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Changes in cerebellar output abnormally modulates cortical myoclonus sensorimotor hyperexcitability

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7 Abstract

Cortical myoclonus is produced by abnormal neuronal discharges within the sensorimotor 8 cortex, as demonstrated by electrophysiology. Our hypothesis is that the loss of cerebellar 9 inhibitory control over the motor cortex, via cerebello-thalamo-cortical connections, could 10 induce the increased sensorimotor cortical excitability that eventually causes cortical 11 myoclonus. To explore this hypothesis, in the present study we applied anodal transcranial 12 direct current stimulation over the cerebellum of patients affected by cortical myoclonus and 13 healthy controls and assessed its effect on sensorimotor cortex excitability. We expected that 14 anodal cerebellar transcranial direct current stimulation would increase the inhibitory 15 cerebellar drive to the motor cortex and therefore reduce the sensorimotor cortex 16 hyperexcitability observed in cortical myoclonus. 17

18 Ten patients affected by cortical myoclonus of various aetiology and 10 aged-matched 19 healthy controls were included in the study. All participants underwent somatosensory 20 evoked potentials, long-latency reflexes, and short-interval intracortical inhibition recording 21 at baseline and immediately after 20 min session of cerebellar anodal transcranial direct 22 current stimulation. In patients, myoclonus was recorded by the means of surface 23 electromyography before and after the cerebellar stimulation.

Anodal cerebellar transcranial direct current stimulation did not change the above variables in healthy controls, while it significantly increased the amplitude of somatosensory evoked potential cortical components, long-latency reflexes and decreased short-interval intracortical inhibition in patients; alongside, a trend towards worsening of the myoclonus after the cerebellar stimulation was observed. Interestingly, when dividing patients in those with and without giant somatosensory evoked potentials, the increment of the somatosensory evoked
 potential cortical components was observed mainly in those with giant potentials.

Our data showed that anodal cerebellar transcranial direct current stimulation facilitates, and does not inhibit, sensorimotor cortex excitability in cortical myoclonus syndromes. This paradoxical response might be due to an abnormal homeostatic plasticity within the sensorimotor cortex, driven by dysfunctional cerebello-thalamo-cortical input to the motor cortex. We suggest that the cerebellum is implicated in the pathophysiology of cortical myoclonus and that these results could open the way to new forms of treatment or treatment targets.

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- 26 **Running title:** Cerebellar tDCS in cortical myoclonus
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1 Abbreviations: ac-tDCS = anodal cerebellar-transcranial direct current stimulation, AMT = 2 active motor threshold, APB = abductor pollicis brevis, CM = cortical myoclonus, CS = 3 conditioning stimulus, CTC = cerebello-thalamo-cortical, FDI = first dorsal interosseous, HC 4 = healthy controls, JLBA = jerk-locked back averaging, LLR = long-latency reflexes, LTD = long-term depression, LTP = long-term potentiation, M1 = primary motor cortex, MCV = 5 maximum voluntary contraction, MEP = motor evoked potentials, QPS = quadripulse 6 7 transcranial magnetic stimulation, RMS = root mean square, SD = standard deviations, SEP = somatosensory evoked potentials, SICI = short-interval intracortical inhibition, TMS = 8 transcranial magnetic stimulation, TS = test stimuli, UMRS = Unified Myoclonus Rating 9 Scale 10

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12 Introduction

Cortical myoclonus (CM) is a jerky involuntary movement produced either by abrupt muscle 13 contraction (positive myoclonus) or sudden cessation of ongoing muscular activity (negative 14 15 myoclonus)¹. CM is produced by abnormal neuronal discharges within the sensorimotor cortex, as demonstrated by electrophysiology ²⁻⁴. The distinctive electrophysiological markers 16 17 that differentiate CM from subcortical myoclonus include electroencephalographic (EEG) discharges time-locked to individual myoclonic jerks detected with jerk-locked back 18 averaging (JLBA), giant somatosensory evoked potentials (SEP) and enhanced long-latency 19 reflex type I (LLR-I), commonly referred to as C-reflex. These features suggest that 20 hyperexcitability of the sensorimotor cortex is the pathophysiological hallmark of CM, as 21 supported also by transcranial magnetic stimulation (TMS) studies. Reduced short-interval 22 23 intracortical inhibition (SICI) is a common finding in CM syndromes ^{5,6}, but reduced interhemispheric inhibition and increased intracortical facilitation have also been found ^{5,7-9}, 24 strengthening the notion of enhanced cortical excitability and reduced cortical inhibition in 25 CM. However, whether the sensorimotor cortex is the site of primary abnormality or its 26 hyperexcitability is due to abnormal input into this cortical area, is still not known. 27

CM manifestations are diverse and form a continuum from reflex myoclonus to myoclonic epilepsy, including spontaneous myoclonus and cortical tremor ^{10,11}. These motor phenomena are all ultimately caused by a sudden and brief activation of the corticospinal tract neurons, but the mechanisms underlying the discrete clinical entities within this

spectrum (from localized reflex jerks to widespread activation of the sensorimotor cortex and 1 2 beyond) are complex and comprise a spatially limited cortical focus of increased excitability, sustained rhythmic activity of local circuits, suppression of inhibitory circuits and spread of 3 the excitatory bursts to wide areas of the cortex ¹⁰. In a recent article, we speculated on the 4 5 possible mechanisms that generate each element of the spectrum, providing evidence for the cerebellum as a possible common pathophysiological denominator ¹⁰. The involvement of the 6 cerebellum in spontaneous/reflex CM is supported by several clinical, pathological, and 7 electrophysiological evidence ¹²⁻¹⁵. 8

9 Our hypothesis is that the loss of cerebellar inhibitory control over the motor cortex, 10 via cerebello-thalamo-cortical (CTC) connections, could be the basis of increased 11 sensorimotor cortical excitability that eventually causes CM ¹⁰; however, direct evidence for 12 this is still lacking.

