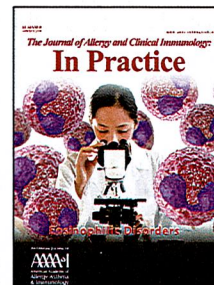


## Accepted Manuscript

Long term outcome of WHIM syndrome in 18 patients: high risk of lung disease and HPV-related malignancies

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1 **Original Article**2 **Long term outcome of WHIM syndrome in 18 patients: high risk of lung disease**  
3 **and HPV-related malignancies.**

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30

## 31 Abstract

### 32 Background

33 In the Warts, Hypogammaglobulinemia, Infections, and Myelokathexis (WHIM) syndrome variable  
34 phenotypic expression may delay diagnosis. Panleukopenia, malignancy and chronic lung disease  
35 all affect morbidity and mortality risks. Routinely used treatments include immunoglobulins,  
36 granulocyte-colony stimulating factor (G-CSF) and antibiotics; recent trials with a target CXCR4  
37 antagonist show promising results.

### 38 Objective

39 We sought to characterize the largest cohort of WHIM patients and evaluate their diagnostic and  
40 therapeutic management.

### 41 Methods

42 Data were collected from an international cohort of 18 patients with CXCR4 mutations.

### 43 Results

44 The clinical features manifested at  $2.2\pm 2.6$  years of age, while the disease diagnosis was delayed  
45 until  $12.5\pm 10.4$  years of age. WHIM patients commonly presented with a severe bacterial infection  
46 (78%). Pneumonia recurrence was observed in 61% of patients and was complicated with  
47 bronchiectasis in 27%. Skin warts were observed in 61% of patients at a mean age of 11 years,  
48 while Human Papilloma Virus (HPV)-related malignancies manifested in 16% of patients. All the  
49 patients had severe neutropenia ( $195\pm 102$  cells/mm<sup>3</sup> at onset), while lymphopenia and  
50 hypogammaglobulinemia were detected in 88% and 58% of patients, respectively. Approximately  
51 50% of patients received antibiotic prophylaxis, while G-CSF and immunoglobulin treatments were  
52 used in 72% and 55% of patients, respectively.

### 53 Conclusion

54 The WHIM syndrome onsets early in life and should be suspected in patients with chronic  
55 neutropenia. WHIM patients need careful monitoring and timely intervention for complications,  
56 mainly lung disease and HPV-related malignancies. We suggest that immunoglobulin replacement

57 therapy should be promptly considered to control the frequency of bacterial infections and prevent  
58 chronic lung damage.

59

60

### 61 **Key words**

62 WHIM syndrome, Congenital neutropenia, Panleukopenia, B lymphopenia, Human Papilloma  
63 Virus, Warts, Lung disease, Tumors, Hypogammaglobulinemia, Myelokathexis

64

65

### 66 **Abbreviations used**

67 WHIM, Warts, hypogammaglobulinemia, infections, myelokathexis; G-CSF, Granulocyte-colony  
68 stimulating factor; HPV, Human Papilloma virus; CXCR4, C-X-C chemokine receptor type 4;  
69 SDF1, Stromal-derived factor-1 $\alpha$ ; CXCL12, CXC-chemokine L12; GOF, Gain-of-function; FDA,  
70 Food and Drug Administration; PCR: Polymerase chain reaction; IVIG, Intravenous  
71 immunoglobulins; scIg, Subcutaneous immunoglobulins

72

73

### 74 **HIGHLIGHTS BOX**

- 75 1. What is already known about this topic? WHIM syndrome is an extremely rare primary  
76 immunodeficiency caused by autosomal dominant mutations of the *CXCR4* gene, and  
77 characterized by warts, hypogammaglobulinemia, infections, and myelokathexis in the bone  
78 marrow, that associates to severe congenital peripheral neutropenia.
- 79 2. What does this article add to our knowledge? The study of the largest cohort of 18 WHIM  
80 patients shows panleukopenia, variable hypogammaglobulinemia, a different severity of  
81 bacterial infections, HPV manifestations and chronic lung disease; patients may benefit from

82 the prompt starting of the immunoglobulin therapy to limit the frequency of pulmonary  
83 infections.

84 3. How does this study impact current management guidelines? WHIM syndrome occurs early  
85 in life and should be suspected in all patients with chronic non-cyclic neutropenia, as the  
86 incomplete phenotype may delay diagnosis. WHIM patients need careful monitoring of  
87 chronic lung disease and HPV-related malignancies.

88

89

## 90 **Introduction**

91 The WHIM syndrome (OMIM #193670) features Warts, Hypogammaglobulinemia, Infections, and  
92 Myelokathexis, the latter representing the abnormal retention of mature neutrophils in the bone  
93 marrow, that results in the severe congenital peripheral neutropenia.<sup>1,2</sup> It is a rare primary  
94 immunodeficiency caused by autosomal dominant mutations in the gene encoding the C-X-C  
95 chemokine receptor type 4 (CXCR4),<sup>3</sup> which is the receptor for the stromal-derived factor-1 $\alpha$   
96 (SDF1), also known as CXC-chemokine L12 (CXCL12). Frameshifts, nonsense or deletion  
97 mutations result in the truncation of 10 to 19 amino acids from the C-terminus of the cytoplasmic  
98 domain of the receptor and account for its *gain-of-function* (GOF) activity.

