

Tomographic inversion of time-domain resistivity and chargeability data for the investigation of landfills using a priori information

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Abstract

In this paper, we present a new code for the modelling and inversion of resistivity and chargeability data using a priori information to improve the accuracy of the reconstructed model for landfill. When a priori information is available in the study area, we can insert them by means of inequality constraints on the whole model or on a single layer or assigning weighting factors for enhancing anomalies elongated in the horizontal or vertical directions. However, when we have to face a multilayered scenario with numerous resistive to conductive transitions (the case of controlled landfills), the effective thickness of the layers can be biased. The presented code includes a model-tuning scheme, which is applied after the inversion of field data, where the inversion of the synthetic data is performed based on an initial guess, and the absolute difference between the field and synthetic inverted models is minimized.

The reliability of the proposed approach has been supported in two real-world examples; we were able to identify an unauthorized landfill and to reconstruct the geometrical and physical layout of an old waste dump. The combined analysis of the resistivity and chargeability (normalized) models help us to remove ambiguity due to the presence of the waste mass. Nevertheless, the presence of certain layers can remain hidden without using a priori information, as demonstrated by a comparison of the constrained inversion with a standard inversion. The robustness of the above-cited method (using a priori information in combination with model tuning) has been validated with the cross-section from the construction plans, where the reconstructed model is in agreement with the original design.

Keywords: electrical resistivity tomography, induced polarization, a priori information, landfill

1. Introduction

The detection and imaging of landfills are challenging tasks in geophysics, not only because of the required resolution and depth of penetration but also because major pitfalls may arise in such complex areas from the speculative interpretation of geophysical anomalies as geological or anthropic features (De Carlo et al., 2013). In particular, the latest national regulations (D.Lgs.

35 36/2003) were not everywhere adopted for the now covered landfill in Italy before 2003 and it
36 could be difficult to reconstruct the effective succession of layers. Many landfills need prospecting
37 for site management to assess the effective thickness of the different layers (e.g., compacted clay
38 and saturated waste mass) and to verify the groundwater contamination due to leachate flow outside
39 the landfill. In this sense, geophysical surveys can fulfil this target as long as they are able to
40 investigate the whole landfill as constituted by anthropogenic (e.g., waste mass and leachate) and
41 geological (e.g., clay and water table) features (Cardarelli and Bernabini, 1997). We can reconstruct
42 the effective layering of the landfill to verify a possible leachate flow outside the site using
43 geoelectrical methods, investigating both the resistive and the capacitive response of the waste mass
44 (Gazoty et al., 2012). In fact, the waste layer is primarily depicted with a relatively high chargeable
45 (> 10 mV/V) and conductive ($< 2 \Omega\text{m}$) unit with respect to the surrounding media.

46 Electrical resistivity tomography (ERT) has been used worldwide for contaminant detection (e.g.,
47 Benson et al., 1997; Dahlin et al., 2002), investigation of landfills (e.g., Ogilvy et al., 2002;
48 Cardarelli and Di Filippo, 2004; Chambers et al., 2006), and monitoring leachate injection (e.g.,
49 Audebert et al., 2016; Clément et al., 2010; 2011; Grellier et al., 2008) or DNAPLs source zones
50 (e.g., Chambers et al., 2010; Power et al., 2014). ERT has also been employed in combination with
51 induced polarization (IP) methods, where the acquisition is often performed in the time-domain for
52 site monitoring (e.g., Ustra et al., 2012), monitoring of the DNAPLs plume (e.g., Cardarelli and Di
53 Filippo, 2009), and classification of the contamination level (Turai, 2011). The added value given
54 by the joint analysis of the resistive and capacitive response of the subsoil has been demonstrated
55 during last decades (e.g., Martinho and Almeida, 2006; Dahlin et al., 2010; Gazoty et al., 2012). In
56 fact, the IP method is particularly sensitive to changes related to the presence of waste disposal or
57 contamination (electrochemical polarisation), as well as changes in the clay content of geological
58 formations (membrane polarisation).

59 The 2D and 3D inversions of ERT and IP datasets were frequently performed in literature by
60 commercial software, such as RES2DINV/RES3DINV (Loke and Barker, 1996) or ERTLab
61 (Geostudi Astier and Multiphase Technologies). Although these codes are rapid and reliable, they
62 are closed-source programs, and the user cannot control the entire workflow or add new functions.
63 Alternatively, open-source algorithms already available worldwide, such as BERT (Günther et al.,
64 2006), RESINVM3D (Pilisecky et al., 2007), or EIDORS (Adler and Lionheart, 2006), perform
65 almost only the inversion of ERT data, even though Karaoulis et al. (2013) recently developed a
66 software in Matlab to perform ERT and IP inversion (IP4DI). In particular, EIDORS is a Matlab-
67 based open-source software that was firstly developed for medical applications with the aim to
68 share data and promote collaboration between groups working in this field. EIDORS is a well-

69 known package for electrical impedance tomography, generally performing a resistivity inversion
70 on 3D cylindrically shaped domains with a linearised procedure. However, this code cannot be used
71 in its current form to work on prismatic domains using a wider range of parameters (always for
72 geophysical surveys). Moreover, an inversion code should take into account information coming
73 from boreholes, direct inspection, and construction plans in the case of landfills to validate the
74 inversion results. In the following sections we present a numerical code developed within the
75 EIDORS environment, which is able to solve the 2D forward and inverse problems both for
76 resistivity and chargeability and to embed a priori information within the numerical routines.
77 In addition, the effective thickness of the layers can be biased when we have to face a multilayered
78 scenario with numerous resistive to conductive transitions (the case of landfills) and using ERT in
79 combination with IP may be not sufficient to completely remove ambiguities in the interpretation of
80 the inverted models. Therefore, the speculative interpretation of the inverted models is generally not
81 adequate when we need to know the geometrical and physical layout of the landfill with a sufficient
82 accuracy, and actions to remove these ambiguities should be adopted.

83 The main goals of this work are as follows:

- 84 - to evaluate the benefit of a priori information for the characterisation of landfills with a
85 comparison between the standard approaches, where inversion is performed using a new
86 code;
- 87 - to present a method for the ambiguity removal in the interpretation of landfill models by
88 means of a model-tuning routine;
- 89 - to discuss the above-cited procedures with applications to real-world examples from the
90 investigation of landfills.

91

92 **2. Materials and Methods**

93 **2.1 Electrical parameters**

94 The subsoil generally exhibits both a resistive and a capacitive response, where an external DC
95 current source is turned on. The former effect can be modelled by means of the bulk resistivity
96 (Archie, 1942):

$$97 \rho = \rho_w S^{-n} \Phi^{-m}, \quad (1)$$

98 where ρ_w is the solution resistivity, S is the degree of saturation, Φ is the porosity, and n and m are
99 exponents depending on the tortuosity and cementation of the investigated medium.

100 The resistivity can be a diagnostic parameter for landfills because the high salinity of fluids often
101 saturating the waste mass (leachate) usually makes them very conductive, in contrast to both the
102 covering layer, often drier (Aristodemou and Thomas-Betts, 2000), and the bottom liner (HDPE)

103 that acts as an insulator (resistivity of $10^7 \Omega\text{m}$). However, if the waste mass is almost dry or
104 inhomogeneous or if the clay constitutes a large fraction of the cover, it can be critical to distinguish
105 the cover material from the waste (Ogilvy et al., 2002; Leroux et al., 2007). In addition, the
106 identification of leaks is often unrealistic because the resistivity range of the clay bottom layer and
107 the saturated waste are comparable (1–10 Ωm). To overcome these issues, we can also address the
108 capacitive response of the subsurface in terms of chargeability, the ratio of the capacitive-to-
109 conductive properties of the material at low frequencies (Slater and Lesmes, 2002). Chargeability is
110 therefore linked to the changes in the bulk resistivity as it increases in the presence of a saline fluid
111 (e.g., leachate), while no clear correlation is observed as a function of the clay content (Slater and
112 Lesmes, 2002). Elsewhere in a landfill (covering, liners, dry waste), these parameters should be
113 close to zero.

114

115 **2.2 Code implementation**

116 The theoretical formulation for solving the forward problem (Appendix A) and the inversion
117 process (Appendix B) for resistivity and chargeability have been numerically implemented in
118 Matlab using the VEMI algorithm—versatile algorithm for electrical modelling and inversion (De
119 Donno and Cardarelli, 2015). This algorithm is capable to invert both time- and frequency-domain
120 datasets acquired in the laboratory or in the field, and it is included in the open-source EIDORS
121 environment. The choice of the piecewise path to be followed by the user is controlled in VEMI by
122 the global variable *type* with four attributes: *domain* (time- or frequency-domain), *shape*
123 (cylindrical for the laboratory or prismatic for the field survey, respectively), *geometry* (2D or 3D),
124 and *inversion* (synthetic modelling or inversion), assuming values of 0/1 as a function of the
125 particular choice. Each path is associated with the related functions for solving the forward and
126 inverse problems (equations A.1–A.4 for 2D resistivity and chargeability modelling and B.1–B.5
127 for data inversion). Furthermore, versatile elements have been added to VEMI, which were
128 specifically developed for landfills to reduce the degree of uncertainty in interpreting the
129 geophysical models.