One way to explore this hypothesis is by modulating cerebellar output and assessing 13 its effect on sensorimotor cortex excitability. Transcranial direct current stimulation (tDCS), 14 a non-invasive brain stimulation technique consisting of direct current delivered 15 transcutaneously through surface electrodes ^{16,17}, is a powerful tool able to modulate 16 cerebellar excitability. TDCS effect is produced by creating a potential difference between 17 two electrodes, which induces a subthreshold shift of neuronal resting membrane potentials 18 towards depolarization or hyperpolarization, depending on the current flow direction relative 19 to axonal orientation ¹⁸. The general rule is that anodal tDCS increases neuronal excitability, 20 21 whereas cathodal tDCS exerts the opposite effect ¹⁹. Although the tDCS effect is not always predictable, since it also depends on the orientation of the underlying neurons and the 22 sensitivity of their compartments to exogenous current ²⁰, previous studies have shown that 23 cerebellar tDCS can modulate, in a polarity-specific fashion, the excitability of cerebellar 24 25 cortical neurons and, consequently, the output from cerebellar nuclei to the motor cortex ^{17,21,22}; in particular, it has been observed that anodal tDCS increases the inhibitory action of 26 the cerebellum to the motor cortex $^{23-26}$. 27

The aim of this study was to explore whether the sensorimotor cortex hyperexcitability observed in CM is due to decreased cerebellar output to this area. To do so, we applied anodal tDCS over the cerebellum of patients affected by spontaneous/reflex CM, with the intent to increase cerebellar cortical excitability, and assess its effect on the abnormal sensorimotor cortex excitability detected in these patients. A possible effect of the stimulation on the myoclonic jerks was also evaluated. We hypothesized that anodal 4

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5 Materials and methods

6 Subjects

myoclonus.

Ten patients affected by CM (8 female, age 44.8 ± 19.8) of various aetiology and 10 aged-7 matched (5 female, age 43 ± 12.4) healthy controls (HC) were included in the study. The 8 diagnosis of CM was supported by the clinical features (body distribution, combination of 9 positive and negative myoclonus, stimulus sensitivity) and the aetiology of the syndrome ²⁷, 10 and confirmed by the presence of at least one of the following criteria: giant SEP, positive 11 JLBA and presence of C-reflex ^{2,3}. Other electrophysiological features that were considered 12 supportive of the cortical origin of the jerks were EMG burst duration < 50ms, cranial-caudal 13 progression of the jerks, and the presence of both positive and negative myoclonus². 14 Demographic and clinical data were collected. CM clinical features were evaluated by a 15 movement disorders expert and CM severity assessed with the Unified Myoclonus Rating 16 Scale (UMRS). 17

Participants underwent surface electromyography (EMG) recording of myoclonus (in 18 patients), SEP, LLR and TMS recording at baseline (T0) and immediately after (T1) 20 min 19 session of ac-tDCS applied over the cerebellum, as detailed below. The UMRS was 20 reassessed at T1. All the tests were performed in one session, with patients off CM 21 22 medications (Table 1) for at least 12-24 hours. All patients underwent a brain MRI scan within 6 months prior to the study as part of their diagnostic work-up or follow-up. HC had 23 24 no history of neuropsychiatric disorders and were not taking drugs active at the central nervous system level at the time of the experiments. Patients were not informed about any 25 possible change (improvement/worsening) of the myoclonus due to the stimulation, to reduce 26 the possibility of placebo effect. All procedures were carried out with the adequate 27 28 understanding and written informed consent of the subjects prior to the experiments. The experiments were conducted in accordance with the Declaration of Helsinki and to 29 30 international safety guidelines. Formal approval to conduct the experiments was obtained from the local ethics committee. 31

2 Myoclonus recording

The myoclonus was recorded by means of surface EMG from the most affected muscle, 3 based on visual inspection. Since all patients had upper limb distal myoclonus, EMG was 4 recorded from an arm or hand muscle (mainly the extensor carpi radialis, flexor carpi radialis 5 or the abductor pollicis brevis (APB) muscle). EMG activity was recorded using Ag/AgCl 6 7 electrodes placed in a bipolar fashion on the belly of the selected muscle for approximately 8 60 s, with acquisition parameters similar to those used for motor evoked potentials (MEP) 9 (see below). The root mean square (RMS) of the EMG signal was calculated and values were 10 used for statistical analyses (see below).

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12 Somatosensory evoked potentials recording and analysis

SEP were recorded from two Ag/AgCl electrodes placed according to the 10-20 international 13 EEG system at CP3/4 (active) and Fz (reference electrode). Skin impedances were kept 14 below 5 k Ω . To get SEP, the median nerve (of the most affected side in patients and right 15 side in HC) was stimulated with a constant-current stimulator (DS7A, Digitimer ltd, Welwyn 16 Garden City, UK). The anode was placed on the wrist crease and the cathode 2 cm proximal. 17 Monophasic square wave pulses of 200 µs duration were delivered at 250% of the 18 somatosensory threshold at a frequency of 3 Hz \pm 10%, and 500 trials were collected in each 19 block 28,29 . Signal was recorded from -20 to 100 ms around the pulse, digitized with a 5 KHz 20 sampling frequency and band-pass filtered (3 Hz-2 KHz)²⁸. Peak-to-peak amplitude of N20-21 P25 and P25-N33 components was measured. N20, P25 and N33 latency were measured. 22 SEP were considered giant when the amplitudes of the N20-P25 and P25-N33 components 23 both exceeded normal values by 3 standard deviations (SD), obtained in a sample of 20 age-24 matched healthy subjects ³⁰⁻³². According to this criterion, patients were divided in those with 25 and without giant SEP. The percentage increase of SEP amplitude, for each SEP component, 26 was calculated as: [(SEP amplitude at T1 – SEP amplitude at T0)/ SEP amplitude at T0] x 27 100. 28

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30 Long-latency reflexes recording and analysis

LLRs were obtained by following current guidelines ³³. Median nerve stimulation was performed as for SEP, but with an intensity able to evoke a compound muscle action potential from the APB muscle at rest of about 100-200 μ V. EMG was recorded from the same muscle, with acquisition parameters similar to those used for MEP (see below), at rest in both patients and HC and at 30% of maximum voluntary contraction (MCV) in HC only. One block of 500 trials was recorded. Peak to peak amplitude of LLR I (35-46 ms), LLR II (45-58 ms), and LLR III (> 68 ms)³⁴ were measured when present.

