# Risk for Colorectal Adenomas Among Patients with Pancreatic Intraductal Papillary Mucinous Neoplasms: a Prospective Case-Control Study

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Received: 30.08.2015 Accepted: 14.10.2015

## ABSTRACT

**Background & Aims**: It has been reported that patients with intraductal papillary mucinous neoplasms of the pancreas are at an increased risk of colorectal cancer. The aim of our study was to investigate whether patients with intraductal papillary mucinous neoplasms are at a higher risk of colorectal adenomas with respect to the general population, as this condition represents the precursor of sporadic colorectal cancer.

**Methods**: A case–control study was conducted at the Catholic University and University Sapienza, Rome, Italy. The cases were patients with intraductal papillary mucinous neoplasms without history of colorectal cancer, who had underwent screening colonoscopy for the first time. The controls were individuals who had underwent first time colonoscopy for screening or evaluation of non-specific abdominal symptoms. Chi-square and Fisher tests were used to compare the distributions of categorical variables.

**Results**: We enrolled 122 cases and 246 controls. Colorectal polyps were found in 52 cases (42.6%) and 79 controls (32.1%) (p<0.05). In 29 cases (23.8%) and 57 controls (23.2%) histological examination disclosed adenomatous polyps (p=0.90). There was no difference between the groups in relation to the presence of polyps with low-grade (19.7% vs. 19.8%, p=0.98) and high-grade dysplasia (4.9% vs. 4.5%, p=0.85). **Conclusion**: Patients with intraductal papillary mucinous neoplasms of the pancreas are not at an increased

risk for the development of adenomatous colorectal polyps.

Key words: IPMN - colorectal cancer - colonoscopy - adenomatous polyps.

Abbreviations: BD-IPMN: branch duct intraductal papillary mucinous neoplasm; CRC: colorectal cancer; FAP: familiar adenomatous polyposis; FNA: fine needle aspiration; FOBT: fecal occult blood test; HNPCC: hereditary non-polyposis colorectal cancer; IPMN: intraductal papillary mucinous neoplasm; M-IPMN: mixed intraductal papillary mucinous neoplasm; MD-IPMNs: main duct intraductal papillary mucinous neoplasm; PDAC: pancreatic ductal adenocarcinoma; S-MRCP: magnetic resonance cholangiopancreatography with secretin stimulation.

#### **INTRODUCTION**

Intraductal papillary mucinous neoplasms (IPMNs) of the pancreas have been previously reported to be associated with an increased risk of developing extra-pancreatic tumours [1-13]. Though some authors have reported an increased risk for gastric, lung, breast, kidney and thyroid cancers [13], the most frequent extra-pancreatic tumour consistently found in these patients has been colorectal cancer (CRC) [3, 6, 8, 9, 11, 13]. Colorectal cancer represents the third most common cancer worldwide with more than 1.3 million new cases (9.7% of total) and almost 700,000 deaths every year [14]. Almost 55% of the cases occur in more developed regions [14], where the prognosis is relatively favourable with a 5-year survival rate reaching 65% in USA, Canada, Australia and several European countries [15, 16]. On the other hand, the incidence is increasing in countries or areas with poor healthcare resources [17], where a 5-year survival is no more than 50% [18]. Identification of all risk factors associated with CRC becomes of paramount importance to apply properly designed screening programs to those at higher risk than the general population in order to detect precancerous lesions or cancers at an early and more curable stage.

The mechanism(s) behind the association between IPMN and CRC is still unknown. It is well known that almost all sporadic CRCs develop slowly over several years through the adenoma-carcinoma carcinogenetic sequence [19]. Thus, it is possible to hypothesize that the increased risk of colorectal CRC in IPMN patients may be related to an increased propensity to develop colorectal adenomas, which represent the precursors of sporadic CRC. Some authors have previously reported an increased prevalence of colorectal polyps in patients with IPMN, based on which they proposed to consider screening colonoscopy for all patients with IPMN [8]. This inference, however, has been based only on the result of this single study that was retrospective and based on a chart review, while no data coming from studies specifically designed to prospectively assess the rate of colonic polyps in IPMN patients are available.

