIs are based on the designs and techniques of CIs. Consequently, the stimulation possibilities are often restricted to those applied in CIs. Due to this analogy, some VI prototypes are limited to amplitude modulation. As mentioned above, both amplitude and frequency modulation have been shown to be capable of evoking vestibular responses. There is, however, no current consensus regarding which stimulation paradigm is more effective. In recent animal and computer models, a third stimulation paradigm (i.e., co-modulation) has been introduced and might provide new opportunities for vestibular stimulation.

Analogous to the CI, postoperative fitting sessions have been suggested in order to reduce adverse effects and/or to improve vestibular outcomes [Fridman et al., 2010]. Although having a good backup system for improving the vestibular outcomes at the individual patient level is needed, it should be attempted to optimize the artificial treatment option (i.e., vestibular co-stimulation, EVS with a VI, or GVS) before its commercialization.

An additional aspect that probably will be investigated in the future is the effect of combining EVS or GVS with vestibular rehabilitation and/or sensory substitution systems. It seems that multisensory integration prior to any artificial vestibular stimulation is not strong enough to compensate for the impaired vestibular function. Providing artificial stimuli to the vestibular system seems to activate or boost the multisensory integration so that improvement is seen in different vestibular responses. As vestibular rehabilitation and the use of sensory substitution systems can contribute to this central process of multisensory integration, the combination of these treatment options might be worth further exploration.

When the results of the BalanCI and the GVS studies are considered, the question is raised whether a VI is even needed. However, the different clinical profiles of the patients (with and without residual auditory and/or residual vestibular function) indicate that different approaches are warranted. Furthermore, the difference between children and adults with CIs should be incorporated in these

studies. The data of the BalanCI study was obtained in children, whereas all VI and GVS studies were conducted in adult study populations. Neural plasticity is known to be higher in children than in adults, so perhaps children receiving a CI have already enough information when a simple baseline electrical stimulus, that may or may not be head-referenced, is provided through the intracochlear array.

Conclusion

The focus of several vestibular research groups is currently on the development of an effective and efficient therapy for artificially restoring the vestibular function in vestibular patients.

Electrical stimulation can be divided into three subtypes: vestibular co-stimulation with a CI, direct vestibular stimulation with a VI, and GVS through surface electrodes. Although the currently available results of all three approaches seem promising, it has yet to be defined which option is more desirable based on applicability and efficiency. It is indeed possible and even likely that there is a place for all three approaches, given the diversity of the patient population who serves to gain from such technologies.

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Statement of Ethics

The authors have no ethical conflicts to disclose.

Disclosure Statement

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