

Frequent detection of high human papillomavirus DNA loads in oral potentially malignant disorders

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Abstract

Human papillomavirus (HPV) is estimated to be the cause of 40–80% of the squamous cell carcinoma of the oropharynx but only of a small fraction of the oral cavity cancers. The prevalence of oral HPV infection has significantly increased in the last decade, raising concerns about the role of HPV in progression of oral potentially malignant disorders (OPMD) toward squamous cell carcinomas. We sought to study HPV infection in patients with oral lesions, and in control individuals, using non-invasive and site-specific oral brushing and sensitive molecular methods. HPV DNA positivity and viral loads were evaluated in relation to patient data and clinical diagnosis. We enrolled 116 individuals attending Dental Clinics: 62 patients with benign oral lesions (e.g. fibromas, papillomatosis, ulcers) or OPMD (e.g. lichen, leukoplakia) and 54 controls. Oral cells were collected with Cytobrush and HPV-DNA was detected with quantitative real-time PCR for the more common high-risk (HR) and low-risk (LR) genotypes. HPV detection rate, percentage of HR HPVs and HPV-DNA loads (namely HPV16 and in particular, HPV18) were significantly higher in patients than in controls. Lichen planus cases had the highest HPV-positive rate (75.0%), hairy leukoplakia the lowest (33.3%). This study detected unexpectedly high rates of HPV infection in cells of the oral mucosa. The elevated HR HPV loads found in OPMD suggest the effectiveness of quantitative PCR in testing oral lesions. Prospective studies are needed to establish whether elevated viral loads represent a clinically useful marker of the risk of malignant progression.

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Introduction

Oral cancer is one of the more common cancers worldwide; early detection of precancerous lesions remains a challenge to clinicians [1,2]. Although most oral lesions are benign, many have an appearance that may confound them with oral potentially malignant disorders (OPMD) [1,2]. One of the most

common oral lesions is squamous papilloma, an exophytic, pedunculated and usually solitary lesion with small white or red finger-like projections on the surface [1]. Of the oral cavity lesions, leukoplakia, defined as 'a white plaque of questionable risk having excluded (other) known diseases or disorders that carry no increased risk for cancer' [1,2], is considered an OPMD whereas it is still debated whether lichen planus, a chronic immuno-inflammatory condition, has potential for malignant transformation [1–3]. Although the risk of progression of a single OPMD is low [1–3], the cellular changes and the associated risk factors leading from epithelial atypia to oral cancer are not well defined [2–4]. Hence, there is a need to differentiate and closely monitor those oral lesions at higher risk of developing cancer [1,2].

A variable fraction (5–25%) of oral cavity cancer cases is deemed to be associated with human papillomavirus (HPV) [5,6]; however, it is not yet clear whether HPV infection acts as a driving force or as a cofactor in oral carcinogenesis. HPVs are small double-stranded DNA viruses that infect epithelial tissues [7]. Mucosal HPV genotypes are classified into low-risk (LR) types that cause only benign lesions and high-risk (HR) types associated with anogenital cancers [7,8]. HPV has been recognized to be also the cause of 40–80% of head and neck squamous cell carcinomas, mostly tonsil and base of the tongue cancers, whose incidence has significantly increased in the last decade [9]. Importantly, an apparent increase in oral HPV prevalence was observed in the general population [10–13], raising concerns about HPV infection and oral cancer risk. In their recent review [12], Chung *et al.* noted that the pooled prevalence of oral HPV infection in healthy individuals between 1997 and 2009 was reported to be 4.5% whereas in a cross-sectional study conducted between 2009 and 2010, oral HPV prevalence increased to 6.9% in the general US population (5579 men and women, aged 14–69 years). More specifically, oral HPV was prevalent in 32% and 16% of human immunodeficiency virus-positive patients and human immunodeficiency virus-negative patients, respectively, all presenting with oral lesions [13]. Given recent global trends of HPV oral infection, the burden of HPV-associated oral cancers is expected to be higher in the next decades.

Oral HPV infection often occurs with no initial signs or symptoms of infection, frequently in the absence of clear risk factors, so that infected individuals are unaware of the infection for a long time. Undoubtedly, many aspects of oral HPV infection remain to be clarified, including modes of acquisition, risk factors, median time to clearance, prevalence of specific genotypes, clinical relevance of high viral loads, and the different outcomes of lesions in relation to the risk of developing oral cancer. In fact, published reports show a marked heterogeneity in patient population, collection methodology, detection technique, diagnostic criteria, anatomical location and selection of control groups [6].

