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Risk-based optimization of concentrically braced tall timber buildings: Derivative free optimization algorithm

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Abstract

Mass timber materials are attractive alternatives for tall-timber buildings (TBs), where the need for sustainability is apparent. Innovative structural systems and design methodologies are needed to fulfil performance requirements according to modern performance based approaches. This paper deals with the design and optimization of buckling restrained braces as earthquake protection system for tall-TBs through risk-based design procedure. This procedure controls the mean annual frequency of exceedance of several limit states evaluated through a SAC-FEMA approach and using response spectrum linear analyses on linearized models for demand assessment. The features of the optimization procedure and the linearized models are shown through an application on a 20-story mass-TB located in a high seismic zone. The optimization is executed through a derivative-free algorithm, the generalized pattern Search, adopting several solution strategies whose efficiency and effectiveness for this kind of applications are shown and discussed. Finally, the results are compared and validated through the execution of non-linear analyses within a multiple stripe framework.

KEYWORDS

dissipative bracing, generalized pattern search, linearized models, risk-based design, tall timber buildings

1 INTRODUCTION

With introduction of mass-timber, for example, glue-laminated timber (GLT), cross-laminated timber (CLT), timber is increasingly utilized construction material for the development of multi-story buildings.^{1,2} As a result, tall timber buildings (TBs) have become viable and effective solution to meet sustainability objectives.^{1,3} With the demand for innovation and resiliency, the research is now oriented towards the study of tall TBs in order to provide new proposal and solutions for their design and execution.^{4–6} International codes are supporting this development, such as the Canadian National Building Code (NBC)⁷ and 2021 International Building Code (IBC)⁸ which now allow TBs up to 12 and 18 stories, respectively. One of the most challenging topics on tall TBs is their performance under strong wind and earthquake loads^{6,9} and thus the development of new protection techniques and performance-based design methodologies suitable for the case of tall TBs. Tall TBs have intrinsically higher deformability, consequently, stiffness and resistance to lateral loads are commonly

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provided by shear walls or bracing systems. These elements are often realized in concrete or steel, thus obtaining hybrid structures, moreover they are often supplemented by energy dissipation mechanisms.^{10,11} Possible application of bracing systems in tall TBs^{12–15} is an attractive alternative as it can easily mitigate the weaknesses about stiffness and energy dissipation. For these reasons, this paper deals with risk-based optimal design of bracing systems for tall TBs.

Research on optimal bracing design has been ongoing for several years.¹⁶⁻¹⁸ Despite the first optimization algorithms dating back to 1980's, the literature is continuously growing and updating. This is a result of the evolution of calculation methods and tools, available technologies and design philosophies lead to an unavoidable enhancement and development request also of well established methods. In the 1990's, Filiatrault & Cherry¹⁹ and Ciampi et al.²⁰ proposed optimal design approaches to maximize dissipated energy or minimize structural damage based on numerical analyses on singledegree of freedom (SDOF) systems. Takewaki²¹ proposed a procedure for multi-degree of freedom (MDOF) systems that allows minimization of interstory drift while constraining the maximum dissipated energy provided to the system through viscoelastic devices. Garcia²² proposed a procedure for minimizing the added damping for structures with linear behavior using a Simplified Sequential Search Algorithm (SSSA). Levy et al.²³ proposed a two-step procedure to control the maximum displacement through time-domain linear analyses and derivative algorithms. Moreschi and Singh²⁴ proposed a procedure for optimal location of metallic and friction dampers using genetic algorithms and time-domain analysis. Lavan and Dargush²⁵ proposed a multi-objective optimization for bracing to control maximum drift and accelerations with a genetic algorithm and Pareto front. Pollini et al.²⁶ developed a procedure to minimize intervention cost of retrofitting interventions through viscoelastic device, time-domain analyses and gradient-based algorithms. Braga et al.²⁷ proposed a procedure to minimize intervention costs while constraining interstory drift and using Response Spectrum Analyses (RSAs) on linearized models and gradient-based algorithms. Laguardia and Franchin²⁸ developed a risk-based procedure that minimize the mean-annual frequency (MAF) of exceedance for several Limit States (LSs) on reinforced-concrete (RC) structure retrofitted with Buckling Restrained Braces (BRBs). A gradient based algorithms was used for RSAs on linearized models. Tu et al.²⁹ proposed an optimization procedure for BRBs optimal design based on modal and pushover analyses using a multi-objective genetic algorithm. Mazdarani et al.³⁰ proposed a reliability-based procedure for the optimal placement of bracing while minimizing the weight of the structure and using Enhanced Vibrating Particles System metaheuristic algorithm. As it can be noted, on the bracing design topic there are many contributions based on time-domain analyses and traditional Engineering Demand Parameters (EDPs) acceptance criteria (e.g., drift, accelerations, etc.). Only recently, some methods have been oriented to more practice-oriented approaches (e.g., using linear or non-linear static analyses) and, most of all, such recent methods are explicitly based on risk-based or reliability-based approaches, more in line with modern PBD concepts. As far as the optimization algorithm is concerned, derivative and genetic algorithm are mostly adopted. The derivative algorithm have some drawbacks in case of non-convex function or when gradients are hard to assess, while the genetic algorithm may be highly time-consuming. Nevertheless, the optimal design procedure available are mainly developed for RC and steel buildings and only recently some applications to TBs have been published but are limited to topological optimization³¹ and SDOF approaches.³²

This paper deals with the design of BRBs as earthquake protection system for tall TBs. To do so, a risk-based optimization procedure for bracing system design, originally developed for RC buildings,²⁸ is extended to TBs. The main feature of this procedure is providing brace properties satisfying performance requirements in terms of MAF exceedance of several LSs assessed through a SAC-FEMA approach³³ and seismic demand is assessed via elastic linear analyses on linearized models. In the Laguardia and Franchin,²⁸ the procedure has been applied to existing RC mid-rise buildings and using a gradient based algorithm. In this work the procedure is extended to tall TBs, using derivative free optimization algorithm, Generalized pattern search (GPS). In addition, a model of the brace that provide a significant reduction of the number of independent variables of the problem is utilized.