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9 Transcranial magnetic stimulation and EMG recording and 10 analysis

EMG activity was recorded using Ag/AgCl electrodes placed over the first dorsal 11 interosseous (FDI) muscle, of the most affected hand in patients and right hand in HC, in a 12 belly-tendon fashion. EMG signal was bandpass filtered (5 Hz - 2 kHz) and digitized at 5 13 kHz. Data were stored in a laboratory computer for on-line visual display and further off-line 14 analysis (Signal software, Cambridge Electronic Design, Cambridge, UK). TMS was 15 performed using a Magstim 200 monophasic stimulator with a 70 mm figure-of-eight coil 16 (Magstim Company Limited, Whitland, UK). First, the motor hotspot was found, defined as 17 the site within the primary motor cortex (M1) where the largest MEP in the contralateral FDI 18 could be obtained. Then, we measured the active motor threshold (AMT) and the intensity 19 able to elicit MEP of approximately 1 mV (1 mV-int) amplitude from the FDI muscle, which 20 21 was later used for test stimuli (TS). AMT was defined as the lowest intensity able to evoke a MEP of at least 200 μ V in five out ten consecutive trials, during a slight tonic contraction of 22 the target muscle at approximately 10% of the MCV³⁵. SICI was tested in the hemisphere 23 contralateral to the most affected hand in patients and over the left hemisphere in HC, and 24 obtained through paired-pulse TMS, with an interstimulus interval (ISI) of 3 ms between the 25 conditioning stimulus (CS) and TS. The TS was set at 1 mV-int, while the CS was set at 70%, 26 80%, 90% and 100% AMT, to obtain a recruitment curve ^{29,36}. Fifteen TS and 15 pairs of a 27 CS followed by a TS for each CS intensity were given in a pseudo-randomised order. 28 29 Amplitude of MEP elicited by TS alone and by CS-TS pairs were measured peak-to-peak. SICI was calculated as the amplitude ratio between conditioned (CS-TS) and test stimuli. 30

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1 Transcranial direct current stimulation

TDCS was delivered via two 5×5 cm sponge electrodes soaked in saline solution. The anode was placed 3 cm lateral to the inion on the cerebellar hemisphere ipsilateral to the most affected side in patients and on the right cerebellar hemisphere in HC. The cathode was positioned on the buccinator muscle, ipsilateral to the active electrode. TDCS was given for 20 min at an intensity of 2 mA ^{21,37}. At the beginning of stimulation, the current was increased gradually from 0 to 2 mA over 30 s.

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9 Statistical analysis

Two two-way mixed ANOVA with factors "group" (patients, healthy) and "time" (T0, T1) 10 were performed to assess the effect of ac-tDCS on the amplitude of N20-P25 and P25-N33 11 components of SEP, respectively, and to assess possible baseline differences between the two 12 groups. Several dependent t-tests were used to evaluate the effect of ac-tDCS on SEP 13 14 components latencies within each group. Since the LLR were recorded in different conditions in the two groups (at rest patients and during muscle contraction in HC), we investigated the 15 effects of ac-tDCS on LLR amplitude in the two groups separately by means of two paired t-16 tests. A two-way mixed ANOVA with factors "group" (patients, healthy) and "time" (T0, T1) 17 was performed to assess the effect of ac-tDCS on test MEP and to assess possible baseline 18 differences between the two groups. A three-way mixed ANOVA with factors "group" 19 (patients, healthy), "time" (T0, T1) and "conditioning" (70%, 80%, 90%, 100% AMT) was 20 performed to assess the effect of ac-tDCS on SICI. Lastly, a paired t-test was performed to 21 assess possible differences in EMG RMS values induced by ac-tDCS in patients. Correlations 22 between variables were evaluated with the Spearman's rank correlation coefficient. 23 Normality of distribution was assessed with the Shapiro-Wilk test, while Greenhouse-Geisser 24 25 correction was used, if necessary, to correct for non-sphericity (i.e., Mauchly's test < 0.05). P values < 0.05 were considered significant. All main effects, interactions and post-hoc tests 26 were Bonferroni-corrected. 27

28

29 **Results**

All participants completed the study and reported no side effects from the cerebellar 1 stimulation. The demographic (including age at the time of the study, diagnosis, disease 2 3 duration, and UMRS value) and clinical features (including myoclonus distribution and 4 condition during which it manifested) of the patients are detailed in Table 1. At baseline, the 5 mean UMRS value was 88.5 \pm 40.1 and did not differ from the post-ac-tDCS value (90 \pm 43.8). Brain MRI disclosed cerebellar atrophy in case #5 and cerebellar hypoplasia in case 6 #8, the other MRIs did not show any cerebellar abnormality. The electrophysiological and 7 other relevant findings to support the diagnosis of CM and salient MRI results are 8 9 summarised in Table 2.

