

# Optimal process design of biogas upgrading membrane systems: polymeric vs high performance inorganic membrane materials

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## Abstract

Membrane separation is a key technology for biogas purification. Multistaged processes based on either cellulose acetate (CA) or polyimide (PI) materials are classically used for this application. In this study, a systematic process synthesis optimization is performed in order to identify the most cost effective solution for three different membrane materials (CA, PI and zeolite) and three different outlet pressure levels (5, 10 and 15 Bar). It is shown that a costly (i.e. 2000 EUR per square meter vs 50 for CA and PI) but high performance membrane material such a zeolite offers the best cost effective solution compared to commercially available polymeric membranes. Increasing the outlet pressure increases the purification cost. Two stages processes with recycling loops offer the best balance between purity, recovery, complexity and cost, whatever the outlet pressure level. The use of vacuum pumping is shown to improve the process economy, while expander and extra feed compression do not show an interest.

*Keywords:* Membrane, Process, Synthesis, Biogas, Purification, Cost

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## 1. Introduction

Biogas consists mainly of methane (CH<sub>4</sub>) in a range of 50-70% and carbon dioxide (CO<sub>2</sub>) at concentrations of 30-50%. Small amounts of other components are also present such as Nitrogen (N<sub>2</sub>) at concentrations lower than 3%, water vapor up to saturation at the gas temperature, and oxygen (O<sub>2</sub>) at concentrations lower than 1%; hydrogen sulfide (H<sub>2</sub>S), ammonia (NH<sub>3</sub>) and siloxanes can also be present in trace amounts depending of the biogas origin[1]. Biomethane is the term given to biogas that has been treated to remove all species besides methane and increase its concentration to meet transport and utilization specifications (equivalent to those of natural gas).

Biomethane can thus replace natural gas as a renewable, carbon neutral source since carbon present in biogas comes from sources having fixed that carbon from atmospheric CO<sub>2</sub>. Any

12 consumption of fossil fuels replaced by biomethane will lead to a net decrease of CO<sub>2</sub> emis-  
13 sions. Biogas treatment can be divided in a drying stage, where water is removed, a cleaning  
14 stage, where harmful or toxic compounds, mainly H<sub>2</sub>S but also VOCs, siloxanes, CO and  
15 NH<sub>3</sub> are removed, and an upgrading stage where CH<sub>4</sub> concentration is increased mainly by  
16 the removal of existing CO<sub>2</sub>. Water removal is done by a condenser and a demister or by  
17 adsorption technologies. Desulphurisation (H<sub>2</sub>S removal) can be done by biological oxidation  
18 of the H<sub>2</sub>S by aerobic sulphate oxidizing bacteria, by adding doses of iron hydroxide and/or  
19 iron salts during biomass digestion, by catalytic oxidation and adsorption with a material  
20 such as activated carbon or by caustic treatment with biological regeneration of the washing  
21 agent [2].

22 A number of technologies are available for biogas upgrading, these include: water scrubbing,  
23 physical scrubbing by organic solvents, chemical scrubbing by amine solutions, pressure swing  
24 adsorption, membrane separation and cryogenic separation [1]. All of these seek to separate  
25 the CO<sub>2</sub> in the biogas either by physical or chemical processes. New technologies under  
26 development include chemical hydrogenation processes and biological technologies seeking  
27 to produce either additional CH<sub>4</sub> from the available CO<sub>2</sub> or additional biomass that could  
28 be used for the extraction of high value added products or for biogas production in a circular  
29 economy[1].

30 Each of the available upgrading technologies have different advantages and disadvantages  
31 and they all aim to produce the highest CH<sub>4</sub> purity with the lowest CH<sub>4</sub> losses and energy  
32 consumption. Several reports exist in the literature reviewing the status and development  
33 perspectives of biogas upgrading technologies[1–6]. A summary of process metrics is pre-  
34 sented Table 1. There is not an overall better technology, and the best choice will depend  
35 on the specific, local conditions [2]. Additionally, total cost of ownership depends on the  
36 flowrate of raw biogas to be upgraded. For flowrates of up to around 1000 Nm<sup>3</sup>/h of bio-  
37 gas, membrane upgrading has been cited as the cheapest technology, and a close alternative  
38 to water scrubbing at higher biogas flowrates[4, 7, 8]. Nevertheless confusion still exists as  
39 whether membrane upgrading is an overall expensive or inexpensive alternative as it is shown  
40 on Table 1. A more detailed information set on process comparison is shown in Appendix  
41 A.

42 Generally speaking, the main cost elements of membrane upgrading are the power consump-  
43 tion originated from the gas compressors/vacuum pumps (OPEX) and the compressors and  
44 membranes investment costs (CAPEX) [9]. To reach high CH<sub>4</sub> purities and recoveries, mem-  
45 brane upgrading is systematically based on multistage processes, for which the choice of the  
46 right membrane (or membranes), process architecture, and operating parameters are essential  
47 to reach the lowest cost possible. A few studies addressed cost analysis and process configu-  
48 ration analysis for biogas upgrading based on Process Systems Engineering approaches. For  
49 instance, two stages processes have been recently explored with cellulose acetate and carbon  
50 membranes [10], and polyimide two stages systems in another study [11]. A process synthesis  
51 study has been also performed with a 3 stages process based on polyimide membranes, in  
52 order to achieve the maximal cost efficiency thanks to a process optimization methodology  
53 [12]. To our knowledge, no process synthesis study addressed however a rigorous comparison  
54 of different membrane materials yet. More specifically, the key question of the potential in-

55 terest of high performance (but often expensive) membrane materials for biogas (and natural  
 56 gas) treatment, such as formulated by Baker [13], is thus unsolved.

57 In this paper we present the application of a global optimization approach based on a NLP  
 58 formulation of the optimization of membrane upgrading processes by means of a superstruc-  
 59 ture representation. This global optimization approach has been validated in a previous  
 60 study [14]. Current upgrading membranes such as cellulose acetate and polyimide will be  
 61 compared. In a second step, a high performance, inorganic membrane material will be ex-  
 62 plored. Among the different nanostructured materials which have been reported for biogas  
 63 purification purpose (e.g. zeolites [15–17], silica [18], Carbon Molecular Sieves [19, 20], ...),  
 64 we selected a commercially available zeolite membrane which has never been proposed  
 65 for biogas applications. The aim is to evaluate from a process and cost perspective the impact  
 66 of membrane performance. Moreover, the effect of the final pressure of the upgraded gas on  
 67 the process cost and configuration is also considered since this has never been evaluated by  
 68 Process Synthesis approaches. Biomethane injection pressure is indeed an important param-  
 69 eter for biogas infrastructure and pressure levels from 5 to 15 bar can be found depending  
 70 on the location on the grid [10].

