

# Hamiltonians for Two-Anyon Systems

Michele Correggi and Luca Oddis

*Dedicated to Gianfausto Dell'Antonio on the occasion of his 85th birthday*

**Abstract.** *We study the well-posedness of the Hamiltonian of a system of two anyons in the magnetic gauge. We identify all the possible quadratic forms realizing such an operator for non-interacting anyons and prove their closedness and boundedness from below. We then show that the corresponding self-adjoint operators give rise to a one-parameter family of extensions of the naive two-anyon Schrödinger operator. We finally extend the results in presence of a two-body radial interaction.*

## 1 Introduction

The possible existence in quantum mechanics of two-dimensional identical particles obeying to *fractional* or *intermediate statistics* (later named *anyons* [21]) was known since the pioneering work [11] of J.M. Leinaas and J. Myrheim. Whether such particles could exist in nature or play a role in physical models remained however an open question, until they were suggested as quasi-particle carriers in a model for the fractional quantum Hall effect [3]. Since then several physical theories involving anyons have been proposed to describe phenomena of condensed matter physics (see, e.g., [16] for an example).

Meanwhile, the mathematical implications of fractional statistics have been studied as well (see, e.g., [4, 7, 10, 11, 12, 14, 15, 17, 18, 19]), but despite the numerous results on the topic, the question of rigorous definition of the Hamiltonian for a many-anyon system has not been studied in detail. This is precisely the problem we deal with in this note for the simplest system of two anyons.

Let us now discuss in more details the question we plan to study: in the *magnetic gauge* the state of a two-anyon system is described by a wave function  $\Psi(\mathbf{x}_1, \mathbf{x}_2) \in L^2_{\text{sym}}(\mathbb{R}^4)$ , i.e., a square integrable function which is symmetric under exchange  $\mathbf{x}_1 \rightarrow \mathbf{x}_2$ . The Hamiltonian of the system  $\mathcal{H}_\alpha$  acts on  $L^2_{\text{sym}}(\mathbb{R}^4)$  as

$$\mathcal{H}_\alpha = \left( -i\nabla_1 + \frac{\alpha(\mathbf{x}_1 - \mathbf{x}_2)^\perp}{|\mathbf{x}_1 - \mathbf{x}_2|^2} \right)^2 + \left( -i\nabla_2 + \frac{\alpha(\mathbf{x}_2 - \mathbf{x}_1)^\perp}{|\mathbf{x}_1 - \mathbf{x}_2|^2} \right)^2 + V(|\mathbf{x}_1 - \mathbf{x}_2|), \quad (1.1)$$

where  $\alpha \in [0, 1]$  is the *statistic parameter* (with  $\alpha = 0, 1$  identifying *bosons* and *fermions*, respectively),  $V$  is the interaction potential,  $\mathbf{x}^\perp := (-y, x)$  and we have set  $m = 1/2$  and  $\hbar = 1$ . Hence, each particle generates a *Aharonov-Bohm* (AB) *magnetic potential*, affecting the other one. The intensity of such a field is proportional to the statistic parameter  $\alpha$ .

After the extraction of the center of mass, i.e., setting  $X_{\text{cm}} := \frac{1}{2}(\mathbf{x}_1 + \mathbf{x}_2)$  and  $\mathbf{r} := \mathbf{x}_1 - \mathbf{x}_2$ , the space of states becomes  $L^2(\mathbb{R}^2) \otimes L^2_{\text{even}}(\mathbb{R}^2)$ , where the latter one denotes the Hilbert space of square-integrable *even* functions. Note that the bosonic symmetry constraint translates into the parity request. The operator  $\mathcal{H}_\alpha$  becomes then  $\mathcal{H}_\alpha = 2(-\frac{1}{4}\Delta_{X_{\text{cm}}} + H_{\alpha,V}(\mathbf{r}))$ , where

$$H_{\alpha,V} := \left( -i\nabla_{\mathbf{r}} + \frac{\alpha\mathbf{r}^\perp}{r^2} \right)^2 + V(r), \quad (1.2)$$

acts only on  $L^2_{\text{even}}(\mathbb{R}^2)$ .

In the rest of the paper, we study the operator  $H_{\alpha,V}$  and, in particular, focus on its self-adjoint extensions. It is indeed easy to see that, at least if  $V = 0$  (we set  $H_\alpha := H_{\alpha,0}$ ),  $H_\alpha$  is symmetric and positive. Therefore, it certainly admits a self-adjoint extension, i.e., the *Friedrichs extension*, which is typically the one selected in almost all mathematical investigations of anyons. In fact, it is a bit harder to realize that  $H_\alpha$  is actually not essentially self-adjoint, e.g., on  $C_0^\infty(\mathbb{R}^2 \setminus \{0\})$ , which is a natural dense domain. The reason for this lack of self-adjointness is obviously the singularity at  $r = 0$  of the AB potential. In order to properly set up a two-anyon model (e.g., select the dynamics), one has thus to know more about the possible self-adjoint realizations of  $H_\alpha$ .

This question has already been investigated in [2] in the framework of Von Neumann operator theory, although the physical model considered there is slightly different (see below), and in a more heuristic way in [5]. Here, we take a different point of view and introduce a one-parameter family of *quadratic forms*, which are meant to describe the possible realizations of the energy of the system. Next, we prove in Thm. 2.4 that such forms are closed and bounded from below. Finally, in Cor. 2.6 we show that the corresponding operators are self-adjoint extensions of  $H_\alpha$  and, in fact, exhaust all such extensions. The results are then extended to the interacting case, under suitable assumptions on  $V$ .

We briefly recall here some notation that will be used in the rest of the paper. Given two functions  $f(x), g(x)$ , with  $g > 0$ , we use the following convention for Landau symbols:  $f = \mathcal{O}(g)$ , if  $\lim_{x \rightarrow 0^+} |f|/g \leq C$ ;  $f = o(g)$ , if  $\lim_{x \rightarrow 0^+} |f|/g = 0$ ;  $f \sim g$ , if  $f = \mathcal{O}(g)$  and  $\lim_{x \rightarrow 0^+} |f|/g > 0$ . Here and below  $C$  stands for a finite positive constant, whose value may change from line to line.

## 2 Main Results

### 2.1 Free anyons

Before providing the definition of the quadratic forms describing the possible realizations of the center of mass energy of a pair of anyons, we first have to introduce a quadratic form  $\mathcal{F}_{\alpha,\text{F}}$  which is associated to a very special extension of  $H_\alpha$ , i.e., the Friedrichs extension  $H_{\alpha,\text{F}}$ :  $H_\alpha$  is a positive symmetric operator and, as such, it admits at least one self-adjoint extension which is still positive and whose domain is contained in the domain of the corresponding quadratic form, simply defined as the expectation value of  $H_\alpha$ , i.e.,

$$\mathcal{F}_{\alpha,\text{F}}[\psi] := \mathcal{F}_\alpha[\psi] = \int_{\mathbb{R}^2} d\mathbf{r} \left| \left( -i\nabla + \frac{\alpha \mathbf{r}^\perp}{r^2} \right) \psi \right|^2, \tag{2.1}$$

with domain

$$\mathcal{D}[\mathcal{F}_{\alpha,\text{F}}] = \overline{C_0^\infty(\mathbb{R}^2 \setminus \{0\})}^{\|\cdot\|_\alpha} \cap L^2_{\text{even}}. \tag{2.2}$$