According to this, this paper set the following objectives:

- Present the design procedure for the applications to tall TBs.
- Discuss the effectiveness of derivative free algorithms for the solution of the problem through a parametric analyses that highlights the impact on the solution of trigger points and iteration criteria adopted.
- Validate the effectiveness of the solutions obtained within the method with Multiple Stripe Analyses (MSAs).³⁴

The study is structured as follows. Section 2 presents the optimization problem and the adopted structural models for the case of a real tall TB case study. Section 3 provides a brief description of derivative free algorithms and GPS in particular. Section 4 presents the results of the procedure on the case study, in this section is also discussed the impact on the solution of the input parameters and convergence iteration criteria of GPS. Section 5 presents the comparison in terms

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the structure.

exposes the concluding remarks.

METHODOLOGY

Risk-based optimal design procedure

of performance between the procedure and non-linear analyses executed within a MSA framework. Finally, Section 6 This paper presents an application to TBs of a design method for bracing systems already developed for RC buildings.²⁸ This method stems from an initial proposal for design of new RC buildings.^{35,36} the idea is to perform optimization using an objective function that is related to MAFs of exceedance of multiple LSs while using an equivalent linear model for One of the peculiarities of the method lies in the use of RSAs for the assessment of seismic demand. To do so, the inelastic behavior of the structure is described by using some linear equivalent models. This issue is delivered assessing an element-wise secant stiffness based on local demand, while the energy dissipated is firstly assessed locally and then assembled globally to provide an equivalent damping ratio according to a substitute structure approach.³⁷ In order to assess the seismic demand, the linearization is done for several Hazard Levels (HLs), thus providing several equivalent linear models and damping ratios. The other relevant feature of the method lies in the MAF assessment that is done, for each LS, according to the SAC-FEMA approach³³ with a second-order approximation of hazard.³⁸ A summary flowchart of the methodology is shown in Figure 1 and briefly described in the following 1: Definition of the building properties. Input of geometry, materials, loads and connectivity. 2: Seismic Hazard definition for the chosen site: input of a collection of Hazard Curves (HCs) for spectral accelerations for several periods or, alternatively, a collection of Uniform Hazard Spectra (UHS).

- 3: Definition of parameters to be adopted as design variables and definition of an initial guess, $\mathbf{d}^{[1]}$.
- 4: Setup of a FE model considering the independent variables properties at i-th design iteration (i.e., i-th point in the design space) for each of the n_{HL} considered HLs. Assessment of the elastic fundamental period of the system $T_{el}^{[i]}$.
- 5: RSAs on the FE models set in step 4 and output of the local displacement demand on the m-th brace for the l-th hazard level, $u_{p,m}^{[i,i]}$, to be used as input for the linearization process.
- 6: Linearization process: member-wise dissipated energy, $E_{d,m}^{[i,l]}$ and secant stiffness, $K_{eq,m}^{[i,l]}$ assessment. Calculation of global equivalent damping ratio, $\xi_{eq}^{[i,l]}$.
- 7: Update of FE models by using secant stiffness and damping ratios coming from step 6.
- 8: RSAs on the updated FE models and output of chord rotation demands, $\theta_{max}^{[i,l]}$, for all the HLs.
- 9: Median demand interpolation and assessment of seismic demand parameters $a^{[i]}$ and $b^{[i]}$.
- 10: Definition of HC for the $T_{el}^{[i]}$ and output of $\lambda [S_a(T_{el}^{[i]})]$.
- 11: HC interpolation and output of hazard parameters $k_0^{[i]}, k_1^{[i]}, k_2^{[i]}$.
- 12: Closed form MAF according to Equation (7b).
- 13: Check of convergence on a minimum value of the Objective Function

In the present work it will be shown the application of this procedure for the optimal design of BRBs on tall TBs through an application on a real case study. Specifically, Section 2.1 describes the TB adopted as a case study and the input parameters required for the procedure (Step 1). Section 2.2 describes the Hazard input adopted for this application (Step 2). Section 2.3 describes the modeling assumptions, the independent variables and the linearization schemes adopted (Steps 3–8). Section 2.4 introduces briefly the MAF assessment performed through a SAC-FEMA approach with second-order hazard approximation (Steps 9-12). Finally, in Section 2.5 the optimization problem for this application is formalized (Step 13).

Building properties input 2.1

The procedure depicted above is applied to a real tall TBs, the 20 storey high Museum Tower Apartment Building located in Los Angeles (California, USA). This building has been designed and realized with an RC structure but recently a review of the project has been proposed, thinking at at the same building but with a mass timber structure.³⁹ In this paper,



Rectangles with rounded corners represent steps carried out in MATLAB and rectangles with square corners represent steps carried out in FE software.

FIGURE 1 Flowchart of the procedure.

the application is limited to a 2D portion of the building, shown in Figure 2B and corresponding to the alignment 1 of the whole building, as can be seen in the plan view of Figure 2B. Each braced frame has GluLam beams with section 365 mm \times 684 mm pinned to vertical GluLam columns with section equal to 1520 mm \times 365 mm for the first 10 floors and a section 912 mm \times 365 mm for the last 10 floors. Columns and beams are made of Glulam grade DFL 1 -16c-E and DFL¹-20c-E, respectively. As far as masses are concerned, in Figure 2B it can be noticed that the original building has 12 braced frames, six for each principal direction, of whom four on peripheral frames and two on internal frames. Accordingly and assuming an homogeneous partition among braced frames of seismic loads, a mass of 1/3 of the total mass of the building is applied at each floor of the 2D model. The total weight of the building according to this approach is 31016 kN.