Table 1: Comparison between different methods of biogas upgrading

	Pressurized Water Absorption	Chemical absorption	Pressure Swing Adsorption (PSA)	Membrane gas separation
$CH_4$ purity (%)	> 98 %	>98 %	> 97%	> 98%
$CH_4$ Recovery (%)	> 98 %	98-99 %	> 92%	98-99%
Removed compounds	$CO_2, VOC's$	$CO_2, VOC's$	$CO_2, VOC's, O_2$ $N_2$	$CO_2, VOC's, H_2O$ $O_2$
Energy requirement ( $kWh/Nm^3$ raw biogas)	0.24-0.4	0.6-0.7	0.23-0.4	0.2-0.3
Cost efficiency	++	+/-	+/-	+++

## 71 2. Process synthesis methodology

### 72 2.1. Optimization method for membrane gas separation

73 In this study, a membrane process with up to three stages has been considered with the  
 74 possibility of using a compressor or an expander for the product stream, with 3 different  
 75 product pressure levels (5, 10 or 15 bar). In order to achieve this target with a minimum  
 76 process cost, the membrane process should be optimally designed. The optimal design  
 77 of a membrane process means to determine the best possibility for the number of stages,  
 78 the membrane material (polymer or inorganic), the elements included in the system (e.g.  
 79 mixers, splitters, compressors, expandors, vacuum pumps and other necessary equipments;

80 specially the compressor or expander on the product stream), their operating conditions  
81 and their connections. This requires a rigorous mathematical modeling approach in order  
82 to optimize the design of membrane separation systems. Process synthesis methods applied  
83 to membrane systems are intensively investigated, following the pioneering analysis of mass  
84 exchange networks by El-Hawagi in the 90's [14]. Numerous variants in terms of equipment,  
85 connection possibilities, set of constraints and objective function (i.e. overall cost function)  
86 can be found. While numerous process synthesis studies addressed the problem of carbon  
87 capture, hydrogen purification, Oxygen Enriched Air (OEA) and natural gas treatment, very  
88 few publications are reported for biogas purification. The most recent and detailed study  
89 has been performed by Scholz et al., with a structural optimization approach making use  
90 of GAM's software. A three stages process based on polyimide membranes is investigated,  
91 making use of a single compressor for an outlet pressure of 16 Bar. A parametric study shows  
92 that increased selectivities significantly improves the economy of the process. A limited set  
93 of connections (e.g. no self recycling loop), no vacuum pumping and a single outlet pressure  
94 are investigated.

95 In this study, a general and systematic optimization model for membrane process proposed in  
96 [14] is used, which takes into account all the mentioned possibilities as a degrees of freedom  
97 in a membrane system (vacuum pumping, self recycling loops). The superstructure and  
98 optimization program, named MIND, has been built in house and makes use of KNITRO  
99 algorithm. A detailed description of the methodology and characteristics of the program can  
100 be found in [21]. Moreover, three different outlet pressure levels are taken as constraints,  
101 for three different membrane materials. The overall target is to identify to what extent  
102 improved commercially available membrane materials, extended connection possibilities and  
103 supplementary equipment options (vacuum pump, expander) impact the biogas purification  
104 cost.

**Optimization framework:**

Variable membrane surface area for each stage  
Variable pressure ratio for each stage including vacuum operation  
Upstream pressure between 1 to 100 bar, uniform variable  
Downstream pressure between 0.2 to 1 bar, independent variable

**Process synthesis possibilities:**

1. Pre-selected polymer (CA and PI) or inorganic (zeolite) membrane
2. Outlet pressure (biomethane) 5, 10 or 15 bar
3. Feed compressor and/or outlet expander

**Exhaustive combinatorial connectivity including:**

1. Variable split stream ratio for all permeate and retentate streams
2. Recycling loops (including self recycling loops)

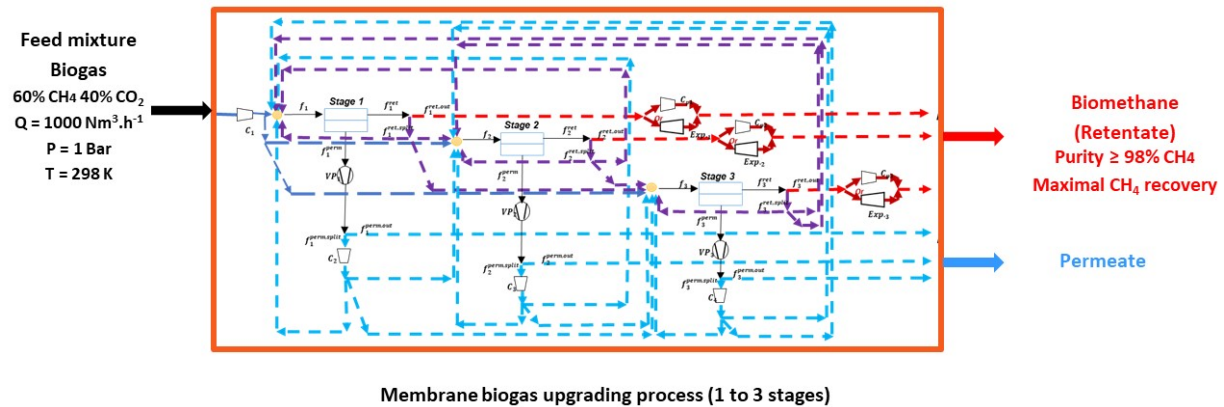


Figure 1: Overall process synthesis framework applied in this study. A membrane separation process including up to 3 stages with compressors and/or vacuum pumps and/or product compressor and/or expander is used. Multiple connection possibilities including recycling loops is applied to achieve biomethane with three levels of product pressures. The different configuration possibilities and operating variables are taken into account in order to achieve the lowest production cost (i.e. objective function, detailed in Tables 2 and 3).

