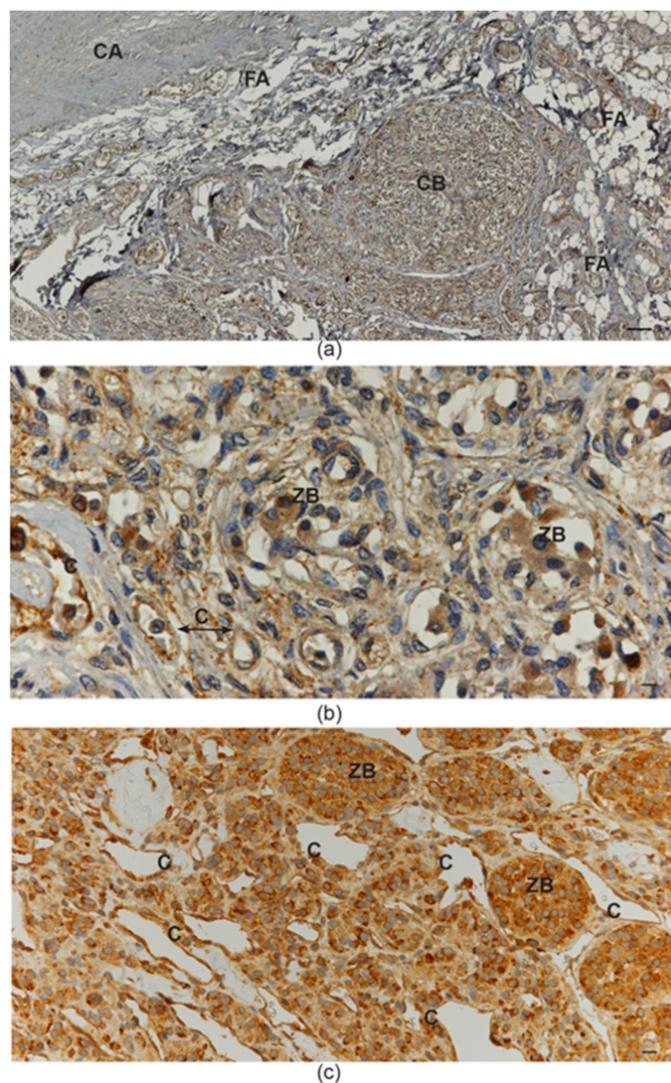


The strong association of the NOTCH signaling pathway with HNPGLs may not simply reflect a pathological condition. In fact, we recently performed a limited immunohistochemical study on scarce paraffin-embedded sections of a warm autopsy-derived normal human carotid body, which revealed NOTCH1 immunostaining of the vascular and neural tissue components, less intense but similar to that observed in the HNPGLs (Figure 4), hinting to a physiological role of NOTCH1 signaling in paraganglia. In this respect, it is intriguing that complex I deregulation is necessary for normal carotid body function [82]. All this may exemplify the repurposing of developmental and morphogenetic pathways in HNPGL tumorigenesis.



**Figure 4.** NOTCH1 protein immunostaining in normal carotid body and in carotid body paraganglioma. (a) Low-power view of the fibroadipose tissue located at the carotid bifurcation, which contains paragangliar tissue immunostained (brown) with NOTCH1 antibody (avidin-biotin immunoperoxidase counterstained with hematoxylin and eosin, CA: carotid artery; FA: fibroadipose tissue; CB: carotid body; bar = 100 µm). (b) High-power view of the carotid body tissue immunostained (brown) with NOTCH1 antibody. Both capillary endothelia and neuroepithelial cells within “zellballen” are immunostained (avidin-biotin immunoperoxidase counterstained with hematoxylin and eosin, C: capillaries; ZB: “zellballen”; bar = 20 µm). (c) Paraganglioma tissue immunostained (brown) with NOTCH1 antibody. Ectatic capillaries and “zellballen” are immunostained (avidin-biotin immunoperoxidase counterstained with hematoxylin and eosin, C: capillaries; ZB: “zellballen”; bar = 25 µm).