Here,  $\|\phi\|_\alpha^2 := \mathcal{F}_\alpha[\phi]$  and

$$L^2_{\text{even}} := \left\{ \psi \in L^2(\mathbb{R}^2) \mid \psi(-\mathbf{r}) = \psi(\mathbf{r}) \right\} = \bigoplus_{k \in \mathbb{Z}} \mathcal{H}_{2k}, \tag{2.3}$$

where we have denoted for short

$$\mathcal{H}_n := L^2(\mathbb{R}^+, r dr) \otimes \text{span} \left( e^{in\vartheta} \right), \tag{2.4}$$

and used polar coordinates  $\mathbf{r} = (r, \vartheta) \in \mathbb{R}^+ \times [0, 2\pi)$ . Throughout the paper, we will refer to the decomposition in (2.3) by setting

$$\psi(\mathbf{r}) = \frac{1}{\sqrt{2\pi}} \sum_{k \in \mathbb{Z}} \psi_{2k}(r) e^{2ik\vartheta}, \tag{2.5}$$

and use the notation  $\psi_n$  to denote the Fourier coefficients of  $\psi$ . With respect to such a decomposition, the quadratic form (2.1) can be rewritten as

$$\mathcal{F}_{\alpha,\text{F}}[\psi] = \mathcal{F}_\alpha[\psi] = \sum_{k \in \mathbb{Z}} \int_0^{+\infty} dr r \left\{ |\psi'_{2k}|^2 + \frac{(2k + \alpha)^2}{r^2} |\psi_{2k}|^2 \right\}. \tag{2.6}$$

As a preliminary result, we prove that  $\mathcal{F}_{\alpha,\text{F}}$  is closed on  $\mathcal{D}[\mathcal{F}_{\alpha,\text{F}}]$  and characterize its domain. Notice that at this stage the name Friedrichs extension is not justified and it will make sense only once the whole family of forms is defined.

**Proposition 2.1** (Friedrichs extension).

*The quadratic form  $\mathcal{F}_{\alpha,\text{F}}$  is closed and positive on  $\mathcal{D}[\mathcal{F}_{\alpha,\text{F}}]$  for any  $\alpha \in [0, 1]$ . Furthermore, for any  $\alpha \in (0, 1)$ ,*

$$\mathcal{D}[\mathcal{F}_{\alpha,\text{F}}] \subset H^1(\mathbb{R}^2). \tag{2.7}$$

The associated self-adjoint operator  $H_{\alpha, \mathbb{F}}$  acts as  $H_\alpha$  on the domain

$$\mathcal{D}(H_{\alpha, \mathbb{F}}) = \left\{ \psi \in \mathcal{D}[\mathcal{F}_{\alpha, \mathbb{F}}] \mid H_\alpha \psi \in L^2 \right\} = \left\{ \psi \mid \begin{aligned} & \psi|_{\mathcal{H}_n} \in H^2(\mathbb{R}^2), \forall n \neq 0; \\ & \psi_0 \in H^2(\mathbb{R}^2 \setminus \{0\}) \cap H^1(\mathbb{R}^2), \psi_0(r) \underset{r \rightarrow 0^+}{\sim} r^\alpha + o(r) \end{aligned} \right\}. \quad (2.8)$$

**Remark 2.2** (Asymptotics for  $r \rightarrow 0$ ).

Notice that the asymptotics in (2.8) applies only to the  $s$ -wave component of  $\psi$ , i.e.,  $\psi_0$ . In fact, the other Fourier components  $\psi_n, n \neq 0$ , are such that

$$\psi_n(r) = o(r), \quad \text{as } r \rightarrow 0^+, \quad (2.9)$$

i.e., functions in  $\mathcal{D}(H_{\alpha, \mathbb{F}})$  with non-zero angular momentum must vanish at 0 faster than  $r$ .

As we are going to see the form  $\mathcal{F}_{\alpha, \mathbb{F}}$  will be contained in the family of forms we are about to define and, among such forms,  $\mathcal{F}_{\alpha, \mathbb{F}}$  is the largest, namely the one with the largest lower bound, but also the one with smallest domain.

The family of quadratic forms  $\mathcal{F}_{\alpha, \beta}[\psi]$ ,  $\alpha \in (0, 1)$  and  $\beta \in \mathbb{R}$ , is defined as

$$\mathcal{F}_{\alpha, \beta}[\psi] := \mathcal{F}_\alpha[\phi_\lambda] - 2\lambda^2 \operatorname{Re} q \langle \phi_\lambda | G_\lambda \rangle + [\beta + (1 - \alpha) c_\alpha \lambda^{2\alpha}] |q|^2 \quad (2.10)$$

where  $\psi$  belongs to the domain

$$\mathcal{D}[\mathcal{F}_{\alpha, \beta}] = \left\{ \psi \in L^2_{\text{even}} \mid \psi = \phi_\lambda + q G_\lambda, \phi_\lambda \in \mathcal{D}[\mathcal{F}_{\alpha, \mathbb{F}}], q \in \mathbb{C} \right\}, \quad (2.11)$$

and  $G_\lambda, \lambda \in \mathbb{R}^+$ , is the defect function

$$G_\lambda(\mathbf{r}) := \lambda^\alpha K_\alpha(\lambda r), \quad (2.12)$$

with  $K_\alpha$  the modified Bessel function of index  $\alpha$  (see, e.g., [1, Sect. 9.6]). The coefficient  $c_\alpha$  is given by (see [9, Eq. 6.521.3])

$$c_\alpha := \frac{\lambda^{2-2\alpha} \|G_\lambda\|_2^2}{\alpha} = \frac{2\pi}{\alpha} \int_0^{+\infty} dr r |K_\alpha(r)|^2 = \frac{\pi^2}{\sin \pi \alpha} > 0 \quad (2.13)$$

and chosen in such a way that the form is independent of  $\lambda$  (see below). The key property of  $G_\lambda$  is that

$$(-\mathbf{D}_\alpha^2 + \lambda^2) G_\lambda = 0, \quad (2.14)$$

where we have used the short-hand notation

$$\mathbf{D}_\alpha := -i\nabla + \frac{\alpha \mathbf{r}^\perp}{r^2}. \quad (2.15)$$

The identity (2.14) is indeed a consequence of the Bessel equation [1, Eq. 9.6.1]

$$z^2 \partial_z^2 K_\alpha(z) + z K_\alpha(z) - (z^2 + \alpha^2) K_\alpha(z) = 0.$$

Notice that (see [1, Eqs. 9.6.9] or, more precisely, [9, Eqs. 8.440 & 8.443] and the definition of  $K_\alpha$ )

$$G_\lambda(\mathbf{r}) = 2^{\alpha-1} \Gamma(\alpha) r^{-\alpha} - \frac{\Gamma(1-\alpha) \lambda^{2\alpha}}{2^{1+\alpha}} r^\alpha + \mathcal{O}(r^{2-\alpha}), \quad \text{as } r \rightarrow 0^+, \quad (2.16)$$

(in particular  $G_\lambda \notin H^1(\mathbb{R}^2)$ ) while, for large  $r$  and  $\lambda$  positive,  $G_\lambda(\mathbf{r}) \sim r^{-1/2} e^{-r}$  [1, Eq. 9.7.2], so that

$$G_\lambda \in L^2(\mathbb{R}^2), \quad \text{if and only if } \alpha \in [0, 1). \quad (2.17)$$

For  $\alpha \in (0, 1)$ , the quadratic form (2.10) can in fact be rewritten as

$$\boxed{\mathcal{F}_{\alpha,\beta}[\psi] = \mathcal{F}_\alpha[\phi_\lambda] + \lambda^2 \|\phi_\lambda\|_2^2 - \lambda^2 \|\psi\|_2^2 + (\beta + c_\alpha \lambda^{2\alpha}) |q|^2}, \quad (2.18)$$

As anticipated, the Friedrichs form  $\mathcal{F}_{\alpha,F}$  is included in the family and formally recovered for  $\beta = +\infty$ , in which case  $q = 0$  and  $\mathcal{D}[\mathcal{F}_{\alpha,+\infty}] = \mathcal{D}[\mathcal{F}_{\alpha,F}]$ .