To answer this important question, we conducted a twocentre case-control study aimed at evaluating the prevalence of colorectal adenomas in consecutively enrolled Italian patients with IPMN undergoing first time screening colonoscopy as compared to matched controls.

## MATERIAL AND METHODS

A two centre prospective case-control study was conducted at the Digestive Endoscopy Unit of the Catholic University and the Digestive and Liver Disease Unit of the University Sapienza, Rome, Italy between January 2012 and December 2013. Detailed methodology has been previously described [13, 20]. Cases were prevalent IPMN who had underwent screening colonoscopy for the first time in their life. The criteria for the IPMN diagnosis were previously described [21]. The diagnosis of IPMN was considered as certain in the presence of either histological diagnosis obtained by endoscopic ultrasound (EUS) or surgical specimen, or cytologic diagnosis obtained by EUS. A highly probable diagnosis of IPMN was based on the presence of one or several main pancreatic duct and/or branch duct dilatation(s) and/or pancreatic cystic lesions communicating with pancreatic ducts at computed tomography (CT), magnetic resonance cholangiopancreatography with secretin stimulation (S-MRCP), endoscopic retrograde cholangiopancreatography (ERCP) or EUS. Patients were excluded if they had a history of CRC, if they had undergone a previous colonoscopy independently on the presence or absence of CRC and/ or colonic polyps, and if they had cystic lesions other than IPMN. Controls matched to each IPMN case (2:1) by gender and age (±5 years) were enrolled alongside among individuals who had underwent their first colonoscopy for screening or for evaluation of non-specific abdominal symptoms at both institutions. Individuals who underwent colonoscopy because of a personal history of CRC, of familiar adenomatous polyposis (FAP) or hereditary non-polyposis colorectal cancer (HNPCC), positivity to fecal occult blood test (FOBT), iron deficiency anaemia and bright red blood per rectum were excluded. Both cases and controls were interviewed by trained physicians using a structured questionnaire and data on demographics, lifestyle habits (alcohol consumption, cigarette smoking), prior medical history, cancer family history and medication use were collected.

Cases and controls underwent screening colonoscopy and the prevalence of colon polyps and/or CRC was determined. Polyethylene glycol lavage solution was used for colon preparation. Colonoscopies were conducted by experienced endoscopists from the Digestive Endoscopy Unit of the Catholic University (A.L., F.A.) and the Digestive and Liver Disease Unit of the University Sapienza (E.D.G.) Anatomical landmarks (Bauhin valve and appendix orifice) were recognized as proof that the entire colon had been examined. Patients who were diagnosed with CRC were referred to surgical evaluation, while all detected polyps were removed whenever possible. A histopathologic examination of all removed polyps was performed and histologic type of the polyp and the degree of dysplasia were determined. All colonoscopies were performed under conscious sedation (midazolam and phentanyl e.v.) and patients were observed in the recovery unit for 1 hour after the procedure. For those with positive colonoscopy findings, surveillance was suggested after proper treatment and histopathologic evaluation, according to current guidelines [22].

For IPMN cases data on IPMN characteristics, such as type of ductal involvement, focality, maximal dilation of the duct and presence of nodules or solid tissue inside the cyst cavity were collected. The type of duct involvement was determined by the revision of clinical imaging studies and/or based on endoscopic ultrasound (EUS) evaluation, and classified as either main duct (MD-IPMNs), branch duct (BD-IPMNs) or mixed (M-IPMNs).

We conducted a descriptive analysis using relative frequencies and percentages to summarize the characteristics of the IPMN patients at the time of diagnosis. Chi-square and Fisher exact tests were used to compare the distribution of categorical variables between patients with IPMNs and control subjects. Additionally, as we expected that the prevalence of colorectal polyps might differ between the compared groups based on the family history of CRC, we planned a priori to stratify our data according to the 1st-degree family history of CRC. Statistical analyses were performed using Stata software (StataCorp. 2013. Stata Statistical Software: Release 13. College Station, TX: StataCorp LP).