Studying HPV infection and eventually the specific genotype and viral load, in OPMD and other oral lesions, would help in elucidating the role of HPV in oral carcinogenesis and could help to guide future strategies for prevention. To that aim, non-invasive but site-specific methods of collecting oral cells from the lesions should be used. Because of its ease of sampling, a brush biopsy performed with Cytobrush is an adequate technique for diagnostic cytology smears [4,14] to obtain full transepithelial cell samples that are also suitable to be tested for HPV DNA [4]. In view of these considerations and to gain new insights into HPV oral infection, this study assessed the reliability of HPV testing by sensitive and quantitative molecular

methods in cells collected by Cytobrush from patients with different types of oral lesions, and from control individuals. In addition, HPV DNA copy numbers were evaluated in positive samples to ascertain whether viral loads were related to HPV risk group, patients' characteristics or clinical diagnosis.

Materials and Methods

Study population

This study was conducted on 116 individuals (62 cases and 54 controls) consecutively enrolled at the Umberto I Dental Clinic in Rome during the period January 2013 to October 2014. Before enrolment, all patients were informed about the procedure and signed an informed consent form. Exclusion criteria were unacceptable oral hygiene because poor oral health is a recognized independent risk factor of oral HPV infection [15]. Individuals enrolled in the study as patients had benign oral lesions (e.g. fibromas, oral traumatic ulcerative lesions), suspected HPV lesions (e.g. papillomatosis) or OPMD (e.g. leukoplakia, lichen planus), whereas the control group was selected from people attending the Dental Clinic for dental conditions without clinically evident lesions in the oral mucosa. Patient data on other localized or systemic diseases and risk factors, e.g. smoking, were recorded.

A brush biopsy was performed applying a Cytobrush (GPS Medical, Bergamo, Italy) with a light pressure and rotating on lesions, to collect a large number of transepithelial cells [14]; brushing was performed inside the whole oral cavity for the control group. The brushing samples were then suspended in 1 ml phosphate-buffered saline and immediately sent to the Virology Laboratory for analysis.

HPV detection

Oral brushings were centrifuged at low speed; the cell pellets then underwent DNA extraction using a QIAamp Blood kit (Qiagen, Hilden, Germany). Amplification of a 400-bp fragment of the human leukocyte antigen (HLA) was initially used to assess the quality of the target DNA, following procedures routinely adopted in the Virology Laboratory for cervical HPV detection and previously described [16]. However, several oral brushes were negative for HLA gene amplification probably because of fragmented DNA [17]. They were therefore unsuitable for amplification with the consensus primer MY09/11 widely used in anogenital HPV detection also in our Laboratory [16]. To overcome this problem, we used HPV type-specific quantitative real-time PCR (qPCR) fluorogenic assays, implemented in a previous study [18], that provide a greater analytical sensitivity without requiring intact genomic DNA. To avoid false-negative results, all samples were tested for the

quality of extracted DNA, amplifying the housekeeping gene glyceraldehyde-3-phosphate dehydrogenase (GAPDH) in a semi-quantitative way. Accordingly, HPV type-specific primers and TaqMan probes for the more common low-risk (HPV6, 11) and high-risk (16, 18, 31, 33, 53, 58) HPV genotypes were used and copy numbers were measured in samples by means of HPV plasmid external curves [18]. Total DNA concentration was calculated by reading optical density at 260 nm and viral load was determined as HPV copy number per nanogram of total DNA in each sample [18].

Statistical analysis

The chi-square test (two-sided) was used in the statistical analysis of different groups. The non-parametric test for pairwise comparisons, Mann–Whitney *U* test, was used for analysing viral load values of cases versus controls of LR versus HR and of HPV16 versus HPV18 infections. Statistical tests were considered significant if the *p* value was ≤ 0.05 . Data analysis was carried out with SPSS v.17.0 for Windows.

Results

HPV detection

A total of 116 oral samples were obtained from the Dental Clinic (Table 1): 62 were from patients with oral lesions and 54 from controls. Patients' mean age (53.8 years) was higher, though not at a statistically significant level, than that in the control group (40.8 years); attending the clinics for dental conditions is probably more frequent at a younger age.

Total purified DNA concentration median value was 6.9 mg/L (range 1.0–18.9 mg/L); DNA in samples collected from patients was 6.1 mg/L (range 1.0–14.1 mg/L) and from controls was 6.3 mg/L (range 1.2–18.9 mg/L) and the difference was not statistically significant ($p > 0.05$). All collected samples were positive for semi-quantitative amplification of the GAPDH gene,

so that apparently, all results were interpretable with respect to the cellular control.