Before discussing the properties of the quadratic form  $\mathcal{F}_{\alpha,\beta}$ , we have in fact to show that the definition (2.10) is well-posed. First of all the decomposition  $\phi_\lambda + qG_\lambda$  is unique, since  $\phi_\lambda \in \mathcal{D}[\mathcal{F}_{\alpha,F}]$ , while  $G_\lambda \in L^2(\mathbb{R}^2) \setminus \mathcal{D}[\mathcal{F}_{\alpha,F}]$  (see (2.16) above). Furthermore, in spite of the presence of the parameter  $\lambda \in \mathbb{R}^+$ , the form (2.10) along with its domain (2.11) is in fact independent of  $\lambda$ : let  $\lambda_1 \neq \lambda_2 \in \mathbb{R}^+$ , then

$$G_{\lambda_1}(\mathbf{r}) - G_{\lambda_2}(\mathbf{r}) \in \mathcal{D}[\mathcal{F}_{\alpha,F}], \quad (2.19)$$

which is again a consequence of (2.16), since  $G_{\lambda_1}(\mathbf{r}) - G_{\lambda_2}(\mathbf{r}) = \mathcal{O}(r^\alpha)$ , as  $r \rightarrow 0^+$ . Now, let  $\psi = \phi_{\lambda_1} + qG_{\lambda_1} = \phi_{\lambda_2} + qG_{\lambda_2}$ , then we get

$$\begin{aligned} \mathcal{F}_{\alpha,\beta}[\psi] &= \mathcal{F}_\alpha[\phi_{\lambda_2} + q(G_{\lambda_2} - G_{\lambda_1})] + \lambda_1^2 \|\phi_{\lambda_1}\|_2^2 - \lambda_1^2 \|\psi\|_2^2 + (\beta + c_\alpha \lambda_1^{2\alpha}) |q|^2 \\ &= \mathcal{F}_\alpha[\phi_{\lambda_2}] - 2 \operatorname{Re} q \langle \phi_{\lambda_2} | \lambda_2^2 G_{\lambda_2} - \lambda_1^2 G_{\lambda_1} \rangle - |q|^2 \langle G_{\lambda_2} - G_{\lambda_1} | \lambda_2^2 G_{\lambda_2} - \lambda_1^2 G_{\lambda_1} \rangle \\ &\quad + \lambda_1^2 \|\phi_{\lambda_2} + q(G_{\lambda_2} - G_{\lambda_1})\|_2^2 - \lambda_1^2 \|\psi\|_2^2 + (\beta + c_\alpha \lambda_1^{2\alpha}) |q|^2, \end{aligned}$$

where we used (2.14). Writing now

$$2 \operatorname{Re} q \langle \phi_{\lambda_2} | G_{\lambda_2} \rangle = \|\psi\|^2 - \|\phi_{\lambda_2}\|_2^2 - |q|^2 \|G_{\lambda_2}\|_2^2$$

and exploiting the fact that  $G_\lambda$  is real, we obtain

$$\begin{aligned} \mathcal{F}_{\alpha,\beta}[\psi] &= \mathcal{F}_\alpha[\phi_{\lambda_2}] + \lambda_2^2 \|\phi_{\lambda_2}\|_2^2 - \lambda_2^2 \|\psi\|_2^2 + 2|q|^2 \langle \lambda_1^2 G_{\lambda_1} - \lambda_2^2 G_{\lambda_2} | G_{\lambda_1} \rangle \\ &\quad + [\beta + c_\alpha \lambda_1^{2\alpha} + (\lambda_1^2 + \lambda_2^2) \langle G_{\lambda_1} | G_{\lambda_2} \rangle - 2\lambda_1^2 \|G_{\lambda_1}\|_2^2] |q|^2 \\ &= \mathcal{F}_\alpha[\phi_{\lambda_2}] + \lambda_2^2 \|\phi_{\lambda_2}\|_2^2 - \lambda_2^2 \|\psi\|_2^2 + [\beta + c_\alpha \lambda_1^{2\alpha} + (\lambda_1^2 - \lambda_2^2) \langle G_{\lambda_1} | G_{\lambda_2} \rangle] |q|^2, \end{aligned}$$

which reproduces the expression of the quadratic form w.r.t. the  $\lambda_2$ -decomposition, via the identity [9, Eq. 6.521.3]

$$(\lambda_1^2 - \lambda_2^2) \langle G_{\lambda_1} | G_{\lambda_2} \rangle = c_\alpha (\lambda_2^{2\alpha} - \lambda_1^{2\alpha}).$$

**Remark 2.3** (Fermions).

Throughout the paper, we will always assume that  $\alpha \in [0, 1)$ , i.e., we exclude the case of fermions  $\alpha = 1$ , since in this case  $H_\alpha$  is essentially self-adjoint on  $C_0^\infty(\mathbb{R}^2 \setminus \{0\}) \cap L^2_{\text{even}}$  and therefore no other extension is admissible. From the point of view of quadratic forms, this is made apparent from the fact that (2.10) and (2.11) are ill-defined for  $\alpha = 1$ , since  $G_\lambda \notin L^2(\mathbb{R}^2)$ .

**Theorem 2.4** (Closedness and boundedness from below of  $\mathcal{F}_{\alpha,\beta}$ ).

For any  $\alpha \in (0, 1)$  and any  $\beta \in \mathbb{R}$ , the quadratic forms  $\mathcal{F}_{\alpha,\beta}$  is closed and bounded from below on the domain  $\mathcal{D}[\mathcal{F}_{\alpha,\beta}]$ . Furthermore,

$$\mathcal{F}_{\alpha,\beta}[\psi] \geq \begin{cases} 0, & \text{if } \beta \geq 0; \\ -\left(\frac{|\beta| \sin(\pi\alpha)}{\pi^2}\right)^{\frac{1}{\alpha}}, & \text{if } \beta < 0. \end{cases} \quad (2.20)$$

**Remark 2.5** (Bosons).

The above Theorem does not cover the case of bosons  $\alpha = 0$  simply because it can not be obtained as the (formal) limit  $\alpha \rightarrow 0^+$ . This is indeed made apparent from the fact that  $c_\alpha \rightarrow +\infty$ , as  $\alpha \rightarrow 0^+$ , which generates a divergence in the last term of the quadratic form. This is in turn related to the change of behavior at the origin of the defect function  $G_\lambda$ , when  $\lambda^\alpha K_\alpha(\lambda r)$  is replaced with  $K_0(\lambda r)$ . The asymptotics of the latter as  $r \rightarrow 0^+$  is indeed  $K_0(\lambda r) = -\log r + \log \lambda/2 + \gamma_E + \mathcal{O}(r^2)$ ,  $\gamma_E$  being the Euler constant.

We do expect however that, if the quadratic form is properly modified to take into account the different properties of the defect function  $K_0(\lambda r)$ , its closedness and boundedness from below hold true as well and the energy form (more precisely its restriction to  $L^2_{\text{even}}(\mathbb{R}^2)$ ) of a particle with a point interaction at the origin (see, e.g., [6]) is recovered.

