#### **RESEARCH ARTICLE**



# <sup>2</sup> Willingness towards cognitive engagement: a preliminary study based <sup>3</sup> on a behavioural entropy approach

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#### 7 Abstract

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8 Faced with a novel task some people enthusiastically embark in it and work with determination, while others soon lose inter-9 est and progressively reduce their efforts. Although cognitive neuroscience has explored the behavioural and neural features 10 of apathy, the why's and how's of positive engagement are only starting to be understood. Stemming from the observation 11 that the left hemisphere is commonly associated to a proactive ('do something') disposition, we run a preliminary study 12 exploring the possibility that individual variability in eagerness to engage in cognitive tasks could reflect a preferred left- or 13 right-hemisphere functioning mode. We adapted a task based on response-independent reinforcement and used entropy to 14 characterize the degree of involvement, diversification, and predictability of responses. Entropy was higher in women, who 15 were overall more active, less dependent on instructions, and never reduced their engagement during the task. Conversely, 16 men showed lower entropy, took longer pauses, and became significantly less active by the end of the allotted time, renew-17 ing their efforts mainly in response to negative incentives. These findings are discussed in the light of neurobiological data 18 on gender differences in behaviour.

<sup>19</sup> Keywords Individual differences · Entropy · Apathy · Initiative · Response strategy · Intention to act

#### <sup>20</sup> Introduction

21 It has been said that a problem 'exists when a living organ-22 ism has a goal but does not know how this goal is to be 23 reached' (Duncker 1945, p. 1). In daily life, this situation is 24 extremely common. Every time, we deal with a novel task-25 be it cooking a new dish, assembling the ultimate IKEA 26 dresser or solving a puzzle-we are in fact presented with 27 a goal and the need to find a way to achieve it. It is com-28 mon experience that people vary considerably in the way 29 they approach problems. A flourishing line of research has 30 shown that multiple cognitive strategies can be applied to

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problem solving (e.g., Johnson-Laird 2010; Johnson-Laird et al. 2015): for example, problems like the popular Sudoku puzzles are better dealt with by deductive reasoning (Lee et al. 2008), while complex 'series problems' rather take advantage of tactical approaches (Lee and Johnson-Laird 2013). Besides, people differ in their ways of thinking, so that some individuals appear to deviate from normative models of problem solving (cf. Stanovich and West 1998) adding to behavioural variability.

Differences also exist in availability or willingness to find solutions: Personality psychology describes ample variability in the tendency to "engage in and enjoy thinking" (need for cognition) (Cacioppo and Petty 1982) as well as in the perseverance and passion for long-term goals (grit) (Duckworth et al. 2007). Researches in the domain of social psychology further show that people vary in the degree of personal initiative they exhibit, i.e., in their attitude to be proactive, anticipating external events (rather than reacting to them) and persevering to achieve a goal, autonomously deciding what to do (Frese et al. 1997; Fay and Frese 2001). An interesting description of the variability in the way people approach situations has been provided by self-regulation theories in terms of assessment vs. locomotion attitudes

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(Kruglanski et al. 2000, 2012; Higgins 2003). Assessors 54 would critically evaluate states in relation with alternatives, 55 "measuring, interpreting, or evaluating something through 56 comparing one thing to another" (Higgins et al. 2003, 57 p. 297) to do just 'the right thing' (Kruglanski et al. 2000). 58 Conversely, locomotors would rather commit resources to 59 reach a goal in a straightforward manner, without delays, 60 continuously moving from one state to the next. Differently 61 form assessors, high locomotors may always engage in the 62 most accessible activity, irrespective of its direction, i.e., 63 they would 'just do it' (Kruglansky et al. 2000; Higgins et al. 64 2003). It is generally assumed that assessment and locomo-65 tion are independent psychological dimensions so that some 66 individuals may be high in one or both dimensions or-in 67 some situations—show a predominance of one orientation 68 over the other (Kruglanski et al. 2000, 2012; Higgins 2003). 69 In a similar way, it has been suggested that-possibly based 70 on early life experiences-people can switch between a 71 72 promotion and a prevention focus when dealing with novel states (Higgins 1998, 2000; Molden and Higgins 2008). A 73 promotion attitude would be concerned with aspirations and 74 75 accomplishments, creating a focus on achieving positive outcomes. Eagerness (approach) strategies would fit this focus, 76 by maximizing gain pursuit even at the risk of committing 77 errors. On the other hand, prevention orientation would be 78 more concerned with safety, responsibilities and obliga-79 tions, creating a focus on controlling negative outcomes. 80 This would be better achieved by vigilance (or avoidance) 81 strategies (cf. Higgins 2000), which can protect against such 82 outcomes even at the risk of forgoing possible gains. In other 83 84 words, promotion or prevention attitudes would lead individuals towards the type of behaviour that better suits their 85 priorities, ensuring against committing those errors they 86 most strenuously wish to avoid (e.g., either missing oppor-87 tunities or securing against losses). 88

Surprisingly, neuroscience has only just started to explore 89 the putative bases for such an extensive behavioural vari-90 ability, focusing mainly on the detrimental effects of a lack 91 of motivation or willingness towards cognitive effort. Recent 92 studies, however, indicate that at the neural level, variabil-93 ity in connections between regions dealing with motiva-94 tion to act and regions involved in action preparation could 95 96 be responsible for individual differences in willingness to engage in effortful actions (behavioural apathy) (Bonnelle 97 et al. 2016), thus providing a possible biological basis for 98 99 the behavioural heterogeneity observed in healthy persons.