130

131 **2.3 Versatile elements for landfills**

132 **2.3.1 Use of a priori information**

133 When a priori information is available in the study area (e.g., we know length and thickness of the
134 landfill layers), we can insert it in VEMI using inequality constraints on the new model vector \mathbf{m}'
135 (Kim et al., 1999):

$$136 \mathbf{m}' = \ln\left(\frac{\mathbf{m}-\mathbf{a}}{\mathbf{b}-\mathbf{m}}\right), \quad (1)$$

137 where \mathbf{a} and \mathbf{b} are vectors containing minimum and maximum acceptable values for the study
138 parameter. This procedure can be applied both for the chargeability and resistivity model vectors,
139 only within a particular sub-domain (e.g., the waste mass), or in the whole domain.

140 If information about the preferential direction of the anomalies is available, we can insert it by
141 adding weights to the smoothness matrix in equation B.3, as:

$$142 \quad \mathbf{L} = \alpha_x \mathbf{L}_x + \alpha_z \mathbf{L}_z, \quad (2)$$

143 where α_x and α_z are the weighting factors to enhance the anomalies elongated in the x - or z -
144 directions. For a layered medium, $\alpha_z < \alpha_x$; for vertical anomalies $\alpha_z > \alpha_x$. For example, if we are
145 investigating a landfill from its surface, we expect to address a horizontally elongated medium (e.g.,
146 unsaturated waste, saturated waste, and liner) and we can consider this information with a lower
147 α_z/α_x ratio. On the contrary, if we aim to reconstruct a slurry wall, a higher α_z/α_x ratio would be
148 assigned.

149

150 2.3.2 Normalised chargeability

151 A strategy to remove the ambiguity in the interpretation of electrical models can also be the
152 separation of the IP effect due to the predominantly electrolytic control from effects due to clay
153 content variation (Slater and Lesmes, 2002) through the normalisation of the chargeability. In fact,
154 changes in clay content are clear in the response of but unclear in the response of. This effect can be
155 relevant for landfills, where we need to discriminate between the two types of effects: IP structure
156 resulting from electrolytic controls due to the waste mass and IP effect due to the clay layer often
157 present underneath the bottom liner. The normalised chargeability mainly enhances the IP
158 anomalies due to the increase in clay content; thus, we expect to have a higher in the
159 correspondence to the clay soils and a relatively lower for the leachate. The normalised
160 chargeability is given by the following:

$$161 \quad \bar{\eta} = \eta/\rho, \quad (3)$$

162 where η and ρ are the chargeability and resistivity values inferred from the models inverted with
163 VEMI.

164

165 2.3.3 Model tuning

166 The inverted geophysical section, although a reliable and low-error model, could be of non-univocal
167 interpretation, referring to the effective thickness and depth of the detected interfaces. In fact, since
168 the sensitivity (Jacobian matrix \mathbf{J} in equation B.3) decreases substantially as a function of depth,
169 any sharp variation occurring in the deeper zones cannot be followed by a rapid change in

170 resistivity. This effect can be clarified by mapping the sensitivities for each element k as a
171 cumulative value as follows:

$$172 \quad J_{cum,k} = \frac{\sum_{q=1}^{N_Q} |J_{q,k}|}{N_Q}, \quad (4)$$

173 where N_Q is the number of measurements and the absolute value of \mathbf{J} is given to avoid situations,
174 where a negative sensitivity value for one measurement cancels out the positive value for another
175 measurement. An example of cumulative sensitivity is shown in Figure 1 for a homogeneous half-
176 space of $10 \Omega\text{m}$ investigated using 48 electrodes spaced 5 m apart using a pole–dipole array with
177 $a_{max} = 5$ and $n_{max} = 6$. This equation clearly demonstrates that the deeper the target to be resolved is,
178 the lower are the sensitivity to the resistivity changes and consequently the resolution of the
179 anomalies. Owing to the above considerations, a further iterative procedure can give a quantitative
180 estimation of the landfill model (Fig. 2). Starting from an initial guess for the landfill model derived
181 from the inversion of field data, we perform the synthetic simulation and the subsequent inversion
182 of the synthetic dataset. We can finally estimate the landfill model using the iterative minimisation
183 of the absolute difference between the field and synthetic final models. This procedure is similar to
184 that proposed by Narayan et al. (1994).

185 In the following sections, we present the application of the above-cited procedures to real-world
186 examples.

187

188 **2.4 Study sites**

189 *2.4.1 RW01 - Unauthorized landfill (Lazio region, Italy)*

190 Location

191 The site, located 10 km from Rome, is an old tuff quarry covered with backfill and waste since the
192 1990s. The unauthorized waste disposal was suspected by the local authority because of the
193 contamination of the neighbouring creeks, even if they actually ignored the effective depth of the
194 bedrock and the typology of the buried waste. The tuff deposits, partially emerging in the elevated
195 NW part of the area, have probably been excavated up to 20 m from the former surface.
196 Geophysical investigations aim to detect the tuff bedrock and to assess if the site could be a landfill.

197

198 Geophysical measurements

199 Three 2D ERT lines were performed in the area: L1, entirely located within the supposed landfill;
200 L2, partially covering the outcropping bedrock; and L3, outside the landfill towards the direction of
201 the groundwater flow (Fig. 3). The ERT lines employed 48 stainless steel electrodes spaced 3 m
202 apart using the resistivimeter Syscal Pro 48 electrodes produced by Iris Instruments with a pole–

203 dipole array. The pole–dipole array using both forward and reverse schemes (Clément et al., 2010)
204 has been employed for each line with one current electrode theoretically placed at an infinite
205 distance from the other current electrode (in this case, at 1000 m parallel to the line) and is able to
206 reach a sufficient depth of investigation without losing resolution. The customised sequence of
207 acquisition has been generated with a maximum electrode separation $a_{max} = 5$ and a dipole
208 separation factor $n_{max} = 6$, resulting in 1035 measurements for each dataset. The chargeability
209 dataset was acquired using an injection pulse duration of 1 s and semi-logarithmic sampling of the
210 decay curve (20 gates). The latter parameters have been chosen subsequent to a preliminary trial
211 with different pulse durations and numbers of gates. These parameters allow good sampling of the
212 decay curve without a drastic increase of the acquisition time.

213 Although the use of non-polarisable electrodes for the chargeability is the optimum choice, reliable
214 measurements can be acquired by employing steel electrodes (La Brecque and Daily, 2008; De
215 Donno and Cardarelli, 2011), as in this case.

216

217 *2.4.2 RW02 - Authorised landfill (Lazio region, Italy)*

218 Location

219 The investigated area, located in the Lazio region, is a landfill built in the 1990s as part of a bigger
220 complex for using as waste disposal of a medium-sized city. The site was provided with a bottom
221 liner (geo-membrane and compacted clay) and it had been active for ten years until it was covered.
222 Geophysical investigations have been planned for the site management to evaluate the effective
223 depth of the bottom liner and to verify a possible leachate flow outside the site.

224 The geological setting of the surrounding area is mainly characterised by pyroclastic shallow
225 deposits overlying a clay horizon and sand. Boreholes drilled in the surrounding area indicate that
226 the interface between the clay and sand should be located approximately 25 m from the surface.

227

228 Geophysical measurements

229 The geophysical campaign had to preliminarily take into account the supposed depth of the buried
230 waste (low-permeability layers), the resolution needed, and costs. For this reason, four electrical
231 lines spaced 30 m apart (L1 to L4) and employing 48 electrode spaced 5 m apart (Fig. 4) were
232 performed with the resistivimeter Syscal Pro 48 using a pole–dipole array and the same acquisition
233 parameters as in RW01.

234

235 **3. Results**

236 In the following sections, we present the results of the inverted models, a comparison with the
237 standard approach, and the added value given by the model-tuning procedure.

238

239 **3.1 RW01**

240 The results of the 2D inversions are shown in Figure 5 for the resistivity and in Figure 6 for the
241 chargeability dataset. Because there is no a priori information available for this site linked to the
242 thickness or the length of the waste disposal, we set without using inequality constraints.

243 The inverted models display low RMSE values at the final iteration, always below 5% for resistivity
244 and 2 mV/V for chargeability.