As we are going to see the bound from below stated in (2.20) is sharp, i.e., it also provides the ground state energy (in fact, the energy of the unique negative eigenvalue) of the corresponding self-adjoint operator.

Indeed, being  $\mathcal{F}_{\alpha,\beta}$  a family of closed and bounded from below quadratic forms, they uniquely identify a family of self-adjoint operators which are bounded from below as well:

**Corollary 2.6** (Self-adjoint operators  $H_{\alpha,\beta}$ ).

The one-parameter family of self-adjoint operators associated to the forms  $\mathcal{F}_{\alpha,\beta}$ ,  $\alpha \in (0, 1)$  and  $\beta \in \mathbb{R}$ , is given by

$$(H_{\alpha,\beta} + \lambda^2) \psi = (H_\alpha + \lambda^2) \phi_\lambda, \quad (2.21)$$

$$\mathcal{D}(H_{\alpha,\beta}) = \left\{ \psi \in L^2_{\text{even}} \mid \psi_n \in \mathcal{D}(H_{\alpha,\mathbb{F}}), \forall n \neq 0; \psi_0 = \phi_\lambda + qG_\lambda, \right. \\ \left. \phi_\lambda \in \mathcal{D}(H_{\alpha,\mathbb{F}}), q = -\frac{\Gamma(\alpha)}{2^{1-\alpha}(\beta + c_\alpha \lambda^{2\alpha})} \lim_{r \rightarrow 0^+} \frac{\alpha \phi_\lambda(r) + r \phi'_\lambda(r)}{r^\alpha} \right\}, \quad (2.22)$$

where  $\lambda > 0$  is free to choose provided  $\beta + c_\alpha \lambda^{2\alpha} \neq 0$ .

Furthermore, the operators  $H_{\alpha,\beta}$  extend  $H_\alpha$ , i.e.,  $H_\alpha|_{\mathcal{D}(H_\alpha)} = H_\alpha$ , and, conversely, any self-adjoint extension of  $H_\alpha$  is included in the family  $H_{\alpha,\beta}$ ,  $\beta \in \mathbb{R}$ .

**Remark 2.7** (Boundary condition).

Functions in the domain of  $H_{\alpha,\beta}$  have thus to satisfy a suitable boundary condition at 0. Note that such a condition is well-posed since  $\phi_\lambda$  is radial (it belongs to the zero-angular momentum sector) and in  $H^2(\mathbb{R}^2)$  (see Proposition 2.1). In fact, we can assume that  $\phi_\lambda(r) \underset{r \rightarrow 0^+}{=} d_\lambda r^\alpha(1 + o(1))$ , for some  $d_\lambda \in \mathbb{R}$ . Then, (2.22) reads

$$q = -\frac{2^\alpha \alpha \Gamma(\alpha)}{\beta + c_\alpha \lambda^{2\alpha}} d_\lambda, \tag{2.23}$$

so making apparent that  $q = 0$ , if  $\phi_\lambda$  vanishes faster than  $r^\alpha$ .

**Remark 2.8** ( $s$ -wave perturbation).

It is clear from the domain definition (2.22) that  $H_{\alpha,\beta}$  defines an  $s$ -wave perturbation of the operator  $H_\alpha$ : it is indeed only the 0-momentum Fourier component  $\psi_0$  which has to satisfy the decomposition as in (2.22). Furthermore,  $H_{\alpha,\beta}|_{\mathcal{H}_{2k}} = H_{\alpha,F}$ , for any  $k \in \mathbb{Z}, k \neq 0$ .

Since all the quadratic forms of the positive extensions (i.e., those with  $\beta \geq 0$ ) have the same domain, it is not immediate to identify the Krein extension [20, Thm. 13.12]. However, in perfect analogy with point interactions, it seems natural to associate the Krein extension to the one labelled by  $\beta = 0$ , since it marks the threshold for the existence of negative bound states. Furthermore, from (2.22), one can see that both the limits  $\beta \rightarrow +\infty$  and  $\beta \rightarrow -\infty$  recover the Friedrichs extension, since in both cases the charge  $q$  is identically zero.

The second part of the Corollary, i.e., the fact that the family  $H_{\alpha,\beta}$  exhausts all possible self-adjoint realizations of  $H_\alpha$  is in fact a consequence of the results proven in [2], where the self-adjoint extensions of  $H_\alpha$  in  $L^2(\mathbb{R}^2)$  are thoroughly investigated. Notice that the physical goal in [2] was not to study anyons but rather rigorously derive the Hamiltonian of a quantum particle in a Aharonov-Bohm (AB) magnetic flux centered at the origin. Formally, the operator coincides with  $H_\alpha$  but the space of states is much wider, since no symmetry restriction is imposed. In [2] it is proven that  $H_\alpha$  admits in  $L^2(\mathbb{R}^2)$  a four-parameter family of self-adjoint extensions [2, Eqs. (2.18) and (2.19)], whose domains and actions can be explicitly characterized: all extensions are indeed perturbations of the operator  $H_{\alpha,F}$  living in the  $s$ - and  $p$ -wave subspaces. This can be seen by studying the deficiency spaces associated to  $H_\alpha$ , which are spanned by four functions belonging to  $\mathcal{H}_0$  and  $\mathcal{H}_{-1}$ , respectively.

Once the operator  $H_\alpha$  is restricted to  $L^2_{\text{even}}$  and functions with odd angular momentum forbidden, only two solutions of the deficiency equations survive and, consequently, a one-parameter family of self-adjoint extensions is left: let us denote by  $\gamma \in [0, 2\pi)$  a real parameter, then the operator family is given by

$$H_{\alpha,\gamma}\psi = H_\alpha\phi + i\mu\psi_{\alpha,+} - i\mu e^{i\gamma}\psi_{\alpha,-}, \tag{2.24}$$

$$\mathcal{D}(H_{\alpha,\gamma}) = \left\{ \psi \mid \psi = \phi + \mu\psi_{\alpha,+} + \mu e^{i\gamma}\psi_{\alpha,-}, \phi \in \mathcal{D}(H_{\alpha,\mathbb{F}}), \mu \in \mathbb{C} \right\}, \quad (2.25)$$

where the ( $L^2$ -normalized) deficiency functions  $\psi_{\alpha,\pm}$  are

$$\begin{aligned} \psi_{\alpha,+}(r) &= \frac{\sqrt{2 \cos(\pi\alpha/2)}}{\pi} K_{\alpha}(e^{-i\pi/4}r), \\ \psi_{\alpha,-}(r) &= \frac{\sqrt{2 \cos(\pi\alpha/2)}}{\pi} e^{i\pi\alpha/2} K_{\alpha}(e^{i\pi/4}r). \end{aligned} \quad (2.26)$$

As expected,  $H_{\alpha,\gamma}$  differs from  $H_{\alpha}$  only in the subspace with zero angular momentum, since  $\psi_{\alpha,\pm} \in \mathcal{H}_0$ . In the proof of Cor. 2.6 we show that the family (2.25) is in fact contained in (2.22) and thus the two must coincide.