## RESULTS

One hundred and twenty-two patients with IPMN and 244 matched controls were prospectively enrolled in the study. Demography of the cases and characteristics of the IPMN lesions are reported in Table I. Patients with IPMN were predominantly female (61.5%), with an age over 60 years in 69.6% of the cases. The large majority of the cases were branch duct (BD)-IPMN (87.7%) multifocal (67.2%) and without evidence of intra-lesional nodules (81.1%). The mean diameter of the largest lesions was  $16.7\pm7.9$ mm, while the mean dilatation of the main pancreatic duct in main duct (MD)-IPMN was  $9.0\pm5.26$ mm (Table I). EUS±FNA was performed in 80% of the IPMNs and overall they were treated conservatively with clinical follow up in 86.9% of the cases (Table I).

Table II reports demographics, lifestyle habits, previous medical history and cancer family history of IPMN cases and controls (Table II). Cases were significantly more likely to be drinkers (p<0.01) or to drink  $\geq$ 21 drinks per week (p<0.05). They also had significantly more frequently a history of chronic pancreatitis (p<0.001), diabetes mellitus (p<0.001),

Table I. Clinical features of the 122 patients with intraductal papillar	y
mucinous neoplasms of the pancreas at the time of diagnosis	

	Number	%	
Gender			
Male	47	38.5%	
Female	75	61.5%	
Age (years)			
<50	15	12.3%	
50-59	22	18.0%	
60-69	43	35.2%	
≥70	42	34.4%	
Duct involvement			
BD-IPMN	107	87.7%	
MD-IPMN	7	5.7%	
C-IPMN	8	6.6%	
Focality			
Unifocal	40	32.8%	
Multifocal	82	67.2%	
Branch duct maximum dilatation (mm) (§)	16.69	12.0-21.0	
Wirsung maximal dilatation (mm) (\$)	9.0	5.0-10	
Nodules			
No	99	81.1%	
Yes	23	18.9%	
Surgery			
No	106	86.9%	
Yes	16	13.1%	
Endosonography			
No	27	22.1%	
Yes	95	77.9%	

(\$) Median and interquartile range; IPMN, intraductal papillary

mucinous neoplasm; BD, branch duct; MD, main duct; C, combined

both insulin-dependent (p<0.001) and non-insulin dependent (p<0.05), as well as a previous history of cholecystectomy (p<0.01) (Table II). With regard to cancer family history, IPMN patients were significantly more likely to have 2nd-degree family history for any cancer (p<0.05), and 2nd-degree family history for pancreatic ductal adenocarcinoma (PDAC) (p<0.05). On the other hand, controls were significantly more likely to have a 1st-degree relative with a history of CRC (p<0.001).

In all cases and controls the entire colon was examined. Colorectal polyps were found in 52 IPMNs (42.6%) and in 79 controls (32.1%) (p<0.05) (Table II). Mean polyp diameter was  $6.1\pm5.28$ mm. In 29 cases (23.8%) and 57 controls (23.2%) histologic examination disclosed adenomatous polyps, which were multiple in 11 cases (9%) and 20 controls (8.1%) (Table II). There was no difference between the groups also in regard to presence of polyps with low-grade dysplasia or high-grade dysplasia (Table II). Three cases of CRC were detected, 2 in IPMNs (1.6%) and 1 in the controls (0.4%), but the frequency was too small to make any comparison between the groups.

As we expected that 1st-degree family history of CRC might affect the prevalence of colorectal polyps, Table III reports the data stratified according to this covariate. No difference in the prevalence of colorectal polyps or adenomatous polyps with low-grade or high-grade dysplasia between IPMN cases and controls among those with 1st-degree family history of CRC was observed. A slightly higher prevalence of colorectal polyps among cases than controls without 1st-degree family history of CRC was reported (43.3% vs. 31.4%, p=0.051) (Table III). However, when restricting the analysis to adenomatous polyps, no difference between IPMN cases and controls without 1stdegree family history of CRC was observed (Table III).

#### DISCUSSION

We conducted a case-control study in order to evaluate the prevalence of colorectal adenomas in prospectively enrolled Italian patients with IPMN undergoing first time screening colonoscopy. Compared to a matched control population of individuals who underwent colonoscopy for screening or for evaluation of non-specific abdominal symptoms, no increased prevalence of adenomatous polyps was found in IPMN patients. This result did not show an increased propensity to develop colorectal adenomas among IPMN patients.