Overall, 52/116 samples (44.8%) were positive for one of the HPV genotypes tested in the study; no multiple infection was found either in cases or in controls. The HPV positivity rate was higher in the case group (33/62, 53.2%) than in the control group (19/54, 34.5%) at a borderline statistically significant level ($p = 0.042$) with a significantly higher ($p = 0.027$) percentage of HR HPVs in samples from the cases (29/33, 87.9%) than in the control samples (12/19, 63.2%). HPV16 was the most frequently detected HPV genotype in both groups; HPV18, the second most common in cervical cancer, ranked second in patient infections. In the control group, which had more low-risk infections than the patients group, the low-risk HPV6 was the second most common genotype detected (Table 1).

Viral loads

In the light of the above results, we sought to evaluate HPV oral infections comparing cases and controls, focusing on type-specific HPV-DNA load in samples calculated as HPV-DNA copy number per nanogram (ng) of total DNA in each sample. Median values in cases and controls are reported in Table 1. Interestingly, despite a wide variability, the median number of HPV-DNA copies in oral samples from cases (median cases 1.9×10^4 copies/ng; range: 4.3×10^1 to 1.3×10^7) was significantly higher ($p = 0.000$, Mann–Whitney *U* test) than that from controls (median controls 1.1×10^2 copies/ng; range: 6.5×10^1 to 8.5×10^5) (Fig. 1). Moreover, viral loads of HR HPV from cases (median cases 2×10^4 copies/ng; range: 4.3×10^2 to 1.3×10^7) were significantly higher ($p < 0.0001$, Mann–Whitney *U* test) than those from controls (median controls 1×10^3 copies/ng; range: 5.2×10^1 to 8.5×10^5) (Table 1). In addition, HPV16-positive samples of the patient group had a significantly higher ($p = 0.003$, Mann–Whitney *U* test) median viral load (median cases 1.4×10^4 copies/ng; range: 4.3×10^2 to 6.5×10^6) than that of HPV16-positive controls

TABLE 1. Human papillomavirus (HPV) genotype and viral loads in oral samples from case and control groups

	Cases ^a (n = 62)	Controls ^b (n = 54)	<i>p</i>
Mean age, years (range)	53.8 (17–83)	40.8 (23–75)	n.s.
Gender, male (%)	29 (46.8%)	28 (51.8%)	n.s.
Any HPV (%) ^c	33/62 (53.2%)	19/54 (34.5%)	0.042
HPV genotypes ^d	6 [3], 11 [1], 16 [18], 18 [6], 31 [1], 33 [2], 53 [1], 58 [1]	6 [7], 16 [9], 18 [1], 33 [1], 53 [1]	
HR HPV (%) ^c	29/33 (87.9%)	12/19 (63.2%)	0.027
LR HPV (%) ^c	4/33 (12.1%)	7/19 (36.8%)	
HR HPV viral load, median (range) ^e	2×10^4 (4.3×10^2 to 1.3×10^7)	1×10^3 (5.2×10^1 to 8.5×10^5)	0.000
LR HPV viral load, median (range) ^e	2.8×10^3 (4.3×10^1 – 4.4×10^3)	4.6×10^2 (6.5×10^1 to 1.7×10^4)	n.s.

^aPatients attending the Dental clinic with oral mucosa lesions.

^bIndividuals attending the Dental clinic for dental conditions.

^cQuantitative PCR was performed for the high-risk (HR) types: HPV16, 18, 31, 33, 53, 58 and the low-risk (LR) types: HPV6, HPV11. (%): percentages are the number of cases attributed to HR/LR HPV from the total HPV-positive cases in the group.

^dValues in square brackets are the number of detections for each genotype.

^eViral loads of HR HPV genotypes are median values (range) of determinations expressed as number of copies/ng input DNA in cases and controls.