Current models of hemispheric specialization have described the left hemisphere as characterized by a '*do something*' disposition (Braun 2007), as opposed to a more 'conservative' attitude of the right hemisphere, which would be better suited to support freezing or avoidance behaviour (Vallortigara and Rogers 2005). The so-called Janus model of lateralized cognition (Dien 2008), for example, ascribes

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a *proactive*, future-oriented focus to the left hemisphere, 107 which would be in line with its involvement in planning 108 (e.g., Haaland et al. 2004) and hypothesis generation (e.g., 109 Rausch 1977), as well as with the 'interpreter' role com-110 monly ascribed to this hemisphere (Gazzaniga 1989, 1995; 111 Metcalfe et al. 1995; Roser et al. 2005). It is likely that this 112 functioning mode is integral to the system, as suggested by 113 the fact that the left hemisphere of split-brain patients can-114 not avoid generating causal hypotheses even when there is 115 no pattern to read (Wolford et al. 2000) or when the result-116 ing explanations are inaccurate or bizarre (e.g., Gazzaniga 117 2000). On the other hand, it is generally agreed that right-118 hemisphere cognitive modules process global information 119 (e.g., Robertson and Lamb 1991a; Robertson et al. 1991b), 120 integrating "ongoing strands of information into a sin-121 gle unitary view of the past" (Dien 2008, p. 305). Such a 122 reactive, past-oriented, focus would aptly serve the right-123 hemisphere's role in vigilance (Posner and Petersen 1990) 124 and novelty detection (e.g., Stevens et al. 2005), as well as 125 its involvement in inhibitory and braking responses (Aron 126 et al.2014). Taken together, these findings seem to suggest 127 that right-hemisphere's modules associate with cautious and 128 conservative reasoning (Marinsek et al. 2014), promoting 129 a withdrawal-related behaviour that minimizes risk-taking AQ1 10 (e.g., Gianotti et al. 2009), while cognitive modules in the 131 left hemisphere would rather activate mentation and sup-132 port proactive behaviour (cf. 'freeze and recoup' vs. 'do 133 something', Braun 2007). In line with this hypothesis, it has 134 been found that approach-motivated people, i.e., individuals 135 showing a drive to achieve positive outcomes, present with a 136 right-oriented bias, as would be predicted by a prevalent left-137 hemisphere involvement (Harmon-Jones 2003; Nash et al. 138 2010; Roskes et al. 2011). Similarly, a meta-analysis exam-139 ining the neural bases of divergent thinking-a cognitive 140 process that implies generation of multiple, original, creative 141 responses to a problem (Beaty et al. 2014; Heilman 2016) 142 while inhibiting unoriginal, interfering ideas that could pre-143 vent 'illumination' to emerge (Heilman 2016)-has shown 144 activations predominantly distributed to the left hemisphere 145 (Dietrich and Kanso 2010; Gonen-Yaacovi et al. 2013), and 146 coherently involving brain regions associated with reason-147 ing and executive functions (e.g., inferior frontal gyrus and 148 posterior parietal cortex). 149

In recent years, it has become increasingly clear that 150 in spite of the similarities that emerge between genders 151 (see Hyde 2014, 2016 for a review), a significant variabil-152 ity exists in the way men and women attain comparable 153 results in a variety of tasks. For example, research on men-154 tal rotation shows that men prefer a holistic strategy, while 155 women rather adopt an analytic approach to reach the same 156 goal (e.g., Jordan et al. 2002; Geiser et al. 2006; Heil and 157 Jansen-Osmann 2008; Olsen et al. 2013). Similarly, in clas-158 sic planning tasks-such as the Tower of London-success 159

is achieved by relying on visual imagery in males and on 160 executive functions in females (Boghi et al. 2006). In emo-161 tion recognition tasks, men are assumed to rely on percep-162 tual analysis and reasoning, whereas women depend on 163 emotional contagion and affective responsiveness (Derntl 164 et al. 2010). Regardless of the domain, differences seem to 165 exist also in the way men and women generally approach 166 cognitive tasks. A recent study showed that when left in an 167 unadorned room with the instruction to entertain themselves 168 with their thoughts, a conspicuous part of male participants 169 (67%)—but only a fraction of females (25%)—preferred to 170 engage in the unpleasant activity of self-administering elec-171 tric shocks rather than simply remaining alone with their 172 thoughts (Wilson et al. 2014). This finding was ascribed 173 to higher tendency in sensation seeking for men (Roberti 174 2004), but it cannot be excluded that it simply reflects indi-175 vidual variability in readiness to engage in thought-based 176 activity or, alternatively, a progressive loss of motivation to 177 perform the 'thinking' task. Indeed, boredom was found to 178 elicit a similar behaviour in a group of participants required 179 to watch for a full hour the repeated presentation of the same 180 83 s video-clip (Nederkoorn et al. 2016). 181

Several studies indicate that females exhibit greater left-182 hemisphere dominance than males (who would rather show 183 stronger right-hemisphere reliance), possibly as a conse-184 quence of the role that steroid hormones play in regulating 185 functional cortical asymmetries (Wisniewski 1998; Cahill 186 2006). Hence, the renowned 'women left, men right' distinc-187 tion (Njemanze 2005; Cahill 2006) would predict some gen-188 der differences in willingness towards cognitive engagement, 189 with a female advantage for this trait. To test this hypothesis, 190 we studied individual attitudes towards cognitive engage-191 ment in men and women by means of a reward-independent 192 reinforcement paradigm. This type of paradigm, which has 193 been successfully applied to both animals (Skinner 1948) 194 and humans (Ono 1987; Wagner and Morris 1987; Ninness 195 and Ninness 1999; Vyse 1991), presents participants with 196 a fictitious problem and automatically provides reinforce-197 ment cues in a pseudo-random fashion. The neutral setup 198 allows excluding possible confounds due to problem-solving 199 styles, which are expected to emerge if the goal is actually 200 attainable. 201