2.2 Structural model

This section describes the structural model adopted within the procedure. The interest is about the use of BRBs on a tall TB. This type of brace has been widely studied and adopted⁴⁰⁻⁴⁴ and it's characterized by a non-buckling behavior

¹ DFL stands for Douglas Fir-larch



FIGURE 2 (A)Braces elevation arrangement on the case study; (B) plan view of the case study; (C) detail of BRB components arrangement; (D) Backbone curve adopted for BRB modeling. BRB, Buckling Restrained Brace.

typically achieved by encasing a steel core in a concrete-filled tube that avoids buckling mechanisms. The Non-Linear BRBs behavior is elastoplastic hardening with a slight asymmetrical behavior between tension and compression,⁴⁵ within the literature there are many specialized models for the description of such a behavior.^{45,46} In this work, given the use of linear analysis, the BRB is modeled exclusively through its elastic-hardening backbone curve, thus assuming the same behavior in tension and compression. The arrangement of a typical BRB is shown in Figure 2C, it is composed by a zone intended for dissipation with length L_D , a transition zone with length L_t , and a connection zone with length L_c . In Figure 2C the full length of the brace, L_B , and the joint-to joint distance, L_j , are also indicated. The different parts of the brace have different areas, for this reason the elastic stiffness of the brace, K_{B,e}, is defined considering a series arrangement of the three parts⁴⁷:

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$$A_{B,e} = \frac{L_B}{\frac{L_D}{A_D} + \frac{L_t}{A} + \frac{L_c}{A}} = \frac{L_B A_D}{L_D + L_t \frac{A_D}{A} + L_c \frac{A_D}{A}}$$
(1a)

$$K_{B,e} = \frac{E_s A_{B,e}}{L_B} \tag{1b}$$

where $A_{B,e}$ is the equivalent brace area, A_c , A_t and A_D are the areas of connection, transition and dissipative zones, respectively, and E_s is the steel Young's modulus.

The post-elastic stiffness of the brace can be defined using the same approach and assuming that the yielding is limited to dissipative zone, thus assuming a reduced stiffness only for that zone

$$A_{B,pe} = \frac{L_B}{\frac{L_D}{rA_D} + \frac{L_t}{A_t} + \frac{L_c}{A_c}} = \frac{L_B r A_D}{L_D + L_t \frac{rA_D}{A_t} + L_c \frac{rA_D}{A_c}}$$
(1c)

$$K_{B,pe} = \frac{E_s A_{B,pe}}{L_B} \tag{1d}$$

where $A_{B,pe}$ is the equivalent brace area in the post-elastic field and r is the steel hardening ratio.

The full backbone curve of the brace is depicted in Figure 2D and can be defined according to the following expressions

$$F_{B,y} = A_D f_y \tag{2a}$$

$$u_{B,y} = \frac{F_{B,y}}{K_{B,e}} \tag{2b}$$

$$F_{B,d} = F_{B,y} + (u_{B,d} - u_{B,y})K_{B,pe} \quad \text{if } u_{B,d} > u_{B,y}$$
(2c)

$$F_{B,d} = u_d K_{B,e} \qquad \text{if } u_{B,d} \le u_{B,y} \tag{2d}$$

$$K_{B,eq} = \frac{F_{B,d}}{u_{B,d}} \tag{2e}$$

$$E_{B,d} = 4(F_{B,y}u_d - F_{B,d}u_{B,y}) \qquad \text{if } u_{B,d} > u_{B,y}$$
(2f)

$$E_{B,d} = 0 \qquad \qquad \text{if } u_d \le u_{B,y} \tag{2g}$$

where f_y is the steel yielding stress, $F_{B,y}$ is the brace yielding force, u_d is the displacement demand, $F_{B,d}$ is the brace force at the displacement demand, $K_{B,eq}$ is the equivalent secant stiffness at the displacement demand and $E_{B,d}$ is the dissipated energy at the displacement demand.

By observing Equations (1), (2) it is clear that the yielding force of BRB depends upon its dissipative zone area while the stiffness depends upon the areas and lengths of all the three zones (i.e., dissipative, transition and connection). In particular, the length of the dissipative zone highly influence the stiffness of the system and indeed some BRBs with a reduced length of the dissipative zone have been object of investigations of some research works^{47–50} and have also been adopted in several real case applications.^{51,52} However, the parameter that mainly influences the response is the area of the dissipative zone, A_D , while the adoption of the other parameters as independent variables of the optimization doesn't provide significant advantages in terms of device optimization.²⁸ Based on this considerations only the area of dissipative zone (i.e., A_D) will be considered as independent variable while the remaining characteristics of each brace are fixed and defined according to typical BRBs arrangement schemes. For this application, the following values are adopted⁴⁷:

$$L_t = 500mm$$
 $L_c = 1300mm$ (3a)

$$\frac{A_D}{A_t} = 0.5 \qquad \qquad \frac{A_D}{A_c} = 0.3 \tag{3b}$$

As far as the timber structure is concerned, when it is designed as a moment-resisting frame, plasticity and energy dissipation are provided by connections, while timber members are designed to remain elastic. In this case, connections stiffness.^{53,54} plays a crucial role in structural response assessment. In the case study, however, the adopted lateral resisting system is a concentric braced frame, where lateral forces are almost exclusively born by the braces. Figure 2C shows the beam to column connection adopted in this work: its stiffness is lower than 2 MNm that is negligible when compared to the bending stiffness of the adjoining timber members and also much lower than typical values for the case of deformable beam to column connection.⁵³ For these reasons, the beam to column connections are modeled as pinned, braces are considered to resist the entire lateral load and timber members are elastic by design. Accordingly, no energy dissipation is provided by the timber component of the structural system.

Crucial to the feasibility of the BRB configuration, however, is that connections of adequate strength and stiffness to transmit the resulting brace forces can be designed without damage to the timber. Doweled connections are considered in this paper, as shown in Figure 2C. A good overview of the design issues for doweled and screwed connections in BRB-timber applications is given by Dong et al.⁵⁵ Critical issues, as already mentioned, concern the connection strength and stiffness. Considering the conventional assumptions made for assembling the BRB stiffness from its parts, the connection stiffness can be neglected. On the other hand, a connection strength-deficit would translate in a design constraint to be considered in the procedure. For the sake of simplicity, this design constraint is checked at the end to verify the feasibility of the optimization solution. Technically, and without loss of generality, a maximum BRB force is determined, compatible with the adjoining timber members, according to the code prescriptions of Eurocode 5.⁵⁶

By considering the brace arrangement of Figure 2A, the connections with highest demand are on the external column of the braced frame where a big vertical load is transferred to the column. Figure 2C shows a possible dowel connection arrangement with 36 dowels. Assuming a dowel diameter d = 16 mm with an ultimate characteristics strength $f_{u,k} = 355$ MPa, two inner gusset plate embedded in the timber with thickness $t_p = 20$ mm, timber element thickness $t_1 = t_2 = 91$ mm with characteristics yielding stress $f_{y,k} = 275$ MPa, the ultimate strength capacity of the connection is equal to 1499 kN.