99 Since Hernandez *et al.* identified the molecular basis of this disorder in 2003 the genetic diagnosis  
100 has been reported in ~70 cases worldwide (United States, Europe, China, Japan, Korea).<sup>1-30</sup> The  
101 heterogeneity of the clinical phenotype at onset may delay the recognition of this rare condition and  
102 the real prevalence may be underestimated. A diagnosis of WHIM syndrome is based upon a history  
103 of recurrent bacterial infections, the Human Papilloma Virus (HPV) mucocutaneous infections,  
104 particularly recalcitrant warts that may progress to cancer, the panleukopenia (neutropenia,  
105 monocytopenia, and lymphopenia), the hypogammaglobulinemia, and, along with these  
106 characteristics, the myelokathexis in the bon marrow. However, the molecular genetic testing is

107 required to detect the CXCR4 mutations confirming the diagnosis.<sup>31</sup> At present, WHIM patients can  
108 be treated with Granulocyte-Colony Stimulating Factor (G-CSF), immunoglobulin replacement  
109 therapy and/or antibiotic prophylaxis.<sup>31</sup> The clinical benefit of these therapeutic measures remains  
110 highly variable, and evidence of efficacy has not been established.<sup>13,32-34</sup> Moreover, these  
111 treatments are mainly supportive and do not have an impact on lymphopenia or susceptibility to  
112 HPV infection. Both these features influence the infection rate and the risk of malignancy, and thus  
113 affect the prognosis of the disorder. Since 2011, a CXCR4 antagonist, plerixafor was used in two  
114 dose-escalation experimental studies at 4% to 8% of the FDA-approved dose.<sup>35,36</sup> these studies  
115 reported the correction of panleukopenia associated with fewer infections and improvements in  
116 warts, when plerixafor was used with imiquimod. This represented the first promising treatment for  
117 WHIM patients that targeted CXCR4.<sup>11,20</sup> Recently, the potency and efficacy of CXCR4-specific  
118 nanobodies have been explored in inhibiting CXCR4-WHIM mutants, with promising effects in  
119 reverting abnormal CXCR4-CXCL12 signaling.<sup>37</sup> Finally, the functional cure of WHIM syndrome  
120 in a patient after chromothriptic deletion of the abnormal copy of the CXCR4 gene in a  
121 hematopoietic stem cell might suggest the potential role of other CXCR4-based therapies, including  
122 gene editing.<sup>21</sup> The objective of the present study is to describe the clinical, immunological, and  
123 genetic features of eighteen patients with WHIM syndrome. We show the spectrum of  
124 manifestations and complications and focus on current diagnostic approaches and therapeutic  
125 management. These findings may provide further characterization of the natural history of the  
126 syndrome and facilitate clinician's ability to diagnose this rare disorder in a timely manner.

127

128

## 129 **Material and methods**

### 130 **Patients**

131 Informed consent was obtained from the patients or their parents if they were minors. The cohort  
132 included eighteen patients presenting with symptoms suggestive for WHIM syndrome, particularly  
133 chronic severe non-cyclic neutropenia, who were identified at multiple centers and referred to the  
134 Department of Brescia for the genetic testing. The study conformed to all the protocols of Asst  
135 Spedali Civili of Brescia, and the approval of our local ethical committee was acquired. Ten  
136 patients from this cohort (from P1 to P10) have been previously reported in 2009.<sup>7</sup> For patients  
137 under the care of the Department of Brescia, data were collected retrospectively from the medical  
138 notes. Following a post-mortem diagnosis, the available data for P8 were poor. Data from patients  
139 from other departments were collected through a specific case report form. Immunological  
140 parameters were obtained from multiple centers and compared to the reference values of the  
141 Institute of Molecular Medicine “A. Nocivelli” of Brescia that conducted this study, based on a  
142 database obtained from a pool of age-matched healthy subjects.

#### 143 **Genetic and flow cytometry analyses**

144 Genomic DNA was extracted from whole blood and the genetic analysis was performed using  
145 standard techniques. Whole blood (100 or 200  $\mu$ L) was stained for immunophenotypic analysis  
146 using standard multiparametric flow cytometry protocols. Lymphocyte subset analyses were  
147 performed with a combination of mAbs (Becton Dickinson) according to manufacturer instructions  
148 and completed by using the FlowJo software version 8.8.7 (TreeStar).

149

150

## 151 **Results**

### 152 **Cohort characteristics**

153 Our cohort was composed of eighteen patients (fourteen females and four males) from fifteen  
154 unrelated families. Three patients (P2, P7, P13) inherited CXCR4 mutations from their affected

155 mothers, while the other cases were sporadic. P3, the mother of P2, was diagnosed following her  
156 daughter's diagnosis; in P13 the diagnosis was done after birth, as born from P9; P8, the mother of  
157 P7, died of lymphoma, not otherwise specified, at 54 years of age and was diagnosed postmortem  
158 from bioptic material. All the patients, except one from Argentina, were of European descent  
159 (fourteen Italian, two Swedish, and one Slovak). At present, the living patients have a median age of  
160 22 years (age range 7-52 years). We observed an age of  $2.2\pm 2.6$  years (mean $\pm$ SD) at the time of  
161 clinical onset, but an age of  $12.5\pm 10.4$  years at the time molecular diagnosis (patients being  
162 previously categorized as having congenital neutropenia or combined immunodeficiency).