A group of healthy volunteers were shown a counter and 202 a response pad containing four white and four-coloured but-203 tons, and were asked to make the highest score possible. 204 No instructions were given apart from mentioning that only 205 the coloured buttons were functioning and that the system 206 would automatically stop working after a quarter of an 207 hour. Unbeknownst to participants, the counter automati-208 cally added one point at pseudo-randomly distributed inter-209 vals and data were actually recorded from all buttons. To 210 explore proactive behaviour, we collected a series of descrip-211 tive measures, such as activity/inactivity rate, whether and 212

how the fictitious contingencies were exploited, and whether 213 participants attempted uninstructed approaches to the task. 214 In addition, to capture variability in participants' approach 215 to the task, we borrowed from general physics the con-216 cept of entropy. Entropy is a dimensionless quantity that 217 describes the tendency of a system to drift towards a state 218 of disorder. As such, it provides a quantitative index of the 219 randomness and complexity in the system. Higher entropy 220 implies increased disorder and randomness, while lower 221 entropy describes increased predictability and lower com-222 plexity. In the neuroscience domain, this function has been 223 previously applied to quantify the degree of irregularity 224 in postural sway patterns (Manor et al. 2010), ageing and 225 disease-related decline (Sokunbi et al. 2011), and neurody-226 namics of consciousness states (Carhart-Harris et al. 2014). 227 Here, entropy was used to capture the degree of complexity/ 228 predictability of the pattern of activity produced by each 229 participant during the task: the higher the entropy, the more 230 diversified and changeable the pattern of interaction with the 231 keyboard. The lower the entropy, the more stereotyped and 232 fixed the strategy applied. 233

## **Materials and methods**

#### Participants

Thirty healthy participants (15 females; mean age 236  $24 \pm 2.8$  years; education  $16 \pm 2.2$  years) were recruited 237 among students and personnel working in the Lyon hospi-238 tal area. Sample size was established based on the previous 239 studies applying this type of paradigm (Ono 1987; Wagner 240 and Morris 1987; Ninness and Ninness 1999; Vyse 1991). 241 Separate t tests were used to verify that participants in the 242 male and female groups did not differ in terms of age (f: 243  $23.5 \pm 3.1$ , m:  $23.8 \pm 2.6$ , t = -0.315, p = 0.75) and educa-244 tion (f:  $15.8 \pm 1.8$ , m:  $15.3 \pm 2.7$ , t = 0.546, p = 0.59). In 245 each group about 2/3 of participants were students, the rest 246 were mid-level employees. All were right handed except for 247 one female, who was left-handed. To gain a brief insight in 248 the participants' psychological attitudes, after the experi-249 ment they completed three scales exploring perceived level 250 of anxiety (Zung Self Rating Anxiety Scale, Zung 1965), 251 impulsiveness (Barratt Impulsiveness Scale, Baylé et al. 252 2000), and locus of control (Levenson Locus of Control 253 Scale, Loas et al. 1994). Separate t tests were used to verify 254 that no differences emerged between male and female par-255 ticipants on these traits (Zung scale, f:  $36 \pm 7$ , m:  $36 \pm 4$ , 256 t = 0.0003, p = 0.99; Barratt, f:  $60 \pm 7$ , m:  $65 \pm 4$ , t = -1.470, 257 p = 0.17; Levenson, Internal f:  $29 \pm 6$ , m:  $29 \pm 5$ , t = 0.172, 258 p = 0.86; Chance f:  $15 \pm 6$ , m:  $17 \pm 4$ , t = -0.561, p = 0.58; 259 Powerful Others f:  $15 \pm 6$ , m:  $18 \pm 6$ , t = -0.896, p = 0.38). 260

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All participants were naive as to the purpose of the experiment and provided informed consent before performing the task, in agreement with the declaration of Helsinki and local ethical guidelines for behavioural non-invasive studies.

#### 265 **Procedure**

Paradigm was derived from the one used to assess the effects 266 of response-independent scheduling of reinforcement in 267 animals (Skinner 1948) and humans (Ono 1987; Wagner 268 and Morris 1987; Ninness and Ninness 1999; Vyse 1991). 269 In a quiet room, participants were shown a counter set to 270 zero and a response pad (Fig. 1a). Instructions were limited 271 to indication of the goal (making a high score), time limit 272 (a quarter of an hour), and the information that only the 273 coloured buttons were active. They could use both hands 274 to respond. Unbeknownst to participants, the counter auto-275 matically added one point to the score at pseudo-randomly 276 distributed intervals (30 s or 60 s) regardless of activity from 277

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the participant (Fig. 1b). In addition, after 10 min (i.e., 2/3 278 of the allotted time, time of subtraction: TS), the counter 279 removed one point from the obtained score, disrupting the 280 additive routine. The point was re-assigned at the next coun-281 ter movement and the additive routine re-established for the 282 last 5 min of the task. In spite of what said to participants, 283 activity on all buttons was recorded. Participants performed 284 the task individually and were alone in the room during the 285 experiment. One experimenter monitored their activity dur-286 ing the task through a hidden window. Participants were 287 informed of the disguised observation soon after the end of 288 the experiment. When finished, participants were debriefed 289 on their final score and asked how they had solved the task. 290

#### Data collection and analyses

Participants' responses were collected throughout the entire 292 session. For the purpose of analyses, we focused on three 60 s 293 windows, hereafter labelled T1 (from attribution of point1 to 294



point2), T8 (halfway through the task), and T16 (last minute) 295 (Fig. 1b). In addition, for measures that required a database 296 spanning across longer durations (e.g., overall inactivity rate, 297 see below), we either analysed the duration of the entire ses-298 sion or divided the experiment in two parts of equal duration 299 (8 min). Five basic behavioural measures were computed and 300 analysed: activity rate, inactivity rate, response to point addi-301 tion, response to point loss, and use of uninstructed buttons. 302 In addition, we computed entropy to obtain a more compre-303 hensive quantitative measure of variability in the participants' 304 pattern of response across the task. Details on each measure 305 are listed below. 306