As already mentioned, the seismic demand assessment is obtained performing RSAs for several LSs on a FE model. In that models the brace linearization is considered modifying the stiffness of each brace element through some Modification Factors (MFs) and reducing the spectral ordinates using a global equivalent damping ratio evaluated according to a substitute structure approach.³⁷

The MFs are formalized as follows:

$$MF = \frac{K_{eq}}{K_0} \tag{4a}$$

$$K_0 = \frac{E_s A_{ref}}{L_B} \tag{4b}$$

where K_0 is a reference stiffness obtained assuming a brace with a reference area A_{ref} .

As far as the equivalent damping ratio is concerned, it is obtained according to the approach proposed by Jacobsen^{57,58} through the following expression

$$\xi_{eq} = \xi_h + \xi_v = \frac{1}{4\pi} \frac{\sum_m E_{Bd,m}}{E_{el}} + \xi_v$$
(5)

where *m* spans the number of braces, ξ_h is the equivalent damping due to hysteresis, ξ_v is the inherent viscous damping, E_{el} is the elastic energy of the system computed as $E_{el} = T_{max}u_{max}/2$, where T_{max} is the maximum base shear and u_{max} is the maximum top displacement of the system. Please note that ξ_{eq} is expressed in percentage. By using Equation (5) the final damping contribution is obtained as the sum of a hysteretic term and an inherent elastic one, the first obtained on the basis of the work done by each brace, while the second calibrated considering structural typology and properties of the building.^{59–61}

The damping ratio is considered within the RSA by reducing the spectral ordinates according to the following expression⁶²

$$\eta = \sqrt{\frac{10}{5 + \xi_{eq}}} \tag{6}$$



FIGURE 3 Hazard data for the site of Vancouver (LAT 49.25 LON -123.12): (A) HCs for several periods, (B) UHS for four return periods. HCs, Hazard Curves; UHS, Uniform Hazard Spectra.



FIGURE 4 GMs selection for the site of Vancouver (LAT 49.25 LON -123.12): (A) Comparison of GMs spectra, their mean and UHS for an HL with T_r =2500 yrs, (B) Displacement spectra for four return periods, comparison of UHS and GMs mean. GMs, Ground Motions; HCs, Hazard Curves; UHS, Uniform Hazard Spectra.

2.3 | Hazard input

The step 2 of the procedure requires the input of the HC assessed in correspondance of the building site. In this application the hazard of the city of Vancouver (i.e., LAT 49.25 LON -123.12) and a soil type C according to Canadian Building Code⁷ are adopted. The hazard information are extrapolated using the OpenQuake engine⁶³ and the data of 6th generation Seismic Hazard Model (SHM6) for Canada.⁶⁴ In Figure 3 are shown the Seismic HCs adopted and the response spectra for four return periods (i.e., T_r =100, 500, 2500 and 10,000 yrs). The Ground Motions (GMs) selection has been carried out in order to be consistent with the actual major seismic sources (crustal, inslab, and interface) that most significantly contribute to the hazard of the Vancouver region. Accordingly, a Conditional Mean Spectrum (CMS) based record selection method⁶⁵ is used to select several suite of GM records that possess key features (e.g. magnitude, frequency content, and duration) of the considered seismic sources.⁶⁶ Within this approach, one CMS is assessed for each involved seismic source and the number of GMs matched on each CMS is proportional to the hazard percentage contribution of that source. Hence, a set of 50 GM records (bi-directional) have been selected at the anchor period of $T_e = 3.35s$, and to be consistent with seven HLs (i.e. T_r =100, 250, 500, 1000, 2500, 5000, 10,000 yrs). Figure 4A shows the GMs set selected for $T_r = 24754$ yrs and

LD			СР			
θ_{max}	$eta_{D,T}$	$\beta_{C,T}$	θ_{max}	$\beta_{D,T}$	$\beta_{C,T}$	
0.005	0.3	0.0	0.025	0.3	0.5	

Abbreviations: CP, Collapse Prevention; IDR, Interstory Drift Ratio; LD, Light Damage; LSs, Limit States.

the related UHS. Finally, Figure 4B also shows the comparison of the displacement spectra coming from PSHA and the mean spectra of the GMs set for four HLs in order to highlight the different displacement demand corresponding to the two hazard descriptions, which are used in the RSA and the MSA, respectively, and help explaining differences found in validation and shown later (see Section 4).

2.4 | MAF assessment

Within the optimization procedure the performance is described through the MAF assessment for several LSs. MAF is assessed by using a SAC-FEMA approach³³ with a second-order approximation of Hazard³⁸ according to the following expressions

$$\lambda_{IM} = k_0 \exp\left(-k_2 ln(x)^2 - k_1 ln(x)\right) \tag{7a}$$

$$\lambda_{LS} = \sqrt{\phi} k_0^{1-\phi} \left\{ \lambda_{IM} \left[\left(\frac{\hat{C}}{a} \right)^{1/b} \right] \right\}^{\phi} \exp\left[\frac{k_1^2}{4k_2} (1-\Phi) \right]$$
(7b)

$$\phi = \frac{1}{1 + 2k_2 \left(\beta_{D,T}^2 + \beta_{C,T}^2\right)/b^2}$$
(7c)

where λ_{IM} is the MAF of Intensity Measure (IM) derived from Hazard, k_0 , k_1 and k_2 are the second-order hazard interpolation parameters and x is the IM value. λ_{LS} is the MAF for a LS, \hat{C} is the median LS capacity, a and b are the parameters governing the seismic demand expressed according to the following power law $\hat{D} = ax^b$, whereas $\beta_{D,T}$ and $\beta_{C,T}$ are the total demand and capacity dispersions.

As far as this application is concerned, the adopted demand parameter is the Interstory Drift Ratio (IDR), denoted with the symbol θ associated with member chord rotation. Two LSs have been considered, Light Damage (LD) and Collapse Prevention (CP). The definition of median values and dispersions is crucial for the final assessment of the procedure, while not investigating the effect of such parameters on the final assessment, some credible values consistent with Canadian Building Code requirements⁶⁷ for median values and other consolidated literature for the dispersion values^{68,69} are adopted and summarized in Table 1.