### 163 **Genetic analysis**

164 We identified nonsense mutations in 83% of patients (15/18), with the R334X mutation being the  
165 most frequent (50%, 9/18), followed by the S338X mutation (17%, 3/18), and the G336X mutation  
166 (17%, 3/18). Frameshift mutations were observed in 17% of patients (3/18), specifically a 1016-  
167 17delCT in two patients, and a 1021delT in one patient (Table I). The latter mutation leads to  
168 elongation of the C-tail, in contrast to the other reported nonsense or frameshift mutations that cause  
169 C-tail truncations.

### 170 **Warts and HPV-related manifestations**

171 Skin warts occurred in 61% of patients (11/18), with a median age at onset of 10 years (range 5-19  
172 years) (Figure 1). Warts spread in six patients, variably growing on the face, hands, feet, arms, or  
173 legs; the other patients only presented with single skin lesions. Three patients (P7, P9, P19) had  
174 genital warts. First-line topical treatments (i.e., salicylic acid and retinoids) were successfully used  
175 in P1, P6, and P11; P18 initially responded to topical imiquimod but then required cryotherapy; P2,  
176 P3, P7, P10, and P18 underwent various surgical treatments (i.e., cryotherapy, laser, or  
177 diathermocoagulation). However, 27% of the infected patients (P2, P7, and P18) suffered from  
178 relapsing and refractory warts. Since now, only P12 received a tetravalent anti-HPV vaccine when  
179 she was 12 years old and remains free of warts after 3 years of follow-up.

### 180 **HPV-related cancer and other malignancies**



181 We observed HPV-related malignancy in 17% of patients (3/18). P7, who had been suffering from  
182 multiple refractory skin and genital HPV lesions since her twenties, developed grade 3 vulval and  
183 cervical intraepithelial neoplasia at 29 years of age, and was treated with wide local excision; at 33  
184 years of age she was diagnosed with anorectal carcinoma that went into remission after a course of  
185 chemotherapy plus radiotherapy. P9 had a history of skin warts since she was 5 years old, and at 25  
186 years of age she had genital condyloma acuminata and was subsequently diagnosed with cervical  
187 neoplasia by the cancer screening program. P18 was diagnosed with skin warts and genital  
188 condyloma acuminata at 19 years of age. Other malignancies included a case of fatal B-cell  
189 lymphoma (P8) at 54 years of age (not known if Epstein-Barr Virus-related), and a Clark level II  
190 melanoma (P2) at 45 years of age.

#### 191 **Infectious manifestations and related complications**

192 The 78% of patients (14/18) experienced at presentation a severe bacterial infection requiring  
193 hospitalization and intravenous antibiotic therapy in early childhood, at a median age of 1.9 years  
194 (age range 1 month-20 years): 44% of patients (8/18) had pneumonia, 17% (3/18) had severe  
195 enteritis, 17% (3/18) had meningitis, 11% (2/18) had cellulitis, and 5.5% (1/18) had osteitis. The  
196 others were firstly detected to have hematological abnormalities (i.e., panleukopenia), but had a  
197 history of minor infections, including ear-nose-throat infections that responded well to oral  
198 antibiotics. Overall infections and infectious-related complications are summarized in Figure 1. In  
199 details, P6 had been suffering from frequent episodes of pneumonia since her first year of life and  
200 was diagnosed by chest computed tomography (CT) scan as having bronchiectasis and  
201 bronchiolectasis at 9 years of age; bronchiectasis also developed in P2 and P14 who had congenital  
202 heart disease and had been suffering from several episodes of pneumonia since childhood. P12  
203 suffered from recurrent pneumonia since the onset of her disease when she was 2.5 years old, and a  
204 chest CT performed when she was 5 years old showed pulmonary atelectasis that resolved  
205 following respiratory physiotherapy. The most common bacterial pathogens detected from the  
206 sputum during pulmonary exacerbations included *Streptococcus pneumoniae* (P1, P6 and P12),

207 *Haemophilus influenzae* (P6 and P1), *Staphylococcus aureus* (P2 and P7), and *Pseudomonas*  
208 *aeruginosa* (P6 and P7). P2 had recurrent episodes of *Salmonella spp.* enteritis. Regarding viral  
209 infections, P15 had recurrent oral ulceration due to herpes simplex virus (HSV) infections, while P2  
210 suffered from an HSV ocular infection, and P6 had an episode of shingles, but not previously  
211 vaccinated.