## 7. Patient-Derived Head and Neck Paraganglioma Cultures Exhibit a Multipotent Mesenchymal-Like Phenotype

The lack of human PPGL-derived cell lines may reflect the difficulty of maintaining neuroepithelial PPGL cells under culture conditions. In fact, these cells are thought to be the unique neoplastic component of the tumor tissue [44–46]. However, a developmental origin of PPGL would rather be consistent with a multipotent stem/progenitor phenotype of PPGL cells in culture. We generated several primary and at least four lentivirus-immortalized HNPGL cell cultures [56]. Both the primary and the immortalized cultures demonstrated quite homogeneous flow cytometric profiles, positive for classic mesenchymal markers (CD73, CD90, CD105), for embryonic and neural stem cell markers (SOX2 and nestin), and for GFAP and PDGFRA. Such characteristics were common to cultures from PGLs with and without constitutional *SDH* gene mutations. Immunofluorescence confirmed expression of the immature mesenchymal, hypoxic, and vascular/neural markers shared by the diverse tissue components of the PGLs of origin (e.g., vimentin, nestin, CD44/HCAM, KIT/CD117, HIF2A, GLUT4, ZEB1, NOTCH1, DLK1, PDGFRA, and VEGFR1/2). In tridimensional foci, randomly formed under standard culture conditions, and in neurospheres, formed under non-adhesive conditions, the outer shell of cells exposed to the medium was vimentin-positive and nestin-negative, while the reverse occurred in the putatively hypoxic inner cell core. In matrigel, which allows tridimensional growth, the cells readily generated pseudovascular networks expressing CD34 together with Dlk1 and PDGFRA, known components of the molecular mechanisms involved in vasculogenesis [56]. Notably, both the primary and the immortalized HNPGL cells had normal tubular mitochondria with high  $\Delta\Psi_m$ , implying normal respiratory functions. However, mitochondrial alterations similar to those found in the HNPGLs of origin were observed in cell-derived xenografts (CDXs) formed after subcutaneous transplantation into nude mice [56]. This indicates that the dysfunctional mitochondria observed in the neuroepithelial HNPGL component are not exclusively determined by genetic alterations, but develop under the influence of microenvironmental and differentiation-related factors.

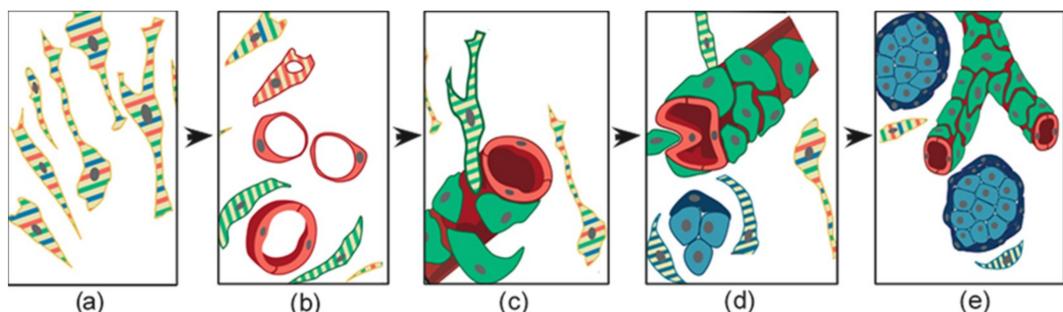
## 8. The microRNA-200s and -34s Modulate NOTCH1, ZEB1, and PDGFRA Levels in Paraganglioma

We addressed the hypothesis that microRNAs (miRNAs) could contribute to the establishment of an immature mesenchymal phenotype in HNPGL. We therefore compared the miRNA profiles of HNPGLs (13 independent tumors) to those of pools of normal Jacobson's nerves (JN), a parasympathetic nerve that is a frequent site of origin of tympanic HNPGL [22]. JN has the unique advantage of being recoverable, as it must be removed during petrosectomy, and has never been used as a reference tissue for HNPGL by other authors. Genome-wide miRNA expression profiling on the Illumina platform, validated using reverse transcription quantitative PCR (RT-qPCR), revealed that 16 miRNAs were significantly downregulated in the HNPGLs, and only three were significantly upregulated [22]. Notably, the miRNAs most significantly downregulated included the miR-200a,b,c, which inhibit the EMT and promote cell differentiation and senescence by targeting the E-cadherin transcriptional repressors *ZEB1* and *ZEB2* [83,84], and the miR-34b, a mediator of TP53 function [85]. Enforcing Mir expression via transfection in the SH-SY5Y neuroblastoma cell model, or via lentiviral infection in our primary or immortalized HNPGL cells, we proved that the miR-200b,c and the miR-34b directly target NOTCH1 and that miR-200a indirectly influences the NOTCH pathway [22]. We also confirmed in the same models that the miR-200a,b/429 cluster strongly reduces both PDGFRA and ZEB1 RNA and protein levels, while the miR-34b,c cluster strongly downregulates PDGFRA, but not ZEB1 [56]. Reintroduction of these miRs in PGL cells was followed by cell death accompanied by upregulation of BAX protein expression, indicating activation of an apoptotic response [22,56]. In conclusion, the loss of miR-200 and miR-34 family members influences the molecular and cellular HNPGL microenvironment by promoting the upregulation of key EMT- and mesenchymal-related genes. This may be of translational relevance: in fact, PDGFRA, together with KIT/CD117, also expressed in HNPGLs [56], are key targets of imatinib, a drug highly effective in the prevention and

