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## **Variational Methods in Continuum Damage and Fracture Mechanics**

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# **Synonyms**

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- Variational approach to damage and fracture me-
- chanics; Variational formulation of damage and 20
- fracture mechanics

#### **Definitions**

- Damage is defined as the loss of material stiffness 23
- under loading conditions. This process is in-
- trinsically irreversible and, therefore, dissipative.
- When the stiffness vanishes, fracture is achieved.

In order to derive governing equations, varia- 27 tional methods have been employed. Standard 28 variational methods for non-dissipative systems 29 are here formulated in order to contemplate dissi- 30 pative systems as the ones considered in contin- 31 uum damage mechanics.

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# **Principle of Least Action for Dissipative Systems**

Variational principles and calculus of variations 35 have always been important tools for formulat- 36 ing mathematical models of physical phenomena 37 (dell'Isola and Placidi 2011). Indeed, they are 38 the main tool for the axiomatization of physical 39 theories because they provide an efficient and 40 elegant way to formulate and solve mathematical 41 problems which are of interest for scientists and 42 engineers. If the action functional is well be- 43 having, variational principles always give rise to 44 intrinsically well-posed mathematical problems, 45 allowing also to find straightforwardly boundary 46 conditions that guarantee uniqueness of the so- 47 lution (dell'Isola et al. 2015b, 2016; Carcaterra 48 et al. 2015). Thus, in order to formulate the 49 governing equations of nonstandard models, it is 50 natural to use a variational procedure.

However, it is often argued that dissipation 52 cannot be handled by means of a least action 53 principle. Indeed, it is usually pointed out that a 54 limit of the modeling procedure based on varia- 55 tional principles consists in their impossibility of 56 encompassing nonconservative phenomena. First 57

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of all, this is not exactly true, as it is possible to find some action functionals for a large class of dissipative systems. This would be enough to contradict the thesis for that variational principles can be used only for non-dissipative systems.

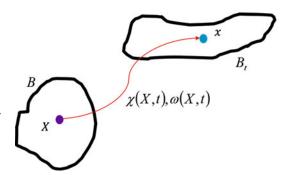
Another possibility to answer to this criticism is to assume a slightly different point of view, usually attributed to Hamilton and Rayleigh (dell'Isola et al. 2009). Once the quantities which expend power on the considered velocity fields are known in terms of the postulated action, a suitable positive definite Rayleigh dissipation function is introduced that is related to the first variation of the action functional.

In continuum damage mechanics, see, e.g., Chaboche (1988), Misra and Singh (2013, 2015), and Poorsolhjouy and Misra (2016), the point of view is different. This is due to the monolateral behavior of damage kinematic descriptors. In general, in order to find a mathematical model for a class of natural phenomena by the use of variational principles, the first ingredient is to establish the right kinematics, i.e., the kinematic descriptors modeling the state of the considered physical systems. The second ingredient is to establish the set of admissible motions for the system under description, i.e., to the correct model for the admissible evolution of the system. In standard continuum mechanics, the kinematics is given by a single placement function  $\gamma$  that is defined on the reference configuration  $\mathcal{B}$  and on a given time interval  $\mathcal{I}$ . The simplest way to treat continuum damage mechanics is to complement such a function with a scalar function  $\omega$ , defined on the same reference configuration  $\mathscr{B}$  and on the same interval of time  $\mathcal{I}$ .

The set of kinematic descriptors, therefore, does not contain, as usual, the placement field  $\chi = \chi(X, t)$  only, but it also contains the damage field  $\omega = \omega(X, t)$ , see Fig. 1. Thus, the strain energy density reads as

$$\mathscr{E}(u,\omega)$$
, (1)

where  $\mathscr{E}$  is the total deformation energy func-101 tional. The damage state of a material point X102 is therefore characterized, at time t, by a scalar 103 internal variable  $\omega$ , that is assumed to be within