### 212 **Congenital heart disease, minor malformations and atypical manifestations**

213 In our cohort, congenital heart disease occurred in 22% of patients (4/18). We observed Tetralogy  
214 of Fallot (TOF) in P1 and P14, as previously reported,<sup>12</sup> and in P15, while in P18 a bicuspid aortic  
215 valve was present. In P1, TOF was associated with pulmonary valve atresia and abnormal  
216 pulmonary arteries; in P14, it was associated with minor skeletal malformations (agenesis of the  
217 second finger of the right hand and unilateral radius hypoplasia); and in P15 it was associated with  
218 patent ductus arteriosus. Moreover, we observed minor urologic malformations in P17 (pelvic  
219 dystrophy of right kidney and retention of the testis). P6 suffered from mental retardation with a  
220 motor coordination disorder and epilepsy. Regarding autoimmune manifestations, in our cohort we  
221 observed antinuclear antibody positivity associated with a mild form of vitiligo in P11, while P12  
222 developed an acute episode of autoimmune hemolytic anemia at 8 years of age.

### 223 **Immunohematology laboratory features**

224 All patients presented with severe non-cyclic neutropenia, with an absolute neutrophil count (ANC)  
225 of  $195 \pm 102$  (mean $\pm$ SD) neutrophils/mm<sup>3</sup> at the time of first detection, and a mean lowest  
226 neutrophil count during follow-up of  $<100$  cells/mm<sup>3</sup>. Typically, these patients were found to be  
227 severely neutropenic during their first hospitalization for infection or planned surgery (specifically,  
228 in P1, P14, and P15 for TOF, and in P17 for inguinal herniotomy) at a median age of 1.3 years (age  
229 range 1 month-10 years). Consistent with previous reports of increases in neutrophil counts during  
230 infection in patients with WHIM syndrome, in P1 and P2 ANC transiently normalized to values of  
231 4220 cells/mm<sup>3</sup> and 4210 cells/mm<sup>3</sup>, respectively, during episodes of pneumonia. Similarly, in P10

232 ANC slightly increased to 1510 cells/mmc during an episode of respiratory infection. In P12, ANC  
233 gradually, but transiently, increased to 1210 and 4700 cells/mmc during an episode of pneumonia  
234 that required treatment with intravenous antibiotics, starting from an ANC value of 120 cells/mmc  
235 when the patient was off G-CSF treatment. In P6, who maintained a normal ANC (mean 2800  
236 cells/mmc) due to chronic G-CSF therapy, we observed neutrophilia on different occasions during  
237 episodes of otitis media (ANC 10210 cells/mmc), pneumonia (ANC 13075 cells/mmc), and two  
238 episodes of bronchitis (ANC 14975 cells/mmc and 10540 cells/mmc) in the absence of adjustments  
239 in the G-CSF dosage. In all patients, neutropenia associated with leukopenia ( $1286\pm688$  cells/mmc)  
240 that was firstly detected at a median age of 0.9 years (age range 1 month-10 years). Monocytopenia  
241 was reported in 66% of patients (12/18) with a monocyte count of  $82\pm48$  cells/mmc at the time of  
242 first detection at a median age of 4.8 years; interestingly, for the same patients described above, we  
243 observed increases in the monocyte count during acute infection (i.e., from 70 cells/mmc to 140  
244 cells/mmc in P1; from 40 cells/mmc to 200 cells/mmc in P2; from 50 cells/mmc to 300 cells/mmc  
245 in P12). Lymphopenia was diagnosed in 88% of the evaluated patients (15/17). Alterations in  
246 lymphocyte subsets were as follows: absolute CD3+ T-cell counts were reduced in 87% of the  
247 evaluated patients (14/16), with a reduction in both absolute CD4+ T-cell (14/16) and CD8+ T-cell  
248 (15/16) counts, that were specifically associated with a reduction of the percentage of CD4+ recent  
249 thymic emigrants (RTE) (CD45RA+CCR7+CD31+) (10/10). We observed B lymphopenia, both as  
250 relative and absolute count, in 100% of the evaluated patients (15/15), with a reduction of the recent  
251 bone marrow emigrants (RBE) (CD38<sup>hi</sup>CD21<sup>dim/low</sup>CD10+) (10/10), the class-switched memory  
252 (CD19+CD27+IgD-IgM-) B-cell counts (11/11) (Figure 2). The class-switched defect associated  
253 with a profound defect of plasmablasts (data not shown). We did not notice a quantitative NK cells  
254 defect in any analyzed patient (0/12). Main laboratory parameters at the time of first diagnostic  
255 assessment are detailed in Table II. In all cases, lymphopenia began in the early stages of life and  
256 remained stable in adolescence and adult life. In contrast with the neutrophil count, no increase in  
257 lymphocyte count was observed in response to acute infection in any patient, nor G-CSF treatment

258 influenced the lymphocyte count by modifying the neutrophil percentage (data not shown).  
259 Lymphocyte proliferation assays did not show significant or consistent abnormalities in these  
260 patients (data not shown). We observed hypogammaglobulinemia in 55% of patients (10/18) (Table  
261 II), with a median age at onset of 2 years (age range 6 months-10 years); patients variably presented  
262 with reductions in IgG, IgA, and IgM (3/10), IgG and IgA (3/10), IgG and IgM (2/10), or only IgG  
263 (2/10). An anti-tetanus toxoid antibody response was studied in 11/18 patients and showed a  
264 protective titer in 45% of patients (5/11); immunization was repeated in poorly responsive patients:  
265 the titer became protective a month after the booster vaccine but returned to unprotective values in  
266 eight-to-twelve months. Myelokathexis was diagnosed in 82% of evaluated patients (14/17): their  
267 bone marrow showed degenerative changes in mature cells, such as hypersegmented pyknotic  
268 nuclei with long filaments connecting the lobes and cytoplasmic vacuolization. Four cases required  
269 a second bone marrow aspirate study performed by an expert cytologist to confirm the diagnosis. In  
270 two patients, bone marrow aspirate morphology seemed not consistent with myelokathexis, while in  
271 P13 bone marrow analysis could not be performed.