treatment of GISTs [86,87]. Furthermore, the NOTCH pathway and ZEB1 are major inducers of chemo- and radio-resistance [88].

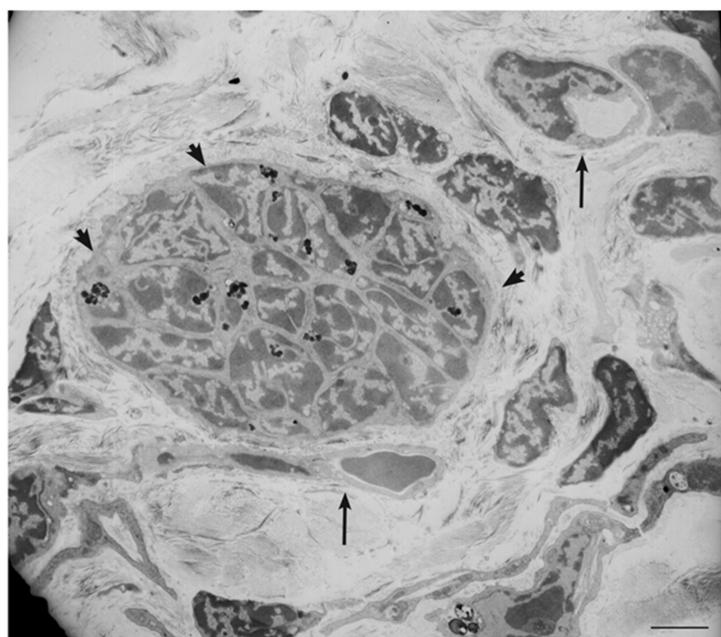
## 9. The Lesson of the Xenograft Models

The formation of patient-derived tumor xenografts (PDXs) in immunosuppressed mice is predicted to depend on cells that survive ischemic necrosis during the prolonged avascular phase that follows surgery and lasts until a new vascular network links the PDX to the murine circulation [56]. To investigate the cells that survive in PGL tissue after devascularization, we analyzed ex vivo-cultured PGL samples corresponding in size to those xenografted in mice. Light microscopy and EM showed extensive coagulative necrosis by day 10 post-surgery, but also revealed areas recolonized and remodeled by endogenous smooth muscle actin/vimentin-positive, collagen-producing mesenchymal-like cells showing phagocytic capability, plausibly useful for the recovery of nutrients from necrotic tissue. These cells were similar to those found at an early phase of subcutaneous PDX formation in immunodeficient mice (3 weeks) [56]. We further analyzed the ultrastructural and light microscopic morphology of 90 PDX samples from different HNPGL patients, all implanted subcutaneously into the flanks or neck. The overall take-rate at 4.5–10 months was high (89%) and unrelated to *SDH* mutation carrier status. The PDX tissues, including the vasculature, proved to be of human origin, as demonstrated by mitochondrial DNA analysis and immunoreactivity with antibodies recognizing human, but not mouse, antigens [56]. Permeation with intracardiacally-injected India ink solution demonstrated connections to the murine circulation. Typically, given the transplantation sites, the PDXs infiltrated the cutaneous branches of the dorsal spinal nerves, imitating the perineural growth typical of HNPGL. However, despite the locally aggressive behavior, the PDXs never exceeded ≈6 mm in maximum diameter, a size consistent with the slow growth of HNPGLs in patients [56]. EM and thin section immunofluorescence revealed that PDX tissue organization initiated with a vasculogenic process, schematized in Figure 5, which led to the formation of endothelial tubes [56]. Such tubes originated from the self-assembly of individual endothelial precursors, that first developed intracytoplasmic lumina through cytoplasmic vacuolization (cell hollowing), as in HUVECs and in drosophila and zebrafish embryos [89,90]. The lumenized tubes were positive for human β2-microglobulin, CD31, and CD34, as HNPGL endothelium, and defined a perivascular niche that attracted mesenchymal-like, smooth muscle actin-positive cells [56,91]. The adherence of these cells to the abluminal endothelial cell membranes was associated with dichotomic branching of the endothelial tube, a morphology pointing to intussusceptive angiogenesis, a form of nonsprouting angiogenesis that allows the rapid bifurcation of neoformed vasculature via endothelial invaginations [56,92]. Notably, in this process, membrane NOTCH1 was uniquely present on the abluminal endothelial membrane, in contact with adhering perivascular cells strongly positive for DLK1, a HIF-induced non-canonical NOTCH antagonist known as a cancer pericyte antigen [56,93]. These DLK1-positive cells also coexpressed smooth muscle actin and PDGFRA, assuming the ultrastructural and immunophenotypic characteristics of mural cells or pericytes [56]. The initial vasculo-angiogenic network was supported by autonomously synthesized collagen I, an EMT-related collagen [56,94]. With the formation of structured vessels, supported by mural cells or pericytes, the perivascular matrix was enriched with collagen IV, a key component of basement membranes [56,95]. Such microenvironmental modification was associated with the development of neuroepithelial-like cell clusters, often encircled by glia-like spindle cells (Figure 5).