3 | OPTIMIZATION ALGORITHMS

The engineering problem described in Section 3.1 is a Non-Linear (NL) unconstrained one, given the NL relationship between variables and Objective Function (OF) and the contemporary absence of constraints. To solve that problem the choice of the optimization algorithm, among the many available, is crucial to obtain an effective solution and an efficient procedure. In case optimization problem is convex, the straightforward solution is using gradient-based algorithms where the solution is iteratively found by moving on the OF surface and finding the best search direction through gradients assessment. These algorithms require that the OF is differentiable and are very effective if the gradients are well-known or numerically easy to assess. When this is not true, other algorithms should be preferred. In the optimization problem described in Equation (9), the objective function is non-linear and non-convex, furthermore its gradients as a function of the variables are not known a-priori but must be derived numerically with an important computational effort and many structural analyses required, thus gradient-based algorithms appear as poorly suitable for that problem. In the recent years many algorithms that avoid the gradients assessment are used under the name of "derivative free" algorithms. A comprehensive survey of such methods is given in ref. [70] where the derivative free algorithms are classified in the following sets: evolutionary algorithms, physical algorithms, swarm algorithms and Direct Search Algorithms (DSAs).

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Among the DSAs, Directional Direct Search algorithms (DDSAs) have been adopted in this work and their characteristics are briefly presented in the next paragraphs.

3.1 | Objective function

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The optimization problem herein adopted aims at the definition of a bracing system that fulfil specific performance requirements expressed in terms of risk parameters. To do so, a function $\tilde{\lambda}$ has been defined,²⁸ it allows the control of the risk level being a function of the MAF of exceedance for n_{LS} LSs. It can be defined as the 'distance' from the threshold for the governing LS, that is, the LS that, in each optimization step, is the closest to its corresponding threshold λ_{LS}^* :

$$\tilde{\lambda}(\mathbf{d}) = max_k \left(\frac{\lambda_{LS,k}(\mathbf{d})}{\lambda_{LS}^*} - 1\right) \qquad k = 1, 2, \dots, n_{LS}$$
(8)

The objective function is the squared valued of Equation 8, $\tilde{\lambda}^2$ and no constraints on independent variable are provided. The advantage of using the squared value of $\tilde{\lambda}$ lies in the fact that it is always positive and exactly equal to zero when the MAF of one LS is equal to the threshold value and the MAFs of other LSs are lower than their thresholds. The optimization problem can then be formulated as follows

find
$$\min_{\mathbf{d}} \tilde{\lambda}^2$$
 (9)

3.2 | Derivative free - DDS algorithms

DDSAs are based on a very simple idea: starting from an initial point, $\mathbf{d}^{[1]}$, the surroundings of that point are investigated to search a new point, $\mathbf{d}^{[2]}$, where the objective function value is lower than on initial point; a minimum of the OF is reached iterating this way. The several DDSAs differ from each other for the way the search is performed, two key features are the direction and amplitude of the search. The first algorithm of this kind was the Coordinate Search Algorithm (CSA),⁷¹ it looks for the solution around the incumbent point with fixed directions and amplitudes. A relevant evolution of CSA is the Generalized Pattern search (GPS)⁷² which introduce two enhancements: search direction can change from iteration to iteration and search is not limited only around the incumbent solution. Accordingly, the procedure is divided into "Poll" steps, when search is done around incumbent, and into "Search" steps when search is done with other criteria. A key concept of GPS is the "Mesh", it is a grid of points from which the GPS algorithm selects candidate trial points and its size can change iteration after iteration. The pseudo-code of GPS algorithm can be expressed as in Algorithm 1.⁷³

Using GPS, the choice of the correct solution strategy and initial point are primary aspects. As for the strategies, it is possible to adopt some "Opportunistic Strategies" (OS), using them if during the polling or search phases the algorithm finds a point better than the incumbent solution (i.e., with a lower OF), it can stop and proceed to the next iteration without terminating the query of the other points. Accordingly, while using OS, the order of the points to be investigated on the mesh or, in other words, the order of the search directions, is crucial for the rapidity and effectiveness of the results. Within the MATLAB environment, the following OS are provided:

- Consecutive: Polling is done by querying the points using a fixed order of search directions.
- Random: Polling is done by querying the points using a randomly generated order of search direction.
- *Success*: Polling is done by querying the points starting from the direction that had success at the previous iteration, after the first query the polling continues according to a consecutive strategy.

Regarding the initial point, its choice can highly influence the reaching of the minimum and the speed of the process, it can be done according to the experience of the operator, or executing some pre-iteration step with some algorithms specifically developed for the initial point sampling (e.g. random, stratified, Latin Hypercube⁷⁴).

GPS algorithm effectiveness is tested by investigating several initial trigger conditions and different solution strategies (i.e. "opportunistic" or not), as resumed in Table 2. The trigger case 'Constant' has the same initial area values at all the floors, while the 'Trapezoidal' case has initial area values that linearly reduce from the bottom to the top of the building with a ratio 3:1.

ALGORITHM 1 Generalized Pattern Search (GPS).

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Given $f : \mathbb{R}^n \to \mathbb{R}$ and starting point $d^{[1]} \in \mathbb{R}^n$				
0. INITIALISATION				
$\delta^{[1]} \in (0, \inf)$	initial mesh size parameter			
D = GZ	positive spanning matrix			
$\tau \in (0, 1)$, with τ rational	mesh size adjustment parameter			
$\epsilon_{stop} \in [0, \inf)$	stopping tolerance			
$k \leftarrow 0$	iteration counter			
1.Search				
if $f(t) < f(d^{[k]})$ for some t in a	finite subset $S^{[k]}$ of the mesh $M^{[k]}$ then			
set $d^{[k+1]} \leftarrow t$ and $\delta^{[k+1]} \leftarrow \tau$	$\delta^{k-1}\delta^{[k]}$ and go to 3			
else				
go to 2				
end if				
2.Poll				
Select a positive spanning set $\mathbb{D}^{[k]} \subseteq \mathbb{D}$				
if $f(t) < f(d^{[k]})$ for some $t \in P^{[k]} = \{d^{[k]} + \delta^{[k]}d : d \in \mathbb{D}^{[k]}\}$ then				
set $d^{[k+1]} \leftarrow t$ and $\delta^{[k+1]} \leftarrow \tau^{-1} \delta^{[k]}$				
else $d^{[k]}$ is a mesh local optimizer				
set $d^{[k+1]} \leftarrow t$ and $\delta^{[k]} \leftarrow \tau \delta^{[k]}$				
end if				
3. TERMINATION				
if $\delta^{[k+1]} > \epsilon_{stop}$ then				
increment $k \leftarrow k + 1$ and go to 1				
else				
stop				
end if				