### 272 **Current supportive treatments for WHIM syndrome**

273 In our cohort, 50% of patients (9/18) received antibiotic prophylaxis (Figure 3), which is still  
274 ongoing in seven patients (Table III). Medications used included cotrimoxazole in five patients, and  
275 amoxicillin/clavulanate, cefaclor, or ciprofloxacin in the others. Patients were started on regular  
276 prophylaxis at a median age of 3.9 years (range 0.5-11 years). P10 received antibiotic prophylaxis  
277 for two years, but later it was withdrawn due to her benign course, while in P1 and P12, prophylaxis  
278 was stopped after 6 and 5 years of treatment, respectively, as no reduction in the infection rate was  
279 noted during the observation period. P2 developed two severe episodes of *Salmonella spp.* enteritis  
280 in her childhood and chronic sinusitis in adulthood despite regular antibiotic prophylaxis since she  
281 was 1 year old. We also observed the recurrence of respiratory tract infections and an episode of  
282 pneumonia in P11 despite regular antibiotic prophylaxis. Overall, during acute infection, patients

283 responded to broad spectrum/empiric intravenous (if severe infections requiring hospitalization) or  
284 oral (if minor infections) antibiotic therapy. No patient required antiviral prophylaxis; herpetic  
285 infections responded to short courses of acyclovir. Approximately 55% of patients (10/18) received  
286 immunoglobulin replacement treatment beginning at a median age of 3 years (range 1 month-25  
287 years) (Figure 3), intravenously (7/10) or subcutaneously (3/10) (Table III). P1 had presented four  
288 pneumonias over 11 years, but she reported a markedly improved quality of life on IVIG as she  
289 only had mild upper respiratory infections. P12 had eight pneumonias often requiring  
290 hospitalization over 3.5 years of follow-up (from two to four episodes per year), while she had one  
291 episode over the following six years on immunoglobulins. In P6, who has presented ~two lower  
292 respiratory infection per year, immunoglobulin treatment was discontinued when she was 3-year-  
293 old but was restarted due to a severe pneumonia requiring hospitalization after 6 months: on a three  
294 supportive therapies regimen she furtherly had four episodes of pneumonia over the last 13 years of  
295 follow-up. P13, was commenced on scIg in his first month of life and he has not had severe  
296 infections. Approximately 72% of patients (13/18) received daily G-CSF (Figure 3) beginning at a  
297 median age of 4.9 years (range birth-25 years). Treatment was discontinued because of anemia and  
298 thrombocytopenia in P12 and due to splenomegaly in P4 and P6. Finally, 28% of patients (5/18)  
299 were started on regular respiratory physiotherapy at a median age of 18 years (range 4-18 years) by  
300 using airway clearance techniques (Table III). Pulmonary function tests are monitoring with regular  
301 spirometry and diffusing capacity of the lungs for carbon monoxide (DLCO) tests (Table III reports  
302 the last evaluation in patients with lung disease).

303

304

## 305 Discussion

306 We herein describe the largest cohort to date of patients with WHIM syndrome. The increasing  
307 number of patients diagnosed in recent years suggests that the real incidence of this primary  
308 immunodeficiency may be underestimated, as delay in its recognition remains remarkable.  
309 Following an extensive literature review, currently published reports identified ~70 WHIM patients  
310 for whom genetic diagnosis has been reported, while there are a few other patients who had  
311 suggestive features but were reported before 2003, and their probable diagnosis of WHIM  
312 syndrome has not been recently updated. In literature, the sex ratio appears to be 1.3 females/males;  
313 this prevalence for the female sex can be observed also in our cohort, even though, considering the  
314 autosomal dominant pattern of inheritance, this result may simply be due to chance. In most  
315 patients, the clinical manifestations are incomplete at onset. In our cohort, we observed that only the  
316 22% of patients exhibited a complete phenotype. Following detailed queries of their medical  
317 history, we could confirm a history of bacterial infections beginning in childhood in most of the  
318 patients: ear-nose-throat infections and recurrent pneumonia predominated in the infectious  
319 phenotype of WHIM syndrome. However, the severity and frequency of infections may highly vary,  
320 and in some patients, they might not differ from what may occur in healthy individuals.  
321 Neutropenia with leukopenia represent the first hallmarks of this disorder in all the affected  
322 patients. Interestingly, we confirmed how the bone marrow can respond to acute infections by  
323 increasing the number of circulating peripheral neutrophils and monocytes; this response was  
324 independent of the type of mutation and infection. In previous studies, myelokathexis has been  
325 reported in only 54% of cases, likely because often not reported and/or not performed. In our  
326 cohort, myelokathexis was diagnosed in 82% of studied patients. Our experience suggests that  
327 evaluation of bone marrow smears should be carried out by an experienced cytologist, as specific  
328 abnormalities may often be misdiagnosed. We confirmed that lymphopenia represents another  
329 hallmark of the syndrome, even though we did not observe any correlation with the severity, or the  
330 frequency of infections. We confirmed B lymphopenia in all WHIM patients, also in those patients  
331 who did not present with hypogammaglobulinemia; B lymphopenia was associated with a

