

INTERFACIAL ENERGIES OF SYSTEMS OF CHIRAL MOLECULES*

ANDREA BRAIDES†, ADRIANA GARRONI‡, AND MARIAPIA PALOMBARO§

Abstract. We consider a simple model for the assembly of chiral molecules in two dimensions driven by maximization of the contact area. We derive a macroscopic model described by a parameter taking nine possible values corresponding to the possible minimal microscopic patterns and modulated phases of the chiral molecules. We describe the overall behavior by means of an interaction energy of perimeter type between such phases. This energy is a crystalline perimeter energy, highlighting preferred directions for the interfaces between ensembles of molecules labeled by different values of the parameter.

Key words. chiral molecules, lattice systems, interfacial energies, Γ -convergence, crystalline energies, Wulff shapes

AMS subject classifications. 49J45, 49Q20, 49M25

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Introduction. We consider a simple model of interaction between ensembles of two types of chiral molecules in two dimensions. The model has been described, together with other ones, in a paper by Szabalski and Woszczyk [18] (see also [3]). Such molecules are considered as occupying four sites of a square lattice with three points aligned in the vertical direction and one on one side in the two fashions represented in Figure 1. We consider collections of molecules, i.e., collections of disjoint sets of points, each of which differs from one of the two just described by an integer translation. In [18] the energy of a molecule is expressed as

$$(1) \quad \sum_{i=1}^4 \sum_{j=1}^4 s_{ij},$$

where i parameterizes the four sites composing a molecule, and j parameterizes the four neighboring sites in \mathbb{Z}^2 of each element of the molecule; the value s_{ij} equals 0 if the site parameterized by j belongs to some molecule (in particular it is 0 if that site belongs to the same molecule) and equals 1 if it does not belong to any other molecule. A discussion about chemical mechanisms for such energies can be found in [14, 15]. Our model can be viewed as a lattice system. Indeed (up to an additive constant), the energy density s_{ij} in (1) is nothing else than a ferromagnetic spin energy if we define the spin variable equal to 1 on the sites of the molecules and equal to -1 on the remaining sites of a square lattice.

The objective of our analysis is to give a homogenized description of such a system through an approximate macroscopic energy which describes the typical collective mechanical behavior of chiral molecules (see, e.g., [11, 12, 10]). The usual representation

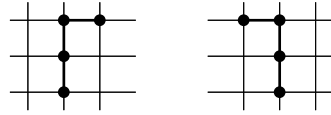
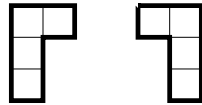
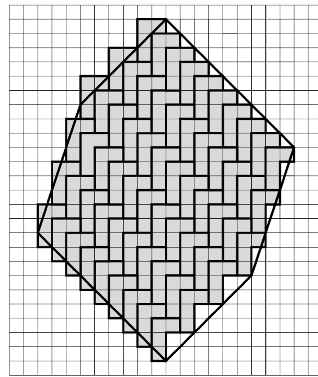
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FIG. 1. *Schematic picture of chiral molecules.*FIG. 2. *Chiral molecules as union of squares.*FIG. 3. *An ensemble of a single type of molecule minimizing the perimeter of their union, and its continuous approximation.*

of the overall properties by a macroscopic spin variable or magnetization [16] is not possible, since the geometry of the system makes it of nonlocal type, as the fact that a site is occupied by a molecule of either type influences the system further than the nearest neighbors in a nontrivial way. Moreover, such a simple representation would integrate out the asymmetric microscopic behaviors of the molecules. For a better description, we have to define a new parameter that captures the relevant properties of the microscopic arrangement of the molecules, in the spirit of recent works on lattice systems with microstructure [1, 6].

In order to define an overall macroscopic parameter we first note that we may equivalently represent chiral molecules as unions of unit squares centered on points of a square lattice as in Figure 2. Correspondingly, the energy in (1) can be viewed as the length of the boundary of the molecule not in contact with any other molecule. The energy of a collection of molecules is then simply the total length of the boundary of the union of the molecules.

We then examine the patterns of sets with minimal energy. In Figure 3 we picture a set composed of a given number of one type of molecule minimizing its total boundary length. We first make the simple observation that, whenever this is allowed by boundary conditions, configuration of minimal energy replicate the pattern exhibited in that figure. More precisely, we prove that configurations with zero energy inside an open set either are the empty set (no molecule is present) or in the interior of that

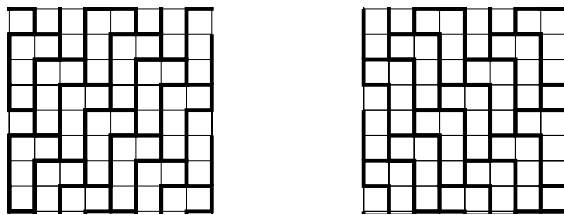


FIG. 4. Patterns of configurations with zero energy in a square.

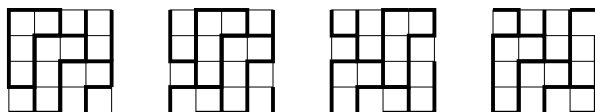


FIG. 5. Four distinct modulated phases restricted to the same periodicity cell.

set they must correspond to a “striped” pattern of either of the two types in Figure 4. These two patterns only are not sufficient to describe the behavior of our energy, since the simultaneous presence of different translations of the same pattern will result in interstitial voids, and hence will have nonzero energy. We then remark that each pattern is four periodic, and translating the pattern vertically (or horizontally) we obtain all different arrangements with zero energy. As a consequence, for each pattern we have four “modulated phases” corresponding to the four translations. In Figure 5 we reproduce the unit cells of the different phases corresponding to the same pattern. In this way we have singled out eight different arrangements for the ground state, to which we have to add the trivial configuration with zero energy corresponding to the empty set. Note that the position of a single molecule determines the corresponding ground state.

In order to study the overall behavior of a system of such chiral molecules, we follow a discrete-to-continuum approach, by scaling the system by a small parameter ε and examine its behavior as $\varepsilon \rightarrow 0$. We first give a notion of convergence of a family E_ε of sets which are unions of scaled molecules of disjoint interior to a family A_1, \dots, A_8 by decomposing the set E_ε into the sets $E_{1,\varepsilon}, \dots, E_{8,\varepsilon}$ defined as the union of the molecules corresponding to one of the eight modulated phases, respectively, and requiring that the symmetric difference between $E_{j,\varepsilon}$ and the corresponding A_j tends to 0 on each compact set of \mathbb{R}^2 . We prove that this notion is indeed compact: if we have a family E_ε of such sets with boundary with equibounded length, then, up to subsequences, it converges in the sense specified above. This is a nontrivial fact since it derives from a bound on the length of the boundary of the union of the sets $E_{j,\varepsilon}$, and not on each subfamily separately. We can nevertheless prove that each family $E_{j,\varepsilon}$ satisfies a similar bound on the length of the boundaries, and as a consequence is precompact as a family of sets of finite perimeter.

We then turn our attention to the description of the limit behavior of the energies $\mathcal{F}_\varepsilon(E) = \mathcal{H}^1(\partial E)$ defined on unions of scaled molecules with respect to the convergence $E_\varepsilon \rightarrow (A_1, \dots, A_8)$ defined above. It is convenient to introduce the set A_0 , complement of the union of A_1, \dots, A_8 , which then corresponds to the limit of the complements of E_ε . In this way the completed family $\{A_0, \dots, A_8\}$ is a partition into sets of finite perimeter, for whose interfacial energies there exists an established

variational theory [2]. We then represent the Γ -limit of the energies \mathcal{F}_ε as

$$\mathcal{F}(A_0, \dots, A_8) = \sum_{i \neq j=0}^8 \int_{\partial A_i \cap \partial A_j} f_{ij}(\nu^i) d\mathcal{H}^1,$$

where f_{ij} is an interfacial energy and ν^i is the measure-theoretical normal to ∂A_i . The functions $f_{ij}(\nu)$ are represented by an asymptotic homogenization formula which describes the optimal way to microscopically arrange the molecules between two macroscopic phases A_i and A_j in a way to obtain an average interface with normal ν . Note that this optimization process may be achieved by the use of molecules corresponding to phases other than A_i and A_j .

This process can be localized, requiring that all molecules be contained in a set Ω . In this case the same description holds, upon requiring that the partition satisfies $\bigcup_{j=1}^8 A_j \subset \Omega$ or, equivalently, $A_0 \supset \mathbb{R}^2 \setminus \Omega$.

With the aid of the homogenization formula, we are able to actually compute the energy densities $f_i = f_{i0} = f_{0i}$, i.e., with one of the two phases corresponding to the empty set. In that case, f_i is a crystalline perimeter energy, whose Wulff shape is an irregular hexagon corresponding to the continuous approximation of sets as in Figure 3.

The paper is organized as follows. In section 1 we introduce the necessary notation and prove the geometric Lemma 1 which characterizes configurations with zero energy on an open set. With the aid of that result in section 2 we define the discrete-to continuous convergence of scaled families of chiral molecules to partitions into nine sets of finite perimeter, and prove that this is a compact convergence on families with equibounded energy. In section 3 we first define the limit interfacial energy densities through an asymptotic homogenization formula and subsequently prove the Γ -convergence of the energies on scaled chiral systems to the energy defined through those interfacial energy densities. We then compute the energy densities and the related Wulff shapes when one of the phases is the empty set, and describe the treatment of anchoring boundary conditions. Finally, section 4 is dedicated to generalization; in particular, we remark that we may include a dependence on the type of chiral molecule, in which case optimal configuration may develop wetting layers. Another interesting observation is that we may consider as model energy the two-dimensional measure of \mathbb{R}^2 not occupied by a system of molecules (scaled by $1/\varepsilon$ for dimensional reasons when scaling the molecules) in place of the one-dimensional measure of their boundary. The analysis proceeds with minor changes except for the fact that the domain of the limit is restricted to the eight nonempty phases.

1. Geometric setting. We will consider \mathbb{R}^2 equipped with the usual scalar product, for which we use the notation $x \cdot y$. The Lebesgue measure of a set E will be denoted by $|E|$; its one-dimensional Hausdorff measure by $\mathcal{H}^1(E)$. Given $U \subset \mathbb{R}^2$ and $x \in \mathbb{R}^2$, we denote by $U(x)$ the translation of U by x , namely, $U(x) := x + U$.

We introduce the two *fundamental chiral molecules* as

$$R := ([0, 1] \times [0, 3]) \cup ([1, 2] \times [2, 3]), \quad S := ([-1, 0] \times [0, 3]) \cup ([-2, -1] \times [2, 3]),$$

corresponding to the two shapes in Figure 2. We will consider sets that can be obtained as a union of integer translations of one of these two cells with pairwise disjoint interior. We denote by \mathcal{E} the collection of families of sets defined as

$$\mathcal{E} := \left\{ \{E_j\}_j : E_j \in \{R(n), S(n) : n \in \mathbb{Z}^2\}, |E_j \cap E_{j'}| = 0 \text{ if } j \neq j' \right\}.$$

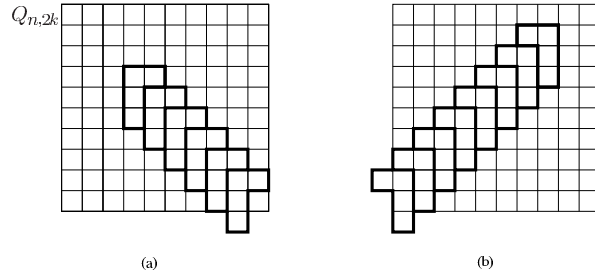


FIG. 6. Diagonal minimal patterns.

