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1 Investigation of pepsin in tears of children with laryngopharyngeal reflux disease

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1 Abstract

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3 Objectives: Numerous investigations postulated that Laryngopharyngeal reflux (LPR) is implicated in the
4 pathogenesis of various upper airway inflammatory diseases as sinusitis or dacryostenosis.

5 The presence of pepsin in tears might be confirmed the presuntive hypothesis of the arrival in the
6 nasolacrimal ducts and precorneal tears film through the laryngopharyngeal reflux of either gastric acid or
7 stomach secretions (pepsin) with inflammatory potentialities.

8 The aim of this preliminary study was to identify the presence or absence of pepsin in the tears collected
9 from children with a high suspicion of LPR who underwent 24-h pH (MII-pH) monitoring to confirm the
10 disease.

11 Methods: This study enrolled twenty patients suffering from symptoms of laryngopharyngeal reflux that
12 underwent 24-h multichannel intraluminal impedance (MII)-pH monitoring to confirm the disease. The
13 findings of the study group were compared with those of a control group of patients with negative pH
14 monitoring. The quantitative analysis of human pepsin concentration in the tear samples was performed by
15 ELISA method in both groups.

16 Results: Four children (20%) of the study group showed pepsin in the tears. All of the subjects belonging to
17 the control group were negative for its presence. No difference differences in the total number of reflux
18 episodes and the number of weakly basic reflux in the pepsin positive patients vs pepsin negative children
19 were present.

20 Conclusions: 20% of the children with diagnosed LPR showed pepsin in the tears. Our specific investigation
21 might provide information regarding sinusitis or dacryostenosis.

22

23 Key Words: Laryngopharyngeal reflux; ph-Metry; Tears; Pepsin; Gastroesophageal reflux; Pediatric;

24

25 1. Introduction

26 Laryngopharyngeal reflux disease (LPRD) is defined as the reflux of gastric and/or duodenal juices
27 (refluxate) beyond the esophagus into larynx, oropharynx, and/or nasopharynx. Although it has been
28 initially considered an extension of gastroesophageal reflux disease (GERD), recently pediatric
29 laryngopharyngeal reflux (LPR) tends to be identified as a unique and distinct disease process[1-4].

1 Several studies have demonstrated the presence of pepsin and other noxious reflux products, such as bile
2 acids, in middle ear effusion, supporting the existence of a relationship between gastroesophageal reflux
3 (GER) or LPR and otitis media with effusion (OME)[4-15]. This finding implies that these substances are
4 able to reach the middle ear via the Eustachian tube, passing through several anatomical structures
5 (larynx, pharynx and rhinopharynx) after their exit from the stomach[4,10,15-19].
6 Magliulo et al. in 2013 hypothesized that GERD contributes to dacryostenosis and subsequent primary
7 acquired nasolacrimal duct obstruction as a “prime mover” and so that pepsin could be found in tears.
8 Ascending gastric acid and stomach products might be result in initial edema of the nasolacrimal ducts
9 mucosa which could progress toward chronic inflammation, fibrosis, and, ultimately in a complete
10 nasolacrimal duct obstruction.
11 The aim of this preliminary study was to identify the presence or absence of pepsin in the tears collected
12 from children with symptoms of laryngopharyngeal reflux disease who underwent 24-h pH (MII-pH)
13 monitoring to confirm the disease.

14

15 2. Material and Methods

16 This prospective study enrolled twenty children (9 males, 11 females; 1-15 years of age, average age 6.6)
17 with a diagnosis of laryngopharyngeal reflux disease between October 2013 and January 2015 at the
18 Pediatrics Department of University ‘Sapienza’ of Rome. The findings of the study group were compared
19 with those of a control group of patients consisting of twenty normal subjects (10 males and 10 females, age
20 range of 1 to 15 years) who underwent the same diagnostic protocol of the study group (Table 1).

21 Usually children with symptoms of LPRD, arriving to our Department of Pediatrics, underwent an initial
22 screening by the reflux symptom index (RSI) as developed and validated by Belafsky et al. [21]. In too
23 young children RSI evaluation was made with their parents help.

24 RSI is a self-conducted survey that includes nine questions with a maximum of 5 points for each question,
25 giving a total of 45 points[22,23]. As suggested by Belafsky et al. [21] any RSI scores above 13 were
26 considered as abnormal.