332 significant reduction in circulating CD27<sup>+</sup> memory B cells, with almost undetectable IgD-IgM-  
333 switched cells and plasmablasts, and with a marked reduction in RTE cells. We observed that  
334 WHIM patients can generate memory B cells and respond after active immunization but fail to  
335 maintain a protective antibody response over time. T cell lymphopenia mainly affected circulating  
336 RTE cells, and we observed a normal or increased relative proportion of central and effector  
337 memory T cells.<sup>39</sup> Overall, there was no correlation between genotype and clinical and  
338 immunological manifestations (Figure 4), suggesting that all mutations impair receptor turnover to a  
339 similar extent.<sup>33,34</sup> However, it is possible that larger amounts of patient data could further identify  
340 any minor genotype-phenotype correlation. All patients carried heterozygous nonsense or  
341 frameshift mutations of the gene encoding CXCR4 that mostly resulted in partial truncation of 14  
342 to 19 amino acid residues of the cytoplasmic tail of the receptor, with the R334X mutation being the  
343 most frequent (50% of published cases). Along with other investigators, we previously analyzed  
344 cellular CXCR4 expression and chemotaxis in response to CXCL12<sup>7</sup> and showed that a truncated  
345 protein may reduce receptor internalization, sustain increased calcium flux, and impair receptor  
346 desensitization after CXCL12 stimulation thus enhancing the chemotactic response to the ligand.<sup>38-</sup>  
347 <sup>40</sup> Hence, the disorder is derived from a *gain-of-function* mutation in CXCR4. Perturbed cell  
348 trafficking caused by altered CXCR4-CXCL12 signaling may account for the abnormal distribution  
349 of leukocyte subsets and consequently for panleukopenia in WHIM syndrome. WHIM CXCR4  
350 mutations affect the stability of the junctional structures between T cells and antigen-presenting  
351 cells that are essential for the initiation of the adaptive immune response.<sup>41</sup> In the absence of stable  
352 synapses, T cell activation and T cell-dependent B cell functions, such as isotype switching, are  
353 impaired. Moreover, a novel costimulatory effect of CXCL12 on B lymphocytes was recently  
354 demonstrated; this effect leads to spontaneous aberrant increased B cell activation and to augmented  
355 apoptosis that may account for the B lymphopenia observed in WHIM patients.<sup>42</sup>

356 The investigation of the functioning of CXCR4 in mouse models suggests how its developmental  
357 role is not limited to homeostasis and cellular trafficking of the hematopoietic cells, but also affects  
358 the heart and circulatory, nervous, and gastrointestinal systems.<sup>33</sup> Thus, WHIM syndrome might  
359 represent a human model to investigate the role of CXCR4 during embryogenesis and  
360 organogenesis, in areas other than development of the hematopoietic system. We reported  
361 congenital heart defects in 16% of the patients in our cohort, and considering the previous  
362 reports,<sup>12,13,29</sup> the total incidence of TOF in WHIM syndrome appears to be 42-fold greater than in  
363 the general population: we thus suggest that clinicians should suspect WHIM syndrome in patients  
364 presenting with congenital heart disease (particularly the Tetralogy of Fallot) associated to  
365 panleukopenia.

366 Overall, in WHIM patients, disease morbidity is highly dependent upon the frequency and severity  
367 of bacterial infections, the development of chronic lung disease, and the severity of HPV  
368 manifestations, the latter having a greater impact on the mortality risk as predisposing to cancer. In  
369 our cohort, we confirmed how chronic lung disease occurred in patients having recurrent  
370 pneumonia. Thoracic imaging should be considered in WHIM patients for the early staging of lung  
371 damage in order to promptly recommend the start of a regular respiratory physiotherapy program  
372 for airway clearance and select patients who may benefit from antibiotic prophylaxis. Clinicians  
373 often chose to commence regular G-CSF therapy when WHIM patients were severely neutropenic.  
374 Despite the normalization of the peripheral neutrophil counts, we did not observe efficacy in terms  
375 of reduction of infection rates in our cohort, and regular G-CSF treatment should require periodical  
376 bone marrow evaluation for the early diagnosis of myelodysplasia. The efficacy of G-CSF in terms  
377 of reduction of infection rates has been reported only in a single study,<sup>14</sup> whereas immunoglobulin  
378 treatment was effective in reducing the frequency of pulmonary infections in many reports.<sup>2,6,13,22,31</sup>  
379 In accordance with these studies, we observed that immunoglobulin treatment in early childhood  
380 may reduce the rate of respiratory infections, even in the absence of hypogammaglobulinemia.