In this notation we do not specify the set of the indices j since it will never be relevant in our arguments. We may also simply write $\{E_j\}$ in the place of $\{E_j\}_j$ if no ambiguity arises. Each set of E_j will be referred to as a *molecule*. We define \mathcal{A} as the family of sets defined as unions of families in \mathcal{E} ,

$$\mathcal{A} := \left\{ \bigcup_j E_j : \{E_j\}_j \in \mathcal{E} \right\}.$$

We will sometimes need to define the union of the elements of a family \mathcal{B} of sets. In this case we simply write $\bigcup \mathcal{B}$ for $\bigcup_{B \in \mathcal{B}} B$. In particular, then, $\bigcup \{E_j\} = \bigcup_j E_j$.

In order to define the relevant macroscopic order parameter of the system, we now prove that if a set $E \in \mathcal{A}$ has no boundary inside a (sufficiently large) set, then it must coincide with one single variant of a ground state as defined in the introduction. In order to better formalize this property, for each $i \in \{1, \dots, 8\}$, we introduce the family \mathcal{Z}_i defined by

$$(2) \quad \mathcal{Z}_i := \begin{cases} \{R(n) : n \in \mathbb{Z}^2, n_2 + n_1 \equiv i \pmod{4}\} & \text{for } i = 1, \dots, 4, \\ \{S(n) : n \in \mathbb{Z}^2, n_2 - n_1 \equiv i \pmod{4}\} & \text{for } i = 5, \dots, 8. \end{cases}$$

Clearly, it suffices to prove this property for squares. For each $x \in \mathbb{R}^2$, $Q_r(x)$ stands for the open square of center x and side length r . In the case when $x = (0, 0)$, we will simply write Q_r .

LEMMA 1. Let $\{E_j\}_j \in \mathcal{E}$, $n \in \mathbb{Z}^2, k \in \mathbb{N}$ with $k \geq 4$, and let $E = \bigcup E_j$. Suppose that $E \cap Q_{2k}(n) = Q_{2k}(n)$. Then there exists $i \in \{1, \dots, 8\}$ such that $E_j \in \mathcal{Z}_i$ for each j such that $E_j \cap Q_{2k-4}(n) \neq \emptyset$.

Proof.

Step 1. Let $E_j \subset Q_{2k}(n)$. If E_j is a translation of R , say $R(\bar{j}_1, \bar{j}_2)$, then we have $R(\bar{j}_1 + 1, \bar{j}_2 - 1)$ (the translation of E_j by $(1, -1)$) is also part of the family $\{E_j\}$. Indeed if it were not so then the square $[0, 1] \times [0, 1] + (\bar{j}_1 + 1, \bar{j}_2 + 1)$ (i.e., the square defining the upper-left corner of $R(\bar{j}_1 + 1, \bar{j}_2 - 1)$) would belong to an element of the form $S(\bar{i}_1, \bar{i}_2)$. But then the square $[0, 1] \times [0, 1] + (\bar{j}_1 + 1, \bar{j}_2)$ would not belong to E , which is a contradiction. By proceeding by induction we deduce that among the sets E_j there are all the translations of E_j in direction $(1, -1)$ contained in $Q_{2k+2}(n)$, i.e., all the sets of the form $R(\bar{j}_1 + t, \bar{j}_2 - t)$ with $t \in \mathbb{N}$, as long as $R(\bar{j}_1 + t, \bar{j}_2 - t) \subset Q_{2k+2}(n)$ (see Figure 6(a)).

Step 2. We now prove that the translations $R(\bar{j}_1 - t, \bar{j}_2 + t)$ with $t \in \mathbb{N}$ (i.e., also the translations of E_j in direction $(-1, 1)$) also belong to the family $\{E_j\}$ as long as

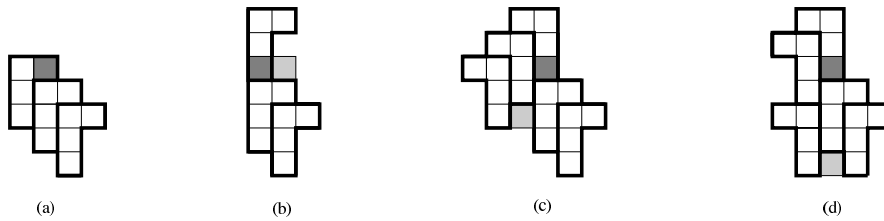


FIG. 7. Necessity of upper-left translations.

$R(\bar{j}_1 - t, \bar{j}_2 + t) \subset Q_{2k-2}(n)$. We can proceed by finite induction. It suffices to consider the case $R(\bar{j}_1, \bar{j}_2) \subset Q_{2k-4}(n)$ and prove that $R(\bar{j}_1 - 1, \bar{j}_2 + 1)$ belongs to the family $\{E_j\}$. We suppose otherwise and argue by contradiction. Referring to Figure 7 for a visual interpretation of the proof, we note that the square $Q = [\bar{j}_1, \bar{j}_1 + 1] \times [\bar{j}_2 + 3, \bar{j}_2 + 4]$ (the dark gray square in Figure 7) belongs to E . If it belonged to a molecule $R(\bar{i})$ (the case in Figure 7(b)) then this molecule should be $R(\bar{j}_1, \bar{j}_2 + 3)$. In this case, the neighboring square $[\bar{j}_1 + 1, \bar{j}_1 + 2] \times [\bar{j}_2 + 3, \bar{j}_2 + 4]$ would not belong to E . Since this is not the case, Q must belong to some molecule $S(\bar{i})$ belonging to $\{E_j\}$, which is the one pictured in Figures 7(c) and (d). Then also $S(\bar{i} + (-1, -1))$ must belong to $\{E_j\}$ (for the same reasoning as in Step 1). We then have two possibilities, pictured in Figures 7(c) and (d), respectively: either $S(\bar{i} + (-2, -2))$ belongs to $\{E_j\}$, in which case the light gray square in Figure 7(c) does not belong to E , or $S(\bar{i} + (-1, -4))$ belongs to $\{E_j\}$, in which case the light gray square in Figure 7(d) does not belong to E . Note that in the latter case we reach a contradiction if the light gray square in Figure 7(d) also belongs to $Q_{2k}(n)$. To this end we use the assumption $k > 3$.

Step 3. We can reason symmetrically if $E_{\bar{j}}$ is a translation of S , say $S(\bar{j}_1, \bar{j}_2)$.

From Steps 1 and 2 we deduce that if $R(\bar{j}_1, \bar{j}_2) \subset Q_{2k}(n)$ is part of the family $\{E_j\}$ then all the translations $R(\bar{j}_1 + t, \bar{j}_2 - t)$ contained in $Q_{2k}(n)$ with $t \in \mathbb{Z}$ belong to the family $\{E_j\}$ and, symmetrically, that if $S(\bar{j}_1, \bar{j}_2) \subset Q_{2k}(n)$ is part of the family $\{E_j\}$ then all the translations $S(\bar{j}_1 + t, \bar{j}_2 + t)$ contained in $Q_{2k}(n)$ with $t \in \mathbb{Z}$ belong to the family $\{E_j\}$.

Step 4. Consider now a set E_j with $E_j \cap Q_{2k-4}(n) \neq \emptyset$. We may suppose again $E_j = R(\bar{j})$. From the previous steps also the sets $R(\bar{j}_1 + t, \bar{j}_2 - t)$ intersecting $Q_{2k-4}(n)$ with $t \in \mathbb{Z}$ belong to the family $\{E_j\}$. Consider a unit square Q in $Q_{2k-4}(n)$ neighboring some of those $R(\bar{j}_1 + t, \bar{j}_2 - t)$. If it belonged to some $S(\bar{i})$ belonging to the family $\{E_j\}$ then by the previous steps the set $S(\bar{i} + (-1, -1))$ would belong to the family $\{E_j\}$ (if Q lies above some $R(\bar{j}_1 + t, \bar{j}_2 - t)$) or the set $S(\bar{i} + (1, 1))$ would belong to the family $\{E_j\}$ (if Q lies below some $R(\bar{j}_1 + t, \bar{j}_2 - t)$). In any case we would have a nonempty intersection between two elements of $\{E_j\}$, which is a contradiction. This implies that each such Q belongs to a set $R(\bar{i})$ of the same modulated phase of $R(\bar{j})$. This gives that the two stripes neighboring the one of $R(\bar{j})$ are of the same modulated phase. Proceeding by finite induction we conclude that all E_j intersecting $Q_{2k-4}(n)$ belong to the same modulated phase. \square

Remark 2. It can be proved that the thesis of Lemma 1 holds with $E_j \cap Q_{2k-2}(n) \neq \emptyset$. However the proof is more involved and we will not need such a sharp description.

From Lemma 1 we deduce that it is not possible to tessellate \mathbb{R}^2 using disjoint translations of both R and S , or of different modulated phases of the same pattern, as stated in the following corollary.

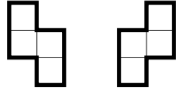


FIG. 8. Other types of chiral molecules.

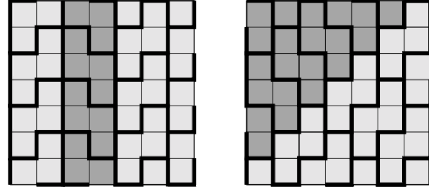


FIG. 9. Configurations violating Lemma 1.

COROLLARY 3. Let $E = \bigcup E_j \in \mathcal{A}$ and suppose that $E = \mathbb{R}^2$. Then there exists $i \in \{1, \dots, 8\}$ such that $E_j \in \mathcal{A}_i$ for all j or, equivalently, $\{E_j\} = \mathcal{Z}_i$.

Remark 4 (other types of chiral molecules). In [18] other pairs of chiral molecules occupying four sites of a square lattice are considered. An example of such pairs, represented as a union of squares, is pictured in Figure 8. The energy per molecule is again given by (1), but in this case Lemma 1 does not hold, as shown by the configurations in Figure 9. As a result we do not have a parameterization of ground states that can be used to define a compact convergence as in the next section.

2. Convergence to a partition. Let $\Omega \subset \mathbb{R}^2$ be an open bounded set with Lipschitz-continuous boundary. For each $\varepsilon > 0$, we define $\mathcal{E}^\varepsilon(\Omega)$ as the collection of families of essentially disjoint unions of translations of εR and εS defined as

$$\mathcal{E}^\varepsilon(\Omega) := \left\{ \{E_j^\varepsilon\}_j : \text{there exists } \{E_j\}_j \in \mathcal{E} \text{ such that } E_j^\varepsilon = \varepsilon E_j \text{ for all } j, \text{ and } E_j^\varepsilon \subset \Omega \right\}.$$

We denote by $\mathcal{A}^\varepsilon(\Omega)$ the family of sets defined as unions of families in $\mathcal{E}^\varepsilon(\Omega)$, i.e.,

$$\mathcal{A}^\varepsilon(\Omega) := \left\{ \bigcup_j E_j : \{E_j\}_j \in \mathcal{E}_\varepsilon(\Omega) \right\}.$$

We also define

$$\mathcal{Z}_i^\varepsilon := \begin{cases} \{\varepsilon R(n) : n \in \mathbb{Z}^2, n_2 + n_1 \equiv i \pmod{4}\} & \text{for } i = 1, \dots, 4, \\ \{\varepsilon S(n) : n \in \mathbb{Z}^2, n_2 - n_1 \equiv i \pmod{4}\} & \text{for } i = 5, \dots, 8. \end{cases}$$

Furthermore, we denote by $\mathcal{P}^9(\Omega)$ the family of ordered partitions (A_0, A_1, \dots, A_8) of Ω into nine sets of finite perimeter.