(1) Activity rate, i.e., the total number of button pressing 307 across the entire task (and the corresponding proportion for 308 each time window) provided the most general measure of 309 engagement. (2) Inactivity rate, i.e., the amount of time (sec) 310 participants spent being idle, quantified the overall duration of 311 pauses. This was computed for entire duration of the experi-312 mental session as the total time elapsed without participants 313 producing any response between one point attribution and the 314 next. For example, if in the entire session a participant did 315 not press any button only between attribution of point 10 and 316 11, her overall inactivity rate would be 30 s (see Fig. 1b). (3) 317 Response to point addition, i.e., the number of times partici-318 pants immediately re-used the button they had pressed right 319 before the counter granted one point, describes some degree 320 of action monitoring and provided a measure of the tendency 321 to respond to apparent causation. It was separately computed 322 for the 1st and 2nd half of the task, the maximum attainable 323 score being 10 (i.e., the number of counter changes in each 324 half of the task). (4) Reaction to point loss (TS in Fig. 1B), 325 i.e., the percentage change in activity following the one point 326 loss was used to quantify responses to the one occasion in 327 which the counter worked backwards. This was computed by 328 estimating the percentage difference in activity between the 329 time window that followed point loss as compared to the time 330 window preceding it. (5) Use of uninstructed buttons, i.e., the 331 percentage of white buttons pressed by each participant in 332 each representative time window was used to quantify likeli-333 hood of departing from guidelines and experimenting novel 334 approaches to achieve the goal. 335

In addition to these measures, we also computed (6) 336 entropy, i.e., a measure of the uncertainty inherent to the dis-337 tribution of a variable, which was used to quantify the degree 338 of disorder in the pattern of button presses, thus providing an 339 objective measure of how diversified and changeable the pat-340 tern of interaction with the keyboard could be. Specifically, 341 joint entropy (H) describes the joint distribution of a pair of 342 discrete random variables x and y, according to the formula: 343

$$H(X,Y) = \sum_{y \in Y} \sum_{x \in I} p(x,y) \log (p(x,y)),$$

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where H relative to the T1–T8 (1st half of the task) and 345 T8-T16 interval (2nd half of the task) was used to infer 346 how patterns varied across time, offering a description of 347 whether participants persevered in searching for a way to 348 increase the score throughout the entire task or reverted to a 349 wait-and-see strategy. Finally, to provide a more traditional 350 description of participants' interactions with the response 351 board, we quantified the relative distribution of key presses 352 during the task for the possible combinations of the four but-353 tons, and grouped them according to the patterns that most 354 consistently emerged. 355

For each measure, means and standard deviations (M, 356 SD) were computed separately for the male and female 357 participants. Due to partial loss of data from one male 358 participant, current results refer to 29 volunteers. Differ-359 ences between groups were assessed by means of Wilcoxon 360 Matched Pairs test or Mann-Whitney test (based on findings 361 from Shapiro-Wilk's W tests). Chi-square test was used to 362 compare frequency of a given behaviour in male and female 363 participants. Cohen's d (Cohen 1988) was used to measure 364 the magnitude of gender differences, as recommended by 365 the previous studies (Hyde 2014, 2016). Logistic regression 366 was used to verify whether entropy affected likelihood that 367 a given pattern of key presses belonged to a male or female 368 participant. The binary dependent variable, i.e., gender, was 369 coded so that 0 = female and 1 = male. The probability that 370 the pattern belonged to a male or female participant was 371 modelled as a function of the variable entropy (assumed 372 to be a potential predictor of the participant's belonging in 373 either group). Alpha level for statistical significance was set 374 at 0.05. Bonferroni correction was applied to multiple com-375 parisons if needed. Data analysis and entropy calculation 376 were performed in MATLAB environment. 377

#### Results

#### All participants started the task as soon as the experimenter 379 left the room. Typically, they used the right, dominant, hand 380 to initiate the task, first pressing either the upper right (48%), 381 or the upper left button (31%) (lower right button 14%, lower 382 left 7%). This was true also for the one left-handed partici-383 pant. Observational data further indicate that all participants 384 used alternatively the right and left hand in the first half 385 of the task, but most reverted to using mainly the domi-386 nant hand in the second half of the task. This behaviour was 387 observed in both men and women. Means and standard devi-388 ation for the six computed measures are reported in Table 1. 389

#### Activity rate

In the 16 min of the experiment, participants produced on average 1648 button presses (Table 1). When the sampling 392

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Table 1 Main measures collected during the task

	All participants		Women			Men			d	
	М	SD	Range	М	SD	Range	M	SD	Range	
Activity rate (N button pressed)	1648	1043	(324–4499)	1804	1053	(742–4499)	1481	1044	(324–3854)	
T1	113	72	(28–357)	116	78	(33–357)	109	67	(28–264)	0.52
Т8	102	94	(0–339)	134	101	(4–339)	68	75	(0-225)	0.67
T16	79	84	(0-324)	85	83	(0-324)	73	88	(1-280)	0.04
Inactivity rate (duration in sec)	63	107	(0-540)	21	27	(0–90)	105	140	(0-540)	0.84
Resp. to point addition (prop.)	0.27	0.15	(0-0.7)	0.29	0.20	(0-0.7)	0.26	0.10	(0.1 - 0.5)	
1st half	0.25	0.17	(0-0.7)	0.29	0.22	(0-0.7)	0.21	0.11	(0-0.4)	0.46
2nd half	0.33	0.21	(0-0.8)	0.32	0.26	(0-0.8)	0.34	0.15	(0.2–0.7)	0.07
Resp. to point loss (% increase)	11	62	(-46 to 147)	6	63	(-46 to 147)	20	66	(- 32 to 142)	0.34
Entropy										
T1–T8	3.44	1.02	(2–5.37)	3.80	0.70	(2–5.37)	3.10	1.20	(0-4.07)	0.71
T8–T16	2.98	1.15	(0-3.92)	3.50	0.70	(2-4.54)	2.40	1.30	(0-3.93)	1.02