381 Finally, mucocutaneous HPV manifestations are usually detected in the first or second decade of  
382 life (58% of the whole cohort of WHIM patients). A regular monitoring of lesions is warranted  
383 since early childhood, and clinicians should recommend the HPV vaccination for both female and  
384 male WHIM patients together with a regular cervical screening program for all the sexually active  
385 female.

386 In conclusion, WHIM syndrome should always be suspected in patients with leukopenia,  
387 particularly when both neutropenia and lymphopenia are observed. The severity of complications,  
388 particularly chronic lung disease and a high risk of HPV-related malignancies, supports the  
389 importance of careful and regular follow-up of affected patients. Clinical trials with selective  
390 CXCR4 inhibitors and the development of novel target treatments are warranted to specifically  
391 address HPV susceptibility and lymphopenia in this disorder.

392

393

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513

514

515 **FIGURE LEGENDS**

516

517

518 **Figure 1. Overall infections and complications in WHIM patients.** Bacterial and viral infections  
519 observed in our cohort of WHIM patients since the clinical onset of disease are presented together  
520 with the number of cases that developed infection-related complications (i.e., bronchiectasis,  
521 sinusitis, hearing loss, or HPV-related malignancy). URTI, upper respiratory tract infections.

522 **Figure 2. Main lymphocyte subsets in WHIM patients.** Extended analysis of immunophenotype  
523 showed early, persistent and severe T and B cell lymphopenia. Conversely, NK cell counts  
524 oscillated within the normal range. All the values were compared to a pool of healthy controls  
525 analyzed in our laboratory. RTE, recent thymic emigrants; RBE, recent bone marrow emigrants.  
526 NK, natural killer.

527 **Figure 3. Treatment approaches in WHIM patients.** The overall treatments our WHIM patients  
528 received since the clinical onset of disease included G-CSF, immunoglobulin replacement therapy  
529 (intravenous or subcutaneous), antibiotic prophylaxis, various treatments for warts (i.e.,  
530 diathermocoagulation, laser, cryotherapy, topical retinoic acid or acetilsalicylic acid, or imiquimod),  
531 respiratory physiotherapist (i.e., daily PEP mask), and HPV immunization (*dark gray*  
532 *columns*). Comparatively, therapeutic approaches currently used in our cohort of patients are also  
533 shown (*light gray columns*). G-CSF, granulocyte colony-stimulating factor; IVIG, intravenous  
534 immunoglobulin; FKT, physiotherapist; ScIg, subcutaneous immunoglobulin; HPV, human  
535 papilloma virus.

536 **Figure 4. Genotypic and phenotypic features in our cohort of WHIM patients.** The analysis of  
537 phenotypic manifestations in relation to genetic defects did not show any correlation in our cohort  
538 of patients, in accordance with previously published cases.

539

540

541 Table I. Frequency of *CXCR4* mutations in the WHIMS cohort

Nucleotide change	Mutation	Amino acid change	Frequency, n/total (%)	Previous literature case reports (n)
g.1000C>T	Nonsense	p.R334X	9/18 (50)	3,6,7,9,10,13,15–19,25,27,29 (33)
g.1013C>G	Nonsense	p.S338X	3/18 (16)	7,12,13 (8)
g.1006G>T	Nonsense	p.G336X	3/18 (16)	7 (3)
g.1016-17delCT	Deletion	p. S339fsX34	2/18 (11)	3,5,7 (5)
g.1021delT	Deletion	p. S341fsX36	1/18 (5.5)	7 (1)

Table II. Laboratory findings of WHIM syndrome patients at the time of their first diagnostic evaluation.

	P1	P2	P3	P4	P5	P6	P7	P8	P9	P10	P11	P12	P13	P14	P15	P16	P17	P18
Age 1 <sup>st</sup> work-up (y)	4.5	0.8	36	10	14	0.6	27	NA	25	6	5.5	3.5	2	19	4	1	2.5	21
WBC (cells/mm <sup>3</sup> )	1510	1400	940	800	2900	1490	800	NA	510	1120	790	1700	1500	650	760	2200	1100	NA
ANC (cells/mm <sup>3</sup> )	300	132	150	76	377	70	208	NA	150	232	90	300	300	256	60	311	132	300
AMC (cells/mm <sup>3</sup> )	60	112	34	33	NA	70	NA	NA	40	139	70	100	100	24	100	NA	132	NA
ALC (cells/mm <sup>3</sup> )	1009	1092	700	596	2320	1220	401	NA	300	726	600	1275	1000	320	440	1636	682	1250
IgG*(mg/dl)	377 (633-1916)	174 (315-919)	810	579 (707-1919)	375 (640-1909)	155 (351-919)	1137	955	967	790	415 (528-1959)	413 (462-1710)	NA	807	557	178 (351-919)	355 (462-1710)	843
IgA*(mg/dl)	5 (41-315)	15 (10-85)	99	135	42 (61-301)	<5 (6-60)	243	288	75	130	11 (37-257)	48	NA	83	72	11	25 (27-173)	125
IgM*(mg/dl)	44 (56-261)	125 (38-204)	26	59 (61-276)	87	90	256	86	161	160	25 (49-292)	54 (62-257)	NA	238	129	119	67	175
Anti-tetanus antibody response	<0.03	0.05	0.8	>0.1	NA	0.03	NA	NA	NA	>0.1	<0.1	0.1	NA	NA	0.07	<0.1	NA	NA
Myelokathexis	+	+	+	+	+	+	+	NA	+	+	-	+	NA	+	+	-	+	+

\*Reduced values are marked in bold; for hypogammaglobulinemia, the reference values are specified in brackets.