**Proposition 2.9** (Spectral properties of  $H_{\alpha,\beta}$ ).

For any  $\alpha \in (0, 1)$  and any  $\beta \in \mathbb{R}$ ,  $\sigma(H_{\alpha,\beta}) = \sigma_{\text{pp}}(H_{\alpha,\beta}) \cup \sigma_{\text{ac}}(H_{\alpha,\beta})$ , with  $\sigma_{\text{ac}}(H_{\alpha,\beta}) = \mathbb{R}^+$  and

$$\sigma_{\text{pp}}(H_{\alpha,\beta}) = \begin{cases} \left\{ - \left( \frac{|\beta| \sin(\pi\alpha)}{\pi^2} \right)^{\frac{1}{\alpha}} \right\}, & \text{if } \beta < 0; \\ \emptyset, & \text{otherwise.} \end{cases} \quad (2.27)$$

## 2.2 Interacting anyons

Once the Hamiltonian of two non-interacting anyons has been rigorously defined as a suitable self-adjoint operator belonging to the family  $H_{\alpha,\beta}$ , it is natural to wonder whether the same results apply to a system of two anyons with pairwise interaction. As discussed in the Introduction, this amounts to study the self-adjoint realizations of the operator

$$H_{\alpha,V} = H_{\alpha} + V,$$

defined, e.g., on the domain of smooth functions with support away from the origin in  $L^2_{\text{even}}$ . The generalization can not however hold true for any  $V$ , because the properties of the domain of the Friedrichs extension (if any) strongly depends on the potential  $V$ . A trivial case in which Theorem 2.4 and Corollary 2.6 do apply is given by small perturbations of  $H_{\alpha,\mathbb{F}}$  in the sense of Kato: if  $V$  is Kato-small either in operator or quadratic form sense w.r.t.  $H_{\alpha}$ , self-adjointness of  $H_{\alpha,\mathbb{F},V}$ , i.e., the Friedrichs extension of  $H_{\alpha,V}$ , easily follows. The remaining operators  $H_{\alpha,\beta,V}$  in the family are then obtained by perturbing the corresponding  $H_{\alpha,\beta}$ .

As a starting point of our discussion, we observe that if  $V$  is real and bounded from below (as we are going to assume in next Ass. 1), it certainly admits self-adjoint extensions, because it commutes with the complex conjugation. The number of parameters to label such extensions is however unknown.

Let us specify the assumptions we make on the interaction:



**Assumption 1** (Interaction potential  $V$ ).

Let  $V = V(r)$  be a real radial function and let  $V_{\pm}$  denote the positive and negative parts of  $V$ , respectively, i.e.,  $V = V_+ - V_-$ . Then, we assume that

- $V_+ \in L^2_{\text{loc}}(\mathbb{R}^+) \cap L^\infty([0, \varepsilon))$ ;
- $V_- \in L^\infty(\mathbb{R}^+)$ .

The above assumptions are certainly not optimal but at least imply that  $H_V := -\Delta + V$  is a semi-bounded self-adjoint operator (see, e.g., [13]) with domain  $\mathcal{D}(H_V) \subset H^2(\mathbb{R}^2)$ . In fact, the same thing holds for  $H_{\alpha,V}$ : let  $\mathcal{F}_{\alpha,F,V}$  be the quadratic form

$$\mathcal{F}_{\alpha,F,V}[\psi] := \mathcal{F}_{\alpha,F}[\psi] + \int_{\mathbb{R}^2} d\mathbf{r} V(r) |\psi|^2, \tag{2.28}$$

with domain

$$\mathcal{D}[\mathcal{F}_{\alpha,F,V}] := \overline{C_0^\infty(\mathbb{R}^2 \setminus \{0\})}^{\|\cdot\|_{\alpha,V}} \cap L^2_{\text{even}}, \tag{2.29}$$

where  $\|\psi\|_{\alpha,V}^2 := \mathcal{F}_{\alpha,F,V}[\psi] + V_0 \|\psi\|_2^2$ , and

$$V_0 := \sup_{r \in \mathbb{R}^+} V_-(r). \tag{2.30}$$

**Proposition 2.10** (Friedrichs extension).

Let Ass. 1 hold true. Then, the quadratic form  $\mathcal{F}_{\alpha,F,V}$  is closed and bounded from below on  $\mathcal{D}[\mathcal{F}_{\alpha,F,V}]$  for any  $\alpha \in [0, 1]$ . Furthermore, for any  $\alpha \in (0, 1)$ ,

$$\mathcal{D}[\mathcal{F}_{\alpha,F,V}] \subset H^1(\mathbb{R}^2). \tag{2.31}$$

The associated self-adjoint operator  $H_{\alpha,F,V}$  acts as  $H_{\alpha,V}$  on the domain

$$\mathcal{D}(H_{\alpha,F,V}) = \{ \psi \in \mathcal{D}(H_{\alpha,F}) \mid V\psi \in L^2 \}. \tag{2.32}$$

In the proof of the above result is obviously a key point the assumption that  $V$  is bounded in  $L^\infty$  above and below in a neighborhood of the origin as well as the fact that  $V$  is radial. While the latter one is less relevant and might be relaxed, the behavior of  $V$  close to the origin affects the asymptotics of functions in  $\mathcal{D}(H_{\alpha,F,V})$  there.

Given Prop. 2.10, we can extend Thm. 2.4. We set for  $\alpha \in (0, 1)$  and  $\beta \in \mathbb{R}$

$$\boxed{\mathcal{F}_{\alpha,\beta,V}[\psi] = \mathcal{F}_{\alpha,V}[\phi_\lambda] + \lambda^2 \|\phi_\lambda\|_2^2 - \lambda^2 \|\psi\|_2^2 + (\beta + c_\alpha \lambda^{2\alpha}) |q|^2}, \tag{2.33}$$

where  $\psi$  belongs to the domain

$$\mathcal{D}[\mathcal{F}_{\alpha,\beta,V}] = \{ \psi \in L^2_{\text{even}} \mid \psi = \phi_\lambda + qG_\lambda, \phi_\lambda \in \mathcal{D}[\mathcal{F}_{\alpha,F,V}], q \in \mathbb{C} \}, \tag{2.34}$$

and  $G_\lambda$ ,  $\lambda \in \mathbb{R}^+$ , and  $c_\alpha$  have been defined in (2.12) and (2.13), respectively. Again, the Friedrichs form  $\mathcal{F}_{\alpha,F}$  is included in the family and recovered for  $\beta = \infty$ .

Note that the decomposition in the domain (2.34) is given in terms of  $G_\lambda$ , which is *not* a deficiency function for  $H_{\alpha,V}$ , but rather for  $H_\alpha$ . However, this has no consequences at the level of quadratic forms: although we do not explicitly know  $\ker(H_{\alpha,V}^* + \lambda^2)$ , any function belonging there must have the same singularity at the origin as  $G_\lambda$ . Furthermore, the decomposition is well-posed because  $G_\lambda - G_{\lambda'} \in \mathcal{D}[\mathcal{F}_{\alpha,F,V}]$ , for  $\lambda \neq \lambda'$ , thanks to Prop. 2.10.