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11 Somatosensory evoked potentials

SEP were considered giant if N20-P25 amplitude was > 5.54µV and P25-N33 amplitude was 12 > 4.30 μ V. According to this criteria, 5/10 patients had giant SEP (values are shown in Table 13 3). Ac-tDCS had no effect on the latency of SEP components (p values of all tests > 0.05), 14 but significantly increased their amplitude in patients: the ANOVA on N20-P25 amplitude 15 showed a significant main effect of "group" ($F_{1,18} = 16.076$, p < 0.001), "time" ($F_{1,18} = 7.007$, 16 p = 0.016) and a significant "group × time" interaction (F_{1,18} = 6.641, p = 0.019). Post-hoc 17 comparisons showed that N20-P25 amplitude was higher in patients than in HC, both at 18 baseline (p < 0.001) and after ac-tDCS (p = 0.002). Interestingly, ac-tDCS led to significant 19 increase in N20-P25 amplitude in patients (p = 0.002), while it had no significant effect in 20 HC (p = 0.961) (Figure 1, panel A and B). These effects were confirmed by the ANOVA on 21 P25-N33 amplitude. There was a significant main effect of "group" ($F_{1,18} = 18.260$, p < 22 0.001), "time" (F_{1,18} = 6.227, p = 0.023) and a significant "group × time" interaction (F_{1,18}= 23 7.565, p = 0.013). Post-hoc comparisons showed that P25-N33 amplitude was higher in 24 patients than in HC, both at baseline (p < 0.001) and after ac-tDCS (p = 0.001). Again, ac-25 26 tDCS led to a significant increase in P25-N33 amplitude in patients (p = 0.002), while it had no significant effect in HC (p = 0.859) (Figure 1, panel A and B). 27

Considering the two groups of patients with and without giant SEP, the increment of the N20-P25 and P25-N33 amplitude at T1 was observed mainly in those with giant SEP (Figure 2, panel A): the percentage change was 9.16% (N20-P25) and 3.37% (P25-N33) in the group without giant SEP, and 61.23% (N20-P25) and 60.74% (P25-N33) in those with giant SEP. This result was confirmed by the correlation analysis, which was performed by

- means of the Spearman's correlation coefficient, and showed a significant positive correlation
 betwenn baseline amplitude of N20-P25 and P25-N33 SEPs and changes in SEP amplitude
 induced by ac-tDCS (r = 0.685, p = 0.029 and r = 0.636, p = 0.048, respectively).
- 4

5 Long-latency reflexes

6 LLR-I (C-reflex) was present in all patients at rest; patient #1 showed both LLR-I and LLR-7 III and patient #2 showed all three peaks. In HCs, none of the LLRs were present at rest; 8 however, all HCs showed LLR-I at 30% of MCV, 5/10 had LLR-II, three of which had also 9 LLR-III. The t-test on LLR-I amplitude recorded at rest in patients showed that ac-tDCS 10 induced a significant increase in amplitude compared to baseline (t(10) = -4.760, p = 0.001). 11 In healthy subject, the same analysis showed a non-significant trend towards a decrease in 12 LLR-I amplitude recorded during contraction (t(10) = 1.636, p = 0.136) (Figure 1, panel C).

We assessed LLR-I changes also in the two groups of patients with and without giant SEP. Patients without giant SEP had a lower LLR-I amplitude at baseline compared to those with giant SEP; however, they had a higher increment of amplitude after ac-tDCS compared to those with giant SEP (Figure 2, panel B).

17

18 Transcranial magnetic stimulation

The ANOVA on test MEP amplitude showed a non-significant main effect of "group" (F1.18 19 = 0.183, p = 0.674), "time" (F_{1.18} = 0.225, p = 0.225) and a non-significant "group \times time" 20 interaction (F_{1,18} = 0.225, p = 0.641). This means that there was no baseline difference in 21 22 MEP between the two groups and that the effect of ac-tDCS was not significant, both in patients and in HC. This allowed for the final analysis on SICI, performed on ratios of 23 conditioned/unconditioned MEPs. The ANOVA showed a significant main effect of "group" 24 $(F_{1,18} = 283.039, p < 0.001)$, a non-significant effect of "time" $(F_{1,18} = 1.552, p = 0.229)$, a 25 significant main effect of "conditioning" ($F_{5,90} = 7.849$, p < 0.001). The analysis also 26 disclosed significant "group \times time" (F_{1,18} = 5.659, p = 0.029), "group \times conditioning" (F_{5,90} = 27 13.267, p < 0.001) and "time × conditioning" ($F_{5,90} = 3.730$, p = 0.004) interactions, while the 28 "group \times time \times conditioning" interaction was not significant (F_{5,90} = 0.878, p = 0.5). Post 29 hoc comparisons showed that baseline SICI was less in patients compared to HC when 30 considering a conditioning stimulus strength of 80% (p = 0.011), 90% (p = 0.001) and 100% 31

The response of SICI to ac-tDCS has been also analysed in the groups of patients with and without giant SEP. As shown in Figure 2 panel C, ac-tDCS decreased SICI in patients with giant SEP to a greater extent compared to those without giant SEP. As for the SEP, there was a significant positive correlation, tested by the Spearman's correlation coefficient, between baseline SEP amplitude and the average SICI changes across all CS intensities induced by ac-tDCS (N20-P25: r = 0.818, p = 0.004; P25-N33: r = 0.733, p = 0.016).

10

11 Myoclonus recording

The t-test on EMG RMS did not disclose a significant difference between T0 and T1, although there was a trend towards an increase (36%) in EMG activity after ac-tDCS (t(10) = -1.935, p = 0.085) (Figure 3).

15

16 **Discussion**

The present results show that ac+tDCS did not change SEP, LLR and SICI in HC, while in patients with CM it significantly increased the amplitude of the SEP (both N20-P25 and P25-N33 components) and of LLR-I (C-reflex), and decreased SICI; there was also a trend towards worsening of myoclonus after ac-tDCS. These results are the opposite to our initial predictions, which had suggested that ac-tDCS should inhibit, and not facilitate, sensorimotor excitability in CM; nevertheless, they do support the underlying assumption that the cerebellum has an important role in the pathophysiology of CM.