245 The L1 model (Figs 5a and 6a) shows low-resistivity values in correspondence with a high
246 capacitive response probably related to the waste mass. The resistivity values of the model range
247 from 1 to 60–70 Ωm , except for the shallower layer (probably backfill), where ρ reaches values up
248 to 100 Ωm . This evidence is confirmed by the L2 models (Figs. 5b and 6b), which explain the
249 excavated tuff deposits (up to 20 m) emerging in the NW part of the site. The high resistivity values
250 are associated with the tuff deposits, while the conductive overlying layer is related to the waste
251 mass. The resistivity model alone (Fig. 5b) is not sufficient to infer, with an acceptable accuracy,
252 that the conductive layer belongs to a waste mass. The nature of the layer is clarified when the
253 resistivity and chargeability models are analysed jointly: the conductive area corresponds to high
254 chargeability values. The L3 resistivity model (Fig. 5c) shows a similar behaviour with respect to
255 L2 (Fig. 5b), even though there is no visible chargeable anomaly in this model (Fig. 6c). Therefore,
256 we can confirm that the old tuff quarry was used as a waste disposal, the waste mass extended up to
257 20 from the surface, and no significant anomaly was found in the direction of the groundwater flow.
258 The waste mass is covered by backfill in the shallower 2 m.

259

260 **3.2 RW02**

261 The inverted models are reported in Figures 7 and 8 for resistivity and chargeability, respectively.
262 For the RW02 case we set a layered medium with anomalies elongated in the horizontal direction
263 because we expect controlled landfill from the surface. The resistivity model was forced to vary
264 only within the expected 0.5–200- Ωm range for the landfill and the *in situ* deposits using inequality
265 constraints on the resistivity model. The values were set with reference to the commonly known
266 ranges for the clay and sandy deposits in the study area and for the anthropogenic elements (waste,
267 leachate).

268 The four models still present low RMSE values, even though RMSE_η is slightly higher due to an
269 increasing level of noise. The resistivity models (Fig. 7) are homogeneous among themselves, with

270 similar layering and resistivity values. We detect a shallow resistive layer ($z = 0\text{--}8$ m, $\rho = 40 \text{ } \Omega\text{m}$)
271 related to the covering and the unsaturated waste that overlies a high-conductive target ($z = 8\text{--}15$ m,
272 $\rho = 1 \text{ } \Omega\text{m}$). This layer is confined at the right, left and bottom sides by a slightly resistive layer,
273 which may be related to the bottom liner ($z = 15\text{--}20$ m, $\rho = 40 \text{ } \Omega\text{m}$). This layer is detected at $z =$
274 $10\text{--}15$ m of the L4 line (Fig. 7a), executed approximately 3 m lower, close to the edge of the
275 landfill, where we expect the bottom liner to be shallower. The conductive and resistive layers
276 located in the deep can be attributed to the *in situ* clay and sand deposits, respectively. However,
277 although the recovered layering could be consistent with that expected for this landfill, we cannot
278 deduce the thickness and the resistivity values with an adequate degree of accuracy due to multiple
279 successions of conductive and resistive units.

280 The chargeability models (Fig. 8) are more heterogeneous; we are not able to determine a definite
281 correspondence between the resistivity and chargeability anomalies. In fact, high chargeability
282 values are displayed not only in correspondence to the shallower conductive layer but also to the
283 bottom part of the sections. However, we cannot identify if the deeper chargeable anomalies are due
284 to the clay deposits (membrane polarisation) or to the presence of a leachate flow outside the
285 landfill.

286

287 *3.2.1 Normalised chargeability*

288 The added value given by the normalised chargeability is illustrated in Figure 9, with reference to
289 the RW02 case study. We can discriminate two clear chargeable anomalies due to the waste mass
290 (shallower, from 8 to 12 m) and increasing clay content (deeper, from 20 to 45 m, variable as a
291 function of the ERT line); we correlate these models with the resistivity anomalies (Fig. 7), where
292 the conductive layers correspond to the chargeable layers. The normalised chargeability related to
293 an increase in the clay content is higher than that pertinent to the waste mass according to previous
294 results (Slater and Lesmes 2002).

295

296 *3.3 Comparison with standard approaches*

297 The results from the standard inversion performed without using inequality constraints and
298 weighting factors are presented in Figures 10a and 10c (software RES2DINV) and in Figures 10b
299 and 10d (software VEMI) for the L3 line of the RW02 case study. The resistivity models are
300 characterised by higher RMSE values (13–15 %); the separation between the landfill and the *in situ*
301 deposits is not visible and consequently these sections are not representative for the effective
302 layering.

303

304 **3.4 Model tuning**

305 The routine described in 2.2.3 was applied to the L3 line of RW02. A zero mean Gaussian noise
306 was added separately to the resistivity and chargeability datasets, with standard deviation levels set
307 to 3% and 1 mV/V, respectively. The final models are represented in Figure 11 together with the
308 inverted models of the synthetic data of the last iteration. The models are composed of a resistive
309 top layer (corresponding to covering and dry waste), a highly conductive and chargeable thin layer
310 (waste mass saturated by leachate), and a resistive layer (bottom liner and drains). The maximum
311 depth of the landfill is 12.5 m. The interface between the *in situ* clay and sand is located at 25 m
312 (Fig. 11). The comparison between the inverted models from real (Figs 7c and 9c) and synthetic
313 data (Figs. 11c and 11d) provides a quantitative estimation of the error in terms of absolute
314 differences between the two models (Fig. 12). This section displays values below 20 Ωm , except in
315 the correspondence with boundaries and near the surface, where the degree of heterogeneity is
316 higher. We choose the best fit from a direct inspection of the absolute difference section and use it
317 as the estimate for the landfill model changes. Although the automatic minimisation of the absolute
318 difference between the two models is recommended from a theoretical point of view, it practically
319 requires the introduction of an outer iterative routine that corresponds to a non-linear increase of the
320 computational time. In addition, we always need to direct the comparison between the field and
321 synthetic model toward the achievement of a physically based landfill model, where geometry and
322 resistivity values are in agreement with the commonly used geometries and materials employed
323 because the final model should be simple, informative, and not only the result of a mere
324 mathematical optimization.

325

326 **4. Discussion**

327 The comparison between the final electrical mode of line L3 and the correspondent cross-section
328 from the construction plans of the landfill (A-A' in Fig. 4) is plotted in Figure 13. The two major
329 discontinuities (waste-clay and clay-sand) were detected at the same depth of the original design
330 (12.5 and 25 m), while the geophysical model differs only for $x > 200$ m, where we assume terraces
331 for drainage that differ from the original design. Therefore, the constrained inversion of the
332 geophysical data, with the reconstructed models tuned later through a comparison with synthetic
333 data, returns a landfill model comparable with the true one both for thickness and length of the
334 different layers. The comparison of the results from model tuning (Fig. 11c) with those achieved by
335 standard inversion (Fig. 10a, RES2DINV, and Fig. 10b, VEMI) clearly demonstrates that we can
336 derive the effective layering of the landfill (5 layers) only using a priori information. In particular,
337 the bottom liner is correctly identified at the proper depth (12 m). On the contrary, we are able to

338 identify only 3 layers (resistive, conductive, resistive) through standard inversion and the effective
339 depth of the landfill is strongly biased (> 40 m).

340 As an alternative and given a priori construction plans, users could perform a constrained inversion
341 assigning inequality constraints for each layer (waste and *in situ* deposits) to steer the inversion
342 process toward the effective layering. Using this procedure without performing the model tuning
343 implies the knowledge of the thickness of the saturated waste from direct inspection. In addition,
344 the leachate could undergo noteworthy temperature changes reflected in the resistivity and high
345 variability of the chargeability, which should be considered for the proper setting of the **a** and **b**
346 vectors (minimum and maximum acceptable values for inequality constraints). However, the
347 importance of a priori information in the inversion process can be evaluated comparing the models
348 of RW02-L3 shown in Figures 7c and 9c (constrained inversion with VEMI) and in Figure 10
349 (standard inversion with RES2DINV and VEMI). The multilayer behaviour of the landfill is
350 revealed only using a priori information in combination with model tuning recovering lower RMSE
351 values (for resistivity) compared to the standard inversion (3% vs. 13%).

352 Moreover, the presence of the geomembrane could create distortions in the electrical field, as
353 demonstrated by Audebert et al. (2014) for Wenner-Schlumberger, dipole-dipole, and gradient
354 arrays and a finite boundary condition should be inserted to model the effect of the bottom liner
355 acting as an insulator. In this case, the pole-dipole array, which was not investigated in this sense,
356 yet, has given reliable results without considering a finite boundary condition, as confirmed by the
357 validation of the models. Further improvement should concern the investigation of the
358 geomembrane effect for this configuration. We stress the importance of acquiring consistent
359 datasets (sum of quality of instrumentation, electrodes, and array choice), which is a pivotal issue
360 for ERT and IP investigations. The data quality of the inversion process is much more important
361 than that of other inversion parameters, as demonstrated by the higher RMSE values of the RW02
362 models, where the datasets are affected by a higher level of noise.