27 Children with abnormal RSI underwent Multichannel intraluminal impedance (MII) and pH monitoring to
28 confirm the LPRD.

29 24-h MII-pH monitoring was performed using an ambulatory system (Sleuth; Sandhill Scientific, Inc;
30 Highland Ranch, CO, USA). The system included a portable data logger with impedance-pH amplifiers and

1 a MII-pH catheter with an outer diameter of 2.1 mm (6.4-French), containing one pH-measuring electrode
2 and seven impedance sensors, in the form of 4-mm cylindrical ring electrodes. The MII-pH catheter was
3 introduced through the nose and fluoroscopically positioned so that the pH-measuring electrode overlay the
4 third vertebral body above the diaphragm throughout the respiratory cycle. Each participant ate a regular
5 diet and at least 4 h elapsed between each meal.

6 As no method has been clearly defined to calculate baseline impedance level throughout a 24 h tracing,
7 baseline impedance values were assessed in the most distal channel over the first stable 60-s time period
8 every 4 h. A stable period was identified when no swallowing or bolus or gas reflux was present. Baseline
9 impedance levels during each selected time period were automatically calculated by a specific function
10 (electronic ruler) of the software. Thereafter, the 4-hourly impedance baseline values obtained from the
11 complete tracing were averaged to obtain the mean distal baseline values for the entire recording.

12 The acid gastroesophageal reflux index (RI), which represents the proportion of the total time of the
13 recording for which the esophageal pH was less than 4.0, was calculated and expressed as a percentage
14 value. $RI > 7\%$ was the cut-off value considered for the diagnosis of acid gastroesophageal reflux, according
15 to [24].

16 All twenty patients enrolled in the study group reported positive detection to the 24-h pH (MII-pH) with
17 an $RI > 7$, while, all the patients of the children of the study group had values of $RI < 7$.

18 Besides the following MII-pH variables were analyzed: (1) total number of reflux episodes; (2) number of
19 acid reflux (AR) episodes; (3) number of weakly alkaline episodes (Wal).

20 All of the patients underwent the withdrawal of the tear sample using a micropipette of clear silicone tube
21 (diameter 0.3 cm, length 2cm and cut 45° degree cut) connected to a small silicone tank (diameter 0.5 cm,
22 length 2 cm) equipped with a 3.5 cm suction tube curved at 0.5 cm with a 30° degree angle. This works by
23 aspirating of tear fluid from the lacrimal lake at inner canthus of the eyelid. All of the samples were stored at
24 -20°C until being analyzed.

25 The quantitative analysis of human pepsin concentration in the tear samples was performed by ELISA method
26 (commercial pepsin ELISA kit – DRG Inc., Germany). The kit is a sandwich enzyme immunoassay for in vitro
27 quantitative measurement of pepsin in mouse serum, plasma and other biological fluids as tears.

28 Several studies have confirmed as this test is effective to the pepsin evaluation in middle ear effusion or
29 middle ear lavage fluid, however, no study reported the evaluation of human pepsin in tears by this
30 method[1-5,11-13].

1 The manufacturer claimed as positive for pepsin an ELISA test detection ranged between 1.56-100 ng/mL.
2 However in our case ELISA determination of the human pepsin concentration at 0.0, 2.5, 5, 10 and, 50
3 ng/ml was performed 10 times according to the manufacturer's instructions to determine the consistency
4 and the lower limit sensitivity of the assay. The standard curve from the average value of the 10 runs had
5 an $R^2 = 0.97$; Pepsin (human) at 2.5 ng/ml had a net spectrometer unit increase of 33.5 ± 9.5 % (mean \pm
6 SD) over the blank, which was significantly higher than that of the negative control (0.0 ng/ml pepsin
7 consisting only of buffer and reagents) with 9.3 ± 3.7 % net spectrometer unit increase ($P < 0.05$). Pepsin at
8 1.5 ng/ml or less had a similar net spectrometer unit increase over the blank as the negative control (0.0
9 ng/ml pepsin). Therefore, the empirical pepsin level differentiating positive from negative for pepsin in a
10 tear sample was set at the lower limit of the sensitivity of the assay at 2.5 ng/ml. A patient was defined as
11 pepsin-positive if one of the eye samples had pepsin above 2.5 ng/ml.