24

25 Sensorimotor excitability in CM compared to HC

The cardinal pathophysiological marker of CM, compared also to other myoclonus subtypes, is the presence of sensorimotor hyperexcitability, that is thought to be responsible for abnormal neural discharges causing the myoclonic jerks. Sensorimotor hyperexcitability has been confirmed in our patients by the presence of increased SEP amplitude, LLR-I at rest,

1 and reduced SICI compared to HC. The presence of giant SEP and LLR-I was expected as part of the inclusion criteria ^{2,3}, while the finding of reduced SICI in CM is in line with other 2 studies ^{5,6}. SEP recording offers a non-invasive method for assessing the functions of the 3 somatosensory pathways at different levels of the nervous system. N20 is generated in the 4 5 area 3b of the primary somatosensory cortex (S1), while the generators of later components P25 and N33 seem to lie area 1, which receives input from area 3b and from later arriving 6 inputs from slower conducting afferents and more indirect pathways (such as via the 7 cerebellum) ³⁸. Half of the patients showed no giant SEPs, as defined as amplitudes of the 8 N20-P25 and P25-N33 components exceeding normal values by 3 SD. This finding is not 9 surprising, since not all patients presumed to have CM show giant cortical responses ^{2,39}, very 10 likely because a diversity of (possibly related) mechanisms that can produce CM. It is 11 possible that in some cases (and mostly in those with reflex CM) the motor output is driven 12 by an abnormal sensory cortex activity, whereas in other cases it is not. It is normally 13 assumed that SEP components are due to the activation of excitatory connections, but in CM 14 this might not always be true. For instance, in epilepsia partialis continua, a form of CM, the 15 absence/reduction of SEP P24 wave amplitude has been hypothesised to be related to an 16 impairment of the GABAergic tonic inhibition in the sensorimotor cortex, mediated by an 17 intra-cortical network rather than dysfunction of thalamo-cortical projections ⁴⁰. This 18 19 suggests that the mechanisms generating abnormal SEP in CM are complex and not necessarily related to thalamo-cortical input but possibly to other afferents ³⁸. 20

Although LLR-I have not always been reported in CM, it could be recorded in all our 21 patients but not in HCs at rest. LLRs are long-latency hand-muscle reflexes likely mediated 22 by transcortical pathways and LLR-I (C-reflex), which has a latency of 35-46 ms, is 23 24 considered a key element for the neurophysiological diagnosis of CM. In the first description of the C-reflex, it was hypothesized that the neural pathway included peripheral nerve, dorsal 25 26 funiculus of spinal cord, contralateral ventral posterior nucleus of thalamus, sensorimotor cortex, corticospinal tract, and anterior horn cell, but this conclusion has not been 27 experimentally confirmed ⁴¹; however, recent evidence also suggests that cerebellum may be 28 involved in LLR generation ⁴². Finally, SICI is a measure of motor intra-cortical inhibition 29 likely mediated by GABAa interneurons ^{43,44}. Reduced SICI is the most robust finding of 30 motor cortical disinhibition in CM of different aetiologies ⁵, as also confirmed in our group of 31 32 patients.

33

1 Ac-tDCS effect in CM and HC

2 In the present study, ac-tDCS in HC did not modify any of the variables tested, namely SEP, 3 LLR amplitude and SICI. The lack of effect on the SEP is consistent with a previous study of ac-tDCS in HC⁴⁵, and with clinical experience that cerebellar lesions do not cause evident 4 sensory deficits. Nevertheless, the cerebellum may play a role in higher level sensory 5 acquisition and discrimination ⁴⁶. There is no previous data on the effect of ac-tDCS on LLR, 6 although patient studies provide some evidence that the cerebellum modulates the gain of 7 LLR^{47,48}. One previous study confirmed the present data showing that ac-tDCS has no effect 8 on SICI ²², but another reported that ac-tDCS can reduce SICI ⁴⁹. Different methods of SICI 9 calculation could account for this discrepancy, with our results being in line with those of 10 11 Galea and colleagues ²². In conclusion, our findings do not provide evidence that ac-tDCS can change sensorimotor excitability measured by SEP, LLR amplitude and SICI in HC. 12

In contrast in CM, ac-tDCS modified SEP, LLR amplitude and SICI, with the overall 13 effect being an increase of sensorimotor excitability. Interestingly, the increment in SEP 14 amplitude was observed only in patients with giant SEPs and, similarly, there was a greater 15 reduction in SICI in the giant compared to the "normal" SEP group. These results were 16 confirmed by correlation analyses, although they should be interpreted with caution due to 17 the small sample size. However, not all the changes were limited to patients with giant SEP, 18 since there was a larger increase in amplitude of LLR-I after ac-tDCS in patients without 19 giant SEP. 20

To the best of our knowledge, there are no other reports investigating the effect of 21 22 cerebellar tDCS on SEP, LLR and SICI in CM. In a previous study, ac-tDCS was used with the intent of normalising the increased long latency stretch reflexes (LLSR) in patients with 23 24 cerebellar ataxia ⁴⁷, caused by reduced inhibition of the cerebellar cortex on the deep cerebellar nuclei (DCN) in this condition ⁵⁰. The study showed that the abnormal LLSR, with 25 a latency of 55–85ms, were reduced in amplitude by the stimulation ⁴⁷, but short latency 26 stretch reflexes (SLSR), with a latency of 20-40ms (of which the longer latency overlap with 27 28 LLR-I), were unaffected. The different responses of SLSR to ac-tDCS in patients with cerebellar ataxia and of LLR-I in patients with CM could be due to the different 29 pathophysiological processes underlying the two conditions, rather than be related only to the 30 31 involvement of the cerebellum in these reflexes' generation.

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1 Ac-tDCS facilitated sensorimotor excitability in CM patients

Ac-tDCS is thought to depolarize Purkinje cells and increase their inhibitory output to DCN. Logically, this should reduce the activity of excitatory CTC projections ⁵¹ and reduce M1 excitability ^{22,52}. This is consistent with the finding that cathodal stimulation (which reduces cerebellar inhibition of DCN) decreases the ability of cerebellar TMS to inhibit M1 (i.e., cerebellar-brain inhibition), while anodal tDCS does the opposite ^{22-26,52}. Our hypothesis was that if ac-tDCS reduces M1 excitability in HC, the same would happen in CM, and that physiologically, it would reduce the SEP and LLR-I and increase SICI.