363 The results from the RW01 case study (L2) demonstrate that the standard inversion procedure could
364 also be acceptable in the case of uncontrolled or unauthorized landfill (widespread in Italy),
365 whereas we generally have a simple two-layer model (conductive-waste mass; resistive-bedrock).
366 Because the normalised chargeability is highly sensitive to changes in the clay content for
367 investigations of controlled landfills in Italy after 2003, where a low-permeability clayey formation
368 is always present, as stated in previous work (Dahlin et al., 2010; Ustra et al., 2012), the numerical
369 codes should include the comparison between the normalised and raw parameters to understand the
370 mechanism of polarisation associated with the different anomalies.

371

372 **Conclusions**

373 In this paper, we demonstrated the added value given by the use of a priori information for landfill
374 characterisation. This information can be utilised by means of inequality constraints within our
375 numerical algorithm, limiting the range of variation of the selected parameters within a certain area
376 (the whole model or a single layer), or using weighting factors of the smoothness matrix to enhance
377 anomalies elongated in the horizontal (where the survey is performed from the top of the landfill) or
378 vertical (for surveys on slurry walls). Where inversion is accomplished, users can improve the
379 results with a model tuning procedure. This procedure consists of a further iterative loop in which
380 the inversion of synthetic data is performed starting from an initial guess and the absolute difference
381 between the field and synthetic inverted models is minimized. When convergence is reached, the
382 landfill model and the synthetic model of the last iteration are consistent.

383 The reliability of this approach has been demonstrated in two field examples of different scenarios:
384 the detection of an unauthorised landfill and the geometrical and physical characterisation of an old
385 authorised landfill. The final inverted models display low-RMSE values both for resistivity (below
386 5%) and chargeability (below 2 mV/V), except for the RW02 chargeability dataset affected by a
387 higher level of noise (maximum value of 8 mV/V). The role the chargeability played in removing
388 the ambiguity of the resistivity models was stressed in this paper; we are not able to discern the
389 waste mass by only looking at the resistivity model. We can argue with a sufficient degree of
390 confidence that the investigated subsoil is contaminated or is a landfill when the conductive
391 anomalies correspond to the chargeable zones. However, in cases where electrochemical and
392 membrane IP effects overlap (RW02), the chargeability models are not diagnostic, and the use of
393 the normalised chargeability can help to increase consequently the degree of accuracy in landfill
394 reconstruction.

395 We reconstructed the geometrical and physical layering of the subsoil for the RW02 case using a
396 priori information and the model tuning routine, providing a quantitative model of the old landfill.
397 In fact, the use of inequality constraints or weighting factors of the smoothness matrix can help to
398 improve the resolution of the inverted models in complex scenarios with multiple successions of
399 conductive and resistive layers (the case of controlled landfills). Some layers cannot be noted
400 without using these parameters, as proven by a comparison with a standard inversion performed
401 with VEMI and RES2DINV (widespread use for the inversion of ERT/IP data). The robustness of
402 the above-cited method (using a priori information in combination with model tuning) has been
403 confirmed through a comparison with the cross-section from the construction plans, where the
404 reconstructed model is in agreement with the original design.

405 This procedure requires further improvement concerning the development of an automatic routine
 406 to identify the optimum model through the minimisation of the absolute difference between the
 407 inverted models of the synthetic and field datasets. Future work should concern the application of a
 408 priori information with VEMI to complex resistivity tomography, including the acquisition of
 409 frequency-domain data on RW01 and/or RW02, to compare between time-domain and frequency-
 410 domain datasets for the investigation of landfills.

411

412 **Appendix A. Forward modelling**

413 The resistive response of a subsoil D can be defined by the following equation, where electrodes are
 414 modelled as points (point electrode model – PEM) and the conductivity is assumed invariant along
 415 the y -direction (e.g., Dey and Morrison, 1979; Queralt et al., 1991; Cardarelli and Fischanger 2006):

$$416 \quad -\nabla \cdot [\sigma(x, z)\nabla\tilde{\phi}(x, z, \lambda)] + \lambda^2\sigma(x, z)\tilde{\phi}(x, z, \lambda) = 0.5I\delta(x_S)\delta(z_S), \quad (\text{A.1})$$

417 where σ is the conductivity ($\rho=1/\sigma$ is the resistivity), $\tilde{\phi}$ is the transformed electric potential, λ is
 418 the transformed variable, and I is the injected current.

419 Equation (1) is subject to the following boundary conditions:

$$420 \quad \sigma \frac{\partial\tilde{\phi}}{\partial\nu} = 0 \text{ on } D_S; \quad \frac{\partial\tilde{\phi}}{\partial\nu} + \frac{\tilde{\phi}\cos\theta}{R} = 0 \text{ on } D_\infty \quad (\text{A.2})$$

421 where D_S is the surface, D_∞ are the lateral and bottom boundaries located "far enough" from the
 422 source, and θ is the angle between the radial distance R and the outward normal ν . This formulation
 423 is often called the 2.5D approximation.

424 Many numerical approaches have been adopted to the solution of (A.1) and (A.2) during the last
 425 decades, both using the finite element method (Pridmore et al., 1981) and the finite difference
 426 method (Dey and Morrison, 1979). A widely used technique is the Galérkin formulation of the
 427 finite element method (e.g., Vauhkonen 1997; Bastos and Sadowski 2003; Rucker and Günther
 428 2011).

429 Once the solution of (1) and (2) is achieved, the predicted apparent resistivity is given by:

$$430 \quad \rho_a^{pre} = K \frac{\Delta\phi}{I}, \quad (\text{A.3})$$

431 where K is the geometric factor and $\Delta\phi$ is obtained according to the particular sequence of the
 432 measurements.

433 The effect of the chargeability is modelled using the same resistivity forward mapping (Eqs. A.1–
 434 A.2) but with the conductivity replaced by $\sigma(1 - \eta)$ (Siegel, 1959; Oldenburg and Li, 1994). The
 435 predicted apparent chargeability is defined as:

$$436 \quad \eta_a^{pre} = \frac{\phi_\eta - \phi_\sigma}{\phi_\eta}, \quad (\text{A.4})$$

437 where ϕ_η and ϕ_σ are the potential resulting from the forward solutions for chargeability and
 438 conductivity, respectively.

439

440 **Appendix B. Inversion**

441 The resistive behaviour of a subsoil is generally measured in the time-domain as apparent resistivity
 442 ρ_a , while the capacitive response is measured as apparent chargeability η_a :

$$443 \rho_a^{obs} = K \frac{V_p}{I}, \quad (\text{B.1})$$

$$444 \eta_a^{obs} = \frac{\int_{t_i}^{t_f} V_i dt}{V_p \Delta t}, \quad (\text{B.2})$$

445 where V_p is the measured voltage during the application of the current I and V_i is the residual
 446 voltage after the termination of an applied current integrated over a time window Δt defined
 447 between times t_i and t_f .

448 We employed a Gauss–Newton iterative formulation to invert the apparent resistivity data, where
 449 the optimum damping factor is chosen for each outer iteration h as the value that minimises the
 450 RMSE (De Donno, 2013):

$$451 \delta \mathbf{m}_h = [\mathbf{J}(\mathbf{m}_h)^T \mathbf{W}^T \mathbf{W} \mathbf{J}(\mathbf{m}_h) + \alpha_h \mathbf{L}^T \mathbf{L}]^{-1} \cdot \{\mathbf{J}(\mathbf{m}_h)^T \mathbf{W}^T \mathbf{W} [\mathbf{d} - g(\mathbf{m}_h)] - \alpha_h \mathbf{L}^T \mathbf{L} (\mathbf{m}_h - \mathbf{m}_0)\}, (\text{B.3})$$

452 where $\mathbf{m} = \ln(\boldsymbol{\rho})$ is the model update vector, \mathbf{J} is the Jacobian matrix ($J_{q,k} = \frac{\partial d_q}{\partial m_k}$), $\mathbf{W} =$
 453 $diag(\frac{1}{s^\rho/100})$ is the data weight matrix (s^ρ is the observed standard deviation vector expressed in
 454 %), α is the damping factor, \mathbf{L} is the smoothness matrix (Tsourlos and Ogilvy, 1999), $\mathbf{d} =$
 455 $\ln(\boldsymbol{\rho}_a^{obs})$ is the observed data vector, $g(\mathbf{m}) = \ln(\boldsymbol{\rho}_a^{pre})$ is the predicted data vector, h is the
 456 outer iteration, and q is the measured quadrupole.