105 More specifically, the overall process cost which is taken as the objective function of the  
106 optimization model, is the modification of [14] separation cost and [10] cost parameters. It  
107 takes into account the capital expenses (CAPEX) such as membrane area and membrane  
108 frame, vacuum pumps, compressors or expanders and the operating costs (OPEX), such as  
109 contract and material maintenance cost, local tax and insurance, labor overhead cost, energy  
110 requirement, membrane replacement and total operation. The cost function used for biogas  
111 upgrading and the parameters used in the objective function are detailed in Table 2 and  
112 Table 3 respectively. The energy requirement equations are explained in detail in Appendix  
113 B.

Table 2: Cost equations used to determine product gas separation cost

<b>Equipment cost</b>		
$I_{m_s} = A_{m_s} \cdot K_m$	(1)	Membrane cost
$I_{m_{fs}} = (A_{m_s}/2000)^{0.7} \cdot K_{mf} \cdot (p^{up}/55)^{0.875}$	(2)	Membrane frame cost
$I_{c_s} = C_c \cdot (W_{c_{ps}}/74.6)^{0.77} \cdot (MPF_c + MF_c - 1) \cdot UF_{1968} \cdot K_{er}$	(3)	Stage compressor cost
$I_{c_f} = C_c \cdot (W_{c_{pf}}/74.6)^{0.77} \cdot (MPF_c + MF_c - 1) \cdot UF_{1968} \cdot K_{er}$	(4)	Feed compressor cost
$I_{c_{prod}} = C_c \cdot (W_{c_{p_{prod}}}/74.6)^{0.77} \cdot (MPF_c + MF_c - 1) \cdot UF_{1968} \cdot K_{er}$	(5)	Retentate compressor cost
$I_{exp} = C_{exp} \cdot (W_{exp}/0.746)^{0.81} \cdot (MPF_c + MF_c - 1) \cdot UF_{2000} \cdot K_{er}$	(6)	Expander cost
$I_{v_{ps}} = C_{vp} \cdot (W_{v_{ps}})$	(7)	Vacuum pump cost
<b>Capital expenditures</b>		
$PFC = I_{c_f} + I_{c_{prod}} \text{ or } I_{exp} + \sum_{s \in \mathcal{S}} I_{m_s} + I_{m_{fs}} + I_{c_s} + I_{v_{ps}}$	(8)	Process facilities capital
$BPC = 1.12 \cdot PFC$	(9)	Contingency cost
$PC = 0.2 \cdot BPC$	(10)	Base plant cost project
$TFI = BPC + PC$	(11)	Total facility investment
$STC = 0.10 \cdot OPEX$	(12)	Start – up cost
$CAPEX = TFI + STC$	(13)	Total capital cost
<b>Operational expenditures</b>		
$CMC = 0.05 \cdot TFI$	(14)	Contract and material maintenance cost
$LTI = 0.15 \cdot TFI$	(15)	Local taxes and insurance
$DL = 11 \cdot t_{op}$	(16)	Direct labor
$LOC = 1.15 \cdot DL$	(17)	Labor overhead cost
$EC = t_{op} \cdot W_{tot} \cdot K_{el}$	(18)	Energy cost
$MRC = \sum_{s \in \mathcal{S}} A_{m_s} \cdot \nu \cdot K_{mr}$	(19)	Membrane replacement cost
$OPEX = CMC + LTI + DL + LOC + EC + MRC$	(20)	Total operational expenditures
<b>Annual and specific separation costs</b>		
$APL = F^{PERM} \cdot 3600 \cdot 0.0224 \cdot K_{gp} \frac{X_{CH_4}^{PERM}}{X_{CH_4}^{RET}}$	(21)	Annual CH <sub>4</sub> losses
$TAC = CAPEX \cdot \frac{i \cdot (1+i)^z - 1}{(1+i)^z - 1} + OPEX + APL$	(22)	Total annual costs
$SC_{CH_4} = TAC / (F^{RET} \cdot 3600 \cdot t_{op} \cdot 0.0224)$	(23)	Specific CH <sub>4</sub> separation cost

Table 3: Cost parameters used in Table 1

<b>Capital cost parameters</b>		
$C_c$	$1 \times 23000$	USD <sub>1968</sub>
$C_{vp}$	1000	EUR/kW
$C_{exp}$	420	USD <sub>2000</sub>
$K_m(\text{polymer})$	50	EUR/m <sup>2</sup>
$K_m(\text{zeolite})$	2000	EUR/m <sup>2</sup>
$K_{mf}$	$2.86 \times 10^5$	EUR
$K_{er}$	0.9	EUR/USD
$MPF_c$	2.9	-
$MF_c$	5.11	-
$UF_{2000}$	1.44	-
$UF_{1968}$	4.99	-
<b>Operational and annual cost parameters</b>		
$\nu$	0.25	-
$K_{mr}(\text{polymer})$	25	EUR/m <sup>2</sup>
$K_{mr}(\text{zeolite})$	2000	EUR/m <sup>2</sup>
$t_{op}$	8322	h/year
$K_{el}$	0.08	EUR/kWh
$K_{gp}$	0.8	EUR/Nm <sup>3</sup>
$i$	0.08	-
$z$	15	years
$\eta_c$	0.85	-
$\Phi$	0.95	-
$\gamma$	1.36	-
$R$	8.314	$J/(K \cdot mol)$
$T$	293.15	K

114 The optimal process configuration and the associated operating conditions for the specific  
115 target (biomethane under product pressure) are achieved thanks to a a continuous global  
116 optimization algorithm presented in [14] to solve the proposed optimization model with the  
117 mentioned objective function.

## 118 2.2. Biogas purification process: Case study

119 A biogas with a flowrate of 12.393 mol/s, corresponding to around 1000 Nm<sup>3</sup>/h is considered.  
120 Inlet gas pressure and temperature are 1 bar and 293.15°K respectively. A classical biogas  
121 composition, detailed in Table 4 is used [12]. Product purity is expressed in terms of  $CH_4$   
122 content in the outlet stream with the constraint of at least 98%  $CH_4$ , together to minimal  
123 methane losses in terms of optimization constraints (Figure 1).

Table 4: Biogas compositions

Gas component	Composition (%mol)
<b>CH<sub>4</sub></b>	60
<b>CO<sub>2</sub></b>	40

124 Process configurations up to three membrane stages are studied. The module pressure ratio is  
 125 variable through a range of downstream and upstream pressure allowed for the optimization.  
 126 Upstream pressure is the same for all the stages in the system. Technically, by considering  
 127 vacuum pumping for each permeate stream in the system, the downstream pressure level is  
 128 allowed to vary between 0.2-1 bar, while upstream pressure vary between 1-100 bar.