Colorectal carcinoma has been the most frequent extrapancreatic tumour consistently found in IPMN patients [3, 6, 13]. Eguchi et al. [3] reported that IPMN is a strong independent risk factor for preoperative CRC. They found that CRC occurred 5.37 times more frequently in IPMN patients than in the general population [3]. Rial et al. [6] reported 1.66 times higher rate of CRC in patients with invasive IPMN as compared to US general population and our study group previously reported that IPMN patients in Italy harbour CRC 2.26 times more frequently than expected [13]. The mechanism(s) for the association between IPMN and CRC has not been elucidated so far. One important point has been raised by Reid-Lombardo et al. [8], who performed a large case-control retrospective study at the Mayo Clinic. They found adenomatous colorectal polyps to be present 2 and 1.4 times more frequently in patients with IPMN as compared to patients with pancreatic adenocarcinoma and to the general population, respectively. These findings prompted the authors to advocate screening colonoscopy for all patients with IPMN.

The adenoma-carcinoma sequence is a well-known carcinogenetic mechanism that is responsible for almost all sporadic CRCs [19]. Colorectal cancer develops from the progressive transformation of adenomatous polyps [19] through a series of molecular events including APC gene mutation [23], as well as KRAS and TP53 inactivation [24]. Based on this very well-known mechanism and the results of the study by Reid-Lombardo et al. [8], we performed a study to test the hypothesis that the risk of CRC development in patients with IPMN could be related to an increased propensity to harbour colorectal adenomas.

Contrary to the study by Reid-Lombardo et al. [8] that was based on a retrospective chart review with possible bias toward an increased prevalence of adenomatous polyps in cases because of an increased probability of undergoing diagnostic tests including colonoscopy, we evaluated the prevalence of colorectal polyps among a large cohort of consecutively enrolled patients with IPMN undergoing first time colonoscopy. As compared with a matched control population also undergoing

	Cases (n=122)		Controls (n=246)		p value
Male sex	47	38.5%	94	38.2%	0.95
Age	63,4	±11.3	62,3	±10.9	0.25
Cigarette smoking					
Ever	64	53.8%	115	46.7%	0.21
≥20 pack-years	33	28.2%	48	19.5%	0.06
Alcohol					
Ever	53	44.5%	75	30.5%	< 0.01
≥21 drinks per week	10	9.0%	7	2.9%	< 0.05
Medical history					
History of chronic pancreatitis	8	6.7%	0	0.0%	< 0.001
History of diabetes	23	19.3%	18	7.3%	< 0.001
History of insulin-dependent diabetes	6	5.0%	0	0.0%	< 0.001
History of noninsulin-dependent diabetes	17	14.3%	16	6.7%	< 0.05
History of peptic ulcer	4	3.3%	3	1.2%	0.23
History of cholecystectomy	20	16.7%	17	6.9%	< 0.01
Cancer family history					
Any cancer (1st degree)	70	57.9%	134	57.8%	0.99
Any cancer (2nd degree)	22	18.2%	24	10.3%	< 0.05
PDAC (1st degree)	12	9.9%	13	5.3%	0.10
PDAC (2nd degree)	4	3.3%	0	0.0%	< 0.05
Common sites, first-degree family history					
Colorectal cancer	17	14.1%	93	37.8%	< 0.00
Gastric cancer	9	7.4%	12	4.9%	0.32
Breast cancer	6	5.0%	21	8.5%	0.22
Lung cancer	12	9.9%	18	7.3%	0.39
Uterine cancer	3	2.5%	4	1.6%	0.69
Melanoma	3	2.5%	3	1.2%	0.40
Hepatocellular carcinoma	6	5.0%	5	2.0%	0.19
Use of drugs					
Aspirin	16	13.2%	34	14.3%	0.78
Statins	20	16.5%	49	20.6%	0.36
Insulin	7	5.8%	0	0.0%	< 0.00
Colon polyps	52	42.6%	79	32.1%	< 0.05
Adenomatous polyps	29	23.8%	57	23.2%	0.9
Multiple adenomatous polyps	11	9.0%	20	8.1%	0.77
Low grade dysplasia	24	19.7%	48	19.5%	0.98
High grade dysplasia	6	4.9%	11	4.5%	0.85

**Table II.** Characteristics of patients with intraductal papillary mucinous neoplasms of the pancreas and controls by selected variables of family history chronic conditions, medication and lifestyles, and polyp findings according to colonoscopy