**Figure 5.** Schematic representation of the histogenesis of head and neck paraganglioma, based on patient- and cell-derived xenograft models. The thin elongated stem-like cells (a), stabilized and expanded in paraganglioma cell cultures, co-express (stripes) multipotent stem/progenitor cell markers. In vivo, (b) these cells grow on autonomously synthesized extracellular matrix, develop intracytoplasmic vacuoles of increasing size (cells with red stripes only), and coalesce (uniformly red cells), giving rise to endothelial tubes (vasculogenesis via cytoplasmic hollowing). The endothelium then recruits adjacent stem-like cells (cells with green stripes only), which, after contact with the abluminal endothelial membranes (c), differentiate into mural cells (uniformly green). (d) The panel outlines two remarkable consequences of mural stabilization. First, mural impingement results in intraluminal endothelial intussusceptions, which divide the flow, giving rise to Y-shaped vascular ramifications (intussusceptive angiogenesis, a process detectable only with whole-mount confocal microscopy and/or transmission electron microscopy, as used in our study [56]). Secondly, vascular stabilization results in perivascular deposition of collagen IV [56], which supports the development of cell clusters with neural phenotype (cells with blue stripes only, then uniformly blue). As shown in (e), these clusters develop into “zellballen”-like neuroepithelial nests (uniform light blue), bound by spindle-shaped glia-like cells (uniform dark blue). Notably, while mesenchymal paraganglioma stem-like cells have normal mitochondria, paraganglioma tissue organization is associated with increasing mitochondrial dysfunction (swelling and loss of membrane potential), culminating in the neuroepithelial component. Original art by Giulio Pandolfelli, adapted and modified from Verginelli et al., 2018 [56].

These cell nests revealed positivity for the immature mesenchymal, hypoxic, and vasculoneurogenic markers found in the neurop epithelial component of PGLs (e.g., vimentin, nestin, CD44/HCAM, KIT/CD117, HIF2A, GLUT4, ZEB1, NOTCH1, DLK1, PDGFRA, and VEGFR1/2), but lacked advanced neuroendocrine markers, such as chromogranin A and synaptophysin. Ultrastructurally, most PDX cells, and particularly those of the neuroepithelial-like nests, exhibited hyperplastic and swollen mitochondria with vesicular or disrupted cristae, as in the neuroepithelial cells of the HNPGLs of origin [56]. Cell-derived xenografts (CDXs) were similarly obtained after subcutaneous injection of an immortalized HNPGL cell line (PTJ64i) into immunodeficient mice. At 45 days from transplantation the cells formed flat red-brown patches of 4–6 mm in diameter that, as the PDXs, comprised a vasculo-angiogenic network supporting nests of neuroepithelial-like cells (Figure 6) [56]. As noted before, the CDX cells developed hyperplastic and swollen mitochondria, resembling those of the neuroepithelial HNPGL component.



**Figure 6.** Ultrastructural view of paraganglioma xenograft tissue. The electron micrograph, derived from a xenograft obtained by subcutaneous injection of an immortalized tympano-jugular paraganglioma cell line (PTJ64i), shows a tight neuroepithelial-like cell cluster (arrowheads, dark spots are lipofuscin) in the context of a vasculogenic tissue revealing endothelial-like cells with cytoplasmic hollowing and capillary-like structures (arrows) (bar = 5  $\mu$ m).