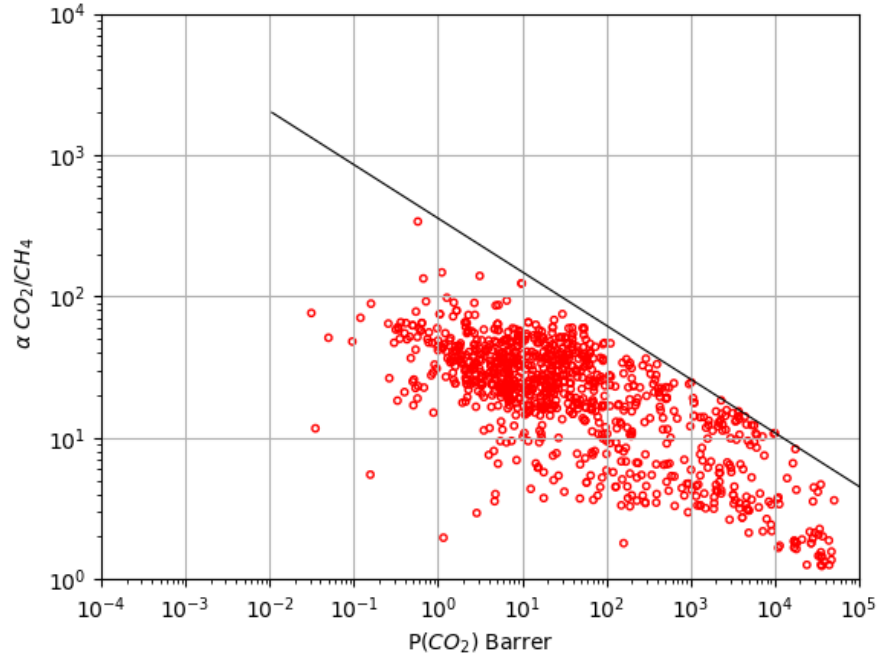
129 The goal of the study is to identify the most effective process structure and operating condi-  
 130 tions, which reach the specifications (biomethane with target purity, maximal recovery and  
 131 outlet pressure level) at the lowest cost. In order to achieve this aim, a system with poly-  
 132 meric membranes (cellulose acetate and polyimide) is first considered and the effects of the  
 133 three different levels of biomethane pressure on process structure, operating conditions and  
 134 process cost is studied. In a second step, the same scenario is performed on a process with  
 135 inorganic (zeolite) membrane performances. Table 5 presents the performances of polymers  
 136 and inorganic membranes used for the process synthesis study.

Table 5: Membranes characteristics used for the Process Synthesis study

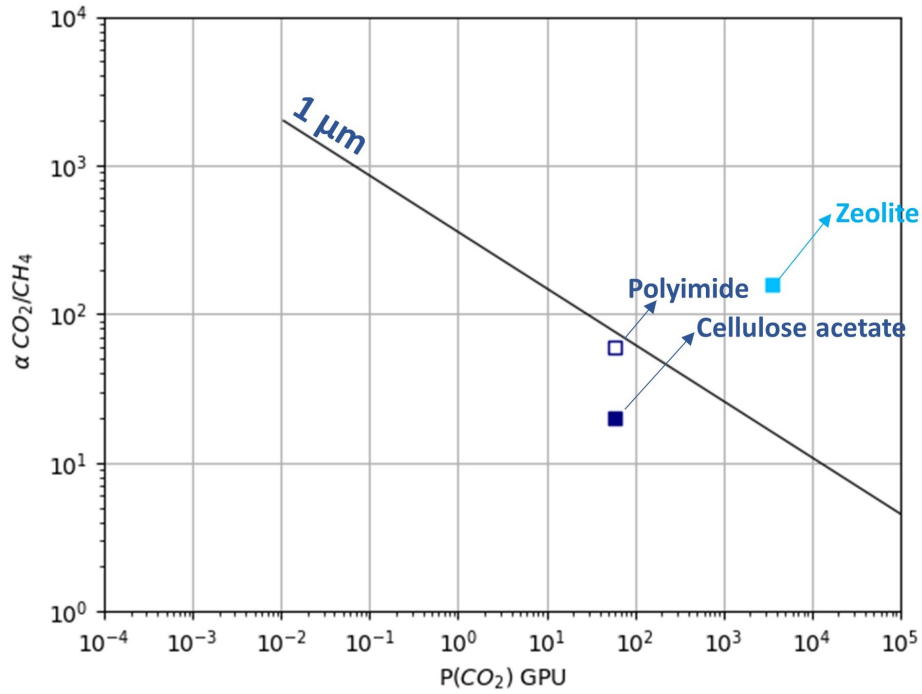
Membrane material	$P_{CO_2}$ (GPU)	$P_{CH_4}$ (GPU)	Cost (EUR/ $m^2$ )	References	Comments
<b>Cellulose Acetate (CA)</b>	60	3	50	[12]	First commercialised membrane material for $CO_2/CH_4$ separation
<b>Polyimide (PI)</b>	60	1	50	[12]	Second generation polymeric membrane material (improved selectivity, close to trade-off limit)
<b>Zeolite</b>	3500	22	2000	[17]	High performance inorganic membrane material (breakthrough permeance and selectivity)

137 Figure 2a shows the permeability/selectivity trade-off for  $CO_2/CH_4$  polymeric membrane  
 138 materials [22]. The performances of the three membranes (CA, PI and Zeolite) investigated  
 139 in this study are reported in Figure 2b, where a 1 micron active layer thickness is used for  
 140 the trade-off permeance calculation (a membrane showing a 1  $\mu m$  thickness and a 1 Barrer  
 141 permeability corresponds to 1 GPU). The very large selectivity and permeance performances  
 142 of the zeolite membrane, compared to existing polymeric membrane materials, is highlighted.  
 143 This is however associated to a very high cost (Table 5). A 2000 EUR/ $m^2$  cost is taken for  
 144 the inorganic membrane, which is a zeolite CHA type. More generally, typical inorganic  
 145 membrane costs range between 1000 [23] and 5000 EUR/ $m^2$  [24]. The balance between very  
 146 high performances membrane material and very high cost will thus be analysed through this  
 147 study.