12 All patients guardians gave their written informed consent for the above mentioned tests and to enrolled
13 these patients in the study. This research was performed in accordance with the principles of the
14 Declaration of Helsinki and approved by the local ethics committee of the University "La Sapienza",
15 Rome.

16 The only descriptive statistical analysis of data was performed due to the limited number of patients in
17 both the study and control group.

18

19 3. Results

20

21 The percentage of human pepsin in the tears of the study group was estimated in 20% of cases (4 children)
22 all belonging to the group of patients with diagnosis of LPRD. Pepsin was detected in 2 patients younger
23 than <5 years and in one 6 and one 7 year-old patients. Concentration levels of pepsin equal to 3.5, 5.4, 4.0
24 and 4.2 ng/ml were respectively calculated.

25 None of the subjects belonging to the control group (negative Negative MII-pH monitoring) reported
26 presence of pepsin in the tears.

27 Despite the limited number of relevant cases a different pepsin detection about the two groups was evident
28 (Fig. 1). Table 2 summarizes the total number of reflux episodes vs the presence of pepsin in the tears. No
29 difference emerged in two groups because the patients with tears positive to pepsin showed 330 total reflux

1 episodes (mean value 82.5) vs 1383 total reflux episodes (mean value 86.4) of the group with negative
2 pepsin in tears;

3 The relationship between the number of acid reflux (AR) episodes and pepsin is described in Table 3.

4 Patients with pepsin positivity showed a lower mean number of acid reflux compared with pepsin negative
5 group (27.5 vs 62).

6 Table 4 reported the correlation between the number of weakly alkaline reflux episodes and pepsin. Also in
7 this case, no difference emerged between the two groups

8

9 4. Discussion

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11 Numerous published investigations have postulated that LPR and GER are implicated in the pathogenesis
12 of various upper airway inflammatory diseases involving the trachea, larynx, pharynx, paranasal sinuses
13 and middle ear[1-5]. Besides several authors affirmed that while laryngoscopy and pH-monitoring do not
14 have strong predictive value, measurement of pepsin in patients with laryngopharyngeal reflux (LPR) can
15 be considered a potentially reliable diagnostic marker for the correlation of LPRD with oropharynx and
16 nasopharynx diseases[6,11,13,16].

17 This is also true when the organ is particularly distant from the initial acid or basic reflux such as the
18 middle ear. In 2002, Tasker et al[8] first observed that the majority of their samples taken from children
19 affected by OME contained pepsin/pepsinogen protein even if only 29% were active. Many studies
20 replicated these findings in an effort to establish a definite association between LPR and GER and OME[5-
21 15]. Obviously, their clinical impact was devoted to starting an antireflux therapy with a high level of
22 certainty to avoid unnecessary treatment[15-18]. Although no definitive conclusions have yet been drawn, it
23 should be emphasized that the increasing amount of data seems to provide a promising future in this
24 direction.

25 In any case, it is clear that the route of the reflux to reach the middle ear is particularly circuitous. This
26 consideration a fortiori may account for the potential presence of pepsin in the tears[20]. To reach the pre-
27 corneal film, pepsin has to pass through the nasal fossa, the inferior meatus and the nasolacrimal duct via a
28 mechanism similar to that involved in the pathogenesis of OME[10-15,18]. Remember that the function of
29 the nasolacrimal duct is to collect and drain the tear film into the nasal cavity at the inferior nasal meatus
30 in association with the lacrimal puncta, lacrimal canaliculi and lacrimal sac[25-31]. This physiological

1 result is assured by its anatomic structure, consisting of many folds of nasal mucosa (the “so-called” valves
2 of Hasner, Foltz or Bochdaalek, Rosenmüller or Huschke, Beraud or Krause, Taillefer and Cruveilhier or
3 Bianchi) devoted to prevent air and mucous from entering the nasolacrimal drainage system. However,
4 since this system of “valves” is not completely competent, air and some secretions may reach the pre-
5 corneal tears film. In fact, in almost 5% of the subjects the Hasner valve appeared incompetent[32].
6 Moreover, Groell et al[33] demonstrated the presence of air inside the sac and nasolacrimal duct in
7 approximately 29.3% of healthy patients using high-resolution computed tomography.