DEFINITION 5. We say that a sequence $\{E_j^\varepsilon\} \in \mathcal{E}^\varepsilon(\Omega)$ converges to $A \in \mathcal{P}^9(\Omega)$, and we write $\{E_j^\varepsilon\} \rightarrow A$ if

$$(3) \quad |\Omega_i^\varepsilon \Delta A_i| \rightarrow 0 \text{ as } \varepsilon \rightarrow 0,$$

where $\Omega_i^\varepsilon := \bigcup \{E_j^\varepsilon : E_j^\varepsilon \in \mathcal{Z}_i^\varepsilon\}$ for each $i = 1, \dots, 8$, and $\Omega_0^\varepsilon := \Omega \setminus \left(\bigcup_{i=1}^8 \Omega_i^\varepsilon \right)$.

This notion of convergence is justified by the following compactness result.

THEOREM 6 (compactness). Assume that $\{E_j^\varepsilon\}_j \in \mathcal{E}^\varepsilon(\Omega)$ is such that, having set $E^\varepsilon = \bigcup_j E_j^\varepsilon$, we have

$$(4) \quad C_0 = \sup_\varepsilon \mathcal{H}^1(\Omega \cap \partial E^\varepsilon) < +\infty.$$

Then (up to relabeling) there exists a subsequence $\{E_j^\varepsilon\}$ converging to some $A \in \mathcal{P}^9(\Omega)$ in the sense of Definition 5.

Proof. We use the notation $Q_r^\varepsilon(x)$ for the rescaled cube $\varepsilon Q_r(x) = Q_{\varepsilon r}(\varepsilon x)$. Introduce a cover $\{Q_{12}^\varepsilon(n)\}_{n \in 4\mathbb{Z}^2}$ of \mathbb{R}^2 using squares of sides 12ε and center a point $\varepsilon n \in 4\varepsilon\mathbb{Z}^2$. Set

$$I^\varepsilon := \{n \in 4\mathbb{Z}^2 : Q_{12}^\varepsilon(n) \cap \Omega \neq \emptyset\},$$

$$\hat{I}^\varepsilon := \{n \in I^\varepsilon : \mathcal{H}^1(Q_{12}^\varepsilon(n) \cap \partial E^\varepsilon) \geq \varepsilon\} \cup \{n \in I^\varepsilon : Q_{12}^\varepsilon(n) \cap \partial\Omega \neq \emptyset\}.$$

From (4) it follows that

$$(5) \quad \begin{aligned} \#\hat{I}^\varepsilon &\leq \frac{1}{\varepsilon} \sum_{n \in \hat{I}^\varepsilon} \mathcal{H}^1(Q_{12}^\varepsilon(n) \cap \partial E^\varepsilon) + \#\{n \in I^\varepsilon : Q_{12}^\varepsilon(n) \cap \partial\Omega \neq \emptyset\} \\ &\leq \frac{1}{\varepsilon} \sum_{n \in \hat{I}^\varepsilon} \mathcal{H}^1(Q_{12}^\varepsilon(n) \cap \partial E^\varepsilon) + \frac{1}{16\varepsilon^2} |\{x \in \Omega : \text{dist}(x, \partial\Omega) \leq 12\varepsilon\}| \\ &\leq \frac{9}{\varepsilon} \sum_{m \in 4\mathbb{Z}^2} \mathcal{H}^1(\overline{Q_4^\varepsilon(m)} \cap \partial E^\varepsilon) + \frac{12}{16\varepsilon} (\mathcal{H}^1(\partial\Omega) + o(1)) \\ &\leq \frac{18C_0}{\varepsilon} + \frac{12}{16\varepsilon} (\mathcal{H}^1(\partial\Omega) + o(1)) \leq \frac{C}{\varepsilon} \end{aligned}$$

with C depending only on C_0 and $\mathcal{H}^1(\partial\Omega)$. Note that the factor 9 is due to the fact that any square $Q_4^\varepsilon(m)$ is contained in nine squares $Q_{12}^\varepsilon(n)$ so that the factor 18 accounts for the fact that those squares have parts of the boundary in common in pairs, so that the boundary of ∂E^ε may be accounted for twice. The second term in the sum is estimated by the area of a 12ε -neighborhood of the boundary, which is estimated by $12\varepsilon(\mathcal{H}^1(\partial\Omega) + o(1))$.

As a consequence of (5), if we denote $\hat{\Omega}^\varepsilon = \bigcup_{n \in \hat{I}^\varepsilon} Q_{12}^\varepsilon(n)$, then we have

$$(6) \quad |\hat{\Omega}^\varepsilon| \leq 144\varepsilon^2 \cdot \frac{C}{\varepsilon} = O(\varepsilon),$$

so that this set is negligible as far as the convergence of $\{E_j^\varepsilon\}_j$ is concerned. For each $n \in I^\varepsilon \setminus \hat{I}^\varepsilon$ we apply Lemma 1 to $Q_{12}^\varepsilon(n)$ and deduce that the corresponding $Q_4^\varepsilon(n)$ satisfies either

$$(7) \quad E^\varepsilon \cap Q_4^\varepsilon(n) = \emptyset$$

or there exists $i_\varepsilon(n) \in \{1, \dots, 8\}$ such that

$$(8) \quad E_j^\varepsilon \in \mathcal{Z}_{i_\varepsilon(n)}^\varepsilon$$

for each j such that $E_j^\varepsilon \cap Q_4^\varepsilon(n) \neq \emptyset$. For all $i \in \{1, \dots, 8\}$ we define

$$A_i^\varepsilon = \bigcup \{Q_4^\varepsilon(n) : n \in I_i^\varepsilon\}, \text{ where } I_i^\varepsilon = \{n \in I^\varepsilon \setminus \hat{I}^\varepsilon, i_\varepsilon(n) = i\}$$

and $i_\varepsilon(n)$ is defined in (8). We can estimate the length of ∂A_i^ε by counting the number of the cubes of which it is composed which have a side neighboring a cube in the complement times the length 4ε of the corresponding interface, as

$$\begin{aligned} \mathcal{H}^1(\partial A_i^\varepsilon) &\leq 4\varepsilon \#\{n \in I_i^\varepsilon : \text{there exists } n' \in 4\mathbb{Z}^2 : |n - n'| = 4 \text{ and } n' \notin I_i^\varepsilon\} \\ &\leq 4\varepsilon \#\{n \in I_i^\varepsilon : \text{there exists } n' \in 4\mathbb{Z}^2 : |n - n'| = 4 \text{ and } n' \in \hat{I}^\varepsilon\}. \end{aligned}$$

Indeed if $n' \notin \hat{I}^\varepsilon$ then by Lemma 1 we would deduce that $E_j^\varepsilon \in \mathcal{Z}_{i_\varepsilon(n')}$ for all j such that $E_j^\varepsilon \subset Q_8^\varepsilon(n')$ and in particular for some j such that $E_j^\varepsilon \subset Q_8^\varepsilon(n')$ and $E_j^\varepsilon \cap Q_4^\varepsilon(n) \neq \emptyset$, which then implies that $i_\varepsilon(n') = i_\varepsilon(n)$. We can then conclude our estimate using (5), and deduce (since the number of possible neighbors n' is 4) that

$$\sup_\varepsilon \mathcal{H}^1(\partial A_i^\varepsilon) \leq \sup_\varepsilon 16\varepsilon \#\hat{I}^\varepsilon \leq \sup_\varepsilon 16\varepsilon \frac{C}{\varepsilon} < +\infty.$$

By the compactness of (bounded) sequences of equibounded perimeter (see, e.g., [4, section 1]), we deduce that there exist sets of finite perimeter A_1, \dots, A_8 such that (3) holds for $i = 1, \dots, 8$, since

$$\Omega_i^\varepsilon \setminus A_i^\varepsilon \subset \hat{\Omega}_\varepsilon,$$

which we have already proved to be negligible. We finally deduce that (3) holds for $i = 0$ by the convergence of the complement of Ω_0^ε . \square

3. Asymptotic analysis. We now describe the asymptotic behavior of perimeter energies defined on families of molecules. We will treat in detail a fundamental case, highlighting possible extensions and variations in what follows.

For all $\{E_j\} \in \mathcal{E}^\varepsilon$ we set

$$(9) \quad \mathcal{F}^\varepsilon(\{E_j\}, \Omega) := \mathcal{H}^1(\Omega \cap \partial E), \text{ where } E = \bigcup_j E_j.$$

We will prove that the asymptotic behavior of \mathcal{F}^ε as $\varepsilon \rightarrow 0$ is described by an interfacial energy defined on partitions parameterized by the nine ground states described above. To that end, we first give a definition of the limit interfacial energy density by means of an asymptotic homogenization formula.

3.1. Definition of the energy densities. Given a unit vector $\nu \in S^1$ and $i, j \in \{0, 1, \dots, 8\}$ with $i \neq j$, we define the family $\{E_h^{i,j,\nu}\}$ as follows. If $i \neq 0$ then

$$(10) \quad \{E_h^{i,0,\nu}\} = \{E \in \mathcal{Z}_i : E \cap \{x : x \cdot \nu > 2\} \neq \emptyset, E \subset \{x : x \cdot \nu > 0\}\},$$

$$(11) \quad \{E_h^{0,i,\nu}\} = \{E_h^{i,0,-\nu}\} = \{E \in \mathcal{Z}_i : E \cap \{x : x \cdot \nu < -2\} \neq \emptyset, E \subset \{x : x \cdot \nu < 0\}\},$$

i.e., $\{E_h^{i,0,\nu}\}$ is the family composed of those elements of \mathcal{Z}_i internal to the half-plane $\{x : x \cdot \nu > 0\}$ and intersecting the half-plane $\{x : x \cdot \nu > 2\}$, and symmetrically $\{E_h^{0,i,\nu}\}$ is the family composed of elements of \mathcal{Z}_i internal to the half-plane $\{x : x \cdot \nu < 0\}$ and intersecting the half-plane $\{x : x \cdot \nu < -2\}$. If $i \neq 0$ and $j \neq 0$ then

$$(12) \quad \{E_h^{i,j,\nu}\} = \{E_h^{i,0,\nu}\} \cup \{E_h^{0,j,\nu}\}.$$

In this way we have defined the family $\{E_h^{i,j,\nu}\}$ for all $i \neq j \in \{0, \dots, 8\}$. Note that $\{E_h^{j,i,\nu}\} = \{E_h^{i,j,-\nu}\}$.

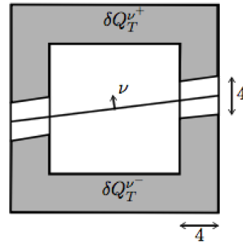


FIG. 10. Sets used in the definition of boundary conditions.

The families defined above will allow to give a notion of boundary datum for minimum-interface problems on invading cubes. More precisely, for all $T > 0$ we define the neighborhood of ∂Q_T

$$(13) \quad \delta Q_T := \{x \in Q_T : \text{dist}(x; \partial Q_T) < 4\}.$$

If $i \neq j$ then we set

$$(14) \quad a_{i,j}(T, \nu) := \min \left\{ \mathcal{F}^1(\{E_k\}, Q_T) : E_k \in \{E_h^{i,j,\nu}\} \text{ if } E_k \cap \delta Q_T \neq \emptyset \right\},$$

where \mathcal{F}^1 is defined in (9) with $\varepsilon = 1$.

In order to illustrate this minimum problem, we refer to Figure 10, and we denote by $Q_T^{\nu+}$ and $Q_T^{\nu-}$ the subsets $Q_T \cap \{x \cdot \nu > 0\}$ and $Q_T \cap \{x \cdot \nu < 0\}$, respectively. Furthermore we set

$$(15) \quad \delta Q_T^{\nu+} := \{x \in Q_T^{\nu+} \cap \delta Q_T : x \cdot \nu > 2\},$$

$$(16) \quad \delta Q_T^{\nu-} := \{x \in Q_T^{\nu-} \cap \delta Q_T : x \cdot \nu < -2\}.$$

The value $a_{i,j}(T, \nu)$ is the minimal length of $Q_T \cap \partial E$ among $E \in \mathcal{A}$ obtained from a family coinciding with $\{E_h^{i,j,\nu}\}$ on sets intersecting δQ_T . In particular, if $i, j \neq 0$, then the sets $\delta Q_T^{\nu+}$ and $\delta Q_T^{\nu-}$, represented in Figure 10 by the shaded area, are covered by elements of $\{E_h\}$ in \mathcal{Z}_i and \mathcal{Z}_j , respectively.