(a)  $CO_2/CH_4$  trade-off curve [22]



(b) Selectivity / permeance trade-off curve based on a  $1 \mu m$  skin layer thickness and performances of two polymers (Polyimide and Cellulose acetate) and one inorganic (Zeolite, CHA type) membrane materials ( $1 \mu m$  thickness with a 1 Barrer permeability corresponds to 1 GPU).

Figure 2: Trade-off curves for  $CO_2/CH_4$  gas pair based on permeability for different polymeric materials (a) and permeance for gas separation membranes (b)

148 In order to attain biomethane specifications, the possibility of using compressors and/or  
149 vacuum pumps and/or an expander on the product stream is investigated. In a first step,  
150 the upstream pressure is considered between one bar and the target outlet pressure. Then,  
151 the possibility of using/not using a compressor for the product stream is investigated. The  
152 product compressor cost (Equ. 5) and the corresponding the PFC (Equ. 8) are included  
153 in the objective function for that purpose. In a second step, the same senario is performed  
154 with a product stream pressure between the target pressure and 100 bar. The possibility of  
155 using/ not using an expander (i.e. an Energy Recovery System) for the product stream is thus  
156 explored. The expander cost (Equ. 6) and the associated PFC (Equ. 8) are then included  
157 in the objective function. The optimal process structure is finally obtained by comparing  
158 the results of these different steps for all cases (up to three stages, different membranes and  
159 different biomethane pressure levels).

160 The general methodology of the study is sketched on Figure 3. The superstructure op-  
161 timization method used in this study makes use of MIND in house built program (using  
162 KNITRO algorithm). The overall cost function is minimized, with fixed retentate purity  
163 (98% methane). For the different membranes, outlet pressure levels and stage numbers an  
164 optimal design and operating conditions set is generated (such as shown in a series of figures  
165 later in the paper). This set of possibilities can be used, in a second step, in order to select  
166 the best solution. It is important to stress at this point that the final decision includes  
167 additional conditions or criteria, in some cases qualitative. For instance, risk analysis can  
168 lead to a rejection of vacuum pump designs, due to explosion hazard resulting from air leaks  
169 (oxygen / methane mixtures on the permeate side). Design complexity is also important.  
170 For instance, if a three stages design with several compressors only offers a slight cost de-  
171 crease compared to two stages, it is likely that the two stage process will be favored. Process  
172 robustness, which can be evaluated through a parametric sensitivity, is also important. In  
173 summary the idea is to provide, through Process Synthesis, a set of optimal solutions to the  
174 problem (upper part of the figure), so that the decision maker has an exhaustive view of the  
175 different possibilities in the process selection step (lower part of the figure).

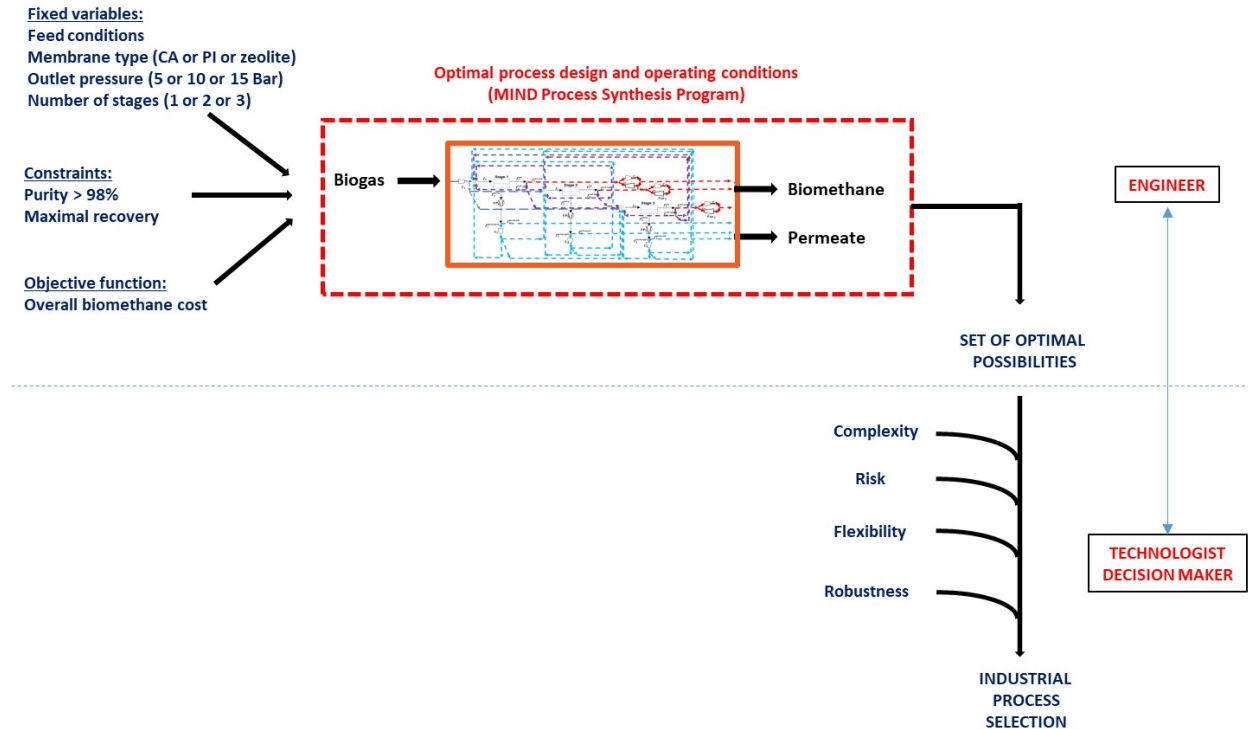


Figure 3: Generic representation of the different steps of a process selection strategy. Process synthesis in a first step proposes a portfolio of optimal solutions for different membranes, number of stages and operating conditions. A decision maker can then use this information set in order to select the best trade-off between cost, complexity, risk and flexibility