**Theorem 2.11** (Closedness and boundedness from below of  $\mathcal{F}_{\alpha,\beta,V}$ ).  
*Let Ass. 1 hold true. Then, for any  $\alpha \in (0, 1)$  and any  $\beta \in \mathbb{R}$ , the quadratic forms  $\mathcal{F}_{\alpha,\beta,V}$  is closed and bounded from below on the domain  $\mathcal{D}[\mathcal{F}_{\alpha,\beta,V}]$ . Furthermore,*

$$\frac{\mathcal{F}_{\alpha,\beta,V}[\psi]}{\|\psi\|_2^2} \geq \begin{cases} -V_0, & \text{if } \beta \geq 0; \\ -\left(\frac{|\beta|}{c_\alpha}\right)^{\frac{1}{\alpha}} - V_0, & \text{if } \beta < 0. \end{cases} \tag{2.35}$$

**Corollary 2.12** (Self-adjoint operators  $H_{\alpha,\beta,V}$ ).  
*Let Ass. 1 hold true. Then, the one-parameter family of self-adjoint operators associated to the forms  $\mathcal{F}_{\alpha,\beta,V}$ ,  $\alpha \in (0, 1)$  and  $\beta \in \mathbb{R}$ , is given by*

$$(H_{\alpha,\beta,V} + \lambda^2) \psi = (H_{\alpha,V} + \lambda^2) \phi_\lambda, \tag{2.36}$$

$$\mathcal{D}(H_{\alpha,\beta,V}) = \left\{ \psi \mid \psi_n \in \mathcal{D}(H_{\alpha,F,V}), \forall n \neq 0; \psi_0 = \phi_\lambda + qG_\lambda, \phi_\lambda \in \mathcal{D}(H_{\alpha,F,V}), \right. \\ \left. q = \frac{1}{\beta + c_\alpha \lambda^{2\alpha}} \left[ \langle G_\lambda | V | \phi_\lambda \rangle - \frac{\Gamma(\alpha)}{2^{1-\alpha}} \lim_{r \rightarrow 0^+} \frac{\alpha \phi_\lambda(r) + r \phi'_\lambda(r)}{r^\alpha} \right] \right\}, \tag{2.37}$$

where  $\lambda > 0$  is free to choose provided  $\beta + c_\alpha \lambda^{2\alpha} \neq 0$ . Furthermore, the operators  $H_{\alpha,\beta,V}$  extend  $H_{\alpha,V}$ , i.e.,  $H_{\alpha,V}|_{\mathcal{D}(H_{\alpha,V})} = H_{\alpha,V}$ .

**Remark 2.13** (Definition of  $q$ ).

It is interesting to observe that the boundary condition in (2.22) has been replaced in (2.37) by a non-local condition defining the complex parameter  $q$ . Indeed, the scalar product on the r.h.s. does not depend only on the behavior of  $\phi_\lambda$  at the origin, but rather on the function  $\phi_\lambda$  itself. Notice however that, since  $\phi_\lambda \in \mathcal{D}(H_{\alpha,F,V})$ ,  $V\phi_\lambda \in L^2(\mathbb{R}^2)$  and therefore the quantity is well defined. The reason behind the different definition of  $q$  is that  $G_\lambda$  does not belong to  $\ker(H_{\alpha,V}^* + \lambda^2)$ .

### 3 Proofs

#### 3.1 Non-interacting anyons

The first result we prove is Prop. 2.1:

*Proof of Proposition 2.1.* Closedness is a trivial consequence of the definition (2.2). Moreover it is not difficult to see that  $\mathcal{F}_\alpha[\phi] \geq C \|\phi\|_{H^1(\mathbb{R}^2)}^2$ , which implies (2.7).

In order to derive (2.8), we use the definition of the self-adjoint operator  $H_{\alpha,F}$  associated to the quadratic form  $\mathcal{F}_{\alpha,F}$ : the domain of  $H_{\alpha,F}$  is given by

$$\mathcal{D}(H_{\alpha,F}) = \left\{ v \in \mathcal{D}[\mathcal{F}_{\alpha,F}] \mid \exists w \in L^2_{\text{even}}, \mathcal{F}_{\alpha,F}[u, v] = \langle u|w \rangle, \forall u \in \mathcal{D}[\mathcal{F}_{\alpha,F}] \right\}, \tag{3.1}$$

where  $\mathcal{F}_{\alpha,F}[u, v]$  is the sesquilinear form constructed from  $\mathcal{F}_{\alpha,F}$ , e.g., by polarization (recall (2.15))

$$\mathcal{F}_{\alpha,F}[u, v] = \int_{\mathbb{R}^2} d\mathbf{r} \mathbf{D}_\alpha u^* \cdot \mathbf{D}_\alpha v. \tag{3.2}$$

Moreover, in the notation of the above definition,

$$w =: H_{\alpha,F}v. \tag{3.3}$$

Integrating by parts the first term in (3.2) and using that  $u$  and  $v$  both vanish in a neighborhood of 0, the identification of the action of  $H_{\alpha,F}$  with the one of  $H_\alpha$  is immediate. The vanishing of any  $\psi \in \mathcal{D}[\mathcal{F}_{\alpha,F}]$  at 0 can be seen from the decomposition (2.6): for any  $\alpha \in (0, 1)$  and for any  $k \in \mathbb{Z}$ , it must be

$$\int_{\mathbb{R}^2} d\mathbf{r} |\psi'_{2k}|^2 < +\infty, \quad \int_{\mathbb{R}^2} d\mathbf{r} r^{-2} |\psi_{2k}|^2 < +\infty, \tag{3.4}$$

since  $2k - \alpha \neq 0, \forall k \in \mathbb{Z}$ . Hence, both conditions are met at the same time only if  $\psi_{2k} \rightarrow 0$ , as  $r \rightarrow 0$ , for any  $k \in \mathbb{Z}$ . Notice also that the second condition for  $k \neq 0$  is in fact implicitly contained in the hypothesis  $\psi \in H^1(\mathbb{R}^2)$ .

Any  $\psi \in \mathcal{D}(H_{\alpha,F})$  must then be such that  $\psi \in \mathcal{D}[\mathcal{F}_{\alpha,F}]$  and  $H_\alpha\psi \in L^2(\mathbb{R}^2)$ . If we decompose  $\psi$  in cylindrical harmonics as in (2.5), this is equivalent to the request  $\psi_{2k} \in H^2(\mathbb{R}^2)$ , for any  $k \neq 0$ : for any function  $\psi \in H^2(\mathbb{R}^2) \cap \mathcal{H}_{2k}, k \neq 0$ , we have

$$(-\Delta\psi)(\mathbf{r}) = \left[ -\psi''_{2k}(r) - \frac{1}{r}\psi'_{2k}(r) + \frac{4k^2}{r^2}\psi_{2k}(r) \right] e^{2ik\theta},$$

and obviously any such  $\psi$  must vanish faster than  $r$  at the origin, in such a way that the last term above, i.e.,  $r^{-2}\psi$  is square integrable. The AB potential squared, which is also proportional to  $r^{-2}$ , is then automatically bounded on such functions.

On the contrary, in  $\mathcal{H}_0$ , we may have that  $\psi_0 \in \mathcal{D}(H_{\alpha,F})$  but  $\psi_0 \notin H^2(\mathbb{R}^2)$ . Indeed,  $\psi_0$  belongs to  $\mathcal{D}(H_{\alpha,F})$ , if either  $-\Delta\psi_0 \in L^2(\mathbb{R}^2)$  and  $r^{-2}\psi_0 \in L^2(\mathbb{R}^2)$ , which implies that

$$\psi_0 \in H^2(\mathbb{R}^2), \quad \text{and} \quad \psi_0 = o(r), \quad \text{as } r \rightarrow 0^+, \tag{3.5}$$

or the single terms are not in  $L^2$  but the sum does. Since, however,  $\psi_0 \in \mathcal{D}[\mathcal{F}_{\alpha,F}]$  and therefore it must vanish at the origin, we can assume that  $\psi_0(\mathbf{r}) \sim r^\nu(1+o(1))$ , as  $r \rightarrow 0^+$ , for some  $0 < \nu < 1$ , which implies that  $\psi_0 \notin H^2(\mathbb{R}^2)$ , and

$$(-\nu^2 + \alpha^2)r^{\nu-2} \in L^2(\mathbb{R}^2), \tag{3.6}$$

which requires

$$\nu = \alpha. \tag{3.7}$$

Notice that the vanishing of the factor in (3.6) is met also if  $\nu = -\alpha$ , but this is not acceptable because  $\psi \in \mathcal{D}[\mathcal{F}_{\alpha, \mathbb{F}}]$  and therefore it must vanish at 0.  $\square$

We can now focus on the quadratic form  $\mathcal{F}_{\alpha, \beta}$ . As shown in Sect. 2, the definition is well-posed and  $\mathcal{D}[\mathcal{F}_{\alpha, \beta}]$  is actually independent of the choice of the parameter  $\lambda > 0$ . We are now going to prove the main result of the paper:

*Proof of Theorem 2.4.* The proof is divided in two steps: first, we show that the quadratic form  $\mathcal{F}_{\alpha, \beta}$  is bounded from below for any  $\alpha \in (0, 1)$  and  $\beta \in \mathbb{R}$ ; next, we prove closedness. In both steps, the freedom in the choice of  $\lambda > 0$  will be key.