Mean values (M) and standard deviations (SD) for all participants. Cohen's *d* (Cohen 1988), which was used as a measure of the magnitude of gender differences, is reported in the rightmost column (see text for details)

windows are considered, activity rate was higher in the first 393 (T1) compared to the last minute of the task (T16) for all 394 395 participants (Z=2.02, p<0.04, r=0.38). A drop in activity from the first to the middle time window (T8) was seen in 396 men, although the difference just failed to reach significance 397 398 (Z=1.85, p=0.06, r=0.49). For the same time period, a slight, not significant (p = 0.34) activity increase emerged in 399 women, who-at T8-were significantly more active than 400 men (U=52, p < 0.02, r=0.60). In line with these findings, 401 Cohen's d for activity at T8 was 0.67, indicating a moderate 402 to large gender difference. Conversely, in the first and last 403 minute of the task, Cohen's d was 0.52 and 0.04, respec-404 tively, suggesting a moderate to negligible gender difference. 405

#### 406 Inactivity rate

Inactivity rate within the entire task was longer in men com-407 pared to women (U = 49.5, p < 0.03, r = 0.28) (Table 1). 408 If the only female outlier (S23, inactivity rate = 450 s) is 409 excluded from the analysis, Cohen's d for inactivity rate is 410 411 0.84, suggesting a large gender difference. In fact, 71% men (but only 53% of women) restrained from any activity at 412 least once between one point addition and the next. Among 413 participants that never took a break 64% were women [ $\chi^2(1,$ 414 N = 29 = 10.75, p < 0.005). The first inactivity period 415 occurred after 2 and 4.5 min in the male and female groups, 416 respectively. 417

#### 418 **Response to point addition**

When the counter added one point, all participants
returned—at least once—on the button they had
pressed right before the apparent gain. On average, this

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behaviour occurred on approx. one-third of counter changes (Table 1). In men, this behaviour was significantly more common in the 2nd half of the task (Z=2.19, p < 0.03, r=0.59]; conversely, in women, no difference emerged between the 1st and 2nd parts of the task (p=0.60).

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#### **Reaction to point loss**

Approx. 40% of men and 20% of women were idle in the 429 30 s preceding point loss; all of them resumed the task in 430 the 30 s following point removal. The same was true for the 431 three participants (2 men) for whom only 1-4 key presses 432 were recorded prior to point loss. Among participants who 433 had been active before point removal, 60% of men increased 434 their activity rate, while half of the women actually reduced 435 their activity, the other half either keeping it constant (25%) 436 or increasing it  $(25\%)[\chi^2(1, N=20)=14.07, p<0.001]$ 437 (Table 1). 438

#### Use of uninstructed buttons

Although none of the participants admitted to using the but-440 tons described as "not active" by the instructions, 66% of 441 them tried them at least once during the task. About one-442 third of these participants (mostly females) did so within 443 the very first 30 s. In women in particular use of these but-444 tons stably amounted to approx. 6% of total activity (T1 6%, 445 T8 7%, T16 6%). In contrast, it was limited to 1% in male 446 participants (T1 0%, T8 1% T16 1%). For this behaviour, 447 Cohen's d indicates a moderate gender effect at T1 (0.52). 448

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#### 449 Entropy

Joint entropy was significantly higher in women compared to men (Fig. 2; Table 1) particularly in the second half of the task (U=51.5, p < 0.02, r=0.43), accounting for a more diversified pattern even when a significant part of the time had elapsed. Cohen's d relative to joint entropy was 1.02, testifying a strong gender difference in the pattern of activity produced in the second half of the task.

To support this observation, we used logistic regression to assess whether entropy scores affected likelihood that a given response pattern belonged to a man or a woman. The model correctly classified 86.6% of females and 57.1% of males (Max likelihood, final loss = 16.39, Chi-square = 7.40, p < .0.006; odds ratio for the classification matrix = 8.66, unit change = 0.32, -95% CL = 0.11, +95% CL = 0.93).

Differences in entropy appeared to be unrelated to basic 464 non-cognitive measures. No significant correlation emerged 465 466 between entropy and traits such as anxiety (Zung Self Rating Anxiety Scale, r = 0.24, p = 0.37, n = 16), impulsiveness 467 (Barratt Impulsiveness Scale, r=0.44, p=0.13, n=13), and 468 469 locus of control (Levenson Locus of Control Scale, Internal subscale, r = -0.24, p = 0.28; Chance subscale r = -0.01, 470 p = .0.67; Powerful Others subscales, r = -0.21, p = 0.35, 471 n = 22). 472

#### 473 Descriptive analysis of patterns of button presses

A descriptive survey on the relative use of the four
instructed buttons further showed that men and women
made an entirely different use of the keyboard. In Fig. 3,



**Fig. 2** Joint entropy relative to time windows T8–T16. Entropy describes the degree of disorder in the pattern of activity produced by participants. T8–T16 joint entropy informs on activity during the second half of the task (last 8 min). In the plot, the limits of each box represent the 25th and 75th percentiles, their relative distance the interquartile ranges. The red line is the sample median. Whiskers indicate adjacent values, i.e., the lowest and highest values. Entropy was significantly lower in men compared to women

the relative distribution of key presses for the possible combinations of the four buttons is shown, grouped according to the most consistent patterns.