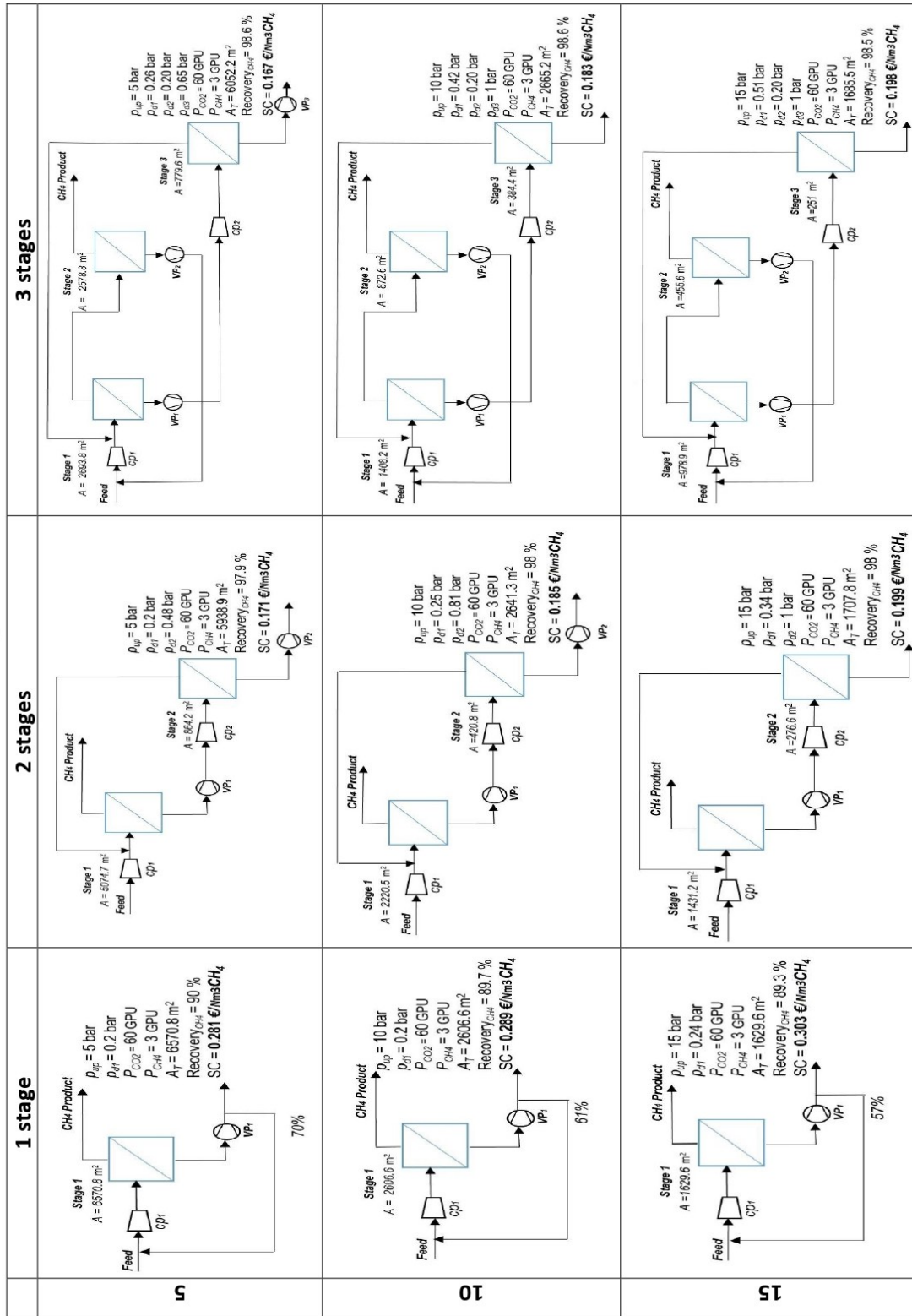
### 176 3. Results and discussion

#### 177 3.1. Biogas purification by commercially available polymeric membrane materials

178 Polymeric membranes are classically used for gas separation applications [25, 26]. Polymers  
 179 indeed offer low production cost, selective permeability, ease of processing and scaling up  
 180 characteristics [6, 26, 27]. Cellulose acetate (CA) and Polyimide (PI) are preferred for biogas  
 181 purification [9].

182 Figure 4 shows the optimal process configurations for biogas upgrading with three levels  
 183 of biomethane pressure levels and for up to 3 membrane stages. Interestingly, the optimal  
 184 process configuration is almost not impacted by the outlet pressure level. For one stage con-  
 185 figurations, a recycling loop offers the best cost performances, whatever the outlet pressure.  
 186 A significant cost decrease is obtained with two stages configuration. Again outlet pressure  
 187 does not impact optimal configuration. Three stages processes generate a slightly lower pu-  
 188 rification cost compared to two stages. Two recycling loops are obtained in that case. This  
 189 suggests two stages processes to be favored in place of three, when complexity is taken into  
 190 account. This results corroborates classical industrial practice, where two stages processes  
 191 are most often applied, be it for natural gas or biogas purification applications [9].

# Number of stages



Product pressures (bar)

Figure 4: The best process configurations obtained with cellulose acetate membrane for up to three membrane stages and different levels of product pressure

192 Figure 5 shows the optimal process configurations for a polyimide (PI) membrane based  
193 process with up to three membrane stages and different product pressures.

194 PI is a second generation membrane material, which offers improved selectivity compared  
195 to CA (Table 5)[6, 26]. Globally speaking the results obtained with PI are close to those  
196 obtained with CA in terms of process structure and characteristics. The major difference  
197 comes from the lower purification cost obtained with PI membranes. Costs increase with  
198 outlet pressure level, decrease with the number of stages, but again with a very low difference  
199 between two and three stages. Expander and extra compression options are not interesting.  
200 A single stage process with a recycling loop is obtained for 5 bar pressure level, while a  
201 recycling loop is included for 10 and 15 bar. Purification costs vary from 0.194 to 0.142  
202 EUR/ton  $CH_4$  depending on the outlet product pressure. With a single stage process, the  
203 separation cost is increased from 26.2% to 11.17% approximately in comparison to a two  
204 stage process.