457 The chargeability dataset is inverted following the procedure described in Oldenburg and Li (1994)
 458 as method III, which is a nonlinear sequential inversion of resistivity and chargeability data. Once
 459 the resistivity model has been recovered through (B.3), we perform another inversion routine with
 460 the following substitutions:

$$461 \mathbf{d} = \ln(\boldsymbol{\eta}_a^{obs}); g(\mathbf{m}) = \ln(\boldsymbol{\eta}_a^{pre}); \mathbf{m} = \boldsymbol{\eta}; \mathbf{W} = diag(\frac{1}{s^\eta/1000}), \quad (\text{B.4})$$

462 where s^η is the observed standard deviation expressed in mV/V.

463 The iterative procedure is stopped when the root mean square error (RMSE) is acceptable for the
 464 resistivity and chargeability dataset, as follows:

$$465 RMSE_\rho = \sqrt{\frac{\sum_{q=1}^{N_Q} \left(\frac{\rho_{aq}^{obs} - \rho_{aq}^{pre}}{\frac{s_q^\rho}{100} \rho_{aq}^{obs}} \right)^2}{N_Q}}; RMSE_\eta = \sqrt{\frac{\sum_{q=1}^{N_Q} \left(\frac{\eta_{aq}^{obs} - \eta_{aq}^{pre}}{\frac{s_q^\eta}{1000}} \right)^2}{N_Q}}, \quad (\text{B.5})$$

466 where N_Q is the number of measurements.

467 Because the chargeability values are often close to zero (few mV/V), the choice of an absolute
468 value for RMSE is convenient and more diagnostic (De Donno and Cardarelli, 2014). Convergence
469 is reached when the RMSE difference between two consecutive iterations (h and $h-1$) is lower than
470 1%.

471

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475

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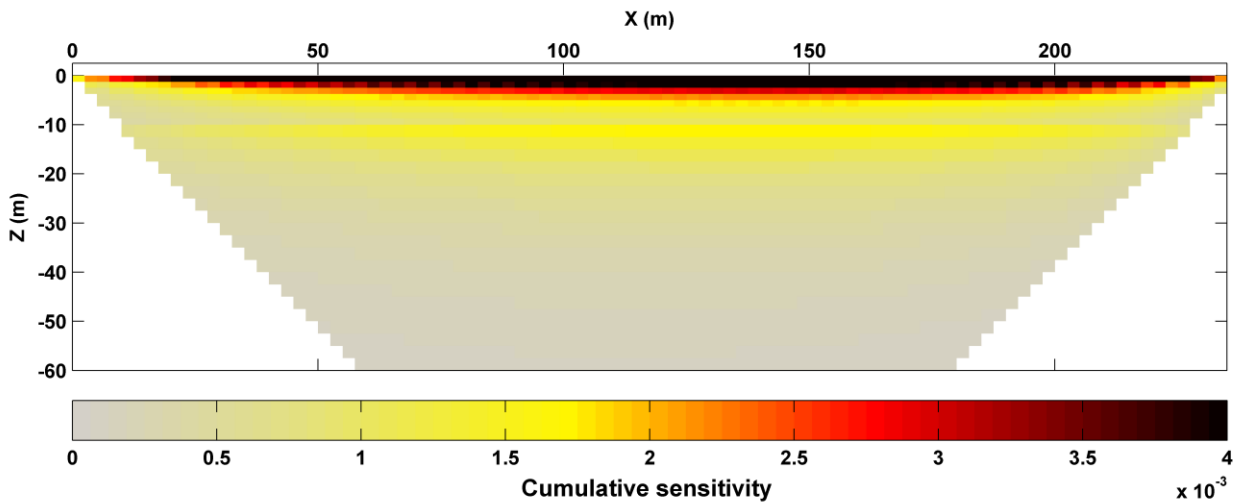
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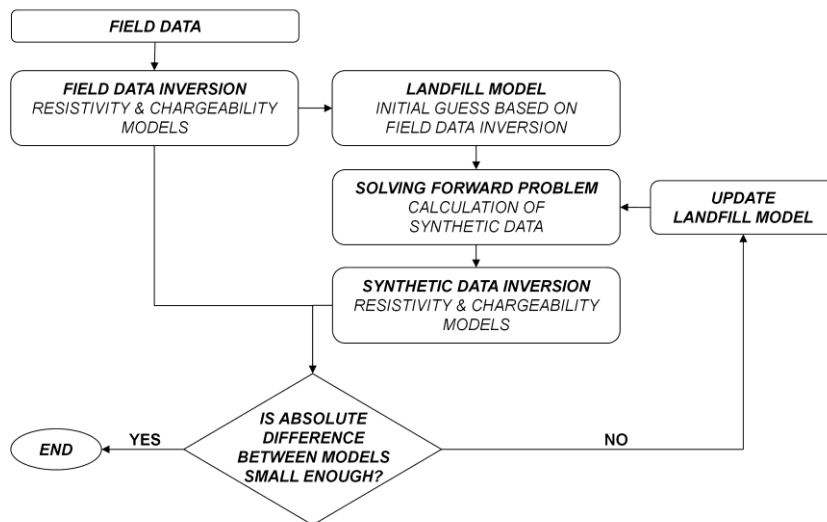
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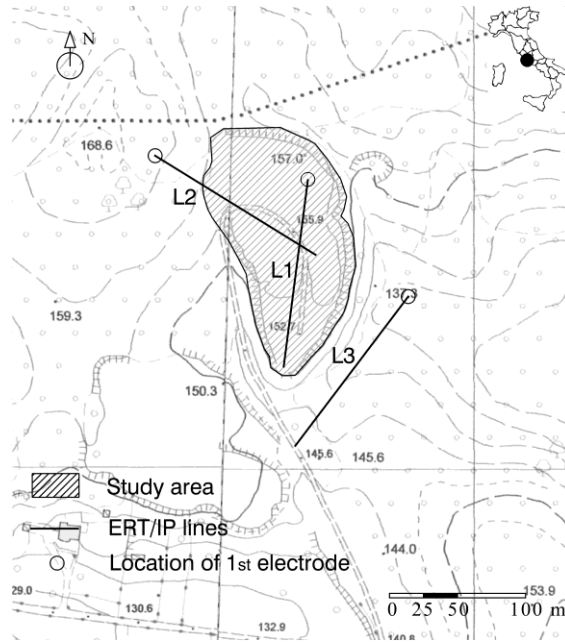
591

592 Figure 1. Example of cumulative sensitivity for an homogeneous half-space ($10 \Omega\text{m}$) investigated
 593 using 48 electrodes spaced 5 m apart using a pole-dipole array with $a_{max} = 5$ and $n_{max} = 6$.



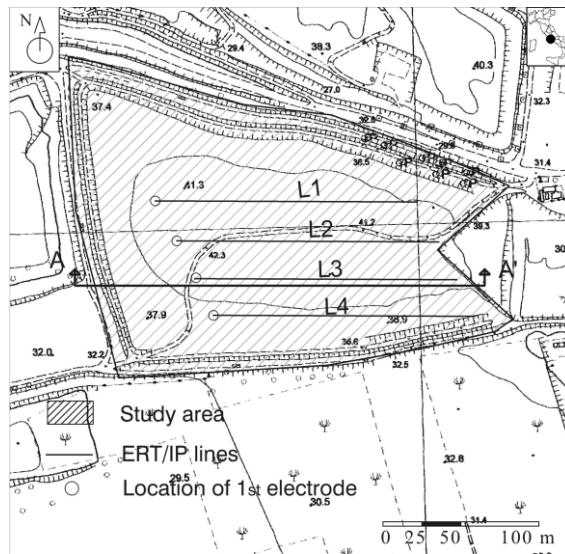
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595 Figure 2. Flow-chart of the model tuning procedure to find the optimum landfill model.