Overall, despite their slow development and small size, our HNPGL xenograft models support the view that HNPGL histogenesis does not depend on neurogenesis and ancillary sprouting angiogenesis, but on primary vasculogenesis of the embryonic type, followed by neurogenesis [56]. This is in agreement with the physiological model of the hyperplastic carotid body [36] and emphasizes the link between HNPGL development and embryogenesis, where vasculogenesis precedes and guides histo/organogenesis, a sequence recapitulated in postnatal tissue regeneration [96,97].

#### 10. Imatinib Blocks HNPGL Cell Growth and Inhibits Xenograft Formation

The evidence that HNPGLs express PDGFRA and KIT/CD117, the receptor tyrosine kinases targeted by imatinib, brought us to test the effects of this drug on our HNPGL models [56]. At low dose (10  $\mu$ M), imatinib inhibited the growth of four HNPGL cell cultures tested, three primary and one immortalized, that are representative of *SDH*-related and unrelated HNPGLs. Imatinib treatment was followed by global protein dephosphorylation, downregulation of the ZEB1, PDGFRA, and PDGFRB proteins, upregulation of Beclin 1, core component of the autophagy machinery, activation of the caspases 3/7 and induction of BAX. Treatment was also followed by mainly upward variation in the levels of miR-200a/b/c and miR-34b/c, consistent with the observed downregulation of the ZEB1 and PDGFRA proteins. Imatinib also significantly prevented CDX formation in immunodeficient mice. In this case imatinib (50 mg/kg for 20 days, then 16.6 mg/kg for 20 additional days) was given by intra-peritoneal injection, starting at 72 hours from the subcutaneous inoculation of the immortalized HNPGL cell line PTJ64i. Only 2 CDXs were detected at 10 heterotransplant sites in the imatinib-treated group versus 11 at 12 sites in the control group ( $p = 0.0015$ ). Furthermore, the 2 CDXs found in the treated mice contained only disorganized or apoptotic cells with diffuse evidence of autophagic vacuoles [56], suggesting that imbalanced autophagic flux contributed to imatinib-induced growth arrest and apoptosis, as previously demonstrated by other authors in several mammalian cell types [98].

## 11. Conclusions

This perspective review challenges the prevalent view postulating that PPGLs are exclusively neuroendocrine tumors [44–46]. In fact, we propose that HNPGL arises from mesenchymal stem-like cells with vasculo-neural differentiation potential, in keeping with the neural crest derivation of paraganglia [8,41,42] and with the hyperplastic carotid body model, where the vascular and the neural components arise from resident stem-like cells retaining mesectodermal differentiation potential, reactivated by chronic hypoxia [36]. In support of this, retention of mesenchymal markers is evident in all the distinct HNPGL tissue components. Furthermore, mesenchymal stem-like cells persist in HNPGL tissue, and after damage, might be regenerated via EMT from more differentiated vascular and/or neural cells [56].

HNPGL xenografts can be viewed as attempts to HNPGL regeneration after devascularization. In patients, tumor regeneration after embolization, radiotherapy or chemotherapy could follow in the same footsteps. In essence, our xenograft models, based on tumors related and unrelated to *SDH* gene mutations, show that the vascular and neural HNPGL tissue components sequentially emerge following an endogenous developmental program [56]. Primordial endothelial tubulogenesis seems to be the earliest histogenetic event, as in embryonic development. This process is complemented by angiogenesis, which does not follow the well-known sprouting model, but exploits endothelial intussusception [56], a stochastic intravascular process of dichotomic branching, mediated by largely unexplored paracrine and contact-mediated signals [99,100]. Interestingly, during the development of the early vasculo-angiogenic network, the interaction between endothelial and pericytic/mural cells involves compartmentalized expression of the *NOTCH1*, *PDGFRA*, and *DLK1* proteins [56,101]. *NOTCH1* is localized on the abluminal endothelial membrane, i.e., the original plasma membrane of the mesenchymal-like cell that, by vacuolization, differentiates towards the endothelial lineage (Figure 5), whereas *PDGFRA* and the atypical *NOTCH* ligand *DLK1* are expressed by the smooth muscle actin-positive periendothelial cells engaged in pericytic/mural differentiation, which physically interact with the *NOTCH1*-labeled endothelial membranes [56].