From (2.18) one immediately gets the inequality

$$\mathcal{F}_{\alpha, \beta}[\psi] \geq -\lambda^2 \|\psi\|_2^2 + (\beta + c_\alpha \lambda^{2\alpha}) |q|^2, \tag{3.8}$$

where the coefficient  $c_\alpha > 0$  is given by (2.13). Now, if  $\beta \geq 0$ , there is nothing to prove and one actually obtains  $\mathcal{F}_{\alpha, \beta}[\psi] \geq 0$ , by taking  $\lambda$  arbitrarily small. If on the opposite  $\beta < 0$ , we pick

$$\lambda = \left( \frac{|\beta|}{c_\alpha} \right)^{\frac{1}{2\alpha}}, \tag{3.9}$$

which leads to (2.20).

To prove closedness, we first pick  $\lambda > \bar{\lambda}$ , where

$$\bar{\lambda} = \begin{cases} 0, & \text{if } \beta \geq 0, \\ \left( \frac{|\beta|}{c_\alpha} \right)^{\frac{1}{2\alpha}}, & \text{otherwise,} \end{cases} \tag{3.10}$$

and investigate the form  $\tilde{\mathcal{F}}_{\alpha, \beta}[\psi] := \mathcal{F}_{\alpha, \beta}[\psi] + \lambda^2 \|\psi\|_2^2$ . Of course, closedness of  $\tilde{\mathcal{F}}_{\alpha, \beta}$  is totally equivalent to closedness of  $\mathcal{F}_{\alpha, \beta}$ . Let us then take a sequence  $\{\psi_n\}_{n \in \mathbb{N}}$  of wave functions belonging to  $\mathcal{D}[\mathcal{F}_{\alpha, \beta}]$  and such that

$$\lim_{n, m \rightarrow \infty} \tilde{\mathcal{F}}_{\alpha, \beta}[\psi_n - \psi_m] = 0, \quad \lim_{n, m \rightarrow \infty} \|\psi_n - \psi_m\|_2^2 = 0. \tag{3.11}$$

Let  $\psi \in L^2_{\text{even}}$  be the limit of the sequence, we want to prove that  $\psi \in \mathcal{D}[\mathcal{F}_{\alpha, \beta}]$ .

Since  $\psi_n \in \mathcal{D}[\mathcal{F}_{\alpha, \beta}]$  for any  $n$ , we can decompose

$$\psi_n = \phi_n + q_n G_\lambda, \tag{3.12}$$

where  $\phi_n \in \mathcal{D}[\mathcal{F}_{\alpha, \mathbb{F}}]$  and  $q_n \in \mathbb{C}$ . Thanks to the choice of  $\lambda$ , we have

$$\tilde{\mathcal{F}}_{\alpha, \beta}[\psi_n - \psi_m] \geq \mathcal{F}_{\alpha, \mathbb{F}}[\phi_n - \phi_m] + \lambda^2 \|\phi_n - \phi_m\|_2^2 + (\beta + c_\alpha \lambda^{2\alpha}) |q_n - q_m|^2,$$

which implies, by positivity of  $\mathcal{F}_{\alpha, F}$ , that  $\mathcal{F}_{\alpha, F} [\phi_n - \phi_m] \rightarrow 0$  and  $\|\phi_n - \phi_m\|_2^2 \rightarrow 0$ . Hence, by closedness of  $\mathcal{F}_{\alpha, F}$ ,  $\phi_n \rightarrow \phi \in \mathcal{D}[\mathcal{F}_{\alpha, F}]$ . Moreover, we also have  $|q_n - q_m| \rightarrow 0$  and thus  $\{q_n\}_{n \in \mathbb{N}}$  is a Cauchy sequence in  $\mathbb{C}$ , which implies that  $q_n \rightarrow q \in \mathbb{C}$ . In conclusion,

$$\psi_n \xrightarrow[n \rightarrow \infty]{} \phi + qG_\lambda \in \mathcal{D}[\mathcal{F}_{\alpha, \beta}], \tag{3.13}$$

and the result is proven. □

Once it is known that the forms  $\mathcal{F}_{\alpha, \beta}$  are closed on their domain  $\mathcal{D}[\mathcal{F}_{\alpha, \beta}]$ , it is natural to find out what is the associated self-adjoint operator. This leads to Cor. 2.6.

*Proof of Corollary 2.6.* In order to figure out what is the operator  $H_{\alpha, \beta}$  associated to  $\mathcal{F}_{\alpha, \beta}$ , we apply the definition (3.1), where the sesquilinear form associated to  $\mathcal{F}_{\alpha, \beta}$  (constructed, e.g., by polarization) reads

$$\begin{aligned} \mathcal{F}_{\alpha, \beta}[u, v] &= \mathcal{F}_\alpha[\phi_u, \phi_v] - q_u^* \langle G_\lambda | \phi_v \rangle - q_v \langle \phi_u | G_\lambda \rangle + \beta q_u^* q_v \\ &= \mathcal{F}_\alpha[\phi_u, \phi_v] + \lambda^2 \langle \phi_u | \phi_v \rangle - \lambda^2 \langle u | v \rangle + (\beta + c_\alpha \lambda^{2\alpha}) q_u^* q_v, \end{aligned} \tag{3.14}$$

w.r.t. the decompositions  $u = \phi_u + q_u G_\lambda$ ,  $v = \phi_v + q_v G_\lambda$ , valid for any  $u, v \in \mathcal{D}[\mathcal{F}_{\alpha, \beta}]$ .

Let us first assume that  $u \in \mathcal{D}[\mathcal{F}_{\alpha, \beta}]$  varies in the dense subset given by  $\mathcal{D}[\mathcal{F}_{\alpha, F}]$ . If this is the case, then  $u = \phi_u$ , i.e.,  $q_u = 0$ , and the form simplifies:

$$\mathcal{F}_{\alpha, \beta}[u, v] = \mathcal{F}_{\alpha, F}[\phi_u, \phi_v] + \lambda^2 \langle \phi_u | \phi_v \rangle - \lambda^2 \langle \phi_u | v \rangle = \langle \phi_u | w \rangle, \tag{3.15}$$

where  $w \in L_{\text{even}}^2$  and the identity has to be satisfied at least for any  $u \in \mathcal{D}[\mathcal{F}_{\alpha, F}]$ . Since  $\phi_v, v \in L_{\text{even}}^2$  by assumption, the above identity implies that there exists  $w' \in L_{\text{even}}^2$  such that

$$\mathcal{F}_{\alpha, F}[\phi_u, \phi_v] = \langle \phi_u | w' \rangle,$$

for any  $\phi_u \in \mathcal{D}[\mathcal{F}_{\alpha, F}]$ . This is the definition of the domain of  $H_{\alpha, F}$  (compare with the proof of Prop. 2.1) and therefore we conclude that

$$\phi_v \in \mathcal{D}(H_{\alpha, F}). \tag{3.16}$$

Moreover,

$$H_{\alpha, \beta} v := w = H_{\alpha, F} \phi_v + \lambda^2 \phi_v - \lambda^2 v, \tag{3.17}$$

which provides the action of the operator.