DEFINITION 7 (energy density). *The surface energy density $f : \{0, \dots, 8\} \times \{0, \dots, 8\} \times S^1 \rightarrow (0, +\infty)$ is defined by setting $f(i, i, \nu) = 0$ and, if $i \neq j$,*

$$(17) \quad f(i, j, \nu) := \liminf_{T \rightarrow +\infty} (|\nu_1| \vee |\nu_2|) \frac{a_{i,j}(T, \nu)}{T},$$

where the $a_{i,j}$ are defined by minimization on Q_T in (14).

The normalization factor $|\nu_1| \vee |\nu_2|$ takes into account the length of $Q_1 \cap \{x : x \cdot \nu = 0\}$.

Remark 8.

1. (symmetry) Note that the symmetric definition of $\{E_h^{i,j,\nu}\}$ gives that

$$a_{i,j}(T, \nu) = a_{j,i}(T, -\nu)$$

for all $i, j \in \{0, \dots, 8\}$ and $\nu \in S^1$, so that

$$f(i, j, \nu) = f(j, i, -\nu),$$

which is a necessary condition for a good definition of a surface energy.

2. (*continuity*) For all i, j the function $f(i, j, \cdot)$ is continuous on S^1 . In order to check this, given ν and ν' , for fixed T let $\{E_h^{\nu, T}\}$ be a minimizer for $a_{i,j}(T, \nu)$, and let

$$E^{\nu, T} = \bigcup \{E_h^{\nu, T} : |E_h^{\nu, T} \cap Q_T| \neq 0\}.$$

We define $\{E_h^{\nu', T}\}$ as

$$\{E_h^{\nu, T} : |E_h^{\nu, T} \cap Q_T| \neq 0\} \cup \{E_h^{i,j,\nu'} : |E_h^{i,j,\nu'} \cap E^{\nu, T}| = 0\}$$

and use it to test $a_{i,j}(T + 8, \nu')$, for which it is an admissible test family. Indeed, each element of $\{E_h^{\nu', T}\}$ intersecting δQ_{T+8} is by definition an element of $E_h^{i,j,\nu'}$. We then get

$$a_{i,j}(T + 8, \nu') \leq a_{i,j}(T, \nu) + C + CT|\nu - \nu'|,$$

the constant C estimating the contribution on $Q_{T+4} \setminus Q_T$, and the last term due to the mismatch of the boundary conditions close to ∂Q_T . From this inequality we deduce that $f(i, j, \nu') - f(i, j, \nu) \leq C|\nu - \nu'|$ and, arguing symmetrically, that

$$|f(i, j, \nu') - f(i, j, \nu)| \leq C|\nu - \nu'|.$$

Remark 9.

1. The liminf in (17) is actually a limit. This can be proved directly by a subadditivity argument, or as a consequence of the property of convergence of minima of Γ -convergence (see Remark 13).
2. An alternate formula can be obtained by defining Q^ν as the unit square centered on 0 and with one side orthogonal to ν . We then have

$$(18) \quad f(i, j, \nu) := \liminf_{T \rightarrow +\infty} \frac{1}{T} \min \left\{ \mathcal{F}^1(\{E_k\}, TQ^\nu) : E_k \in \{E_h^{i,j,\nu}\} \text{ if } E_k \cap \delta TQ^\nu \neq \emptyset \right\},$$

where again $\delta TQ^\nu = \{x \in TQ^\nu : \text{dist}(x; \partial Q_T) < 4\}$. Note that in this case we do not need to normalize by $|\nu_1| \vee |\nu_2|$ since the length of $Q^\nu \cap \{x : x \cdot \nu = 0\}$ is 1.

This formula can again be obtained as a consequence of the Γ -convergence theorem. Conversely, a proof of Theorem 10 using this formula can be obtained following the same line as with the first formula, but is a little formally more complex due to the fact that the sides of Q^ν are not oriented in the coordinate directions. The changes in the proof can be found in the paper by Braides and Cicalese [6]. Note that extensions to dimensions higher than two are usually easier with this second formula.

3.2. Γ -limit. Let $\mathcal{F}_\Omega^\varepsilon$ be the functional defined for each $\{E_j\} \in \mathcal{E}^\varepsilon(\Omega)$ as

$$(19) \quad \mathcal{F}_\Omega^\varepsilon(\{E_j\}) = \begin{cases} \mathcal{F}^\varepsilon(\{E_j\}, \Omega) & \text{if } \{E_j\} \in \mathcal{E}^\varepsilon(\Omega), \\ +\infty & \text{otherwise.} \end{cases}$$

We introduce the functional that assigns to every partition $A = \{A_0, \dots, A_8\} \in \mathcal{P}^9(\Omega)$ the real number

$$(20) \quad \mathcal{F}_\Omega(A) := \sum_{i=0}^7 \sum_{j=i+1}^8 \int_{\Omega \cap \partial A_i \cap \partial A_j} f(i, j, \nu^i) d\mathcal{H}^1 + \sum_{i=1}^8 \int_{\partial A_i \cap \partial \Omega} f(i, 0, \nu^i) d\mathcal{H}^1,$$

where ν^i is the *inner normal* of the set A_i and f is the interface energy defined above. We use the notation ∂A to denote the *reduced boundary* of a set of finite perimeter A . Since we consider topological boundaries which coincide \mathcal{H}^1 almost everywhere with the corresponding reduced boundaries this notation will not cause confusion.

We also use the same notation in (20) when Ω is not bounded. In particular we can consider $\Omega = \mathbb{R}^2$, in which case the last surface integral is not present. In that case we use the notation $\mathcal{F}(A)$ in the place of $\mathcal{F}_{\mathbb{R}^2}(A)$.

We then have the following result.

THEOREM 10. *Let $\Omega \subset \mathbb{R}^2$ be an open bounded set with Lipschitz-continuous boundary. The sequence of functionals $\{\mathcal{F}_\Omega^\varepsilon\}$ defined in (19) Γ -converges, as $\varepsilon \rightarrow 0^+$, to the functional \mathcal{F}_Ω defined by (20), with respect to the convergence of Definition 5.*

Remark 11 (BV-ellipticity). As a consequence of the lower semicontinuity of \mathcal{F} we obtain that f is *BV-elliptic* [2]. In particular the extension by one-homogeneity of $f(i, j, \cdot)$ is convex for all i, j and we have the subadditivity property $f(i, j, \nu) \leq f(i, k, \nu) + f(k, j, \nu)$.

Proof. Lower bound.

We consider a partition $A = (A_0, \dots, A_8) \in \mathcal{P}^9(\Omega)$ and a family $\{E_h^\varepsilon\}$ converging to A . We can suppose that $\liminf_{\varepsilon \rightarrow 0} \mathcal{F}_\Omega^\varepsilon(\{E_j^\varepsilon\}) < +\infty$. We choose a subsequence (ε_k) such that

$$\lim_k \mathcal{F}_\Omega^{\varepsilon_k}(\{E_j^{\varepsilon_k}\}) = \liminf_{\varepsilon \rightarrow 0} \mathcal{F}_\Omega^\varepsilon(\{E_j^\varepsilon\})$$

and such that the measures on Ω defined by $\mu_{\varepsilon_k}(B) = \mathcal{F}_\Omega^{\varepsilon_k}(\{E_j^{\varepsilon_k}\}, B)$ weakly* converge to some measure μ . In order not to overburden the notation we denote ε_k simply by ε . We use the blowup method of Fonseca and Müller [13], which consists in giving a lower bound of the density of the measure μ with respect to the target measure \mathcal{H}^1 restricted to $\bigcup_i \partial A_i$. We refer to [8] for technical details regarding the adaptation of this method to homogenization problems. In the present case the blowup is performed at \mathcal{H}^1 -almost every point x_0 in $\bigcup_i \partial A_i$. Note that this comprises also the points in $\partial\Omega$ where the inner trace of the partition at that point is not the set A_0 . By a translation and slight adjustment argument (due to the fact that in general $x_0 \notin \varepsilon\mathbb{Z}^2$) we can simplify our notation by supposing that $x_0 = 0$. It then suffices to show that

$$(21) \quad \lim_{\rho \rightarrow 0} \lim_{\varepsilon \rightarrow 0} \frac{\mathcal{F}^\varepsilon(\{E_h^\varepsilon\}, Q_\rho)}{\mathcal{H}^1(\partial A_i \cap \partial A_j \cap Q_\rho)} \geq f(i, j, \nu),$$

supposing that $0 \in \partial A_i \cap \partial A_j$ and setting $\nu = \nu^i(0)$. Indeed, the right-hand side in (21) represents the energy density of μ on $\partial A_i \cap \partial A_j$, so that the lower estimate for (19) is obtained by integrating this inequality.

Let $\varepsilon = \varepsilon(\rho)$ be such that $T = \rho/\varepsilon \rightarrow +\infty$ and

$$\lim_{\rho \rightarrow 0} \lim_{\varepsilon \rightarrow 0} \frac{\mathcal{F}^\varepsilon(\{E_h^\varepsilon\}, Q_\rho)}{\mathcal{H}^1(\partial A_i \cap \partial A_j \cap Q_\rho)} = \lim_{\rho \rightarrow 0} \frac{\mathcal{F}^{\varepsilon(\rho)}(\{E_h^{\varepsilon(\rho)}\}, Q_\rho)}{\mathcal{H}^1(\partial A_i \cap \partial A_j \cap Q_\rho)}.$$

Note that (by definition of reduced boundary) $\frac{1}{\rho}A \cap Q_1$ tends to $A^{i,j,\nu} \in \mathcal{P}^9(Q_1)$, where $A_i^{i,j,\nu} = Q_1^{\nu^+}$ and $A_j^{i,j,\nu} = Q_1^{\nu^-}$ (and therefore $A_h^{i,j,\nu} = \emptyset$ for each $h \neq i, j$ and the interface $\partial A_i^{i,j,\nu} \cap \partial A_j^{i,j,\nu}$ is the segment $Q_T \cap \{x \cdot \nu = 0\}$).