Variational Methods in Continuum Damage and Fracture Mechanics, Fig. 1 Basic kinematics in damage mechanics. For each point of the domain, and therefore for each point of the reference configuration  $\mathcal{B}$  and of the time interval  $\mathcal{I}$ , the kinematic is defined by the placement function  $\chi$  and by a scalar function  $\omega$ .  $\chi$  is the placement of each point of the reference domain and  $\omega$  is the state of damage. Herein,  $\omega$  is assumed to be within the range [0,1] and the cases  $\omega = 0$  and  $\omega = 1$  correspond, respectively, to the undamaged state and to failure

the range [0, 1]. The cases  $\omega = 0$  and  $\omega = 1$  104 are customarily taken to correspond, respectively, 105 to the undamaged state and to failure (Cuomo 106 et al. 2014). Fracture is clearly assumed to be 107 initiated at those points where  $\omega = 1$  (Anderson 108 2017). The material is generally assumed to be 109 not self-healing, and, hence,  $\omega$  is assumed to be 110 a non-decreasing function of time. This implies 111 that the transition from undamaged to damaged 112 states is irreversible and, roughly speaking, the 113 total deformation energy is dissipated as far as the 114 damage increases its value. Thus, if the damage 115  $\omega$  is assumed to be one of the fundamental kinematic descriptors of the system (first ingredient), 117 the set of its admissible motions (second ingre- 118 dient) is intrinsically nonstandard. Keeping this 119 in mind, the principle of least action should be 120 generalized for those dissipative systems which 121 possess kinematic descriptors with monolateral 122 constraints. First of all, the variation  $\delta\mathscr{E}$  of the total deformation energy functional  $\mathscr E$  represented 124 not only as a function of the kinematic descriptors 125  $\chi$  and  $\omega$  but also of their admissible variations  $\delta\chi$  126 and  $\delta\omega$ , i.e.:

$$\delta\mathscr{E}(\chi,\omega,\delta\chi,\delta\omega) = A(\chi,\omega)\delta\chi + B(\chi,\omega)\delta\omega,$$
(2)

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where it is made explicit that  $\delta \mathcal{E}$  is, by definition, linear with respect to both  $\delta \chi$  and  $\delta \omega$ .

For standard, bilateral, admissible motion, the 130 principle of least action is expressed by impos-131 ing that the variation (2) is zero for any bilateral, admissible motion. This is made explicit in Fig. 2a, where a bilateral admissible variation of the solution, i.e., of the minimum of the represented graphic, gives that the correct minimum condition is a null variation of the functional to be minimized. In the case of monolateral admissible motion, the principle of least action must be made explicit differently. In Fig. 2b it is clear, in fact, that monolateral admissible motions do not necessarily imply that the variation of the functional to be minimized must always be assumed to vanish. In this case, it is better to assume that any admissible variation  $\delta \mathcal{E} (\chi, \omega, \delta \chi, \delta \omega)$ is always greater than (better not lower than) the variation  $\delta \mathcal{E}(u, \omega, \dot{u}, \dot{\omega})$  that is calculated in correspondence of the solution of the problem. 149 Thus, from a mathematical point of view, the principle of least action is expressed by assuming 151 that

$$\delta\mathscr{E}(u,\omega,\dot{u},\dot{\omega}) \leq \delta\mathscr{E}(u,\omega,\upsilon,\beta),$$

$$\forall \upsilon, \ \forall \beta \geq 0,$$
(3)

where  $\nu$  and  $\beta$  are compatible virtual velocities starting from the configuration  $\chi$  and  $\omega$ , and dots represent derivation with respect to time. Thus,  $\dot{\chi}$ and  $\dot{\omega}$  are, respectively, the standard velocity field and the rate of damage that are calculated on the basis of the solutions  $\chi(X,t)$  and  $\omega(X,t)$  of the 157 problem. 158

As commented in Marigo (1989), inequal-159 ity (3) says that the true energy release rate (i.e.,  $-\delta \mathcal{E}(u, \omega, \dot{u}, \dot{\omega})$ ) is not smaller than any possible one (i.e.,  $-\delta \mathcal{E}(u, \omega, v, \beta)$ ). It constitutes, therefore, a kind of principle of maxi-164 mum energy release rate. It is worth to be noted that such a principle was shown also by Hill in 1948 (Hill 1948), see also Maier (1970), in order to express a variational principle of maximum plastic work. Among others, it is worth to be mentioned the contributions due to Bourdin et al. 170 (2008), Fleck and Willis (2009), Kuczma and Whiteman (1995), Rokoš et al. (2016), and Reddy 172 (2011a,b).