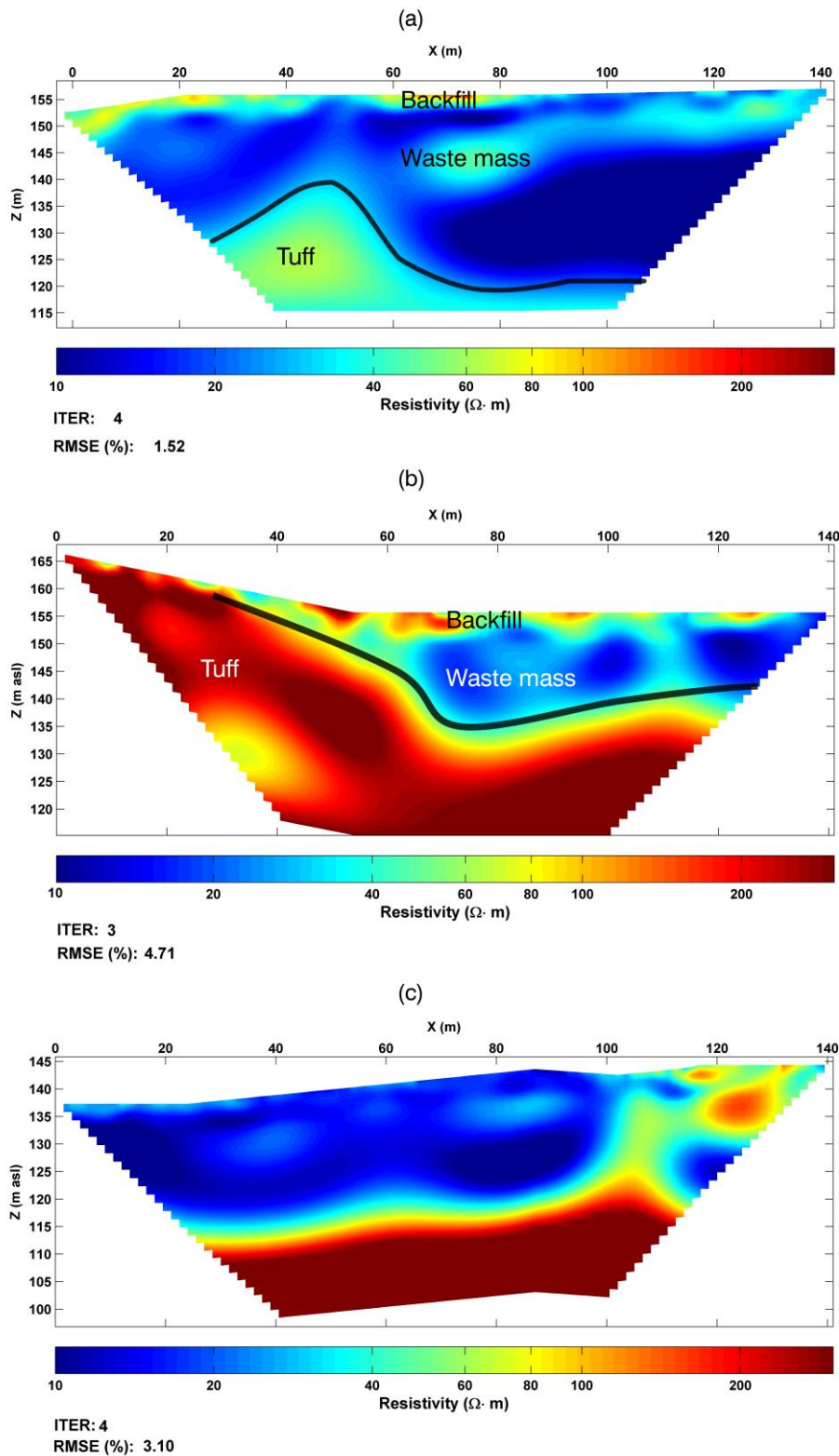
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597 Figure 3. Topographic plan of the RW01 site with the location of the ERT/IP lines.



598

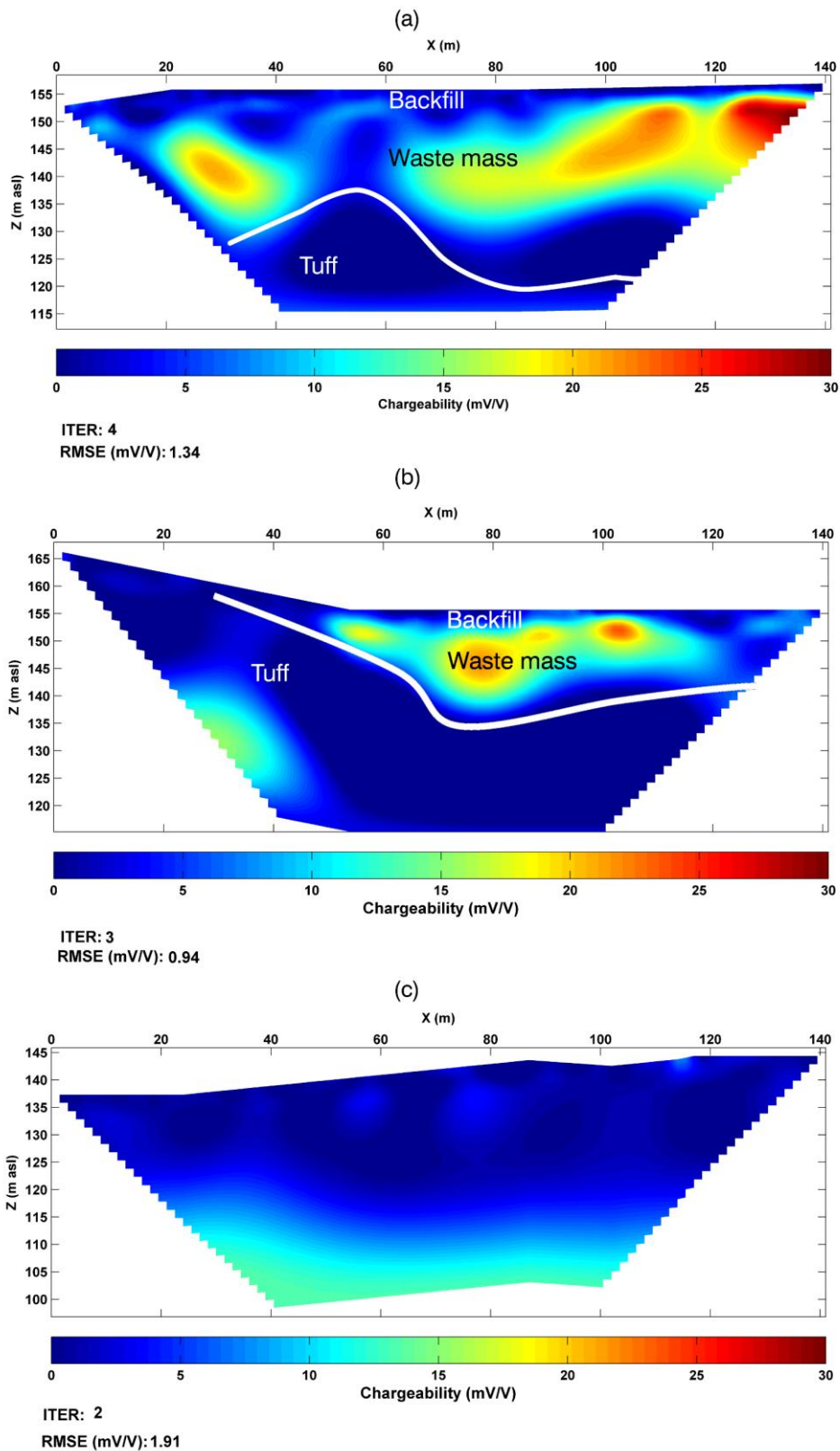
599 Figure 4. Topographic plan of the RW02 site with the location of the ERT/IP lines and of the A-A'
 600 section from the construction plans.



601

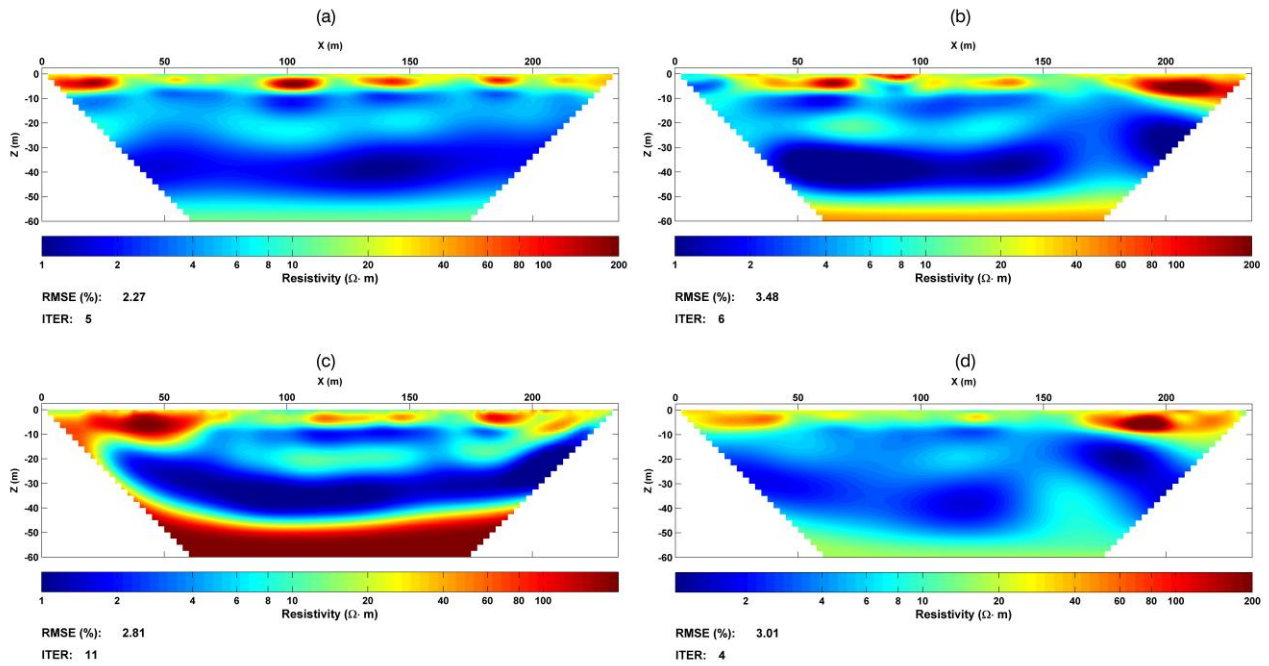
602 Figure 5. Resistivity inverted models of ERT/IP L1 (a), L2 (b) and L3 (c) lines for the RW01 site.
 603 The direction of the line and the position of the first electrode are shown in Fig. 3. The black lines
 604 indicate the interface between waste mass and tuff.