In order to prove (21) it is sufficient to show that

$$(22) \quad \liminf_{T \rightarrow \infty} \frac{|\nu_1| \vee |\nu_2|}{T} \mathcal{F}^1(\{E_h^T\}, Q_T) \geq f(i, j, \nu)$$

with

$$\{E_h^T\} = \left\{ \frac{1}{\varepsilon} E_h^\varepsilon \right\}.$$

We then define ω_T^\pm as follows. We set

$$\omega_T^+ = \bigcup_h \{E_h^T : E_h^T \in \mathcal{Z}_i, E_h^T \subset Q_T^{\nu+}\}$$

if $i > 0$ and

$$\omega_T^+ = Q_T^{\nu+} \setminus \bigcup_h \{E_h^T\}$$

if $i = 0$, and similarly

$$\omega_T^- = \bigcup_h \{E_h^T : E_h^T \in \mathcal{Z}_j, E_h^T \subset Q_T^{\nu-}\}$$

if $j > 0$ and

$$\omega_T^- = Q_T^{\nu-} \setminus \bigcup_h \{E_h^T\}$$

if $j = 0$. Then

$$(23) \quad |Q_T \setminus (\omega_T^+ \cup \omega_T^-)| = o(T^2).$$

We now show that, up to a small error, $\{E_h^T\}$ can be modified in order to fulfill the boundary conditions defined in (14) for each T . From this (22) follows by the definition of $a_{i,j}$. Let $\sigma \ll 1$ and $k_T := \lceil \sigma T/12 \rceil$. We introduce a partition of the frame

$$\left\{ (x_1, x_2) : \frac{T}{2}(1 - \sigma) < |x_i| < \frac{T}{2}, i = 1, 2 \right\} = Q_T \setminus \overline{Q_{(1-\sigma)T}}$$

into k_T subframes C_n^T of thickness $\sigma T/2k_T$:

$$C_n^T := \left\{ (x_1, x_2) : \frac{T}{2} \left(1 - \sigma + \frac{(n-1)\sigma}{k_T} \right) < |x_i| < \frac{T}{2} \left(1 - \sigma + \frac{n\sigma}{k_T} \right), i = 1, 2 \right\}$$

for $n = 1, \dots, k_T$. Note that $\sigma T/2k_T \geq 6$. From (23) it follows that there exists $n_T \in \{1, \dots, k_T\}$ such that

$$(24) \quad |C_{n_T}^T \setminus (\omega_T^+ \cup \omega_T^-)| = \frac{o(T^2)}{k_T} = \frac{o(T)}{\sigma}.$$

We define

$$R_T = T \left(1 - \sigma + \frac{n_T \sigma}{k_T} \right)$$

so that

$$C_{n_T}^T = Q_{R_T} \setminus \overline{Q_{R_T - \frac{T\sigma}{k_T}}}.$$

We now construct a family $\{\tilde{E}_h^T\}$ satisfying the desired boundary conditions by taking the elements of the family $\{E_h^{i,j,\nu}\}$ defined in (12) which are not contained in Q_{R_T} union those in $\{E_h^T\}$ which do not intersect any of the former. More precisely, we define

$$E_{R_T}^{i,j,\nu} = \bigcup \left\{ E_h^{i,j,\nu} : E_h^{i,j,\nu} \not\subset Q_{R_T} \right\}$$

and

$$\{\tilde{E}_h^T\} = \{E_h^T : |E_{R_T}^{i,j,\nu} \cap E_h^T| = 0\} \cup \left\{ E_h^{i,j,\nu} : E_h^{i,j,\nu} \not\subset Q_{R_T} \right\}.$$

Let $\tilde{E}^T = \bigcup_h \tilde{E}_h^T$. Note that, up to \mathcal{H}^1 -negligible sets

$$(25) \quad (\partial\tilde{E}^T \setminus \partial E^T) \cap \bar{Q}_{R_T} \subset (C_{n_T}^T \setminus (\omega_T^+ \cup \omega_T^-)).$$

This inclusion is proved noting that points in the boundary of \tilde{E}^T which are not in the boundary of E^T can be subdivided into two sets: points that are in $E_{R_T}^{i,j,\nu}$ and those that are not. The first ones must belong to some $E_h^{i,j,\nu}$ with

$$\mathcal{H}^1(\partial E_h^{i,j,\nu} \cap (C_{n_T}^T \setminus (\omega_T^+ \cup \omega_T^-))) \neq 0,$$

the second ones must be interior to E^T but on the boundary of some E_h^T with $|E_{R_T}^{i,j,\nu} \cap E_h^T| \neq 0$, so that in particular they also belong to $C_{n_T}^T \setminus (\omega_T^+ \cup \omega_T^-)$. From (25) and the fact that $C_{n_T}^T \setminus (\omega_T^+ \cup \omega_T^-)$ is composed of unit squares, using (24), we have

$$(26) \quad \mathcal{H}^1\left((\partial\tilde{E}^T \setminus \partial E^T) \cap \bar{Q}_{R_T}\right) = \frac{o(T)}{\sigma}.$$

We can estimate

$$\begin{aligned} (27) \quad \mathcal{H}^1(Q_T \cap \partial\tilde{E}^T) &= \mathcal{H}^1\left(\bar{Q}_{R_T - \frac{T\sigma}{k_T}} \cap \partial\tilde{E}^T\right) \\ &\quad + \mathcal{H}^1\left(\left(Q_{R_T} \setminus \bar{Q}_{R_T - \frac{T\sigma}{k_T}}\right) \cap \partial\tilde{E}^T\right) + \mathcal{H}^1\left((Q_T \setminus Q_{R_T}) \cap \partial\tilde{E}^T\right) \\ &= \mathcal{H}^1\left(\bar{Q}_{R_T - \frac{T\sigma}{k_T}} \cap \partial E^T\right) + \mathcal{H}^1\left(C_{n_T}^T \cap \partial\tilde{E}^T \cap \partial E^T\right) \\ &\quad + \mathcal{H}^1\left(C_{n_T}^T \cap \partial\tilde{E}^T \setminus \partial E^T\right) + \mathcal{H}^1\left((Q_T \setminus Q_{R_T}) \cap \partial\tilde{E}^T\right) \\ &\leq \mathcal{H}^1\left(\bar{Q}_{R_T} \cap \partial E^T\right) \\ &\quad + \mathcal{H}^1\left(C_{n_T}^T \cap \partial\tilde{E}^T \setminus \partial E^T\right) + \mathcal{H}^1\left((Q_T \setminus Q_{R_T}) \cap \partial\tilde{E}^T\right) \\ &\leq \mathcal{H}^1\left(Q_T \cap \partial E^T\right) + \frac{o(T)}{\sigma} + 4T\sigma. \end{aligned}$$

In terms of the functionals \mathcal{F}^1 this reads

$$\mathcal{F}^1(\{\tilde{E}_h^T\}, Q_T) \leq \mathcal{F}^1(\{E_h^T\}, Q_T) + \frac{o(T)}{\sigma} + 4\sigma T,$$

which in turn yields

$$\liminf_{T \rightarrow \infty} \frac{|\nu_1| \vee |\nu_2|}{T} \mathcal{F}^1(\{E_h^T\}, Q_T) \geq \liminf_{T \rightarrow \infty} \frac{|\nu_1| \vee |\nu_2|}{T} \mathcal{F}^1(\{\tilde{E}_h^T\}, Q_T) - 4\sigma.$$

Estimate (22) now follows from the arbitrariness of σ and the definition of \tilde{E}^T . Note that if the blowup is performed at a point in $\partial\Omega$, then ν is the inner normal to Ω , $i \geq 1$ and $j = 0$, which gives the boundary term in \mathcal{F}_Ω .

Upper bound.

We need to show that for each $A \in \mathcal{P}^9(\Omega)$ there exists a sequence $\{E_j^\varepsilon\} \in \mathcal{E}^\varepsilon(\Omega)$ converging to A and such that $\limsup_\varepsilon \mathcal{F}^\varepsilon(\{E_j^\varepsilon\}, \Omega) \leq \mathcal{F}_\Omega(A)$. We can choose polyhedral

sets $\Omega^h \subset\subset \Omega$ and polyhedral partitions A^h such that $|\Omega \setminus \Omega^h| \rightarrow 0$, $|A_i^h \triangle A_i| \rightarrow 0$, $\mathcal{H}^1(\partial\Omega^h) \rightarrow \mathcal{H}^1(\partial\Omega)$, and $\mathcal{H}^1(\partial A_i^h \cap \Omega^h) \rightarrow \mathcal{H}^1(\partial A_i \cap \Omega)$, $A_0^h \supset \mathbb{R}^2 \setminus \Omega$, so that $\mathcal{F}(A^h) \rightarrow \mathcal{F}_\Omega(A)$ by the continuity of f . The existence of such an Ω^h follows from the regularity of Ω , while the construction of partitions A^h is performed in [7]. Note that in a two-dimensional setting these results can also be derived from [9], where an approximation by polygonal curves has been constructed for systems of rectifiable curves. Indeed, the systems of rectifiable curves considered in [9] correspond to the boundaries of the sets in a partition, and hence approximation by polygonal curves corresponds to approximation by polyhedral sets. By a usual approximation argument ([5, section 1.7]) it thus suffices to construct recovery sequences for $\mathcal{F}(A)$ in the case when $\bigcup_{i=1}^8 A_i \subset\subset \Omega$ and each element of the partition is a polyhedral set, provided that the approximating $\{E_j^\varepsilon\}$ belongs to $\mathcal{E}^\varepsilon(\Omega)$. In other words, it suffices to construct recovery sequences for $\mathcal{F}(A)$ in the case when each A_i is a bounded polyhedral set for $i \geq 1$, provided that the approximating E_j^ε belong to a small neighborhood of $\bigcup_{i=1}^8 A_i$. Since we will reason locally, we exhibit our construction when the target partition is composed of the two half-planes $A_l = \{x : \nu \cdot x > 0\}$ and $A_k = \{x : \nu \cdot x < 0\}$, and ν is a rational direction, i.e., there exists $L \in \mathbb{R}$ such that $L\nu \in \mathbb{Z}^2$. We fix $\eta > 0$ and $T = T_\eta$ such that

$$(28) \quad \frac{|\nu_1| \vee |\nu_2|}{T} a_{l,k}(T, \nu) \leq f(l, k, \nu) + \eta.$$

Up to choosing a slightly larger T (at most $4L$ larger than the previous one) we can suppose that

$$\frac{T}{|\nu_1| \vee |\nu_2|} \nu \in 4\mathbb{Z}^2.$$

Indeed, this amounts to an additional error proportional to $4L/(T + 4L)$ in (28), which we can include in η . Let $\{E_j^T\}$ be a minimal family for $a_{l,k}(T, \nu)$. We set $\nu^\perp = (-\nu_2, \nu_1)$. We construct a sequence of molecules by covering the interfacial line $\{x : \nu \cdot x = 0\}$ with the disjoint squares $E_j^T + mT(|\nu_1| \vee |\nu_2|)^{-1} \nu^\perp$ ($m \in \mathbb{Z}$), up to a discrete set of points, and consider the optimal family inside each such square (see Figure 11). Since the centers of such cubes differ by a multiple of 4 in each component, we can choose such optimal families as the translation of a single family, and match on the boundary of each cube with elements of $\{E_j^{l,k,\nu}\}$, which allows us to extend them outside the union of the covering cubes. Note that this extension has zero energy, except for $\nu = \frac{1}{\sqrt{2}}(\pm 1, 1)$, for which we may have a small contribution due to a fixed number of molecules close to the vertices of the cubes on $\{x : \nu \cdot x = 0\}$; again this error will be taken care of by η . We define $\{E_j^{l,k,T,\nu}\}$ as the union of all families

$$(29) \quad \left\{ E_j^T + x_m : E_j^T \cap Q_T \neq \emptyset \right\}, \quad x_m = m \frac{T}{|\nu_1| \vee |\nu_2|} \nu^\perp$$

for $m \in \mathbb{Z}$, and the family

$$(30) \quad \left\{ E \in \{E_j^{l,k,\nu}\} : E \cap \bigcup_{m \in \mathbb{Z}} \left(Q_T + m \frac{T}{|\nu_1| \vee |\nu_2|} \nu^\perp \right) = \emptyset \right\}.$$

Let now $\{E_j^\varepsilon\}$ be defined as $\{\varepsilon E_j\}$ with $\{E_j\} = \{E_j^{l,k,T,\nu}\}$ the family just described. We should note that for all bounded open sets B with Lipschitz boundary such that

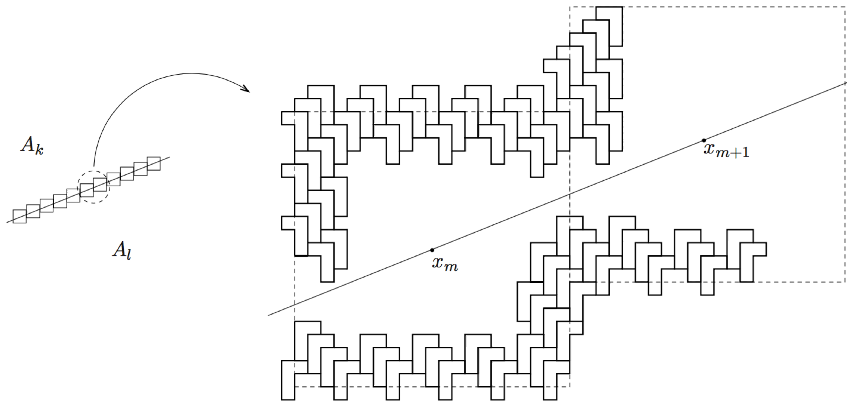


FIG. 11. Construction of a recovery sequence at an interface.