# Reduction to the Standard Variational Principle

In this section it is verified that the variational 175 principle expressed in (3) reduces to the usual 176 one, i.e., to  $\delta \mathcal{E} = 0$ , for arbitrary variations  $\delta \chi$ , 177 when no variation  $\delta$  is considered ( $\delta\omega=0$ ). 178 Namely, it is checked that

$$\delta \mathcal{E} (u, \omega, \delta u, 0) = 0, \quad \forall \delta u.$$
 (4)

Let the virtual velocity field v be  $v = \dot{u} + \overline{v}$ , with 180 arbitrary  $\overline{v}$ , and the other virtual velocity  $\beta$  to be 181  $\beta = \dot{\omega}$  in (3). Since  $\beta$  is an arbitrary positive 182 field, the choice  $\beta = \dot{\omega}$  is admissible because 183 also  $\dot{\omega}$  is a nonnegative (nonarbitrary!) field. This 184 yields

$$\delta \mathcal{E}(u, \omega, \dot{u}, \dot{\omega}) \leq \delta \mathcal{E}(u, \omega, \dot{u} + \overline{v}, \dot{\omega}).$$
 (5)

Let now the virtual velocity field v be  $v = \dot{u} - \overline{v}$ , with the same field  $\overline{v}$  of (5), and again  $\beta = \dot{\omega}$ in (3). We get 188

$$\delta \mathcal{E}(u, \omega, \dot{u}, \dot{\omega}) \leq \delta \mathcal{E}(u, \omega, \dot{u} - \overline{v}, \dot{\omega}).$$
 (6)

Since the first variation of a functional is linear 189 with respect to the admissible variations, see the 190 representation (2), inequality (5) implies

$$\delta\mathscr{E}\left(u,\omega,\overline{\upsilon},0\right) \ge 0\tag{7}$$

and inequality (6) implies

$$\delta\mathscr{E}\left(u,\omega,\overline{\upsilon},0\right)\leq0.\tag{8}$$

Combining (7) and (8)

$$\delta\mathscr{E}\left(u,\omega,\bar{\upsilon},0\right) = 0, \qquad \forall \overline{\upsilon} \tag{9}$$

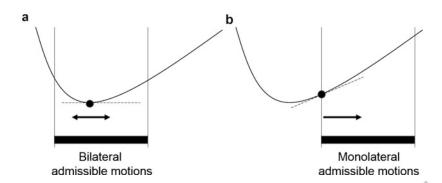
is obtained, which has the same desired form 194 as (4). This is a very important result. It tells 195 that the principle of least action in the form of 196 the variational inequality (3) is a generalization 197 of the same principle that is generally expressed 198 as in (9), for the case of monolateral kinematic 199 descriptors.

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Variational Methods in Continuum Damage and Fracture Mechanics, Fig. 2 (a) Bilateral admissible motions imply that the minimum condition is expressed by assuming that the first variation of the functional to be

minimized vanishes. (b) Monolateral admissible motions do not necessarily imply that the minimum condition is expressed by assuming that the first variation of the functional to be minimized vanishes

#### 201 The Derivations of KKT Conditions

The formulation (3) of the principle of least action does not only give back the standard formulation (9), but it also furnishes further conditions, the so-called KKT conditions. In the previous section, we have exploited the cases with the virtual velocity  $v = \dot{u} \pm \overline{v}$ . It is clear that for monolateral admissible virtual velocities, this is not immediately generalizable because the condition  $\beta \geq 0$  must always be satisfied. To do this, the choice  $v = \dot{u}$  and  $\beta = 0$  is firstly used in (3).

$$\delta \mathcal{E}(u, \omega, \dot{u}, \dot{\omega}) < \delta \mathcal{E}(u, \omega, \dot{u}, 0).$$
 (10)

213 A second choice  $v = \dot{u}$  and  $\beta = 2\dot{\omega}$  has been 214 made in (3). It yields

$$\delta \mathcal{E}(u, \omega, \dot{u}, \dot{\omega}) < \delta \mathcal{E}(u, \omega, \dot{u}, 2\dot{\omega}).$$
 (11)