We can only speculate on why the results were opposite to those expected. One 9 possibility is that the cerebellum in CM responds in the same way to ac-tDCS as HC, and that 10 the deficit lies upstream of cerebellum. It would indicate that in both HC and CM, ac-tDCS 11 could depolarise Purkinje cells and lead to an increase activity at Purkinje cell-DCN 12 synapses, which, if reinforced by an additional effect on the excitability of DCN dendrites ⁵³, 13 could cause a long-term potentiation (LTP)-like increase in the effectiveness of Purkinje-cell-14 DCN synapses and a long-term increase in suppression of DCN activity by ongoing Purkinje-15 cell discharge. The normal plastic response to tDCS in patients would be consistent with 16 previous reports that cortical excitability in both groups is suppressed to the same extent by a 17 different form of brain stimulation, inhibitory repetitive TMS to M1⁵⁴⁻⁵⁷. This implies that the 18 pathomechanism of myoclonus is not directly related to stimulation-dependent modulation of 19 synaptic plasticity. Consequently, if ac-tDCS had the same effect on cerebellar output in CM 20 and HC, then one explanation of our results is that the abnormally excitable M1 in CM 21 22 responds in the opposite way to removal of cerebellar facilitation. Effectively, the M1 in CM would "compensate" for the reduction in facilitation by further increasing its own 23 excitability. The paradoxical response would be an abnormal plastic response of motor cortex 24 neurons to a change in cerebellar inputs. 25

This abnormality could be described as a failure of normal homeostatic mechanisms to maintain the correct level of cortical excitability. Homeostatic plasticity refers to mechanisms that counteract the destabilizing influence of synaptic plasticity and maintain neural activity within a physiologically meaningful range; it can be triggered by tDCS, which can be used to regulate the synaptic strength ⁵⁸. We speculate that the "set point" of excitability in CM is higher than normal and it is reflected in the increased excitability of M1 at baseline. Rather than depressing M1, removal of facilitation produces a homeostatic 1 response that compensates by raising excitability still further. In support of this, it is
2 interesting to note that only enlarged SEPs were increased in size after the cerebellar
3 stimulation (Figure 2), suggesting that the aberrant response could be induced only when
4 acting on a formerly defective system. Similarly, cerebellar stimulation reduced SICI and
5 turned it into facilitation mainly in those patients with a giant SEP (Figure 2).

A similar type of paradoxical response to changes in M1 excitability has been 6 reported in a form of myoclonic epilepsy. Quadripulse transcranial magnetic stimulation 7 (QPS), which is another method that interacts with synaptic plasticity, was applied over M1 8 to investigate its effect on S1 (as assessed by SEPs) in patients affected by benign myoclonic 9 epilepsy and HC ⁵⁹. In contrast to the results in HC, in benign myoclonic epilepsy the N20-10 P25 and P25-N33 giant SEP components were potentiated by both the "potentiating" (LTP-11 like) and "depressing" (long-term depression (LTD)-like) QPS protocols ⁵⁹. However, this 12 differs from the present results in that the QPS was applied directly to M1 rather than to 13 cerebellum, which only has indirect effects on M1. 14

A second possible explanation for our results is that in CM the effect of ac-tDCS 15 differs from that in HC. It is possible that Purkinje cell-DCN synapses respond oppositely to 16 Purkinje polarisation produced by ac-tDCS: synaptic effectiveness could be suppressed rather 17 than enhanced. In the normal brain, enhanced efficacy of these inhibitory synapses reduces 18 nuclear output leading to reduced cerebellar facilitation of cortex, whereas in CM reduced 19 synaptic efficacy would enhance nuclear output and increase facilitation of M1. Although it 20 21 would be very unlikely that any pathophysiology could reverse the response of Purkinje cells to hyperpolarization and depolarisation by tDCS, it is important to remember that while 22 23 anodal stimulation depolarises the cell body, it hyperpolarises the dendrites in animals (nonmammalian) ^{60,61}. Predicting the responses of Purkinje cells in the human cerebellum *in vivo* 24 is difficult ⁶¹, but, if similar mechanisms occur, dendritic hyperpolarisation might reduce the 25 parallel fibre input that drives the rate of simple spike discharge and lower the Purkinje cells 26 discharge. In patients affected by CM there is pathological evidence of cerebellar 27 degeneration, with sparing of the dentate and significant Purkinje cell loss symmetrically 28 involving all lobules of the cerebellum ¹⁵. Whether the severe Purkinje cell loss is implicated 29 in the reduced inhibition to the dentate nuclei and ipsilateral motor cortex or responsible of 30 the abnormal response to tDCS is difficult to demonstrate in vivo, but interesting to explore. 31

32

1 Ac-tDCS effect on myoclonus

2 Although it did not reach statistical significance, inspection of the EMG records showed that 3 there was a trend towards deterioration of the myoclonus after cerebellar tDCS, which would be consistent with the increase in cortical excitability as reflected in the SEP and LLR-I. 4 5 However, evidence suggests that there may not be a direct relationship between sensorimotor 6 cortical excitability and the severity of CM. For instance, a previous study found that in the untreated state, the size of P25 and N33 components of the enlarged SEP were correlated 7 with EMG of the jerks, but this could be dissociated by the IV administration of Lisuride or 8 9 Clonazepam which reduced the severity of the myoclonic jerks but had no effect, or even increased, the amplitude of the SEPs ³⁸. Two other studies showed improvement of the 10 11 myoclonus and reduction of the SEPs amplitude after IV injection of 5-hydroxytryptophan and Perampanel ^{62,63}, but without any correlation between the changes in SEP amplitudes and 12 the clinical myoclonus scores ⁶². Thus, although there may be no direct relationship between 13 the degree of cortex excitability (as shown at least by SEP amplitude) and severity of the 14 jerks, our findings suggest that the reduced sensorimotor inhibition induced by the cerebellar 15 stimulation might negatively affect myoclonus which could be an interesting avenue for new 16 forms of treatment or treatment targets for CM. 17