$\mathcal{H}^1(\{\nu \cdot x = 0\} \cap \partial B) = 0$ we have

$$\limsup_{\varepsilon \rightarrow 0} \mathcal{F}(\{E_j^\varepsilon\}, B) \leq (f(l, k, \nu) + \eta) \mathcal{H}^1(\{\nu \cdot x = 0\} \cap B).$$

We now fix A_i bounded polyhedral sets $i = 1, \dots, 8$, and repeat the construction described above close to each interface. To that end, we denote

$$\bigcup_{i=1}^8 \partial A_i = \{p_m\},$$

where the p_m are a finite number of segments with endpoints x_m^+ and x_m^- . Let $l(p_m)$ and $k(p_m)$ be the indices such that

$$p_m \subset \partial A_{l(p_m)} \cap \partial A_{k(p_m)}$$

and let $\nu(p_m)$ be the inner normal to $A_{l(p_m)}$ at p_m . In our approximation argument it is not restrictive to suppose that $\nu(p_m)$ is a rational direction. We fix η and T_m such that

$$(31) \quad \frac{|\nu_1(p_m)| \vee |\nu_2(p_m)|}{T_m} a_{l,k}(T_m, \nu(p_m)) \leq f(l(p_m), k(p_m), \nu(p_m)) + \eta$$

and

$$\frac{T_m}{|\nu_1(p_m)| \vee |\nu_2(p_m)|} \nu(p_m) \in 4\mathbb{Z}^2.$$

We choose M large enough so that the distance between all points of

$$\bigcup \{p_m : x \text{ is an endpoint of } p_m\} \cap \partial Q_{\varepsilon M}(x)$$

is larger than $2\varepsilon(4 + \sup_m T_m)$. Let $x_\varepsilon^m \in 4\varepsilon\mathbb{Z}^2$ be such that p_m is contained in a tubular neighborhood of the line through x_ε^m and orthogonal to $\nu(p_m)$, i.e., such that

$$p_m \subset \{x : (x - x_\varepsilon^m) \cdot \nu(p_m) = 0\} + Q_{4\varepsilon}.$$

We denote

$$C_m^\varepsilon = \bigcup \left\{ x_\varepsilon^m + \varepsilon h \frac{T_m}{|\nu_1(p_m)| \vee |\nu_2(p_m)|} \nu(p_m)^\perp + Q_{\varepsilon T_m} : \right. \\ \left. \left(x_\varepsilon^m + \varepsilon h \frac{T_m}{|\nu_1| \vee |\nu_2|} \nu(p_m)^\perp + Q_{\varepsilon T_m} \right) \cap p_m \neq \emptyset, h \in \mathbb{Z} \right\}.$$

Let $\{E_j^{\varepsilon,m}\}$ be the elements of the family $\{\varepsilon E_j^{l,k,T,\nu} + x_\varepsilon^m\}$ intersecting

$$C_m^\varepsilon \setminus (Q_{\varepsilon M}(x_m^+) \cup Q_{\varepsilon M}(x_m^-)),$$

where $\{E_j^{l,k,T,\nu}\}$ is constructed in (29)–(30) with $l = l(p_m)$, $k = k(p_m)$, and $\nu = \nu(p_m)$. We then define $\{E_j^\varepsilon\}$ as the union of all $\{E_j^{\varepsilon,m}\}$ and of all the families

$$\left\{ \varepsilon E_j : E_j \in \mathcal{Z}_i : E_j \subset A_i \setminus \bigcup_m (C_m^\varepsilon \cup Q_{\varepsilon M}(x_m^+) \cup Q_{\varepsilon M}(x_m^-)) \right\}.$$

Let $E^\varepsilon = \bigcup_j E_j^\varepsilon$. Note that the contributions due to the part of ∂E^ε contained in each set $Q_{\varepsilon M}(x_m^\pm)$ is at most of the order εM . We then have that $\{E_j^\varepsilon\}$ converges to (A_0, \dots, A_8) and

$$\limsup_{\varepsilon \rightarrow 0} \mathcal{F}^\varepsilon(\{E_j^\varepsilon\}) \leq \sum_m \left(f(l(p_m), k(p_m), \nu(p_m)) + \eta \right) \mathcal{H}^1(p_m) \leq \mathcal{F}(A) + C\eta.$$

By the arbitrariness of η we obtain the upper bound. □

Remark 12. The hypothesis that Ω be bounded can be removed. In particular we can consider $\Omega = \mathbb{R}^2$, in which case the term on the boundary of Ω in (20) disappears. The theorem can be proved in the same way, but the notion of convergence must be slightly changed by requiring that (3) holds when restricted to bounded sets.

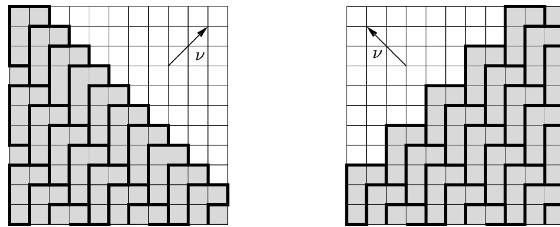
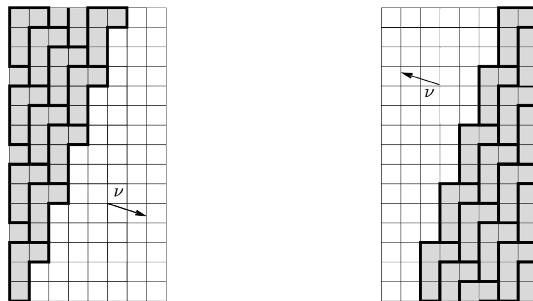
On the other hand, we can define $\mathcal{G}_\Omega^\varepsilon$ for $\{E_j\} \in \mathcal{E}^\varepsilon$ as

$$(32) \quad \mathcal{F}_\Omega^\varepsilon(\{E_j\}) = \begin{cases} \mathcal{F}^\varepsilon(\{E_j\}, \Omega) & \text{if } \{E_j\} \in \mathcal{E}^\varepsilon, \\ +\infty & \text{otherwise,} \end{cases}$$

i.e., we do not require the sets E_j to be contained in Ω . The Γ -limit is the same except for the boundary term on $\partial\Omega$, which again disappears. The liminf inequality clearly holds in the same way, while a recovery sequence can be obtained by considering first target partitions that can be extended as sets of finite perimeter in an open neighborhood of Ω , and then argue by density.

3.3. Description of f

Computation of $f(i, 0, \nu)$. Given any $i \in \{1, \dots, 8\}$, we will explicitly compute φ_i , the positively one-homogeneous extension of $f(i, 0, \cdot)$. Since this function turns out to be symmetric, we also have $\varphi_i(\nu) = f(0, i, \nu)$. We treat in detail the case $i \in \{1, \dots, 4\}$ for which $\varphi_i =: \varphi_R$ is independent of i . Similarly, we define φ_S the common value of φ_i for $i \in \{5, \dots, 8\}$. By a symmetry argument with respect to the vertical direction, we have $\varphi_S(\nu_1, \nu_2) = \varphi_S(-\nu_1, \nu_2)$. We will now prove bounds on φ_R , which we simply denote by φ . We preliminarily note that a lower bound for φ is computed by removing the constraint that the elements of $\{E_h\}$ be chiral molecules; i.e., taking E_h unit squares in the lattice. The computation for the Γ -limit without the constraint is simply $\|\nu\|_1 = |\nu_1| + |\nu_2|$ (see [1]), so that we have $\varphi(\nu) \geq |\nu_1| + |\nu_2|$.

FIG. 12. Optimal sets when $j = 0$ in diagonal directions.FIG. 13. Optimal sets when $j = 0$ in direction $\pm \frac{1}{\sqrt{10}}(3, -1)$.

We can check that we have equality for $\nu_1 = \pm\nu_2$. Indeed, for such ν the optimal families are simply $\{E_h^{i,0,\nu}\}$, whose corresponding sets are those described in Figure 12.

Note that the value in the two directions is the same, but the “microgeometry” of optimal sets is (slightly) different. Two other values in which we have equality are with $\nu_1 = 3\nu_2$, with optimal families pictured in Figure 13.

We now show that φ is a *crystalline energy density* (i.e., the set $\{x : \varphi(x) = 1\}$ is a convex polygon, in this case a hexagon) determined by these six directions, i.e., it is linear in the cones determined by the directions. Note first that in the cones bounded by the directions $\frac{1}{\sqrt{10}}(3, -1)$ and $\frac{1}{\sqrt{2}}(1, -1)$, and the directions $\frac{1}{\sqrt{10}}(-3, 1)$ and $\frac{1}{\sqrt{2}}(-1, 1)$, $\varphi(\nu) = \|\nu\|_1$ since recovery sequences can be obtained by mixing those in Figures 12 and 13.

We then note that for $\nu = e_2$ the optimal value is a linear combination of those in $\nu = \frac{1}{\sqrt{2}}(\pm 1, 1)$, and is obtained again by $\{E_h^{i,0,\nu}\}$ (see Figure 14). By the convexity of φ this implies that φ is linear in the cone with extreme directions $\frac{1}{\sqrt{2}}(\pm 1, 1)$. Note that, while for $\frac{1}{\sqrt{2}}(\pm 1, 1)$ the geometry of the interface is essentially unique, for $\nu = e_2$ this is not the case, and we may have nonperiodic and arbitrary oscillations of the interface (see the lower picture in Figure 14). The symmetric argument holds for $\nu = -e_2$.

For $\nu = e_1$ the optimal value is a linear combination of those in the directions $\frac{1}{\sqrt{2}}(1, 1)$ and $\frac{1}{\sqrt{10}}(-3, 1)$, which implies that φ is linear in the cone with those extreme directions. Optimal sets are described in Figure 15. A symmetric argument gives the same conclusion for the opposite cone.

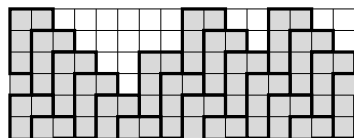
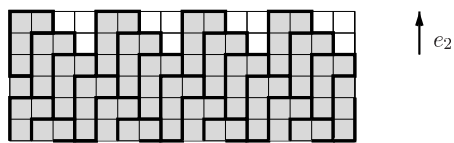


FIG. 14. *Optimal sets in vertical directions.*

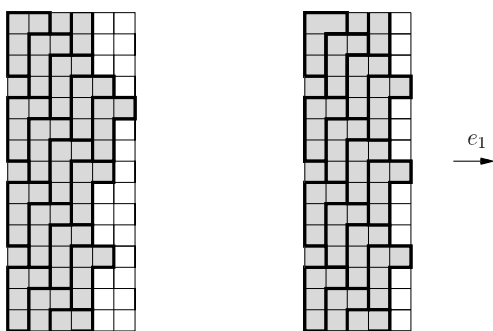


FIG. 15. *Optimal sets in horizontal directions.*

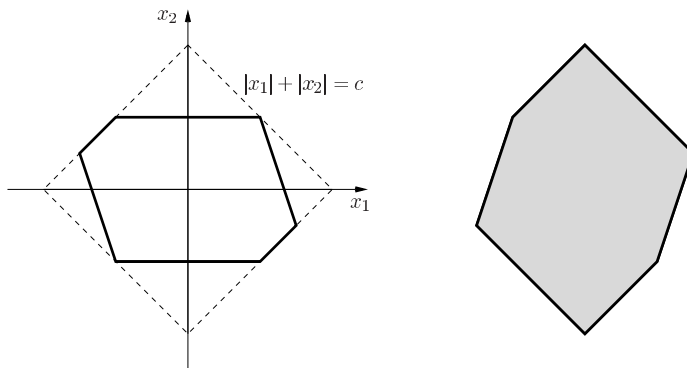


FIG. 16. *A level set for $f(i, 0, \cdot)$ and the related Wulff shape ($1 \leq i \leq 4$).*

Summarizing, φ is a crystalline energy density determined by the values (using the one-homogeneous extension to \mathbb{R}^2)

$$\varphi(1, \pm 1) = \varphi(-1, \pm 1) = \varphi\left(\pm\left(\frac{3}{2}, -\frac{1}{2}\right)\right) = 2,$$

A level set $\{x : \varphi(x) = c\}$ is pictured on the left-hand side of Figure 16. The *Wulff shape* related to φ is an irregular hexagon, pictured on the right-hand side of Figure 16. In Figure 17 we picture the corresponding sets in the case of $f(i, 0, \cdot)$ for $5 \leq i \leq 8$.