215 Since the first variation of a functional is linear 216 with respect to virtual variations, see the repre-217 sentation (2), the inequality (10) implies

$$\delta\mathscr{E}\left(u,\omega,0,\dot{\omega}\right) \le 0,\tag{12}$$

218 and the inequality (11) implies

$$\delta\mathscr{E}\left(u,\omega,0,\dot{\omega}\right) \ge 0. \tag{13}$$

219 Combining (12) and (13)

$$\delta\mathscr{E}\left(u,\omega,0,\dot{\omega}\right) = 0\tag{14}$$

is obtained, which is an integral form of the 220 KKT conditions. A suitable localization of (14) 221 gives the KKT conditions in their standard form. 222 However, it is worth to be noted that the for-223 mulation (14) is different with respect to that 224 represented in (9). In fact, (9) is valid for any 225 admissible virtual velocity  $\overline{\nu}$ , while (14) is valid 226 only for one single rate of damage  $\dot{\omega}$ . Such a 227 localization can be achieved, therefore, only after 228 a further exploitation of the principle of least 229 action. Thus, the choice  $v = \dot{u}$  in (3) implies 230

$$\delta \mathscr{E}(u, \omega, \dot{u}, \dot{\omega}) \leq \delta \mathscr{E}(u, \omega, \dot{u}, \beta) \ \forall \beta \geq 0.$$
 (15)

By the linear representation in (2), it is easily 231 shown that 232

$$\delta \mathscr{E}(u, \omega, 0, \beta) \ge \delta \mathscr{E}(u, \omega, 0, \dot{\omega}) \ \forall \beta \ge 0.$$
 (16)

Reminding (14) and (16) reads as

$$\delta \mathcal{E}(u, \omega, 0, \beta) \ge 0 \ \forall \beta \ge 0.$$
 (17)

The integral form (17) is now suitable for lo-  $^{234}$  calization purposes because of the arbitrariness  $^{235}$  of the virtual velocity  $\beta$ . Thus, the so-called  $^{236}$  Karush-Kuhn-Tucker (KKT) conditions for damage mechanics have been derived simply from  $^{238}$  the principle of least action in the form of the  $^{239}$  variational inequality stated in (3).

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241 In order to get governing equations with this 242 method, this variational principle is generally presented as in Placidi (2015, 2016) in the next section.

## The Definition of the Total **Deformation Energy Functional in** Nonlocal Continuum Mechanics

The total deformation energy functional  $\mathscr{E}$  is the state function of the problem. It is generally decomposed into an elastic part  $\mathscr{E}_e$ :

$$\mathscr{E}_e = \mathscr{E}_e^{\text{int}} - \mathscr{E}_e^{\text{ext}}, \tag{18}$$

251 that is decomposed into an internal part  $\mathscr{E}_{\rho}^{\text{int}}$ :

$$\mathscr{E}_e^{\text{int}} = \int_{\mathscr{R}} U, \tag{19}$$

252 due to the material, and an external part  $\mathscr{E}_{\rho}^{\text{ext}}$ , due 253 to the interaction with the external world, and a 254 dissipation  $\mathcal{E}_d$  part:

$$\mathcal{E}_d = \int_{\mathcal{R}} w(\omega),\tag{20}$$

where U is the density of the internal energy and w is the density of the dissipation energy.

Localizations of the deformation process are 257 always preferential from an energetic viewpoint. 258 Accordingly one must introduce some characteristic lengths in order to penalize the deformations that are too localized. This leads to the concept of nonlocal damage models. The nonlocal approach, for controlling the size of the localization zone, implies nonlocal terms either in the internal part of the total deformation energy functional or in the dissipated part. 266

Usually, the nonlocal terms are given by the 267 dependence of the density of the total deformation energy functional upon not only the damage  $\omega$  but also upon the first gradient of it, i.e., of 271  $\nabla \omega$ . From this point of view, it is worth to be 272 noted, among others, the contributions of the 273 group of Marigo (Marigo 1989; Pham et al. 2011; 274 Bourdin et al. 2008; Amor et al. 2009; Pham

and Marigo 2010a,b), Perego (Comi and Perego 275 1995) and Miehe (Miehe et al. 2016). A fully 276 nonlocal approach (i.e., an integral procedure 277 which is based on integration of the state vari- 278 ables over a typical domain whose size is related 279 to the characteristic length of the localization) is 280 due to the group of Bažant (Pijaudier-Cabot and 281 Bažant 1987; Bažant and Jirásek 2002; Bazant 282 and Pijaudier-Cabot 1988). As commented in 283 dell'Isola et al. (2015a), it is possible to trace 284 back such a fully nonlocal approach to the pio- 285 neering ideas of G. Piola (dell'Isola et al. 2014) 286 that were also exploited in Silling (2000). A 287 micromorphic approach is used by the group of 288 Forest (Forest 2009; Aslan et al. 2011; Dillard 289 et al. 2006). Strain gradient formulation is also 290 used in the literature (Yang and Misra 2012; 291 Yang et al. 2011; Peerlings et al. 2001). In the 292 next section, a strain gradient formulation for 293 damage continuum 1D bodies will be shown as an 294 example of damage continuum mechanics with 295 the variational approach that is here illustrated. 296 The first variational formulation of this kind for 297 strain gradient materials has been presented in 298 Placidi (2015, 2016), from where the notation of 299 the next section has been taken.