In detail, Fig. 3a shows the patterns that accounted for 480 about 3/4 of the possible combinations of the four but-481 tons. Their relative distribution is detailed in panel B: 482 overall the most common patterns were sequential clock-483 wise or counter-clockwise movements over the keyboard 484 (35%) and sequential presses of the same key (27%), while 485 other patterns were less frequent. Panel C further details 486 this distribution separately for male and female partici-487 pants in the two halves of the task. As can be seen, in the 488 first half of the task, circular patterns were significantly 489 more common in women than in men (U = 66, p < 0.04,490 r = 0.32), who rather opted for sequential presses of the 491 same key (although the latter difference was not signifi-492 cant, p > 0.05). 493

#### **Debriefing session**

At the end of the experiment, participants were inter-495 viewed on the score obtained, and the way that they 496 thought points had been attributed. More than a half of 497 participants (71% of men, 53% of women) were positive 498 that some method or rule existed to increment the score, 499 and most admitted to have been unable to disclose it. Eight 500 participants creatively elaborated on the alleged method 501 to increase the score (see Table 2). The remaining partici-502 pants correctly realized that the counter worked automati-503 cally and reported it. Interestingly, although the latter par-504 ticipants claimed that point attribution did not depend on 505 their own activity, their behaviour did not significantly dif-506 fer from responses of participants that believed they could 507 influence counter changes. In fact, by the end of the task, 508 although both activity rate (aware,  $N = 11: 36 \pm 49$ ; una-509 ware,  $N = 18: 106 \pm 91$ ) and entropy (aware:  $2.92 \pm 0.71$ ; 510 unaware:  $3.01 \pm 1.37$ ) were lower in the group that became 511 aware of the automaticity, no significant difference 512 emerged between the two groups (activity rate, U = 57, 513 p = 0.06, r = 0.35; entropy, U = 74.5, p = 0.27, r = 0.20). 514 While the absence of difference could depend on the small 515 sample size, it is also possible that it reflects the fact that 516 participants in the 'aware' group continued to work on the 517 keyboard either as a mean to entertain themselves (as most 518 of them reported), or for reasons, they failed to overtly 519 report, such as complying with task assignment, expecta-520 tions about task rewards, beliefs about possible changes in 521 the counter functioning, and so on. The finding that most 522 participants, independently from their reported awareness, 523 increased their activity after point loss could support this 524 interpretation. 525

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Fig. 3 Response patterns grouped according to task period and gender. a Characteristically shaped sequences of four consecutive key presses that were observed in the group of participants while engaged in the task. Patterns are presented according to their relative occurrence (i.e., upper panel referring to more frequently observed). b Percentages of patterns occurrence within all participants for the whole task; 'Same Key' refers to four consecutive presses of the same button, other labels as in a. c Percentages of patterns distribution split according to task period and gender: a significant female/male difference was found for the more frequent pattern in the first half of the task. F = females, M = males, \**p* < 0.05



#### Table 2 Debriefing session

ID		Response to the question: could you speculate on how the points were added?
P7	f	You had to press two or more buttons together to get a point
P12	f	You need to avoid doing the same thing twice, else you loose points
P17	f	The counter works on its own but if you find the right code you get a bonus. I realized it by pt 4 or 5
P6	m	It was an automatic system and you earned points by either not pressing the buttons or doing the same thing continuously
P11	m	The trick was not pressing the buttons. If you press, you loose points
P16	m	There was an automatic system but you still needed to keep pressing the buttons to earn points
P20	m	It works on its own. I noticed it between pt 5 and 10, but you still need to press the buttons else you loose points
P21	m	There was a shifting rule, which changed every time I disclosed it. Plus, you always needed to press some button else it penalized you

At the end of the task, participants were asked (1) the score they had obtained and (2) how they thought points were added. As the counter worked automatically, the final score was 19 for all participants, which they dutifully reported. With respect to question (2), 71% of men and 53% of women were positive that some method or rule existed to increment the score, and most admitted to have been unable to disclose it. Eight participants creatively elaborated on the alleged method to increase the score: transcription of their responses is reported below

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#### 526 **Discussion**

As nicely pointed out by Orne in 60 s, the assumption that 527 the participant of an experiment is a passive responder 528 to stimuli is difficult to justify (Orne 1962). Whenever 529 people first engage in a novel task, a variety of factors 530 (e.g., expectations, cognitive style, and personality traits) 531 play a role in shaping the way that the goal is attained. 532 While the detrimental effects of apathy are well known 533 (cf. Vansteenkiste et al. 2004; Stanton and Carson 2015), 534 until recently, little attention has been paid to the "posi-535 tive" sides of effort in task engagement (Hill and Aita 536 2018). To our knowledge this issue, which is well known 537 to social and experimental psychology, has been mainly 538 explored qualitatively, via interviews and questionnaires. 539 In this view, the present preliminary study provides a 540 novel, quantitative measure of individual involvement in 541 a cognitive task, and identifies a gender-modulated pat-542 tern that could be interpreted in terms of hemispheric 543 asymmetries. In line with the observation that activity in 544 the left hemisphere is associated with inferential causal-545 ity operations (cf. Gazzaniga 1989, 1995; Metcalfe et al. 546 1995; Roser et al. 2005), divergent thinking (Dietrich and 547 Kanso 2010; Gonen-Yaacovi et al. 2013), and a gener-548 ally proactive disposition (Braun 2007; Dien 2008; Tops 549 et al. 2017), we found individual differences in eagerness 550 to engage in cognitive tasks that could reflect a preferred 551 left- or right-hemisphere functioning mode. 552