The dependence of HNPGL histogenesis on a primordial vasculo-angiogenic phase provides a rationale for targeted preventive and therapeutic interventions, which, however, would require a better understanding of the molecular mechanisms underlying endothelial tubulogenesis and intussusceptive angiogenesis. Nonetheless, imatinib, which targets the recruitment of *PDGFRA*-positive mural/pericytic cells necessary for the stabilization of endothelial tubes [87,91], strongly prevented CDX formation in our murine model. Given that tumor maintenance and tumor development are distinct phenomena [102], this may not be translated into the conclusion that imatinib could effectively target structured HNPGL tissue in patients, but raises the intriguing possibility of whether this drug could be given in a preventive setting after surgery, embolization, or radiotherapy in order to reduce the risk of HNPGL regeneration. This important question remains to be addressed with appropriate study designs. Interestingly, vasculo-angiogenesis, as well as the EMT, are predicted to be negatively controlled by the miR-200a,b,c and by the miR-34b [103,104], which were significantly downregulated in our HNPGLs relative to our normal parasympathetic neural control, JN [22]. *ZEB1*, *NOTCH1*, and *PDGFRA* are coordinately targeted by these miRs, thus the high levels of the relative protein products in our HNPGLs can be at least partly explained by the loss of miRNA-mediated regulation [22,56]. Additionally, the significant amplification of *NOTCH* pathway genes, demonstrated by us for *NOTCH1*, *JAG2*, *HES5*, *DVL1*, and *CTBP1*, must concur with the constitutive upregulation of *NOTCH1* signaling in HNPGLs. This might contribute to link cell fate decisions to metabolism via the coordinated transcriptional effects exerted by *NICD1*, at the mitochondrial and nuclear levels, and by nuclear *CTBP1*, a sensor of the NAD+/NADH ratio. *NOTCH1* signaling is likely fundamental not only for the development, but also for the homeostasis of HNPGL. In fact, neuroepithelial dependence on *NOTCH1* signaling via *JAG2*, delivered by sustentacular cells, may account for the extensive interactions between dendritic sustentacular processes and neuroepithelial cells, where *BAX* inhibition and complex I deregulation, contributed by *NICD1*, might help to sustain dysfunctional mitochondria.

Furthermore, in connection with the upregulation of ZEB1 and the EMT, NOTCH signaling is predicted to promote resistance to chemo/radiotherapy and to antiangiogenic agents, which are major problems in PPGL therapy [105–109].

Widespread mitochondrial alterations, that correlate with neuroepithelial differentiation and loss of  $\Delta \Psi m$ , implying glycolytic dependence, are a key ultrastructural feature basically common to HNPGLs, again independently of their genetic backgrounds [56]. Such alterations, not present in our cultured mesenchymal-like HNPGL cells, are acquired after *in vivo* transplantation, which links these mitochondrial changes to microenvironment-related factors. In this regard, the role of complex I deregulation is probably central, but is still debated. In fact, several studies reported loss of complex I activity in PPGLs and in *SDHB*-mutated cell models [77,110,111], whereas Pang and coworkers recently found an upregulation of complex I, accompanied by a strengthened NAD<sup>+</sup> metabolism, in *SDHB*-mutated PPGLs [78]. The latter finding suggests that complex I could compensate for the primary loss of complex II activity characteristic of *SDH*-mutated PPGLs, an effect of potential relevance in the clinical setting, as it could account for differential sensitivities to chemotherapeutic agents [78]. The question is clearly open, and in our opinion, could be addressed taking into account the microenvironmental contexts. In fact, viewing each neuroepithelial PPGL cell and each PPGL tissue as an ecosystem, it could be hypothesized that complex I activity is balanced in the mitochondrial populations to meet specific metabolic needs that contribute to the homeostasis of stressed neuroepithelial cells in the variable tumor microenvironment. This is an area that is currently addressed in our laboratories in Chieti and Stockholm.

To sum up, we challenge the view of PGL as the prototype of “Warburg tumors” [43]. In this perspective, PGL cells would be constrained into a pre-defined role conforming to “classic” two-hit or multiple-hit, gene-centered paradigms, where random genetic and epigenetic changes, driven by “selective pressures,” result in the emergence of heterogeneous and uncoordinated clonal tumor subpopulations. Instead, we believe that, regardless of genetic heterogeneities, HNPGL tumorigenesis essentially adheres to a finalized and pre-defined histogenetic program, most likely retracing the footsteps of carotid body histogenesis [10,35,36]. Our findings likely bear on the development of PPGLs in general and could open up to a new understanding of the disease.

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