### **Damage Strain Gradient Formulation** for the 1D Case

As an example, we consider, in the reference 303 configuration, a body that it is modeled as a 304 one-dimensional straight line of length L, with 305 an abscissa  $X \in [0, L]$ . Let us further assume 306 the quasi-static approximation. Thus, the inertia, 307 i.e., the kinetic energy, is neglected. Since we 308 deal with infinitesimal deformations, the total 309 deformation energy functional & will be now 310 expressed in terms of the displacement field 311  $u(X,t) = \chi(X,t) - X$  and not of the placement 312  $\chi(X,t)$ .

An explicit form for the second gradient case 314 of the total deformation energy functional is, 315 therefore,

Author's Proof

$$\mathcal{E}(u(X,t),\omega(X,t)) = \int_{0}^{L} \left[ K_{0}(X)\omega(X,t) + \frac{1}{2}K(X)\omega(X,t)^{2} \right] dX + \int_{0}^{L} \left[ \frac{1}{2}C(X,\omega(X,t)) \left[ u'(X,t) \right]^{2} + \frac{1}{2}P(\omega(X)) \left[ u''(X,t) \right]^{2} \right] dX - \int_{0}^{L} \left[ b_{\sigma}(X)u(X,t) + b_{m}(X)u'(X,t) \right] dX - \sigma_{0} u(0,t) - \sigma_{L} u(L,t) - m_{0} u'(0,t) - m_{L} u'(L,t),$$
(21)

317 where K(X) is the resistance to damage that is 318 assumed to be independent of damage,  $K_0(X)$ is another independent damage constitutive field that will be interpreted as the initial damage threshold,  $C(X, \omega(X, t))$  is the standard stiff-322 ness (that is assumed to depend on damage), and  $P(\omega(X,t))$  is the second gradient stiffness 324 (that is also assumed to depend on damage). 325  $b_{\sigma}(X)$  and  $b_{m}(X)$  are the distributed external actions that expend work, respectively, on the 327 displacement and on the gradient of the displacement.  $b_{\sigma}(X)$  is also called the distributed external 329 force and  $b_m(X)$  the distributed external double 330 force.  $\sigma_0$ ,  $\sigma_L$ ,  $m_0$ , and  $m_L$  are the concentrated

external actions on the boundaries, X = 0 and X = L, of the domain [0, L]:  $\sigma_0$  and  $\sigma_L$  are the concentrated external actions that make work on 334 the displacement, respectively, on the left- and on 335 the right-hand side of the one-dimensional body 336 (also called external forces at the boundaries), 337 and  $m_0$  and  $m_L$  are the concentrated external 338 actions that make work on the gradient of the 339 displacement, respectively, on the left- and on the 340 right-hand side of the one-dimensional body (also 341 called external double forces at the boundaries).

An explicit form of the standard elastic formulation (9) for the strain gradient case expressed 344 in (21) is

$$\frac{\int_{0}^{L} \left[\delta u \left(-\left(\sigma - m' - b_{m}\right)' - b_{\sigma}\right) dX + \left[\delta u \left(\sigma - b_{m} - m'\right) + \delta u' m\right]_{X=0}^{X=L} -\sigma_{0} \delta u(0, t) - \sigma_{L} \delta u(L, t) - m_{0} \delta u'(0, t) - m_{L} \delta u'(L, t), \quad \forall \delta u$$
(22)

346 where integration by parts has been performed 347 and where the contact force  $\sigma$  and the contact double force m are involved in the following form: 349

$$\sigma = C(X, \alpha(X, t)) u'(X, t),$$
  

$$m = P(\alpha(X, t)) u''(X, t).$$
(23)