# Number of stages

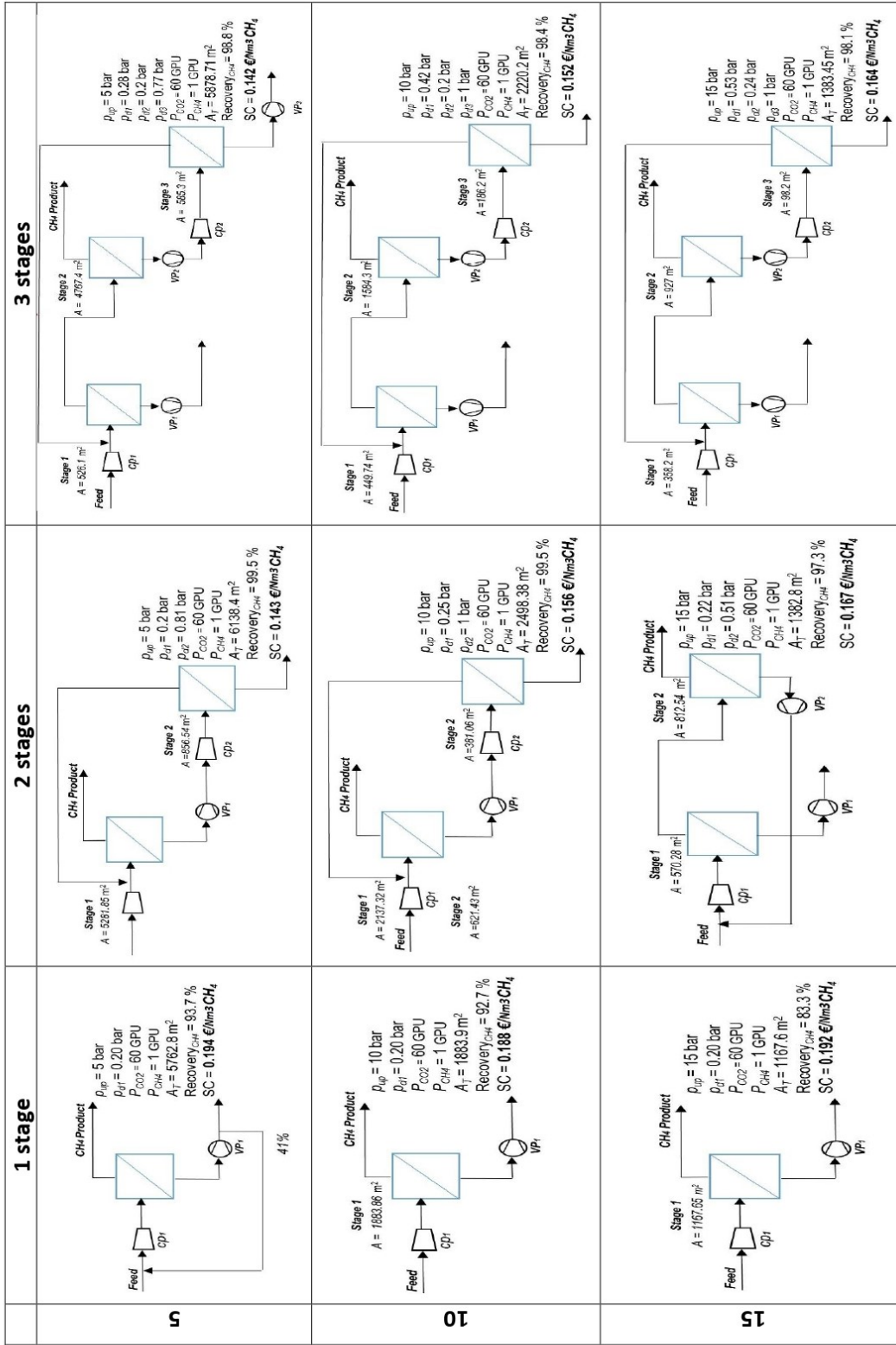


Figure 5: The best process configurations obtained with polyimide membrane for up to three membrane stages and different levels of product pressure

205 *3.2. Biogas purification by high performance inorganic zeolite membrane*

206 In the last part of the study, an inorganic zeolite membrane, showing breakthrough gas  
207 separation performances (beyond the Robeson upper bound. Figure 2b) is investigated.

208 The optimal process configurations with up to three stages and three outlet pressure levels  
209 are shown in figure 6.

210 Globally speaking, the trends obtained with CA and PI are similar: purification costs de-  
211 crease with the number of stages, a large improvement is obtained between one and two  
212 stages, a small cost improvement is obtained with three stages compared to two. Increased  
213 outlet pressure levels increase the purification costs. Extra compression and/or expander  
214 are not useful. Two stages configurations make use of one recycling loop, while three stages  
215 make use of two.

216 But, the most significant result of the zeolite membrane use is the large decrease in purifica-  
217 tion cost compared to CA and PI. The key question of the balance between high performances  
218 at the expense of a high cost is thus answered. Other aspects and limitations of zeolite mem-  
219 branes obviously have to be taken into account (few suppliers, lower compacity, mechanical  
220 resistance, sensitivity to water...). Coming back to the questions indicated in the introduc-  
221 tory part, it can be stated that high performance zeolite membranes offer very attractive  
222 potentialities for biogas upgrading applications; the optimal process design are similar to PI  
223 processes. The very high permeance logically generates impressive decrease of the membrane  
224 surface area, while the high selectivity improves the energy efficiency.