NA, not available; y, years

Table III. Treatment approaches in WHIM patients.

	P1	P2	P3	P4	P5	P6	P7	P8	P9	P10	P11	P12	P13	P14	P15	P16	P17	P18
<i>Age 1st work-up (y)</i>	4.5	0.8	36	10	14	0.6	27	NA	25	6	5.5	3.5	2	19	4	1	2.5	21
<i>Age at diagnosis (y)</i>	7	17	36	14	15	4	27	NA	25	9	5.5	4	0.1	19	4	1	3	21
<i>Years of follow-up</i>	15.5	30	14	17	12	16	15	NA	12	14	3	11.5	7	5	4	6	4.5	1
<i>Age at the present study (y)</i>	20	31	50	27	26	17	42	†	37	20	8	15	7	24	8	7	7	22
<i>Respiratory infections</i>	+	+	+	+	-	+	+	NA	+	+	+	+	+	+	+	+	+	-
<i>Lung disease</i>	+	-	-	-	-	+	-	NA	-	-	-	+	-	+	-	-	-	-
<i>Antibiotic prophylaxis</i>	+	+	-	+	-	+	-	NA	-	+	+	+	-	Bronchiectasis	-	+	+	-
<i>Age (y)</i>	4-10	1 →	11 →	11 →	11 →	0.8 →	0.8 →	NA	9-11	5 →	4-9	4-9	-	-	0.7 →	3.5 →	-	
<i>IVIG (400 mg/kg/28 days)</i>	+	+	-	+	+	+	-	NA	-	-	+	+	+	-	-	+	+	-
<i>scIG (150 mg/kg/7 days)</i>	+	+	-	+	+	+	-	NA	+	-	-	-	+	-	-	+	+	-
<i>Age (y)</i>	18 →	18-19	13 →	11-13	3-6	13 →	30 →	NA	25 →	-	6 →	6 →	0.2 →	-	-	1 →	3.5 →	-
<i>G-CSF (3-5 µg/kg/day)</i>	+	+	-	+	-	+	+	NA	+	-	+	+	+	+	+/-	+	+	-
<i>Age (y)</i>	3-8	23-24	0.8 →	11-14	0.8 →	0.8 →	30 →	NA	25 →	-	2-6	2-6	0.1 →	2 →	*	0.1 →	4 →	-
<i>Respiratory RCT</i>	+	-	-	-	-	+	-	NA	-	-	+	+	-	+	-	-	-	-
	Acapella® device					PEP valve				PEP valve	Ez-PAP®; PEP valve			PEP valve				
	FEV1: 2.65L (91%) IT: 93% FIF25-75: 2.25 L (56%)					FEV1: 3.22L (91%) IT: 93% FIF25-75: 2.09L (88%)				FEV1: 1.90L (100%) IT: 99% FIF25-75: 2.07L (98%)	FEV1: 1.88L (81%) IT: 93% FIF25-75: 1.75L (87%)			FEV1: 3.71L (60%) IT: 94% FIF25-75: 4.09L (55%)				
<i>Pulmonary Function tests at present study</i>		Topical cryo-therapy	DTC, cryo-therapy	-	-	Topical	Laser	NA	-	Cryo-therapy	Topical	-	-	-	-	-	-	Cryo-therapy, imiquimod
<i>Wart treatments</i>	Topical	-	-	-	-	Topical	-	NA	-	-	-	-	-	-	-	-	-	-
<i>Others</i>	Heart surgery for TOF	-	Melanoma surgery	-	-	Valproate for epilepsy	RT CT	NA	Duloxetine for depression	-	-	HPV Vaccine	-	Heart surgery for TOF	Heart surgery for TOF	-	-	-

y, years; +, yes; -, no; → ongoing; ANC, absolute neutrophil count; IVIG, intravenous immunoglobulins; scIG, subcutaneous immunoglobulins; I<sup>125</sup>I, physostigmine therapy; NA, not available; †, deceased; \*, during infection if ANC <500 cells/mm<sup>3</sup>; TOF, tetralogy of Fallot; RT, radiotherapy; CT, chemotherapy; PEP, positive expiratory pressure; EzPAP, positive airway pressure system; FEV1, forced expiratory volume in 1 second (L), litres and % of the predicted value); IT, Tiffeneau index (FEV1/FVC ratio, % of the predicted value); FIF25-75, forced expiratory flow at 25–75% of forced vital capacity (L, litres and % of the predicted value)