350 The integral form (22) is suitable for the follow-351 ing localization:

$$\left(\sigma - m' - b_m\right)' + b_\sigma = 0. \tag{24}$$

352 Insertion of (23) into (24) gives the standard 353 partial differential equation (PDE) for a second 354 gradient 1D continuum:

$$\left(Cu' - \left(Pu''\right)' - b_m\right)' + b_\sigma = 0,$$

$$\forall X \in [0, L]. \tag{25}$$

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Besides, the following duality conditions are derived from (22), i.e.:

$$\begin{split} \delta u(L) \left[ C u' - \left( P u'' \right)' - b_m \right]_{X=L} &= \sigma_L, \, (26) \\ \delta u(0) \left[ C u' - \left( P u'' \right)' - b_m \right]_{X=0} &= -\sigma_0, \, (27) \\ \delta u'(L) P u''(L) &= m_L, \, (28) \\ \delta u'(0) P u''(0) &= -m_0, \, (29) \end{split}$$

where the boundary conditions (BCs) can be 357 derived from the explicit form of the constraints, which are assumed to be expressed in terms of the 359 displacement field. 360

The integral form (14), with the total deformation energy functional (21), has the following explicit form:

Author's Proof

$$\int_{0}^{L} \dot{\omega} \left[ K_{0}(X) + K(X) \omega(X, t) + \frac{1}{2} \frac{\partial C(X, \omega)}{\partial \omega} \left[ u'(X, t) \right]^{2} + \frac{1}{2} \frac{\partial P(\omega)}{\partial \omega} \left[ u''(X, t) \right]^{2} \right] dX = 0.$$
 (30)

The global form of the KKT (17) has the following other form:

$$\int_{0}^{L} \beta \left[ K_{0}(X) + K(X) \omega(X, t) + \frac{1}{2} \frac{\partial C(X, \omega)}{\partial \omega} \left[ u'(x, t) \right]^{2} + \frac{1}{2} \frac{\partial P(\omega)}{\partial \omega} \left[ u''(X, t) \right]^{2} \right] dX \ge 0, \quad \forall \beta \ge 0.$$
(31)

366 In order to localize (31), let  $\Omega_{\gamma}(X) \subset R$  be a 367 family, parameterized over  $\gamma \in R^+$ , of bounded 368 neighborhoods of  $X \in [0, L]$ , such that their 369 diameters are diam  $\Omega_{\gamma}(X) = \gamma$ . Besides, let 370  $\beta_{\gamma}: [0, L] \to R^+$  be a family of functions, 371 parameterized over  $\gamma \in R^+$ , defined as

$$\beta_{\gamma}(X) = \begin{cases} 0 & \text{if } X \notin \Omega_{\gamma}(X) \\ 1 & \text{if } X \in \Omega_{\gamma}(X). \end{cases}$$
 (32)

Clearly, for each  $\gamma \in R^+$ ,  $\beta_{\gamma}$  defined in (32) 372 fulfills the positive definiteness required to  $\beta$  373 in (31). Hence, (31), with the specification of  $\beta$  374 as in (32), yields 375

$$\overline{\int_{0}^{L} \beta_{\gamma} \left[ K_{0}(X) + K(X) \omega(X, t) + \frac{1}{2} \frac{\partial C(X, \omega)}{\partial \omega} \left[ u'(X, t) \right]^{2} + \frac{1}{2} \frac{\partial P(\omega)}{\partial \omega} \left[ u''(X, t) \right]^{2} \right]} dX = 0, \quad \gamma \in \mathbb{R}^{+}. \tag{33}$$

376 and, letting  $\gamma \to 0^+$ , we finally get,  $\forall X \in [0, L]$ 

$$K_{0}(X) + K(X)\omega(X,t) + \frac{1}{2}\frac{\partial C(X,\omega)}{\partial \omega} \left[\overline{u'(X,t)}\right]^{2} + \frac{1}{2}\frac{\partial P(\omega)}{\partial \omega} \left[u''(X,t)\right]^{2} \ge 0.$$
 (34)

Since by hypothesis we have  $\dot{\omega} \ge 0$ , keeping in mind (34), in order to fulfill the relation (30) we have that,  $\forall X \in [0, L]$ ,

$$K_0(X) + K(X)\omega(X,t) + \frac{1}{2}\frac{\partial C(X,\omega)}{\partial \omega} \left[u'(X,t)\right]^2 + \frac{1}{2}\frac{\partial P(\omega)}{\partial \omega} \left[u''(X,t)\right]^2 = 0, \quad (35)$$