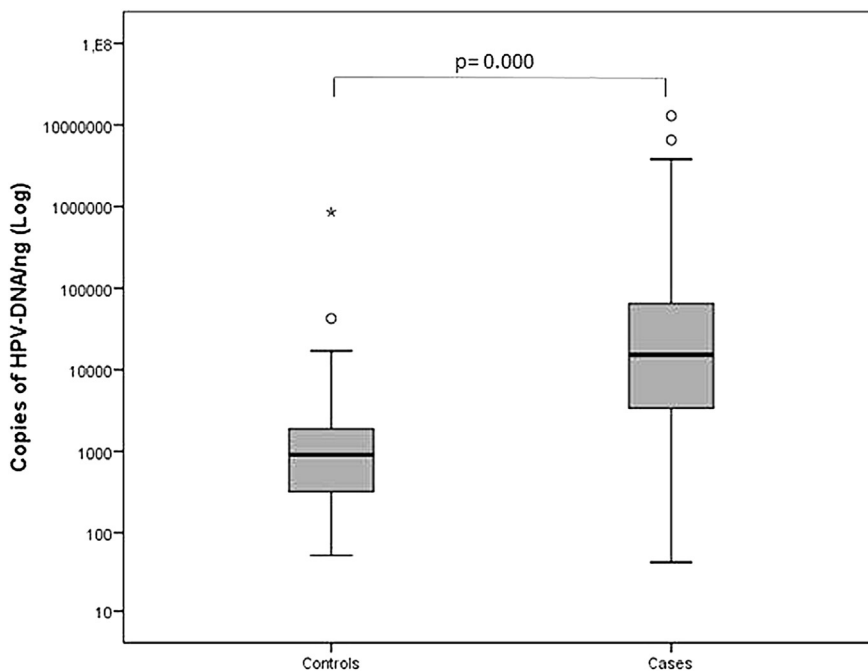


FIG. 1. Median values of type-specific human papillomavirus (HPV) DNA load. Results are cumulative data from 62 patients and 54 controls participating in the study and are expressed as HPV copy number (Log) per nanogram of total DNA in each sample. The non-parametric Mann–Whitney *U* test was used for pairwise comparisons of viral load values between the two groups.

(median controls 9.1×10^2 copies/ng; range: 5.2×10^1 to 8.5×10^5). Of note, HPV18-infected only one individual in the control group whereas HPV18-positive patients had the highest viral loads in lesions (Fig. 2), significantly higher than HPV16 (median DNA copies/ng: HPV18 1.8×10^6 versus HPV16 1.4×10^4 ; $p < 0.0001$, Mann–Whitney *U* test).

Patient characteristics and lesions

In the case group, we evaluated HPV results and patient risk factors, clinical diagnosis and affected sites in the oral cavity (Table 2). There was no significant difference between HPV-negative, HR or LR HPV-positive patients in terms of mean age, gender, smoking habit or underlying clinical conditions (Table 2).

The highest HPV infection rates were in patients clinically diagnosed with lichen planus (HPV-positive 9/12 cases, 75.0%), followed by papillomatosis (HPV-positive 14/24, 58.3%), other types of oral lesions, i.e. traumatic ulcers, fibromas, a burning sensation of the oral mucosa (HPV-positive 7/17, 41.2%), and leukoplakia (HPV-positive 3/9, 33.3%) (Table 2). No specific HPV genotype or differences in viral loads were associated with a particular clinical diagnosis or affected site.

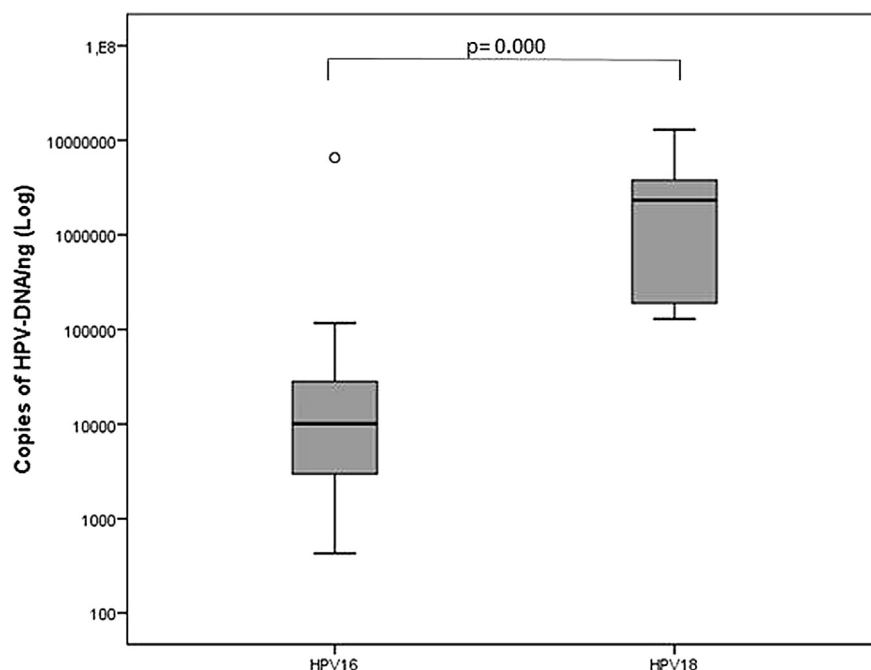
Discussion

In normal oral mucosa, reported HPV DNA positivity rates vary considerably among different population groups, but also