Data are mean (SD) for continuous variables or number of individuals (%) for discrete variables; PDAC, pancreatic ductal adenocarcinoma

first time colonoscopy for screening purposes or to evaluate non-specific symptoms, we found a higher prevalence of colorectal polyps among IPMN patients. When considering adenomatous polyps only, however, this difference was no longer significant. Furthermore, these results were confirmed after stratifying according to the 1st-degree family history of CRC, which might affect the presence of colorectal adenomas. Therefore our study did not confirm the findings of Reid-Lombardo et al. [8]. However, when interpreting our results, it should be kept in mind that the above mentioned study included more MD-IPMNs and C-IPMNs. It could be a case that patients harbouring these more invasive IPMN types are more prone to develop colorectal adenomas.

Overall, the results of our study suggest that factors other than an increased propensity of IPMN patients to harbour colorectal adenomas could be responsible for the increased occurrence of CRC in these patients. It could be hypothesised that the mechanism for an increased risk of CRC in IPMN

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1st-degree family history of CRC	Cases	s (n=104)	Controls (n=153)		p-value
Colon polyps	45	43.4%	48	31.4%	0.051
Adenomatous polyps	26	25.0%	36	23.5%	0.79
Multiple adenomatous polyps	10	9.6%	13	8.5%	0.76
Low grade dysplasia	21	20.2%	30	19.6%	0.90
High grade dysplasia	8	7.7%	8	5.2%	0.88
No 1st-degree family history of CRC	Cases (n=17)		Controls (n=93)		p-value
Colon polyps	6	35.3%	31	33.3%	0.85
Adenomatous polyps	3	17.7%	21	22.6%	0.65
Multiple adenomatous polyps	1	5.9%	7	7.5%	0.81
Low grade dysplasia	3	17.6%	18	19.3%	0.85
High grade dysplasia	1	5.9%	3	3.2%	0.59

**Table III.** Prevalence of colon polyps in intraductal papillary mucinous neoplasms of the pancreas cases and controls according to family history of colorectal cancer

Data are number of individuals (%); CRC, colorectal cancer

might be an accelerated adenomas formation, as seen in patients with HNPCC. However, two prospective studies have been published recently [25, 26]. They reported no increased risk among IPMN patients for all incident extrapancreatic malignancies, including CRC. As these are so far the largest available prospective data on IPMN and CRC, it could be that the reason we could not detect any increased risk for adenomatous polyps in IPMN patients depicts a lack of significant association between IPMN and CRC.

We observed several risk factors associated with IPMN. History of diabetes, use of insulin and history of chronic pancreatitis has been previously reported to be associated with IPMN [20]. We found an association between cholecystectomy and IPMN, which has never been reported before and the meaning of which appears unclear.

We acknowledge that our study has some limitations. It included a relatively limited number of IPMN cases, and because of the small number of CRCs detected, it was unable to detect a difference in the CRC occurrence as compared to matched controls. However, the study was designed to assess the prevalence of adenomas and not of CRC in the IPMN group. Furthermore, a significantly higher rate of chronic pancreatitis and heavy drinking in the IPMN group suggests misclassification indicating that some of the cystic lesions may be pseudocysts rather than IPMNs. However, this is the first study assessing the prevalence of colorectal adenomas in IPMN patients at a first time colonoscopy. Although the results reported were against our prior hypothesis of a higher prevalence of colorectal adenomas among IPMN patients than in the control population, our study highlighted the need to further explore the issue of association between IPMN and CRC.

## CONCLUSION

Patients wiht IPMN are not at an increased risk for development of adenomatous colorectal polyps. Further research is required in order to assess if there is actually a real association between IPMN and CRC.

Conflicts of interest: None declared.

Authors' contribution: G.C., A.L., G.D.F. and G.C.S. (Guido Costamagna) designed the study. G.V. and S.S. collected the data and interviewed the patients. F.A., A.L. and E.D.G. conducted colonoscopies. E.L. conducted statistical analysis. N.P. drafted the manuscript. G.C., A.L., S.B. and M.B. revised the manuscript.

**Acknowledgement**: The work of N.P. was supported by the ERAWEB project, funded with the support of the European Community.

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