 $TABLE \ 2 \quad List of the considered cases adopted to solve the optimization problem using different strategies and initial points, \mathbf{d}^{[1]}.$

n.	Case	Algorithm	Strategy	$\mathbf{d}^{[1]}$
1	G_{1C}	GPS	Full-Poll	Constant
2	G_{2C}	GPS	Random	Constant
3	G_{3C}	GPS	Success	Constant
4	G_{1T}	GPS	Full-Poll	Trapezoidal
5	G_{2T}	GPS	Random	Trapezoidal
6	G_{3T}	GPS	Success	Trapezoidal

4 | RESULTS AND DISCUSSIONS

This section describes the solutions obtained with the methodology exposed in Section 2 by applying the optimization algorithm introduced in section 3 according to the different approaches illustrated in Table 2. The case study structure is equipped with 80 braces distributed among four braced frames. However, the number of independent variables is 20, one for each floor of the building, thus reasonably assuming that the braces of each frame have equal characteristics at the same floor.

Figure 5 shows the progress with the Function Counts (FCs) of OF $(\tilde{\lambda}^2)$ and MAF of the two considered LS $(\lambda_{LD}$ and $\lambda_{CP})$. The FC number represents the times the OF is invoked or, in other words, the times the MAF is assessed for all the LSs. Furthermore, the total number of FCs are summarized in Table 3. Figures 5A,D show the G_{1c} and G_{1t} (i.e., "Full-Poll" cases) where convergence is obtained after 3161 and 2868 FCs, respectively. Figures 5B,E show the G_{2c} and G_{2t} (i.e.,



FIGURE 5 $\tilde{\lambda}^2$, λ_{LD} and λ_{CP} values versus number of FCs: (A) Case G_{1c} ; (B) Case G_{2c} ; (C) Case G_{3c} ; (D) Case G_{1l} ; (E) Case G_{2l} ; (F) Case G_{3t} . FCs, Function Counts.

TABLE 3 Resume of design and performance values obtained for different optimization cases.

	G_{1C}	G_{2C}	G_{3C}	G_{1T}	G_{2T}	G_{3T}
F _{count}	3161	122	228	2868	171	278
$V[cm^3]$	50269	56406	61367	51731	61125	65561
$\theta_{max,HL1}$	0.16%	0.15%	0.17%	0.16%	0.17%	0.18%
$\theta_{max,HL2}$	0.43%	0.44%	0.49%	0.44%	0.52%	0.452%
$\theta_{max,HL3}$	1.13%	1.15%	1.07%	1.09%	1.03%	1.14%
$ heta_{max,HL4}$	3.06%	3.04%	3.02%	3.14%	3.05%	2.89%

"Random" OS cases), in these cases the FCs needed for convergence drastically reduce to 122 and 171, respectively. Figures 5C, F show the G_{3c} and G_{3t} cases (i.e., "Success" OS cases) when 228 FCs and 278 FCs are needed for convergence, respectively. By comparing the numerical values described above, it can be concluded that using OSs allows a reduction of required FCs of about an order of magnitude, with a slightly higher effectiveness of "Random" strategy. As an average, about 60 s for each FC are required on the computer adopted for this study, meaning that using a 'Full-Poll' strategy required 50 and 35 hours for the solution of G_{1c} and G_{1t} cases, respectively, while using OSs the resolution times have been comprised between 2 h (G_{2c}) and 4 h (G_{3t}), respectively. For the sake of comparison, in a previous work²⁸ where a gradientbased algorithm was adopted on a case study with five braces and 15 independent variables, the solution was obtained with 560 FCs. These numbers roughly show that derivative free algorithms tend to be slower than gradient-based algorithms in the case of a "Full-Poll" strategy but can become much faster and therefore profitable using OSs. Nevertheless, the initial point (i.e., Constant or Trapezoidal) doesn't significantly influence the convergence speed. Moreover, it should be observed that in all the cases the algorithm has stopped when the value of λ_{CP} reached its acceptance criteria (i.e. 2×10^{-4}) while the λ_{LD} value is much less than its acceptance value (i.e. 1×10^{-2}). On this point it should be considered that braced frames are stiffer than moment-resisting frames and their design is usually strength controlled rather than deformation controlled. As a results, CP rather than LD is the ruling requirement, consistently with the results obtained herein.

Figure 6 shows the final values of independent variables, $\mathbf{d}^{[\mathbf{f}]}$, compared with initial points, $\mathbf{d}^{[1]}$, for all the investigated cases.

Figures 6A,D show the solutions for G_{1c} and G_{1t} , in these cases the procedure provides a strong increase of the braces placed in the middle stories (i.e., 5–15) and also a slight increase of braces placed at the highest floors (i.e. 16–20), at the same time it can be noticed that the braces at the lowest floors (i.e. 1-5) tends to be un-modified or just slightly increased.



Initial brace configuration $\mathbf{d}^{[1]}$ and final brace configuration $\mathbf{d}^{[f]}$: (A) Case G_{1c} ; (B) Case G_{2c} ; (C) Case G_{3c} ; (D) Case G_{1t} ; (E) FIGURE 6 Case G_{2t} ; (F) Case G_{3t} .



FIGURE 7 Independent variables values versus number of FCs: (A) Case G_{1c} ; (B) Case G_{2c} ; (C) Case G_{3c} ; (D) Case G_{1t} ; (E) Case G_{2t} ; (F) Case G_{3t} . FCs, Function Counts.