The reactive, wait-and-see attitude showed by male 553 participants, especially when it became progressively 554 clear that proactive behaviour was not paying, was the 555 556 most ergonomically successful strategy, since participants' activity did not affect point attribution. This find-557 ing is reminiscent of observations showing that men 558 typically outperform women at the Iowa Gambling Task 559 (IGT). Guessing tasks akin to the IGT are usually solved 560 either by maximizing or by a frequency matching strat-561 egy (Estes 1961; Hinson and Staddon 1983): the former 562 leads to constantly choosing the alternative that max-563 imises probability of reward, while the latter implies 564 searching for the frequency pattern that allows predict-565 ing the next item. Men are assumed to acquire informa-566 tion more globally compared to women, in line with a 567 more right-hemisphere-oriented functioning (Andreano 568 and Cahill 2009; Cahill 2006). It has been proposed that 569 IGT performance becomes progressively more dependent 570 upon right hemispheric functioning (van den Bos et al. 571 2013), which could justify the male advantage at the 572 gambling tasks. In the present experiment, men's right-573 oriented functioning could similarly have supported the 574 longer pauses of inactivity recorded in the second part 575 of the task as well as the lower entropy, which signals 576

a shift towards an ordered-possibly repetitive-pattern 577 of activity. Conversely, a more protracted reliance on a 578 left-hemisphere-oriented, analytic mode of functioning, 579 may have led female participants to explore all possible 580 combinations, thus accounting for their higher activity 581 rate and higher entropy. This increased engagement would 582 be in line with models that describe the left hemisphere 583 as characterized by a 'do something' disposition (Braun 584 2007), as opposed to a more 'conservative' attitude of the 585 right hemisphere, which would be better suited to support 586 freezing or avoidance behaviour (Vallortigara and Rogers 587 2005). The present findings also fit nicely within a recent 588 conceptual framework proposed by Tops and co-workers 589 (Tops et al. 2017) that describes behavioural control in 590 terms of predictive (i.e., based on internal prediction) and 591 reactive (i.e., guided by external stimuli) systems. The 592 Predictive and Reactive Control Systems model theory 593 (PARCS) accounts for individual differences in apprais-594 ing and coping with challenges based on the activity of 595 a distributed brain network in which laterality is coupled 596 with a dorsal-ventral functional axis (Tops et al. 2017). 597 This functional network would respond to environmental 598 variability by providing individuals with systems enabling 599 control within unpredictable, unstable and novel situa-600 tions or stable, predictable, and familiar contexts. Reac-601 tive control would serve behaviours driven by stimuli and 602 environmental cues, ensuring that responses are always 603 sufficient through increased and undifferentiated activa-604 tion. Conversely, predictive control would be internally 605 organized according to model-based predictions and 606 expectancies derived from prior experiences, allowing 607 slowly learning by updating existing models, thanks to 608 predictability of a stable environment. At the neural level, 609 reactive and proactive coping would preferentially engage 610 the right vs. the left hemisphere, and would be further 611 modulated by lateralized dorsal and ventral corticolimbic 612 systems, respectively. In this view, one could speculate 613 that the protracted engagement of a right-hemisphere, 614 reactive-oriented control system justifies the progressive 615 demotivation observed in male participants in the present 616 experiment, which would reflect the fact that physiological 617 costs of undifferentiated activation cannot be indefinitely 618 sustained. 619

Use of uninstructed buttons also differed between male 620 and female participants, being more marked in the latter. 621 The present paradigm does not allow inferring the reasons 622 for this behaviour, which could imply either increased crea-623 tivity or a different attitude towards rules. Actually, previ-624 ous studies suggest that both traits could be involved. A 625 study on variation in divergent thinking and creativity in 626 women has shown that these abilities are enhanced during 627 the pre-ovulatory phase, i.e., when oestrogen concentration 628 is maximal. Conversely, tendency for stereotyped behaviour 629

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is increased during the menses when this hormone's level 630 is minimal (Krug et al. 1994). Although we did not check 631 for this parameter in female participants, this observation 632 could point to the possibility that increased creativity played 633 a role on the choice to additionally explore inactive but-634 tons. More consistently, it has been reported that-when 635 faced with moral dilemmas or judgments-women and men 636 adopt different evaluation schemes (Gilligan 1977). While 637 females show a strong care-based orientation, males rather 638 work on justice-based parameters, which capitalize on rule-639 abidingness and maintenance of order (Harenski et al. 2008). 640 This difference could account for a stronger 'expertise' of 641 women in interpersonal relationships and of men in inter-642 group relations (Koscik et al. 2010). Here, a differential 643 approach towards rules and obligations might have played 644 a role in the different choice of departing from instructions 645 and experimenting on the possible functioning of the addi-646 tional buttons. 647

The current study addresses a relatively unexplored topic 648 and should be considered as preliminary, because it presents 649 two major limitations. First, the sample size is comparatively 650 small for a gender differences' study, which suggests that 651 conclusions should be taken with care: further studies should 652 be run to confirm the present observations on larger groups 653 of participants. Second, in interpreting the present findings, 654 it should be recalled that we only explored a limited set of 655 the variables that could play a role in variability in willing-656 ness to engage in cognitive activity. An alternative account 657 for the differential behaviour of participants in the present 658 experiment could in fact be found within the framework of 659 self-regulation theories (Higgins 1998, 2000, 2003; Krug-660 lanski et al. 2000, 2012; Molden and Higgins 2008). In this 661 view, it could be assumed that male and female participants 662 oscillated between a more pronounced assessment/preven-663 tion focus and a more pronounced locomotion/promotion, 664 respectively. The longer pauses and reduced use of unin-665 structed buttons in males could be viewed as an indication 666 of their attempt to do 'the right thing', preventing possible 667 negative outcomes. The higher entropy recorded in the pat-668 tern of activity of female participants could instead reflect 669 keenness to accomplish the goal, ensuring that no opportu-670 nities for it are missed, even if this could mean taking the 671 risk to commit errors. In keeping with these theories, men 672 would appear to have favored a vigilance/avoidance strat-673 egy, while women would have rather chosen an approach/ 674 eagerness strategy to solve the current task. Further studies 675 directly exploring possible relations with these personality 676 traits could provide a more definite description. 677

Moreover, it could also be that recreational habits, such as experience in video gaming activity and/or competitiveness in these games, may have affected participants' behaviour at a task like the one selected here. In fact, even if this was constructed as a response-independent reinforcement paradigm,

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participants were actually given a goal to pursue (achieve a 683 high score) and this could have differently impacted on indi-684 viduals used vs. not used to play computer games. However, 685 according to a recent review on the topic (Reid 2012), video-686 game players are mostly men, and male players are reported 687 to play longer hours than females. Accordingly, one could 688 have expected increased motivation linked to this type of 689 habit to eventually boost activity mainly in the male group, 690 which does not seem to be reflected in the present results. 691