depend on which oral sample is collected [19–24] as oral rinse and gargle, saliva, oral swabs, oral brushings and biopsies have different oral mucosa cell contents. Oral HPV infection was estimated to be around 7% in US adults [24,25] but ranges from 4.4% when testing only oral rinse [12] to 12.3% when testing oral rinse and oral swabs [22]. In oral cells taken with Cytobrush from Finnish women of reproductive age [26], the rate of HPV positivity was 18–24%, whereas in bioptic samples of normal oral mucosa, HPV positivity was 40% [23].

In this study, to test for HPV infection, a microbrush of the type used in the collection of cervical samples (Cytobrush) was used to collect samples from oral lesions. Several studies have suggested that the Cytobrush collection method permits non-invasive evaluation of potentially malignant lesions by detecting atypia in cells from brush biopsies of lesional material [4,14], which are also suitable for HPV DNA testing [4,20,21]. Using Cytobrush allows the oral mucosa to be tested reaching the non-keratinized strata of the epithelium [14], which is not achieved in oral rinse samples. As a result of the absence of patient discomfort that limits collection of bioptic samples, general dentists and stomatologists could use this technique, which is well-accepted by the patient, also in a screening context, in the absence of overt lesions. In this study, we obtained cells in a comparable amount in both groups, lightly brushing the whole oral cavity in control individuals and directly on the site of clinically evident lesions in the patient group. In patients, brushing the lesions can maximize the chance to detect HPV DNA, which is consistent with the fact that positive

FIG. 2. Median values of type-specific human papillomavirus (HPV) DNA load. Results are cumulative data from HPV16 ($n = 18$) and HPV18 ($n = 9$) samples from patients participating in the study and are expressed as HPV copy number (Log) per nanogram of total DNA in each sample. The non-parametric Mann–Whitney U test was used for pairwise comparisons of viral load values between HPV16 and HPV18.



HPV detection on the oral mucosa seems to be associated with lesions in particular anatomical sites [27].

To overcome technical difficulties in HPV detection, we performed type-specific qPCR instead of the nested PCR

TABLE 2. Patient demographic and clinical data stratified according to human papillomavirus (HPV) results

Patient data	HPV-positive ($n = 33$)	HPV-negative ($n = 29$)
Mean age, years (range)	52.6 (17–83)	55.1 (21–79)
Male	13	16
Female	20	13
Risk factors ^a		
None	13/29	8/27
Smoking	5/29	8/27
Systemic conditions	12/29	9/27
Non-systemic conditions	5/29	4/27
Clinical diagnosis		
Lichen planus	9 (75.0%) HPV: 6 [1], 16 [6], 18 [1], 31 [1]	3 (25.0%)
Papillomatosis	14 (58.3%) HPV: 6 [1], 16 [6], 18 [3], 33 [2], 53 [1], 58 [1]	10 (41.7%)
Leukoplakia	3 (33.3%) HPV: 16 [2], 18 [1]	6 (66.7%)
Other lesions ^b	7 (41.2%) HPV: 6 [1], 11 [1], 16 [4], 18 [1]	10 (58.8%)
Site of the lesion		
Cheek mucosa	3 (30.0%) HPV: 6 [1], 16 [1], 18 [1]	7 (70.0%)
Hard palate	5 (62.5%) HPV: 16 [2], 18 [3]	3 (37.5%)
Soft palate	4 (57.1%) HPV: 16 [1], 31 [1], 33 [1], 58 [1]	3 (42.9%)
Oral cavity	2 (40.0%) HPV: 16 [2]	3 (60.0%)
Floor of the mouth	2 (50.0%) HPV: 11 [1], 16 [1]	2 (50.0%)
Lingual margins and belly	3 (60.0%) HPV: 6 [1], 16 [1], 18 [1]	2 (40.0%)
Back of the tongue	10 (58.8%) HPV: 6 [2], 16 [7], 53 [1]	7 (41.2%)
Periodontal mucosa	3 (75.0%) HPV: 16 [1], 18 [1], 33 [1]	1 (25.0%)
Retromolar trine	1 (50.0%) HPV: 16 [1]	1 (50.0%)

^aRisk factors are known for 56 patients out of 62; no significant difference was found between HPV-positive and HPV-negative patients.