By looking at Figures 6B,E that show the G_{2c} and G_{2t} cases, it can be observed that there is a slight increase of all the variables, included lowest floors. In Figures 6C,F are shown the results obtained via "Success" strategy, in these cases the brace increase is provided only at specific floors where the brace areas reach values higher than 2000 mm² and are on purpose out of scale on the graph. On this point, Figure 7 shows the trends of the variables values during the optimization, it can be noticed how using Random OS (Figures 7B,E) a final solution very similar to "Full-Poll" (Figures 7A,B) can be obtained in much fewer iterations, while using "Success" strategy (Figures 7C,F) the final solution is very different from "Full-Poll" with just a few variables with very high values and other that are not modified during the process. The final



FIGURE 8 θ_{max} for initial brace configuration $\mathbf{d}^{[1]}$ and final brace configuration $\mathbf{d}^{[f]}$: (A) Case G_{1c} ; (B) Case G_{2c} ; (C) Case G_{3c} ; (D) Case G_{1t} ; (E) Case G_{2t} ; (F) Case G_{3t} .

solution provides different values of the dissipative area at each floor because variables are continuous. While this is not considered in this paper, the common design practice is to adopt a limited number of brace typologies along the height of the building. Practical design constraints like these can be implemented as a mapping from the vector of design variables to a reduced vector of basic design variables, as shown since the first version of the design procedure.³⁵ Table 3 also reports the sums of the brace volumes, V, assessed as the product of the brace areas and their length (i.e., $V = \sum_{m} A_{D,m} \times L_{m}$) for all the cases and it can be noticed that the by using the OS the final volume is higher than by using a Full-Poll. However, the volume increment with Random strategy is of 10% and 18% for G_{2c} and G_{2t} , respectively, while using the "Success" strategy is 22% and 27% for G_{3c} and G_{3t} , respectively.

Figure 8 shows the θ_{max} obtained through RSAs within the procedure, values obtained at the first iteration (i.e., $\mathbf{d}^{[1]}$) are shown in dashed lines and the values obtained at the last iteration (i.e., $d^{[f]}$) are shown in solid lines. Looking at Figures 8A,D where the "Full-Poll" strategy cases are shown, it can be noticed that the final θ_{max} are pretty uniform along the height for all the HLs and are very similar among G_{1c} and G_{1t} cases, even if at the first iteration there are very different θ_{max} values. Figures 8B,E shows the solution for the "Random" strategy, in this case it can be noticed that θ_{max} have a reduction at highest floor but the final values by using two different initial points are pretty similar, also for these cases. Figure 8C,F shows the θ_{max} obtained for the "Success" strategy, in this case the θ_{max} are quite irregular along the height. In Table 3 are shown the final values of θ_{max} for all the HLs and it can be seen that even if the θ_{max} profiles differs among the various solutions, the maximum values are very similar. Figure 9 shows the equivalent damping values due to hysteresis (i.e., ξ_h) assessed within the procedure, it can be observed that the damping is null for an HL with $T_r = 100$ yrs and it gradually increases up to maximum values around 13% for $T_r = 10,000$ yrs. It can be further noticed that the equivalent damping assessment is quite similar between the different solutions.

The results described above allow to provide the following considerations:

- By using OSs there is a significant reduction of computational times, the total number of FCs required for the solution is always at least 90% less than in the case of "Full-Poll".
- The initial point adopted negligibly affects the speed of the procedure.
- The final elevation brace arrangement is very similar among the solutions, except for the case of "Success" strategy (i.e. G_{3c} and G_{3t}). The total volume of the braces in "Full-Poll" cases are slightly lower than in the cases adopting OSs.
- The IDR profile appears as very uniform if the "Full-Poll" case is implemented, while it is highly irregular in the case of "Success" strategies.



FIGURE 9 Equivalent damping ratio due to hysteresis for the considered HLs. HLs, Hazard Levels.



FIGURE 10 Demand/Capacity ratio for the connections on the external columns.

In conclusion, it appears clear that the "Full-Poll" (i.e., G_{1c} and G_{1t}) strategy provides the lowest values of volume and also a very uniform response along the height. Nevertheless, the "Random" OS (i.e., G_{2c} and G_{2t}) appears promising because in front of a slight volume increment (i.e., 10% - 18%) there is a very strong computational time reduction (higher than 90%). On the other hand, the "Success" strategy provides a significant volume increment (i.e., 22% - 27%) and also a very irregular distribution of brace areas and IDR profiles, these two drawbacks make this solution unsuitable even if there is a big computational time reduction. According to these observations, the validation is conducted considering only the "Full-Poll" and "Random" in order to assess whether also NL analyses confirm the similarities of the structural responses obtainable with these two approaches.

As far as the connection feasibility is concerned, Figure 10 shows the demand to capacity ratio on the brace to column connection for each of the considered cases considering the capacity of the typological connection described in Section 2.2. Accordingly, Figure 10 shows the results for 11 connections, one every two floors plus one connection at the base and one at the roof. Capacity is higher than demand in all the cases, with ratios always lower than 0.6 for the "Full-Poll" and "Random" cases (i.e., G_{1c} , G_{2c} , G_{1t} , G_{2t}). Demand to capacity ratios obtained for the configurations designed through the "Success" strategy (i.e., G_{3c} , G_{3t}), on the other hand, are larger, up to 0.8, giving one more reason to rule out this strategy.

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FIGURE 11 θ_{max} derived from MSA and RSA: (A) Case G_{1c} ; (B) Case G_{2c} ; (C) Case G_{1t} ; (D) Case G_{2t} . MSAs, Multiple Stripe Analyses; RSAs, Response Spectrum Analyses

5 NON-LINEAR TIME HISTORY ANALYSIS

This section shows the structural performance assessment obtained through MSAs and it also provides a comparison of such results with the ones obtained through RSAs and already discussed in Section 4.

The Non-Linear analyses are performed using Sap2000 software⁷⁵ and adopting the GMs set described in Section 2.3. BRB devices are modeled by using BRB element available within the library of Sap2000, the backbone curve of each brace is obtained using Equation (2) and the adopted hysteretic behavior considers only kinematic hardening. P-Delta effects have been considered, even if their influence should be significant only for the highest action level, given the relatively stiff structure. Damping has been modeled through a Rayleigh approach to obtain a value lower than 1% in a range of period between 0.5 s and 7 s. This value is slightly small for a TBs with such height, for whom the damping of the first mode can be estimated around 2%,⁵⁹ however given the high hysteretic damping contribution given by the braces, as illustrated in Figure 9, this underestimation of viscuous damping should not have excessively influenced the evaluation of the response, in particular for the higher intensity levels.