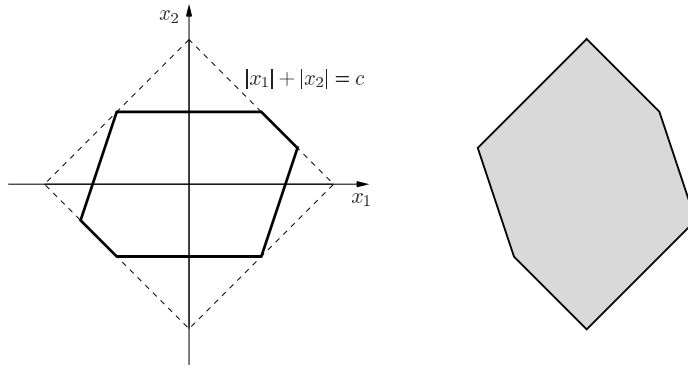


FIG. 17. A level set for $f(i, 0, \cdot)$ and the related Wulff shape ($5 \leq i \leq 8$).

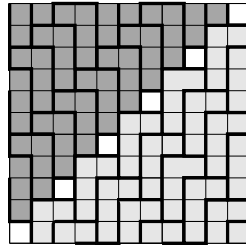


FIG. 18. Test sets for $i, j \neq 0$.

Estimates. From the symmetry of $f(i, 0, \cdot)$ and the subadditivity of f we trivially have, for $i, j > 0$ and $i \neq j$,

$$f(i, j, \nu) \leq f(i, 0, \nu) + f(0, j, \nu) = \begin{cases} 2\varphi(\nu) & \text{if } i, j \leq 4, \\ 2\varphi(-\nu_1, \nu_2) & \text{if } i, j \geq 4, \\ \varphi(\nu) + \varphi(-\nu_1, \nu_2) & \text{otherwise.} \end{cases}$$

Note however that this may be an overestimation of $f(i, j, \nu)$: in Figure 18 we exhibit test families that show that for $i = 1$ and $j = 7$ we have

$$f\left(i, j, \frac{1}{\sqrt{2}}(1, -1)\right) \leq \sqrt{2} = \varphi\left(\frac{1}{\sqrt{2}}(1, -1)\right).$$

3.4. Boundary conditions. We can include in our analysis *anchoring* boundary conditions, i.e., we can prescribe the trace of the elements of the partition on $\partial\Omega$. We consider a partition $\bar{A}_0, \dots, \bar{A}_8$ of \mathbb{R}^2 into sets of locally finite perimeter and suppose that for some $\eta > 0$

$$\{x \in \mathbb{R}^2 : \text{dist}(x, \partial\Omega) < \eta\} \cap \bigcup_i \partial A_i$$

is composed of a finite number of curves meeting $\partial\Omega$ transversally. We suppose that the families $\{\bar{E}_j^\varepsilon\}$ defined by

$$\bigcup_{i=1}^8 \{\varepsilon E_j : E_j \in \mathcal{Z}_i, \varepsilon E_j \subset \bar{A}_i\}$$

have equibounded energy and converge to the partition $(\bar{A}_0, \dots, \bar{A}_8)$ on bounded sets of \mathbb{R}^2 . The family $\{\bar{E}_j^\varepsilon\}$ can be used to define boundary conditions for \mathcal{F}^ε by setting

$$\mathcal{F}_0^\varepsilon(\{E_j^\varepsilon\}) = \begin{cases} \mathcal{F}^\varepsilon(\{E_j^\varepsilon\}; \Omega) & \text{if } \{E_j^\varepsilon\} \in \mathcal{E}_0^\varepsilon, \\ +\infty & \text{otherwise,} \end{cases}$$

where $\mathcal{E}_0^\varepsilon$ is the collection of families in $\{E_j^\varepsilon\} \in \mathcal{E}^\varepsilon$ such that $E_j^\varepsilon \in \{\bar{E}_j^\varepsilon\}$ if $E_j^\varepsilon \cap (\mathbb{R}^2 \setminus \Omega_{8\varepsilon}) \neq \emptyset$, where $\Omega_\eta = \{x \in \Omega : \text{dist}(x, \partial\Omega) > \eta\}$. In particular, the sets of families $\{E_j^\varepsilon\} \in \mathcal{E}_0^\varepsilon$ that intersect the boundary are sets in $\{\bar{E}_j^\varepsilon\}$. Then the family $\mathcal{F}_0^\varepsilon$ Γ -converges to

$$\mathcal{F}_0(A) = \begin{cases} \mathcal{F}(A) & \text{if } A \in \mathcal{P}_0^9(\Omega, \bar{A}), \\ +\infty & \text{otherwise,} \end{cases}$$

where $\bar{A} = (\bar{A}_0, \dots, \bar{A}_8)$ and $\mathcal{P}_0^9(\Omega, \bar{A})$ is the collections of $A \in \mathcal{P}^9(\mathbb{R}^2)$ such that $A_i \setminus \Omega = \bar{A}_i \setminus \Omega$ for all i .

The proof of the lower bound is immediate. As the construction of recovery sequences is concerned, given a recovery sequence for $\mathcal{F}(A)$ with $A \in \mathcal{P}_0^9(\Omega, \bar{A})$, we can modify its sets close to $\partial\Omega$ as in the proof of the lower bound in Theorem 10. Indeed, the proof therein deals with the case when Ω is a coordinate square centered in 0 and \bar{A} is a partition with $\{x : \nu \cdot x = 0\}$ as the unique interface. As a consequence of the fundamental theorem of Γ -convergence [5] we then have that minimum values and minimizers for $\mathcal{F}_0^\varepsilon$ converge to the minimum value and a minimizer of \mathcal{F}_0 .

Remark 13. We note that by its BV-ellipticity properties [2], the function f satisfies

$$\frac{1}{|\nu_1| \vee |\nu_2|} f(l, k, \nu) = \min \left\{ \sum_{i < j} \int_{\bar{Q} \cap \partial A_i \cap \partial A_j} f(i, j, \nu^i) d\mathcal{H}^1 : A \in \mathcal{P}^9(l, k, \nu) \right\},$$

where $\mathcal{P}^9(l, k, \nu)$ is the set of partitions A such that $A = \bar{A}$ on $\mathbb{R}^2 \setminus Q$ and \bar{A} is any fixed partition such that $\bar{A}_l = \{x : \nu \cdot x > 0\}$ and $\bar{A}_k = \{x : \nu \cdot x < 0\}$ in an external neighborhood of Q . By the previous remark this minimum can be seen as the limit as $\varepsilon \rightarrow 0$ of the minima for the corresponding approximating sequence, which can be expressed in terms of the minima $a_{ij}(\frac{1}{\varepsilon}, \nu)$. By renaming $T = \frac{1}{\varepsilon}$ we obtain the limit formula for f ,

$$(33) \quad \frac{1}{|\nu_1| \vee |\nu_2|} f(i, j, \nu) = \lim_{T \rightarrow +\infty} \frac{1}{T} \min \left\{ \mathcal{F}^1(\{E_k\}, Q_T) : E_k \in \{E_h^{i,j,\nu}\} \text{ if } E_k \cap \delta Q_T \neq \emptyset \right\},$$

which proves that the \liminf in (17) is actually a limit. Similarly, we obtain the limit formula (18) repeating the same argument with Q^ν in the place of Q .

3.5. Alternate descriptions. From Theorem 10 we can derive descriptions for the limit of the energies \mathcal{F}^ε with respect to other types of convergence.

We can consider the energies \mathcal{F}^ε as defined on sets $\mathcal{A}^\varepsilon(\Omega)$. We define

$$(34) \quad \mathcal{F}_{\Omega,s}^\varepsilon(E) = \mathcal{H}^1(\Omega \cap \partial E) \text{ if } E = \bigcup_j E_j \text{ and } \{E_j\} \in \mathcal{E}^\varepsilon(\Omega).$$

The subscript s stands for “spin.” By this notation we imply that we regard a union of molecules as a constrained spin system and we do not wish to distinguish between

different types of molecules. We then may consider the convergence $E^\varepsilon \rightarrow E$, defined as $|E^\varepsilon \Delta E| \rightarrow 0$ as $\varepsilon \rightarrow 0$, for which the sequence \mathcal{F}^ε is equicoercive. From Theorem 10 we deduce the following result.

THEOREM 14. *Let $\mathcal{F}_{\Omega,s}^\varepsilon$ be defined by (34). Then the Γ -limit of $\mathcal{F}_{\Omega,s}^\varepsilon$ with respect to the convergence $E^\varepsilon \rightarrow E$ is defined on sets of finite perimeter E by*

$$(35) \quad \mathcal{F}_{\Omega,s}(E) = \inf \left\{ \mathcal{F}_\Omega(A) : A = (A_0, \dots, A_8) \in \mathcal{P}^9(\Omega), \bigcup_{i=1}^8 A_i = E \right\}.$$

Proof. In order to prove the lower bound it suffices to remark that if $\sup_\varepsilon \mathcal{F}_{\Omega,s}^\varepsilon(E_\varepsilon) < +\infty$ and $E_\varepsilon \rightarrow E$ then, up to subsequences, we can decompose $E_\varepsilon = \bigcup_{i=1}^8 E_\varepsilon^i$ with $E_\varepsilon^i \rightarrow A^i$, so that

$$\liminf_{\varepsilon \rightarrow 0} \mathcal{F}_{\Omega,s}^\varepsilon(E_\varepsilon) \geq \mathcal{F}_\Omega(A) \geq \mathcal{F}_{\Omega,s}(E).$$

In order to prove the upper bound, we may suppose that the infimum in (35) is achieved by some $A = (A_0, \dots, A_8)$ with $\bigcup_{i=1}^8 A_i = E$. We then take a recovery sequence $\{E_j^\varepsilon\}$ for $\mathcal{F}_\Omega(A)$, and a recovery sequence for $\mathcal{F}_{\Omega,s}(E)$ is then given by $E^\varepsilon = \bigcup_j E_j^\varepsilon$. \square

Remark 15 (nonlocality of the Γ -limit). The Γ -limit $\mathcal{F}_{\Omega,s}(E)$ cannot be represented as an integral on ∂E . To check this, consider as an example the target set E obtained as the intersection of the two Wulff shapes in Figures 16 and 17. If it were represented as an interfacial energy then the optimal microstructure close to an edge with normal $\frac{1}{\sqrt{10}}(3, -1)$ should be composed of molecules in some \mathcal{Z}_i with $i \in \{1, \dots, 4\}$, while the optimal microstructure close to an edge with normal $\frac{1}{\sqrt{10}}(3, 1)$ should be composed of molecules in some \mathcal{Z}_i with $i \in \{5, \dots, 8\}$. This implies that the optimal A_1, \dots, A_8 must have at least two nonempty sets, and the value of $\mathcal{F}_{\Omega,s}(E)$ depends on an interface not localized on ∂E .