^bOther lesions of the oral cavity include: traumatic ulcers, fibromas, and a burning sensation of the oral mucosa.

techniques used in most previous works [19–21,26]. Our PCR assays are specifically designed to yield small amplicons, as appropriate for possibly degraded DNA [17]. Besides, qPCR also has the advantage of being rapid, quantitative, and less prone to contamination. An obvious feature of this method is that, while adding information on the specific HPV genotype, testing all mucosal HPVs in a sample is not routinely feasible. In this study we detected the HR HPV genotypes associated with the higher percentage of cervical cancer cases (16, 18, 31, 33) and the other HPV genotypes (the HR HPVs 53 and 58, and the LR HPVs 6 and 11) more frequently detected in Rome according to our previous paper [17]. Testing a limited number of genotypes can also explain the fact that no multiple infections were detected in this study. In other studies related to oral cavity, HPV multiple infections were in the range of 5–13% [14,23,26], rates apparently lower than in cervical infections.

In this study, the control group's rate of HPV positivity (34.5%) was much higher than in previous Italian studies [20,28] but comparable to recent papers [23,29], so in keeping with the worldwide increase in oral HPV prevalence [12].

To our knowledge, this study is the first to quantify in OPMD and other oral lesions, several HR HPV genotypes other than HPV16, and the LR genotypes HPV6 and 11. Previous investigations either quantified only the overall (i.e. non-type-specific) viral load, or focused on HPV16 so that little is known on the other genotypes. Only one recent study [25] comprehensively quantified HR HPV infections in the oral rinse from a US general population cohort, but did not quantify

LR genotypes. In that cohort [25], older age and current smoking were associated with a high oral load of HR HPV types among individuals with a prevalent infection. These results are not directly comparable with ours, given the differences in the study group, in the sampling techniques, in the number of HR genotypes tested and in the expression of viral load results. The last issue is highly debated in the field because different techniques are used for expressing viral load with respect to the input DNA in the sample and it is difficult to compare different studies. Anyway, interesting differences emerged from our analysis of viral load results: the cases had significantly higher median loads of HR HPV with respect to LR, and of HPV16 with respect to controls, all findings consistent with the reported predominant role of HPV16 in OSCC [30,31]. Nonetheless, our data showed that HPV18 might also play an important role in oral infections with elevated viral loads that could cause a risk of persistence. It has been shown that HPV16 cervical infections (but not those of other HPV genotypes) with high DNA loads are at greater risk of clinical progression [32]. Oral HPV16 load was significantly associated with the time to clearance of oral infections in a recent paper [33]; in this respect, HPV18 oral infections were not addressed.

Comparing our results of HPV detection in the different oral lesions, we noted a very high positivity in lesions clinically diagnosed as lichen planus (75%) whereas the other lesions had comparable rates of HPV positivity with respect to previous reports [20,30,31]. In particular, previous Italian studies detected HPV DNA by nested PCR performed in exfoliated mucosal cells in 17–22% of leukoplakia cases and 20–25% of lichen planus [21,28]. In other recent studies and meta-analyses, HPV detection rates in oral lichen planus range from 10% to 33% [30,31,34,35]; we cannot presently explain this discrepancy with the high HPV detection rates in lichen planus in this study. Lichen planus is a chronic inflammatory autoimmune disease [3] and the frequent ulceration makes this lesion more susceptible to HPV infection. HPV oncogenes in turn may help proliferating epithelial cells to escape apoptosis [7,34,35] but the HPV contribution to the risk of oral cancer is still uncertain. Prospective studies correlating HPV type-specific detection with histology and other host cell biomarkers are needed to clarify this issue.

In conclusion, this study implemented a non-invasive sample collection method with a sensitive and quantitative molecular method, detecting high rates of oral HPV-DNA. The notion of oral HPV infection as a not uncommon condition in normal oral mucosa poses potential for vaccine-based prevention, considering that the vaccine genotype HPV16 was the most frequently detected. It remains to be clarified whether low viral loads in the absence of a clinically evident lesion could be indicative of transient infections. Conversely, HPV infections at elevated viral

loads, such those we found in HPV16 and HPV18, could be associated with an increased risk of persistence and progression, to be tested in prospective studies.

Considering the rising incidence of oral cancer, these findings could be relevant to dentists and stomatologists to enhance their knowledge in this area and for clinical patient management.

Transparency Declaration

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