Figure 11 shows the values of θ_{max} obtained from MSAs for the considered design cases (i.e., G_{1c}, G_{2c}, G_{1t} and G_{2t}) and for the four stripes corresponding to the HLs considered for RSAs (i.e., $T_r = 100$, $T_r = 500$, $T_r = 2500$ and $T_r = 10,000$ yrs). For the sake of comparison, Figure 11 shows also the θ_{max} obtained through RSAs for those HLs. The results show θ_{max} values quite uniform among all the considered design cases. By comparing RSAs (dashed red line) and MSAs mean values (solid red line) it can be seen that for HL with return periods up to $T_r = 500$ yrs the agreement is very good, for $T_r = 2500$ yrs the agreement is good in terms of maximum values but not in terms of IDR height profile. In particular, for $T_r = 2500$ yrs RSAs provide conservative results at the intermediate stories and slightly non-conservative results at higher stories. Finally, for $T_r = 10,000$ yrs MSAs show sensibly lower θ_{max} along almost all the height of the building, once again showing that RSAs with UHS provide conservative results relative to MSA with CMS with peak IDR overestimated of about 30% in all the cases. On this point it should be noticed that for such an high HL the fundamental period shifting is relevant, in fact the periods obtained on the linearized models for $T_r = 10,000$ yrs are higher than 6 s. In Figure 4B it can be noticed that the difference between PSHA and mean GMs spectra is comprised between 20% and 30% for a range of periods between 6 s and 7 s and thus partially justifies this difference in peak IDR assessment. Moreover, please note that GMs selection with multiple-CMS may have introduced some further bias, whilst a GMs selection with Conditional Spectrum (CS)^{76–78} could have reduced these differences. The overall results show that RSAs provide a reliable assessment



FIGURE 12 Fragility curves for the four design cases.

TABLE 4 Comparison of λ values obtained through Response Spectrum and Multiple Stripe analyses and their threshold values.

	G_{1C}	G_{2C}	G_{1T}	G_{2T}
$\lambda_{LD}^* imes 10^{-2}$	1.00	1.00	1.00	1.00
$\lambda_{LD}^{RSA} imes 10^{-2}$	0.15	0.16	0.15	0.16
$\lambda_{LD}^{MSA} imes 10^{-2}$	0.24	0.22	0.24	0.33
$\lambda_{CP}^{*} imes 10^{-4}$	2.00	2.00	2.00	2.00
$\lambda_{CP}^{MSA} imes 10^{-4}$	1.57	1.31	1.54	1.35
$\lambda_{CP}^{RSA} \times 10^{-4}$	2.02	2.02	2.01	2.01

of peak IDR except for the case of $T_r = 10,000$ yrs, even if the height profiles show some differences in almost all the cases. Nevertheless, the height profiles provided by MSAs, even if less regular than the ones obtained through RSAs, proves to be quite uniform as demand increases, and does not show particular mechanisms or irregular responses even for very high HLs. Regarding the effectiveness of the different design cases, it can be noted that almost all of them provide very similar results and thus they appear to be equivalent, with the exception of G_{2t} case that provide lower IDR at lowest stories but it anyhow provides very good agreement between MSAs and RSAs. On this point, observing Figure 6 it can be noticed that the G_{2t} provides bigger braces at lower floors that, accordingly, provide stiffer response and, as far this case is concerned, provided a more regular response for the system.

Figure 12 shows the fragility curves obtained for the LD and CP LSs for the four considered design cases while in Table 4 are summarized the λ_{LS} values obtained for the same design cases. The fragility curves tend to be very similar among the different cases and so are the λ values, that is because the θ_{max} recorded on each design case are quite similar. By comparing the λ values obtained through RSAs and MSAs exposed in Table 4, it can be noticed that the λ_{LD} values obtained through MSAs are higher than the ones obtained through RSAs but still lower than design threshold (i.e., $\lambda_{LD}^* = 1 \times 10^{-2}$). On the other side, the λ_{CP} values obtained through MSAs are lower than the ones obtained through RSAs, this is mainly related to the difference in θ_{max} assessment observed for $T_r = 10,000$ yrs that in turn provides lower values of frequency of exceedance. In any case, the λ_{CP} values are lower the design threshold (i.e., $\lambda_{CP}^* = 2 \times 10^{-4}$), thus proving that the provided BRB systems allow to obtain the desired performance.

6 | CONCLUSIONS

This work presents a risk-based optimization procedure for the design of BRBs on tall TB. The procedure has been previously applied on RC buildings by using a gradient-based algorithm,²⁸ while in this paper it has been applied using a derivative free algorithm, the GPS. Such algorithm allows to solve non-linear non-convex problems guaranteeing convergence to a local minimum, however it can be highly time-consuming. In order to minimize the computational costs, several solution approaches have been investigated by varying the initial points and using OS. Results show that using a "Random" opportunistic strategy computational costs can be reduced up to 96% with just a slight less refined solution, with an increase in the final volume of braces comprised between 12% and 18%. On the other side, the "Success" opportunistic strategy doesn't provide satisfying results, with an irregular distribution of braces resulting in a quite irregular structural response. Moreover, it should be observed that the initial point negligibly influences the solution, even if the cases with a constant initial distribution of variables provide lower final brace volume. All the solutions obtained satisfy the considered performance requirements for TBs and the force applied on the system by braces are compatible with doweled connection, thus not requiring specific design constraints, proving that this technology could be effective as a protection system for TBs even in the case of tall ones. MSAs show a good agreement with RSAs in terms of peak IDR assessment with the exception of the HL with $T_r=10,000$ yrs where differences are consistent but mainly related to different displacement demand obtained with uniform hazard spectra and selected GM records. Furthermore, the slight differences in terms of braces properties among the several approaches don't influence significantly the structural response, confirming that using a "Random" Opportunistic Strategy allows effective solution with small differences in terms of final structural response compared to "Full-Poll" approaches. In conclusion, this paper shows that BRBs are a viable solution to protect tall TBs, providing satisfying structural response and moderate force demand on the elements. Moreover, it has been shown how derivative free algorithm can be a very effective solution for the solution of the proposed optimization problem guaranteeing good convergence with reduced computational time if OS are adopted.

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CONFLICT OF INTEREST STATEMENT

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

DATA AVAILABILITY STATEMENT

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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