8 Pepsin is one of the principal protein-degrading, or proteolytic, enzymes in the digestive system, formed in
9 the stomach after conversion of pepsinogen I. Our investigation was specifically designed to measure pepsin
10 presence and concentration in the tears. This was based upon the presumptive hypothesis of its arrival in
11 the pre-corneal tears film through the nasolacrimal duct after reaching the nasopharynx through the reflux
12 attacks [13]. Our empirical normative data of the pepsin level differentiating positive from negative in a
13 tear sample was set at the lower limit of the sensitivity of the assay at 2.5 ng/ml. In our study four children
14 (20%) with positive diagnosis of LPR showed pepsin above this limit. To confirm the association between
15 LPR and pepsin in tears should be noted that all of the subjects belonging to the control group (negative
16 Negative MII-pH monitoring) were negative for pepsin presence in tears. Obviously should be considered
17 that this data merits further study in larger series of patients.

18 The level of pepsin in the four positive children appears particularly low (mean value 4.2 ng/ml) and, likely,
19 insufficient for determining inflammatory activity in the nasolacrimal duct and in the precorneal
20 conjunctival cavity.

21 Although these data we can't exclude a potential endogen pepsin production or its coming from plasma.
22 However it is reasonable to consider that in case of local production or plasma migration it is difficult that
23 the pepsin values exceed the concentration of 2.5 ng/ml as reported in or four patient with diagnosis of
24 LPR.

25 Despite the presence of pepsin in the tears correlates positively with the LPRD, no correlation in terms of
26 both total number and weakly alkaline reflux episodes appeared in our clinical study. However patients
27 with pepsin positivity showed a lower mean number of acid reflux compared with pepsin negative group
28 (27.5 vs 62).

29 To our knowledge, this is the first study that confirms the presence of pepsin in the tears and the
30 association with LPR. The evaluation of pepsin in children with laryngopharyngeal reflux disease might

1 provide information regarding chronic inflammation of nasopharynx and nasolacrimal ducts which might
2 lead versus chronic sinusitis, dacryostenosis or OME.

3 This study demonstrated that pepsin can be detected in tears. It might mean that reflux probably can
4 reach nasolacrimal duct and tears and in some patients with simultaneous pathology of lacrimal duct
5 draining function might be cofactor of inflammatory changes. However our observation of the ascending
6 gastric enzymes through LPR merits more in-depth research to assess its incidence and its potential clinical
7 impact. Further investigation is underway to evaluate this specific topic in order attempt to enhance the
8 sensitivity and specificity of this assay.

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12 5. Conclusion

- 13 1. 20% of the children with diagnosed LPRD showed pepsin in the tears.
- 14 2. All of the subjects belonging to the control group were negative for its presence.
- 15 3. Pepsin in the tears does not correlate with total number and types of reflux episodes.

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Conflicts of interest: none

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1 Table 1: Patients' characteristics and ELISA pepsin evaluation in tears

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| | N. of patients | Sex | Average age (years) | Positive pepsin in tears |
|---|----------------|----------------------|---------------------|--------------------------|
| LPRD group (Positive MII-PH monitoring) | 20 | 11 Male 9 Female | 6.6 | 4 (20%) |
| Control group (Negative MII-pH monitoring) | 20 | 10 Male 10 Female | 6.9 | 0 |

3 Laryngopharyngeal reflux disease (LPRD); Multichannel intraluminal impedance (MII)

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6 Table 2: Incidence with respect to the total number of reflux episodes.

7

| Patients | Total number of reflux episodes | Mean value |
|-----------------|---------------------------------|------------|
| Pepsin + (n=4) | 330 | 82.5 |
| Pepsin - (n=16) | 1383 | 86.4 |

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16 Table 3: Incidence with respect to the number of acid reflux episodes.

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| Patients | Number of acid reflux episodes | Mean value |
|-----------------|--------------------------------|------------|
| Pepsin + (n=4) | 110 | 27.5 |
| Pepsin - (n=16) | 992 | 62 |

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Table 4: Incidence with respect to the number of weakly alkaline reflux episodes.

6

| Patients | Number of weakly alkaline reflux | Mean value |
|-----------------|----------------------------------|------------|
| Pepsin + (n=4) | 6 | 1.5 |
| Pepsin - (n=16) | 52 | 3.2 |

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11 **FIGURE CAPTIONS**

12

13 Fig. 1: Concentration of pepsin in tears (ng/ml) in LPRD and control groups.

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