No parallel changes were found in the UMRS after ac-tDCS, very likely because the 18 clinical scale is not sensitive enough to detect the increase of EMG activity observed after the 19 stimulation. We cannot exclude a possible placebo effect of ac-tDCS on the severity of 20 myoclonus, assessed by recording of continuous EMG activity, as it is known that 21 involuntary movements may be affected by a large number of variables ⁶⁴. However, this 22 phenomenon would not be obvious in the present case, as patients were not informed about 23 possible improvement or worsening of the myoclonus due to experimental procedure. The 24 only information conveyed was our intent to explore the role of the cerebellum on several 25 26 electrophysiological measures.

27

28 Limitations and conclusion

Some limitations of the study should be addressed. Firstly, our sample of patients is clinically heterogeneous, as the patients are affected by different CM syndromes. However, the variables considered are all related to the presence of CM, and not strictly dependent on the

pathophysiology underlying the condition. This is valid not only for SEP and LLR, but also 1 2 for SICI since it is normally found as reduced in CM syndromes and indicative of reduced motor inhibition. Secondly, the sample is small, but it reflects the rarity of this condition and 3 4 difficulty of studying these patients, which are often severely affected also by other 5 symptoms. Since cathodal tDCS was not applied, we cannot exclude that the unexpected facilitation of sensorimotor excitability was due to a defective polarity-specific tDCS effect. 6 The general rule of anodal being excitatory and cathodal inhibitory is probably an 7 8 oversimplification of the physiological mechanisms underlying tDCS, since numerous factors can turn facilitatory changes into inhibitory, and vice versa²¹. However, although we did not 9 measure cerebellar-brain inhibition to prove our hypothesis, many studies have demonstrated 10 that anodal tDCS increases the inhibitory action of the cerebellum to M1 ²³⁻²⁶, while the dual 11 tDCS effect over the cerebellum has also been confirmed by behavioural studies ⁵². We do 12 not believe that the paradoxical response could be attributed to cerebellar atrophy because 13 only 2 patients had reduced cerebellar volume and these patients' results were in line with the 14 trend of the whole group. Moreover, in previous studies on patients with cerebellar ataxia and 15 cerebellar atrophy, ac-tDCS was able to improve the symptoms as well as restore cerebellar-16 brain inhibition ^{25,26}, indicating that cerebellar atrophy does not restrain the ac-tDCS effect. 17 Finally, we acknowledge that drug washout could not be complete for certain medications, 18 19 and we cannot exclude that this might have influenced the results; however, we believe that this does not account for the post ac-tDCS effect, since it was performed 1-1.5 hours after the 20 baseline assessment and it is very unlikely that the drug concentration in the blood changed in 21 this short period of time to a degree that could have affected the post-tDCS responses. 22

In conclusion, our data showed that ac-tDCS facilitates, and does not inhibit, sensorimotor cortex excitability in CM syndromes. This paradoxical response might be due to an abnormal homeostatic plasticity within the sensorimotor cortex, likely driven by a dysfunction of the cerebellar input to the motor cortex, via CTC projection. The data also provide further evidence that the cerebellum is implicated in the pathophysiology of CM and could open the way to new forms of treatment or treatment targets for CM.

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30 Data availability

The data that support the findings of this study are available from the corresponding author, upon reasonable request. 1

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4

5 Competing interests

6 The authors report no competing interests.

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8 Figure legends

Figure 1 The effect of ac-tDCS on SEP, LLR and SICI in HC and patients with CM. 9 Panel A. Example of giant somatosensory evoked potentials (SEP) recorded from a patient at 10 baseline (T0, blue line) and immediately after (T1, red line) 20 min session of cerebellar 11 anodal transcranial direct current stimulation (ac-tDCS). Note that SEP were considered giant 12 when the amplitudes of the N20-P25 and P25-N33 components both exceeded normal values 13 by 3 standard deviations, obtained in a sample of 20 age-matched healthy subjects. Panel B. 14 Changes of SEP components amplitude (I: N20-P25, II: P25-N33) after ac-tDCS (T1) in 15 healthy controls (HC) and patients with cortical myoclonus (CM). Asterisks indicate 16 statistically significant comparisons (p < 0.05): N20-P25 (I) and P25-N33 (II) amplitude was 17 significantly higher in CM than in HC, both at T0 and T1; N20-P25 (I) and P25-N33 (II) 18 amplitude in CM was significantly higher at T1 compared to T0. Panel C. Changes of long-19 latency reflex type I (LLR-I) after cerebellar tDCS (T1) in HC and CM. Asterisks indicate 20 21 statistically significant comparisons (p < 0.05): LLR-I amplitude was significantly higher in CM at T1 compared to T0. Panel D. Short-interval intracortical inhibition (SICI) at different 22 23 intensities of the conditioning stimulus (70%, 80%, 90% and 100% AMT), in patients with CM and HC, at T0 and T1. Asterisks indicate statistically significant comparisons (p < 0.05): 24 25 at T0, SICI was significantly less in CM compared to HC at conditioning stimulus intensity of 80%, 90% and 100% AMT; SICI was significantly less (turning into facilitation) in CM at 26 27 T1 compared to T0 at conditioning stimulus intensity of 80%, 90% and 100% AMT. The box chart legend is the same as Panel B. Blue boxes: patients with CM, red boxes: HC. 28

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Figure 2 The effect of ac-tDCS on SEP, LLR and SICI patients with CM, with and
without giant SEP. Panel A. Changes of somatosensory evoked potentials (SEP)

1 components amplitude after cerebellar anodal transcranial direct current stimulation (actDCS) (T1) in the two groups of patients with and without giant SEP. Panel B. Changes of 3 long-latency reflex type I (LLR-I) after ac-tDCS (T1) in the two groups of patients with and 4 without giant SEP. Panel C. Short-interval intracortical inhibition (SICI) at different 5 intensities of the conditioning stimulus (70%, 80%, 90% and 100% AMT), in patients with 6 and without giant SEP at T0 and T1. Statistical analysis was not performed due to the small 7 number of patients for each group.