605



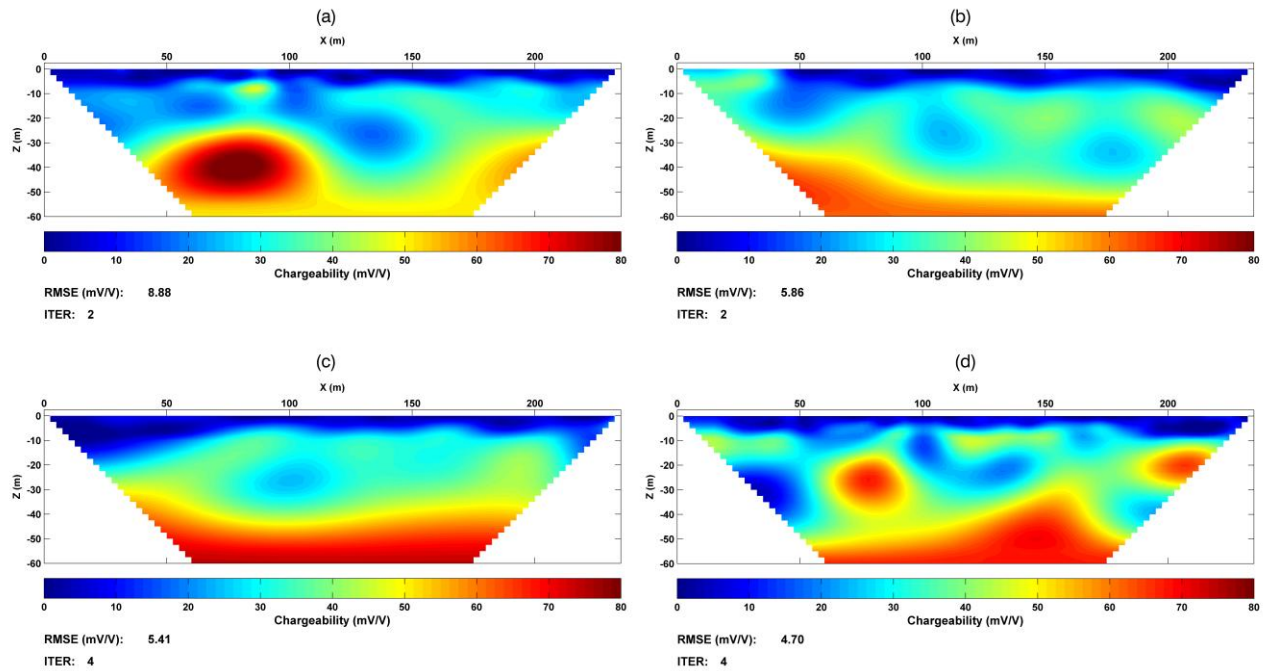
606

607 Figure 6. Chargeability inverted models of ERT/IP L1 (a), L2 (b) and L3 (c) lines for the RW01
 608 site. The direction of the line and the position of the first electrode are shown in Fig. 3. The white
 609 lines indicate the interface between waste mass and tuff.



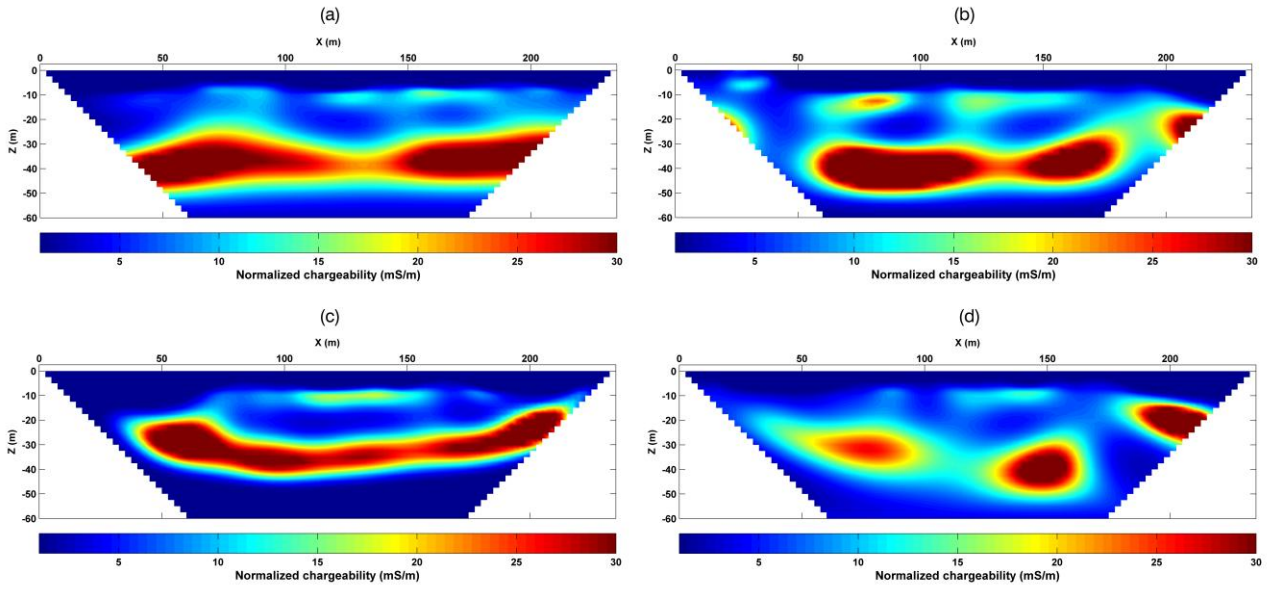
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611 Figure 7. Resistivity inverted models of ERT/IP L1 (a), L2 (b), L3 (c) and L4 (d) lines for the
 612 RW02 site. The direction of the line and the position of the first electrode are shown in Fig. 4.



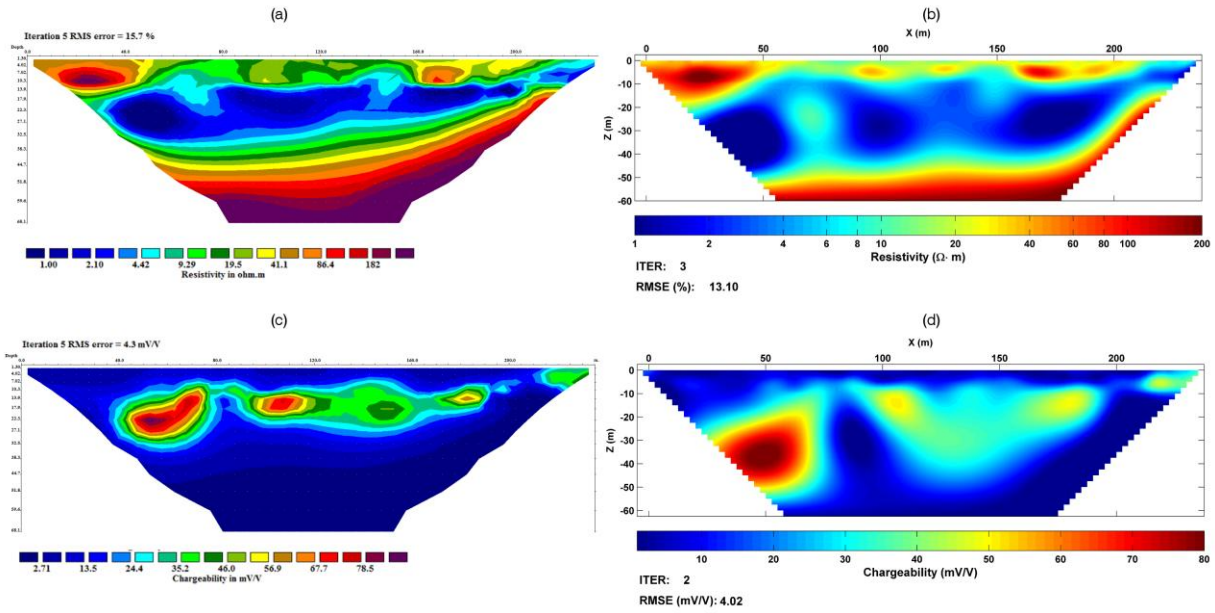
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614 Figure 8. Chargeability inverted models of ERT/IP L1 (a), L2 (b) , L3 (c) and L4 (d) lines for the
 615 RW02 site. The direction of the line and the position of the first electrode are shown in Fig. 4.