Next, we pick a generic  $u \in \mathcal{D}[\mathcal{F}_{\alpha, \beta}]$ , i.e., with  $q_u \neq 0$ , and get

$$\begin{aligned} \mathcal{F}_{\alpha, F}[\phi_u, \phi_v] + \lambda^2 \langle \phi_u | \phi_v \rangle - \lambda^2 \langle u | v \rangle + (\beta + c_\alpha \lambda^{2\alpha}) q_u^* q_v &= \langle u | w \rangle \\ = \langle \phi_u | H_{\alpha, F} \phi_v \rangle + \lambda^2 \langle \phi_u | \phi_v \rangle - \lambda^2 \langle u | v \rangle + q_u^* \langle G_\lambda | (H_{\alpha, F} + \lambda^2) \phi_v \rangle, \end{aligned} \tag{3.18}$$

where we have made use of (3.17). Now, the first three terms exactly cancel with the first three on the l.h.s., so that we get the equation

$$(\beta + c_\alpha \lambda^{2\alpha}) q_u^* q_v = q_u^* \langle G_\lambda | (H_{\alpha, F} + \lambda^2) \phi_{v,0} \rangle, \quad (3.19)$$

which has to be satisfied for any  $q_u \in \mathbb{C}$ . Notice that only the 0-th Fourier component  $\phi_{v,0}$  appears, because  $H_{\alpha, F}$  commutes with rotations and  $G_\lambda \in \mathcal{H}_0$ . Hence, if  $\beta + c_\alpha \lambda^{2\alpha-2} \neq 0$ , which we can always assume,

$$(\beta + c_\alpha \lambda^{2\alpha}) q_v = \langle G_\lambda | (H_{\alpha, F} + \lambda^2) \phi_{v,0} \rangle. \quad (3.20)$$

On the other hand,

$$\langle G_\lambda | (H_{\alpha, F} + \lambda^2) \phi_{v,0} \rangle = \int_0^\infty dr r G_\lambda(r) \left[ \left( -\Delta + \frac{\alpha^2}{r^2} + \lambda^2 \right) \phi_{v,0} \right](r), \quad (3.21)$$

and a direct integration by parts yields

$$\begin{aligned} \int_0^\infty dr r G_\lambda(-\Delta \phi_{v,0}) &= - \int_0^\infty dr G_\lambda \partial_r (r \phi'_{v,0}) \\ &= |r G'_\lambda(r) \phi_{v,0}(r)|_{0^+}^{+\infty} - |r G_\lambda(r) \phi'_{v,0}(r)|_{0^+}^{+\infty} + \int_0^\infty dr r (-\Delta G_\lambda) \phi_{v,0}. \end{aligned} \quad (3.22)$$

Combining (2.14) with the vanishing of any  $\phi_{v,0} \in \mathcal{D}(H_{\alpha, F})$  at the origin by Prop. 2.1, which guarantees that  $G_\lambda \in \mathcal{D}(H_{\alpha, F}^*)$ , we deduce

$$(H_{\alpha, F}^* + \lambda^2) G_\lambda = 0. \quad (3.23)$$

Applying it to (3.20) via (3.22), we conclude that

$$(\beta + c_\alpha \lambda^{2\alpha}) q_v = - |r G_\lambda(r) \phi'_{v,0}(r)|_{0^+}^{+\infty} + |r G'_\lambda(r) \phi_{v,0}(r)|_{0^+}^{+\infty}, \quad (3.24)$$

which then yields the boundary condition in (2.22), if one exploits the asymptotics of  $G_\lambda$  for  $r \rightarrow 0^+$  (2.16). The condition  $\beta + c_\alpha \lambda^{2\alpha} \neq 0$  is needed to ensure that the above condition can be inverted to obtain  $q_v$  from a given  $\phi_{v,0}$ .  $\square$

*Proof of Proposition 2.9.* Since the deficiency indices of  $H_\alpha$  are both equal to 1, standard results in operator theory imply that all the self-adjoint extensions of  $H_\alpha$  have the same essential spectrum of  $H_\alpha$  and the discrete spectrum consists in at most one eigenvalue.

It remains then just to show that, if  $\beta < 0$ , the eigenvalue (ground state) is given by (2.27). To this purpose we observe that  $G_{\bar{\lambda}}$  is an eigenfunction of  $H_{\alpha, \beta}$ , if  $\bar{\lambda}$  is given by (3.9): by (2.21) it suffices to show that  $G_{\bar{\lambda}} \in \mathcal{D}(H_{\alpha, \beta})$ , i.e., we can take  $\phi_{\bar{\lambda}} = 0$  but  $q \neq 0$ . This is however perfectly consistent with (2.22), since the r.h.s. of the boundary condition vanishes when  $\lambda = \bar{\lambda}$ .  $\square$

### 3.2 Interacting anyons

The key result is Prop. 2.10, since all the other statements follow as in the non-interacting case.

*Proof of Proposition 2.10.* Closedness and positivity are obvious, so let us consider the characterization of the domain. Since  $V \in L^\infty([0, \varepsilon))$  by Ass. 1, the asymptotic behavior at the origin of functions in  $\mathcal{D}(\mathcal{F}_{\alpha, \mathbb{F}, V})$  as well as in  $\mathcal{D}(H_{\alpha, \mathbb{F}, V})$  is not affected by the presence of  $V$ . The result then easily follows.  $\square$

We can now prove the main result in the interacting case:

*Proof of Theorem 2.11.* We first pick  $\lambda > \bar{\lambda}$ , where  $\bar{\lambda}$  is the lower bound stated in (2.35) and consider the form  $\tilde{\mathcal{F}}_{\alpha, \beta}[\psi] := \mathcal{F}_{\alpha, \beta}[\psi] + \lambda^2 \|\psi\|_2^2$ , which is then positive by assumption. We then take a sequence  $\{\psi_n\}_{n \in \mathbb{N}} \subset \mathcal{D}[\mathcal{F}_{\alpha, \beta}]$ , such that (3.11) holds true. After decomposing  $\psi_n = \phi_n + q_n G_\lambda$ , we deduce as in the proof of Thm. 2.4 that  $\{\phi_n\}_{n \in \mathbb{N}}$  and  $\{q_n\}_{n \in \mathbb{N}}$  are Cauchy sequences w.r.t. the norm  $\|\cdot\|_{\alpha, V}$  and in  $\mathbb{C}$ , respectively. Hence, the limit  $\psi$  decomposes as well as  $\phi_\lambda + q G_\lambda$ , with  $\phi_\lambda \in \mathcal{D}[\mathcal{F}_{\alpha, \mathbb{F}, V}]$  and  $q \in \mathbb{C}$ , i.e.,  $\psi \in \mathcal{D}[\mathcal{F}_{\alpha, \beta, V}]$ .  $\square$

The proof of Cor. 2.12 is identical to the proof of Cor. 2.6. We omit it for the sake of brevity.

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Michele Correggi

*Dipartimento di Matematica, “Sapienza” Università di Roma, P.le A. Moro 5, 00185 Roma, Italy.*

michele.correggi@gmail.com

Luca Oddis

*Dipartimento di Matematica, Sapienza Università di Roma, P.le Aldo Moro 5, 00185 Roma, Italy.*

oddis@mat.uniroma1.it

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