It could also be noted that besides possibly promoting 692 competitiveness-the task used here also implied alloca-693 tion of mental processes that participants could have rather 694 chosen to direct towards other activities than its solution. 695 The so-called opportunity cost model (Kurzban et al. 2013) 696 suggests that given the impossibility of running all tasks at 697 once, the brain must prioritize among possible computations 698 by assigning costs and benefits to the candidate options. 699 Within this framework, the costs of allocating mental pro-700 cesses to a task would equal the value of the next-best use 701 of those mental processes. Rewards, expected utility and 702 benefits that would be foregone by engaging in one task 703 would thus provide a measure of the mental effort involved, 704 eventually (though not necessarily) leading to ceasing one 705 activity in favor of another. Although here, we did not collect 706 measures of subjectively perceived mental effort, it could be 707 speculated that participants' behaviour partly reflected the 708 opportunity costs of continuing vs. stopping pursuing the 709 indicated goal. Namely, rather than assuming a lateraliza-710 tion hypothesis (i.e., a conservative right-hemisphere dispo-711 sition), it could be that participants' who gave up searching 712 for a pattern in the second half of the task may have in fact 713 deemed the cognitive effort involved as overriding potential 714 benefits, especially since the experimenter was not in the 715 room (thus making social disapproval less of an issue) (e.g., 716 Kurzban et al. 2013). 717

In any case, whatever the reading one takes of the pre-718 sent findings, they indicate for female participants a certain 719 degree of proactivity, persistence and self-starting attitude, 720 which-although compatible with a more left-hemisphere-721 oriented functioning mode-may be viewed as somewhat 722 unexpected with respect to what proposed by social ste-723 reotypes. Behaviourally, these traits have been typically 724 associated with the concept of personal initiative, i.e., the 725 readiness to initiate actions and assess things independently. 726 When compared to findings from other domains, which com-727 monly describe more personal initiative in men (Koellinger 728 et al. 2013; De Pater et al. 2009; Jackson et al. 2001; Was-729 serman and Richmond-Abbott 2005; Fallows 2005), our 730 results may in fact indicate a possible incongruity. While 731 the dimension of the present sample could account for this 732 finding, it is also possible that the reason for the discrepancy 733 lies in the fact that the previous studies explored initiative 734 mostly from a social perspective, namely, within strongly 735

biased contexts. Work-related gender stereotypes indicate 736 that traits such as entrepreneurship and business-like atti-737 tudes are more positively associated with masculine than 738 with feminine traits (Ahl 2006). According to the Stereo-739 type Threat Theory (Steele 1992, 1997), stereotypes strongly 740 affect the behaviour of the individuals towards whom preju-741 dices are directed. Recent studies have shown that proactive 742 people are deeply affected by stereotypes-being extremely 743 sensitive to the impressions others have of them (Dutton 744 et al. 1997; Crant 2000; Gupta and Bhawe 2007). In a similar 745 way, it is possible that social stereotypes impact on women's 746 behaviour, smothering the proactive attitude that emerges 747 in the neutral task applied here. Indeed, both boys and girls 748 acquire gender stereotypes from an early age, as a longitu-749 dinal study on Disney Princess engagements has recently 750 demonstrated (Coyne et al. 2016). We can thus speculate 751 that in contexts subjected to gender stereotypes, reports of 752 women's low personal initiative may in fact represent the 753 epiphenomenon of some form of stereotype threat. 754

Although different problems require different mental 755 operations, all solutions benefit from the drive motivating 756 whoever embarks in a task. In this view, variability in atti-757 tudes towards cognitive engagement is likely to affect opti-758 mal performance as well as consistency across time, intro-759 ducing a relatively undetermined source of variability. On 760 this respect, the present findings suggest a note of caution 761 as to the use of gender-unbalanced samples in experimental 762 tasks. With few exceptions, studies in cognitive neuroscience 763 are conducted on a mixed population of male and female 764 participants. Data from the two groups are pooled to obtain 765 an image of how the "average brain" works. However, men 766 and women are known to rely on different strategies when 767 solving cognitive and affective tasks (Jordan et al. 2002; 768 Geiser et al. 2006; Heil and Jansen-Osmann 2008; Olsen 769 et al. 2013; Boghi et al. 2006; Derntl et al. 2010; Stoet 770 2016), indicating that averaging may inevitably cancel out 771 information and that gender-unbalanced samples produce 772 biased results (cf. Bell et al. 2006). The novel finding here 773 is that even in a fictitious cognitive task-men and women 774 showed different attitudes towards cognitive engagement. 775 Women emerged as enthusiastic participants, likely to attend 776 to the task throughout the allotted time, but less eager to 777 believe a cover story and keen on checking for themselves 778 about efficacy of instructions to optimize performance. 779 Conversely, men could be relied upon complying with rules 780 but-as time went by-seemed to become progressively less 781 involved with the task. These idiosyncrasies should be con-782 sidered when recruiting volunteers for studies in cognitive 783 neuroscience. 784

In conclusion, results of this preliminary study highlight 785 a gender modulation in the strategy adopted as well as in the 786 cognitive approach to a novel task, the neutral setup directly 787 allowing for individual dispositions to emerge. Clustering 788

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of performances according to gender fits well with previous 789 research focussing on creative thinking demonstrating that 790 men and women differently use their cognitive potential in 791 contexts in which finding ideas for a solution is involved 792 (Abraham et al. 2014). 793

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#### **Compliance with ethical standards**

798

Conflict of interest The authors declare that they have no conflict of 799 interest. 800

Ethical approval All procedures were in accordance with the ethical 801 standards of the local committee and with the 1964 Helsinki declara-802 tion and its later amendments. 803

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