Note more in general that we can give a local lower bound by optimizing the surface energy density at each fixed value of ν . Namely, if we define

$$f(x) = \min\{f(i, 0, x) : i \in \{1, \dots, 8\}\},$$

then a lower bound for $\mathcal{F}_{\Omega,s}(E)$ is given by

$$\mathcal{F}_{\Omega,s}(E) \geq \int_{\overline{\Omega} \cap \partial E} f^{**}(\nu) d\mathcal{H}^1,$$

where ν is the inner normal to E and f^{**} is the convex envelope of f [2]. Note that this estimate derives from (35) by neglecting interfacial energies in $\mathcal{F}_\Omega(A)$ which are internal to E , i.e., those corresponding to $\partial A_i \cap \partial A_j$ with $i, j > 0$. By the computations of section 3.3 we can give an explicit description of f^{**} , since it is positively one-homogeneous and its level set $\{x : f^{**}(x) = 1\}$ is the convex envelope of the union of the two corresponding level sets for $f(1, 0, \cdot)$ and $f(5, 0, \cdot)$ in Figures 16 and 17. Since for some ν (e.g., $\nu = (1, 0)$) we have $f^{**}(\nu) < f(\nu)$, for such ν the optimal interface would be obtained by a surface microstructure with both S and R molecules, which is not possible without introducing additional surface energy corresponding to some $\partial A_i \cap \partial A_j$ with $i \in \{1, \dots, 4\}$ and $j \in \{5, \dots, 8\}$. This shows that the lower bound is not sharp, for example, for sets with a vertical part of the boundary.

Another possibility is to consider the two types of molecules R and S as parameters, i.e., rewrite the energy as

(36)

$$\mathcal{F}_{\Omega,R,S}^\varepsilon(E_R, E_S) = \mathcal{H}^1(\Omega \cap \partial(E_R \cup E_S))$$

$$\text{if } E_R = \bigcup \left\{ E_j : E_j \in \bigcup_{i=1}^4 \mathcal{Z}_i^\varepsilon \right\}, \quad E_S = \bigcup \left\{ E_j : E_j \in \bigcup_{i=5}^8 \mathcal{Z}_i^\varepsilon \right\}, \text{ and } \{E_j\} \in \mathcal{E}^\varepsilon(\Omega),$$

and consider the convergence $(E_R^\varepsilon, E_S^\varepsilon) \rightarrow (E_R, E_S)$ defined as the separate convergence $E_R^\varepsilon \rightarrow E_R$ and $E_S^\varepsilon \rightarrow E_S$. Note that this convergence is also compact by Theorem 6, since $E_R^\varepsilon = \Omega_1^\varepsilon \cup \dots \cup \Omega_4^\varepsilon$ and $E_S^\varepsilon = \Omega_5^\varepsilon \cup \dots \cup \Omega_8^\varepsilon$ in the notation of Definition 5. We then have the following result, whose proof is essentially the same as that of Theorem 14.

THEOREM 16. *Let $\mathcal{F}_{\Omega,R,S}^\varepsilon$ be defined by (34). Then the Γ -limit of $\mathcal{F}_{\Omega,R,S}^\varepsilon$ with respect to the convergence $(E_R^\varepsilon, E_S^\varepsilon) \rightarrow (E_R, E_S)$ is defined on pairs of sets of finite perimeter (E_R, E_S) by*

$$(37) \quad \mathcal{F}_{\Omega,R,S}(E_R, E_S) = \inf \left\{ \mathcal{F}_\Omega(A) : A = (A_0, \dots, A_8), \bigcup_{i=1}^4 A_i = E_R, \bigcup_{i=5}^8 A_i = E_S \right\}.$$

Remark 17. We can give a lower bound of $\mathcal{F}_{\Omega,R,S}$ by an interfacial energy by interpreting this functional as defined on partitions of Ω into three sets of finite perimeter (A_0, A_1, A_2) , where $A_1 = E_R$, $A_2 = E_S$, and $A_0 = \Omega \setminus (E_R \cup E_S)$. By Theorem 10 and a minimization argument we have

$$(38) \quad \mathcal{F}_{\Omega,R,S}(E_R, E_S) \geq \int_{\Omega \cap \partial E_R \cap \partial E_S} f_0^{**}(\nu^R) d\mathcal{H}^1$$

$$+ \int_{\overline{\Omega} \cap (\partial E_R \setminus \partial E_S)} f_R(\nu^R) d\mathcal{H}^1 + \int_{\overline{\Omega} \cap (\partial E_S \setminus \partial E_R)} f_S(\nu^S) d\mathcal{H}^1,$$

where $f_R = \varphi_R$, $f_S = \varphi_S$ are the convex function defined in section 3.3 and

$$f_0(\nu) = \min\{f(i, j, \nu) : i \in \{1, \dots, 4\}, j \in \{5, \dots, 8\}\},$$

and ν^R and ν^S are the inner normals to E_R and E_S , respectively. Note however that the right-hand side in (38) may not be a lower-semicontinuous functional on partitions, and hence should be relaxed taking a BV-elliptic envelope [2]. This computation would be necessary to check if this lower bound is actually sharp so that the functional $\mathcal{F}_{\Omega,R,S}$ is local. Unfortunately the computation of a BV-elliptic envelope is, in general, an open problem, and cannot be reduced to a computation of a convex envelope as in the case of a single set of finite perimeter.

4. Generalizations and remarks

1. We can consider an inhomogeneous dependence for the surface energy. As an example, we can fix two positive constants c_R and c_S and consider the functionals

$$\mathcal{F}^\varepsilon(\{E_j\}; \Omega) = c_R \mathcal{H}^1(\Omega \cap \partial_R E) + c_S \mathcal{H}^1(\Omega \cap \partial_S E),$$

where $E = \bigcup_j E_j$ and

$$\partial_R E = \partial E \cap \partial \bigcup \left\{ E_j : E_j \in \mathcal{Z}_1 \cup \dots \cup \mathcal{Z}_4 \right\},$$

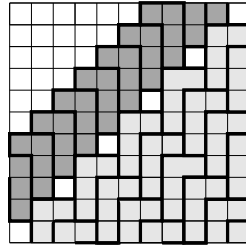


FIG. 19. A wetting layer.

$$\partial_S E = \partial E \cap \partial \left\{ E_j : E_j \in \mathcal{Z}_5 \cup \dots \cup \mathcal{Z}_8 \right\}.$$

The result is the same, upon defining the surface densities using the corresponding \mathcal{F}^1 .

Note that in this case, when computing $f(i, 0, \nu)$, we might have a *wetting* phenomenon, i.e., the presence of a layer of a different phase at the boundary of another. This is clear if, for example, c_S is sufficiently smaller than c_R at the boundary between the phase 0 and the phase 1. In Figure 19 we picture a configuration giving the estimate

$$f\left(1, 0, \frac{1}{\sqrt{2}}(-1, 1)\right) \leq \frac{3}{2}c_S\sqrt{2} + \frac{1}{2}c_R\sqrt{2},$$

which is energetically convenient with respect to the one in Figure 12 if $3c_S < c_R$.

2. In the whole analysis we can replace the surface energy by a scaled volume energy

$$(39) \quad \mathcal{F}^\varepsilon(\{E_j\}; \Omega) = \frac{1}{\varepsilon}|\Omega \setminus E|.$$

Note that in this case the empty phase disappears by the definition of the energy, so that the Γ -limit is finite only if $A_0 = \emptyset$.

The simplest case is $\Omega = \mathbb{R}^2$. In this case the proof proceeds in exactly the same way. Indeed, the argument of Lemma 1 is independent of energy arguments, while in the compactness Theorem 6 the equiboundedness of the energy is used to obtain estimate (5), which follows in an even easier way under the assumption of the equiboundedness of the energies (39).

The surface densities are then defined by

$$(40) \quad f(i, j, \nu) = (|\nu_1| \vee |\nu_2|) \lim_{T \rightarrow +\infty} \frac{1}{T} \min \left\{ \left| Q_T \setminus \bigcup \{E_k\} \right| : E_k \in \{E_h^{i,j,\nu}\} \text{ if } E_k \cap \delta Q_T \neq \emptyset \right\},$$

since we simply have $\mathcal{F}^1(\{E_k\}, \Omega) = |\Omega \setminus \bigcup \{E_k\}|$.

The case $\Omega \neq \mathbb{R}^2$ cannot be treated straightforwardly as above, since the approximation argument of Ω by polyhedral sets in the proof of the upper inequality cannot be used. We conjecture that a different boundary term on $\partial\Omega$

arises, taking into account approximations of Ω with minimal two-dimensional measure. Note that even when the approximation is not constrained to be performed with unions of molecules this may be a delicate numerical issue [17].

3. We can give a higher-order description of our system by scaling the energy as

$$\mathcal{F}_1^\varepsilon(\{E_j\}; \Omega) = \frac{1}{\varepsilon} \mathcal{H}^1(\Omega \cap \partial E).$$

In this case the limit is finite only at minimizers of $\mathcal{F}(A; \Omega)$.

- (a) If $\Omega \neq \mathbb{R}^2$ then the only minimizer is given by $A_0 = \Omega$ and $A_i = \emptyset$ for $i > 0$. Sequences with equibounded energy are $\{E_j^\varepsilon\}$ with $\sup_\varepsilon \#\{E_j^\varepsilon\} < +\infty$. We can then define $\{E_j^\varepsilon\} \rightarrow ((x_1, r_1, s_1), \dots, (x_N, r_N, s_N))$ as the convergence where the x_k are the limit points of sequences in $\{E_j^\varepsilon\}$, r_k is the number of molecules of the type $\varepsilon R(n)$ in $\{E_j^\varepsilon\}$ converging to x_k , and s_k is the number of molecules of the type $\varepsilon S(n)$ in $\{E_j^\varepsilon\}$ converging to x_k . The Γ -limit is then defined by

$$\mathcal{F}_1(\{(x_k, r_k, s_k)\}_k) = \sum_k \phi(r_k, s_k),$$

where

$$\phi(r, s) = \min \left\{ \mathcal{H}^1(\partial E) : E = \bigcup E_j, \{E_j\}_j \text{ disjoint family} \right. \\ \left. \text{composed of } r \text{ sets } R(n_k) \text{ and } s \text{ sets } S(m_l) \right\}.$$

- (b) If $\Omega = \mathbb{R}^2$ then we have the nine minimizers with $A_i = \mathbb{R}^2$ for some i and $A_k = \emptyset$ for $k \neq i$. For $i = 0$ we are in the same case as above. Otherwise, we can suppose that $i = 1$. We can consider the convergence $\{E_j^\varepsilon\} \rightarrow ((x_1, s_1), \dots, (x_N, s_N))$ with s_k defined as above. The Γ -limit is then defined by

$$\mathcal{F}_1(\{(x_k, s_k)\}) = \sum_k \phi(s_k),$$

where

$$\phi(s) = \min \left\{ \mathcal{H}^1(\partial E) : E = \bigcup E_j, \{E_j\}_j \text{ disjoint family composed of} \right. \\ \left. s \text{ sets } S(m_l) \text{ and of all the } E_j \in \mathcal{Z}_1 \text{ outside a compact set} \right\}.$$

We can conjecture that the minimizers of this problem are given by an array of s sets E_j in the same \mathcal{Z}_i for some $i \geq 4$ surrounded by elements in \mathcal{Z}_1 .

4. The analysis of the functionals \mathcal{F}^ε is also meaningful if only one type of molecule is taken into account. In this case we have only four modulated phases and the limit is defined on partitions into sets of finite perimeter indexed by five parameters. The proof follows in the same way, with the interfacial energies defined by using families composed only of the type of molecule considered.

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