Author's Proof

380 and/or

$$\dot{\omega} = 0, \quad \forall X \in [0, L]. \tag{36}$$

381 The combination of (35) and (36) gives, 382  $\forall X \in [0, L]$ , the desired localform of the

so-called Karush-Kuhn-Tucker (KKT) conditions 383 for damage mechanics 384

$$\frac{\dot{\omega}\left(K_0(X) + K(X)\omega(X,t) + \frac{1}{2}\frac{\partial C(X,\omega)}{\partial \omega}\left[u'(X,t)\right]^2 + \frac{1}{2}\frac{\partial P(\omega)}{\partial \omega}\left[u''(X,t)\right]^2\right) = 0 \quad (37)$$

that has been derived simply from the variational inequality given in (3).

According to previous results in the literature, see, e.g., Yang and Misra (2012), the stiffness  $C(X,\omega(X,t))$  is generally assumed to decrease with damage growth. The most simple relation of this kind that fulfills this condition is the linear one, i.e.:

$$C(X, \omega(X, t)) = C_0(X)(1 - \omega(X, t)).$$
 (38)

Besides, also the most simple constitutive relation 393 for the second gradient stiffness  $P(\omega(X,t))$  is of 394 linear type:

$$P\left(\omega\left(X,t\right)\right) = P_0\left(1 - n\omega(X,t)\right), \quad (39)$$

where, on the one hand, n=1 indicates that P=396 0 at the failure condition  $\omega=1$  and, on the other 397 hand, n=-1, as proposed in Placidi (2015), 398 indicates that the micro-structure represented by 399 second gradient terms in (21) is enlarged by the 400 presence of damage. By insertion of (38) and (39) 401 into (37), 402

$$\frac{\dot{\omega}\left(K_0(X) + K(X)\omega(X,t) - \frac{1}{2}C_0(X)\left[u'(X,t)\right]^2 - \frac{1}{2}nP_0\left[u''(X,t)\right]^2\right) = 0.$$
(40)

Assuming K(X) > 0, (40) is rewritten in another form:

where the damage threshold  $\omega_T(X,t)$  has been 405 defined as follows:

$$\dot{\omega}\left(\omega(X,t) - \omega_T(X,t)\right) = 0. \tag{41}$$

$$\omega_T(X,t) = -\frac{K_0(X)}{K(X)} + \frac{C_0(X)}{2K(X)} \left[ u'(X,t) \right]^2 + \frac{nP_0}{2K(X)} \left[ u''(X,t) \right]^2. \tag{42}$$

Equation (42) is of interest. It gives an analyti-408 cal expression of the damage evolution that has 409 been derived from the variational inequality (3). 410 Because of the local form (41) of the KKT 411 conditions, the damage field  $\omega(X,t)$  is given 412 by its threshold in (42) only if the condition 413  $\dot{\omega} \geq 0$  is satisfied. Otherwise, the (41) implies 414  $\dot{\omega} = 0$ . It is worth to be noted that if an initial 415 undamaged condition, i.e.,  $\omega(X,0) = 0$ , with 416 no displacement field in an unstressed reference 417 configuration, i.e.,  $u(X,0) = 0 \ \forall X$ , is selected, 418 then, since  $K_0(X) > 0$  and K(X) > 0, the

threshold  $\omega_T(X,0)$ , from (42), is negative. Thus, 419 in order to fulfill condition (41), the rate of 420 damage, and therefore also damage, must be zero 421 before time  $t=t^*$ , when the condition 422

$$\omega_T(X, t^*) = 0 \tag{43}$$

is satisfied. This means that damage starts to in- 423 crease its value from the condition  $\omega=0$  only if 424 the displacement field guarantees the occurrence 425 of (43), i.e., 426

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$$K_{0}(X) = \frac{1}{2}C_{0}(X)\left[u'\left(X, t^{*}\right)\right]^{2} + nP_{0}\frac{1}{2}\left[u''\left(X, t\right)\right]^{2}.$$
 (44)

427 Such a condition gives a clear interpretation of  $\overline{AU2}$  428 the constitutive function  $K_0(X)$ .

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**Author's Proof** 

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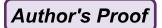
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