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9 Figure 3 The effect of ac-tDCS on CM. Panel A. Root mean square (RMS) of the electromyographic (EMG) myoclonic bursts at baseline (T0) and immediately after (T1) 20 min session of cerebellar anodal transcranial direct current stimulation (ac-tDCS). Boxes indicate 25th to 75th percentiles of data distribution. Whiskers include the whole data distribution. The dashed lines indicate the distribution mean. Panel B. Example of EMG myoclonic bursts in a patient at T0 (blue) and T1 (red).

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General clinical features								Myoclonus clinical features					
Subject	Age (y)	Diagnosis	DD (y)	Treatment	UMRS	Distal	F	M/G	Rest	Act	Stim Sens		
I	27	AMRF (MYC-SCARB2)	6	CLZ I mg	85	+	-	+	+	+	-		
2	70	CBS	8	L-Dopa 300 mg LVT 500 mg VPA 300 mg CLZ 0.5 mg	4	+	-	+	+	+	+		
3	45	EPC	15	-	36	+	+	-	-	Ŧ	-		
4	57	FCMTE	30	LVT 500 mg	135	+	-	+		+	+		
5	73	Coeliac disease	20	LVT 1000 mg VPA 400 mg	138	+	-	+	+	+	+		
6	25	BHC (PDE10A)	17	-	30	+	+	-	-	+	+		
7	34	PLAN	1.5	L-Dopa 400 mg	93	+	+	2		+	+		
8	33	Cerebellar hypoplasia	11	CLZ I mg VPA 600 mg	44	+	+		+	+	+		
9	20	FCMTE	10	CLZ I mg VPA 800 mg LVT 1000 mg	90	+	+		-	+	+		
10	64	FCMTE	35	VPA 800 mg	120	+	-	+	+	+	+		
AV ± SD	44.8 ± 19.8		15.4 ± 10.6		88.5 ± 40.1)							

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Act = action; AMRF = action myoclonus renal failure syndrome; AV = average; BHC = benign hereditary chorea; CBS = cortico-basal syndrome; CLZ = clonazepam; DD = disease duration (in years); EPC = epilepsia partialis continua; F = focal; FCMTE = familial cortical tremor myoclonus epilepsy; LVT = levetiracetam; M/G = multifocal/generalised; PLAN = PLA2G6-associated neurodegeneration; SD = standard deviation; Stim Sens = stimulus sensitive; VPA = valproic acid; UMRS = Unified Myoclonus Rating Scale; + = present; - = absent.

Subje ct	Giant SEP	LLR -I	JLBA	<50 ms	Cran io Caud al	Pos & Neg	EEG	Others
Ι	-	+	+	+	+	+	N/A	-
2	+	+	+	+	+	+	N/A	Abnormal DaTscan MRI: symmetrical pattern of frontal and parietal atrophy
3	-	+	+	+	-	+	lctal sharp activity over the left centropari etal region	
4	+	+	N/A	+	+	+	N/A	
5	-	+	+	+	+	+	N/A	MRI: volume loss of the cerebellum and supratentorial brain
6	+	+	Major EEG artefacts	+	-	-	N/A	MRI: bilateral striatal hyperintensity in T2 w
7	-	+	+	+	+	+	N/A	MRI: GP, SN, and striatum iron deposition
8	+	+	N/A	+	-	+	N/A	MRI: left cerebellar hypoplasia
9	-	+	+	+	+	-	-	
10	+	+	Major EEG artefacts	+	+	+	2-3 Hz slow waves left posterior temporo- occipital region	\mathcal{O}

1 Table 2 Summary of the electrophysiological or other diagnostic relevant findings

 EMG/NCS = electromyography/nerve conduction study; GP = globus pallidus; N/A = not available; SN = substantia nigra; Pos & Neg = positive and negative; + = present; - = absent

1 Table 3 SEP amplitudes

	T	0 (μV)	ΤΙ (μV)				
	N20-P25	P25-N33	N20-P25	P25-N33			
СМ	I		1				
I	5.11	3.01	5.16	3.21			
2ª	10.12	10.57	15.30	18.23			
3	5.03	2.87	5.78	2.10			
4	15.09	14.11	22.04	21.55			
5	3.71	3.83	2.40	2.84			
6	11.11	11.43	25.13	24.66			
7	3.78	5.07	4.70	6.11			
8	8.55	14.60	12.33	17.61			
9	4.55	4.91	6.41	6.99			
10	13.02	12.27	18.03	17.44			
AV ± SD	8.01 ± 4.15	8.27 ± 4.76	11.72 ± 8.04	12.07 ± 8.63			
НС	I			7			
I	3.01	1.33	4.12	1.34			
2	1.67	1.12	1.55	1.66			
3	4.12	2.55	4.13	2.55			
4	1.76	1.77	1.23	1.29			
5	1.34	0.55	2.35	0.65			
6	1.58	0.68	2.11	0.27			
7	2.24	0.65	1.78	1.13			
8	1.17	0.89	0.65	0.23			
9	1.92	2.93	1.62	1.66			
10	2.56	0.62	2.33	0.46			
AV ± SD	2.14 ± 0.89	1.31 ± 0.85	2.19 ± 1.14	1.12 ± 0.74			

The values in bold indicate the giant SEP. T0 refers to measures collected at baseline. T1 refers to measures collected after 20 min session of ac-tDCS. AV = average; CM = cortical myoclonus; HC = healthy controls; SD = standard deviation.