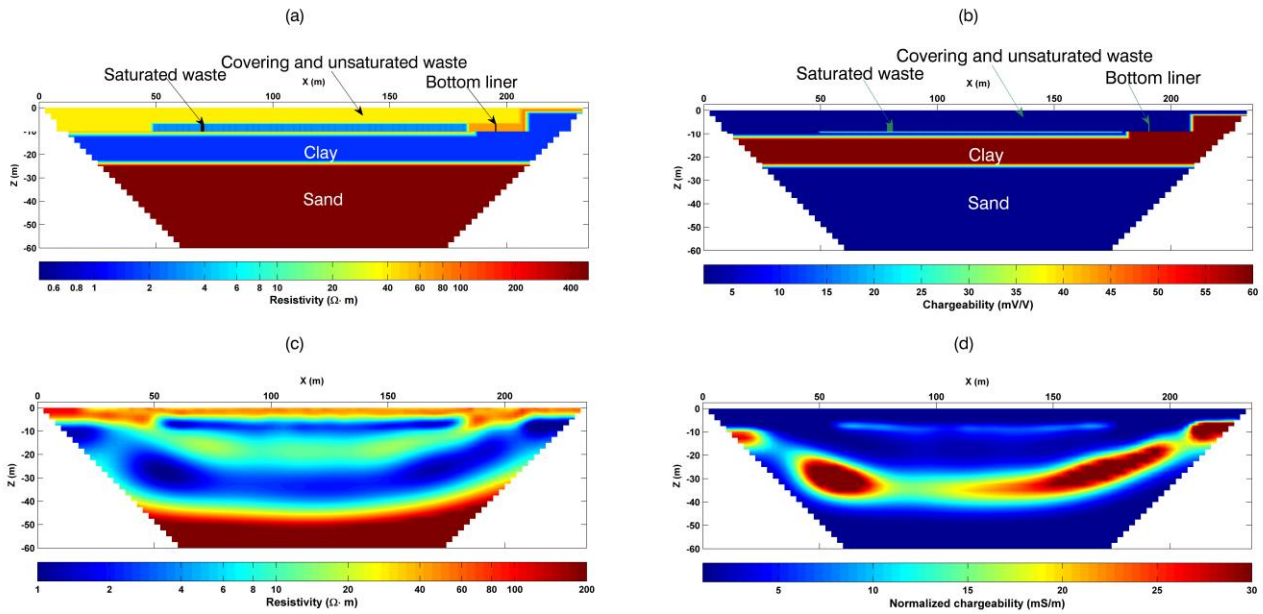
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617 Figure 9. Normalized chargeability models of L1 (a), L2 (b) , L3 (c) and L4 (d) lines for the RW02
618 site.



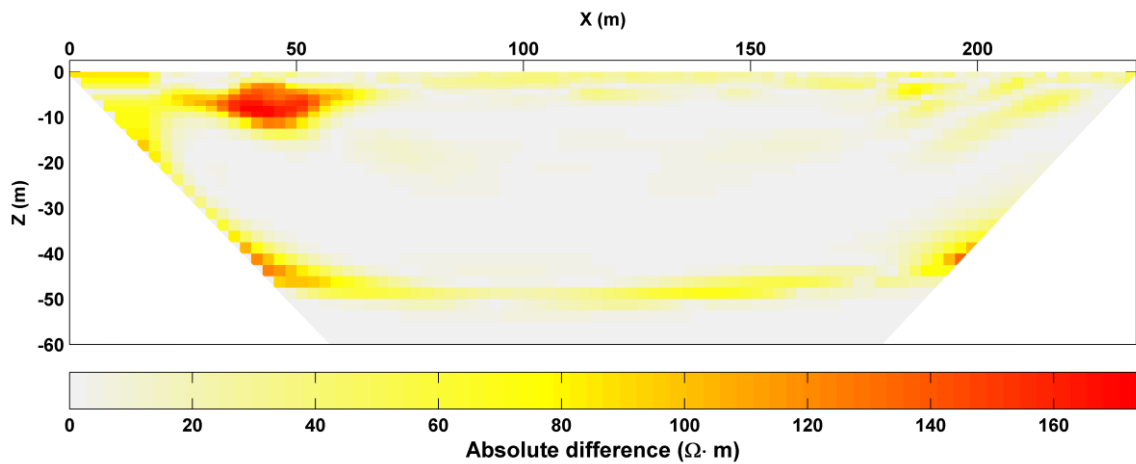
619

620 Figure 10. Resistivity and chargeability inverted models of L3 line for the RW02 using a standard
621 inversion procedure, performed with RES2DINV (a, c) and VEMI (b, d).



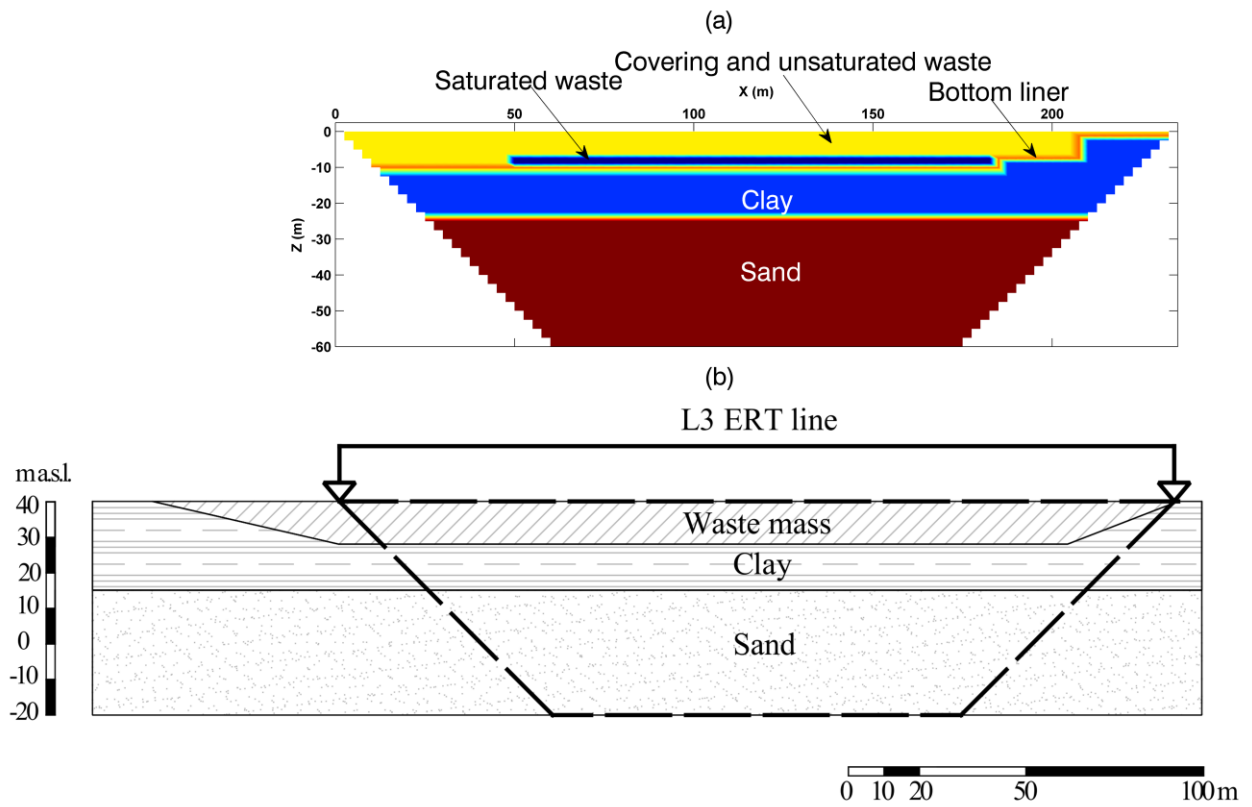
622

623 Figure 11. Landfill model reconstructed using the procedure described in Fig. 2 for the L3 line of
 624 RW02. Final landfill model for resistivity (a) and chargeability (b); inverted model at last iteration
 625 from synthetic data for resistivity (c) and chargeability (d) to be compared with the inverted model
 626 from field data shown in Fig. 7c and 9c.



627

628 Figure 12. Absolute differences between inverted models from synthetic and field data for the L3
 629 line of RW02.



630

631 Figure 13. Comparison between the landfill model obtained for the L3 line (a) and the A-A' section
 632 from the construction plans indicated in Fig. 4 (b).

633