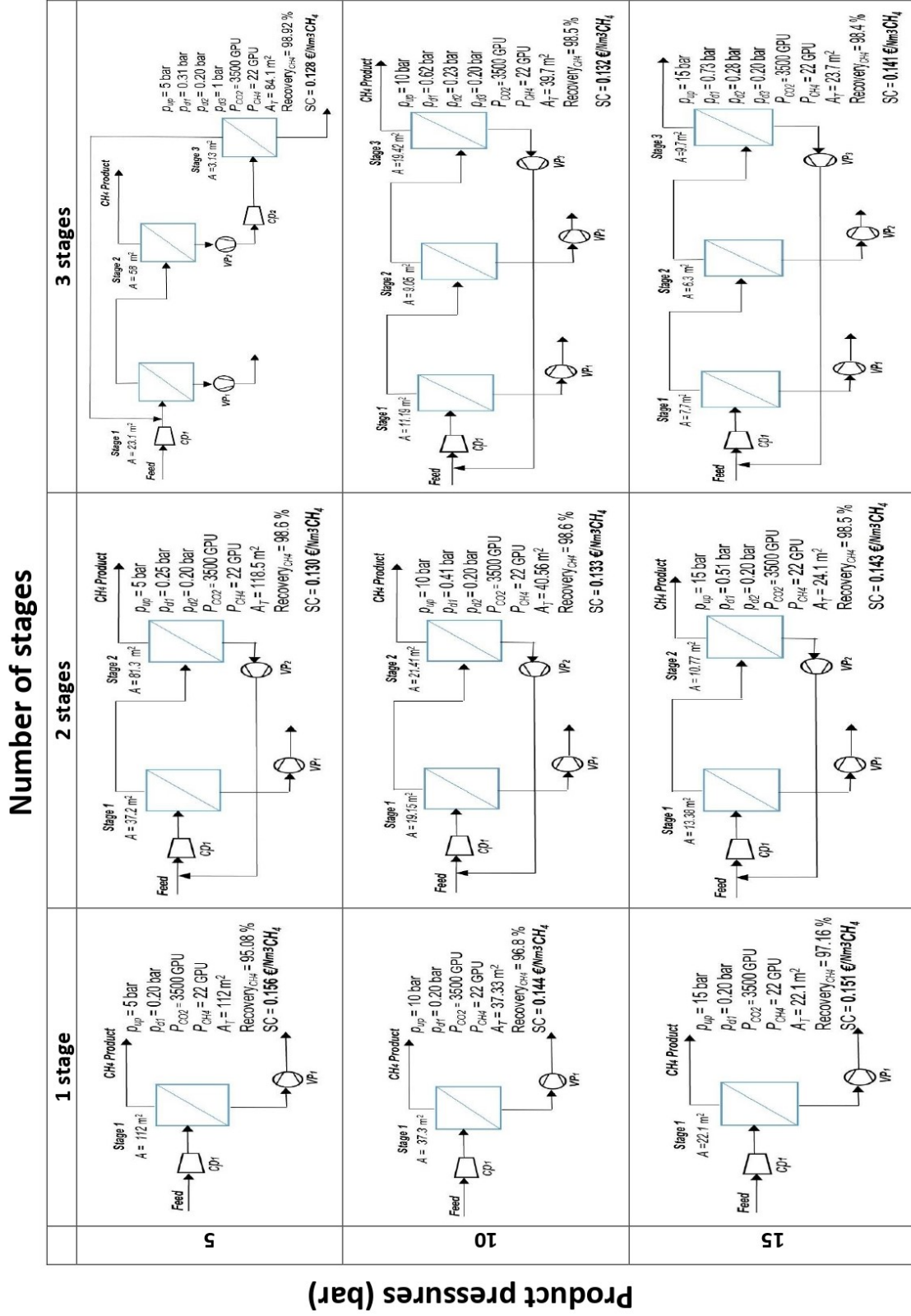


Figure 6: The best process configurations obtained with zeolite membrane for up to three membrane stages and different levels of product pressure



225 Figure 7 displays the overall separation cost of the optimal process configurations presented  
 226 in the Figures 5, 4 and 6 regards to the range of the target product pressures for process with  
 227 one, two and three stages. Figure 7 shows that although the separation cost of a single stage  
 228 process with all of mentioned membranes is dramatically more than two and three stages  
 229 process, the separation cost of two and three stages process are quiet close.

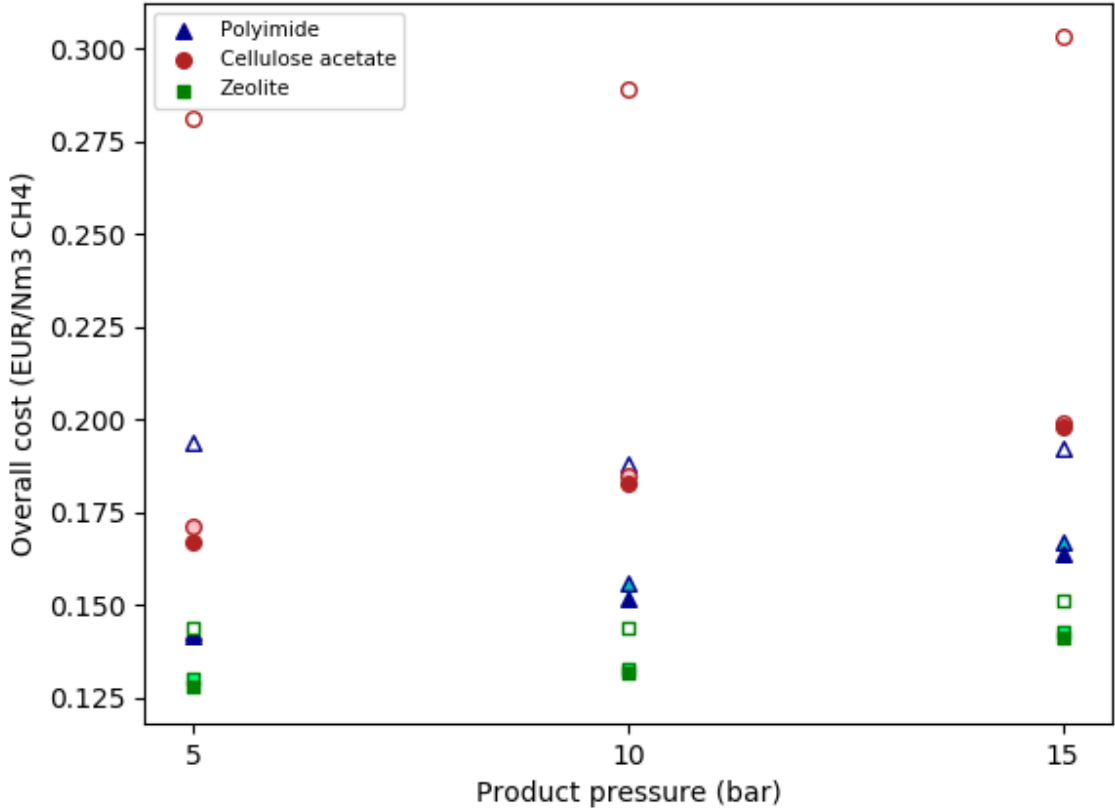


Figure 7: Overall separation cost vs product pressure for the optimal configurations obtained with different membranes. Open, light and dark symbols correspond to one, two and three stages processes respectively.

230 Minimal biogas purification costs as a function of a target product pressure are presented  
 231 for the three different membranes and different number of stages in Figure 8. The benefits  
 232 of PI vs CA and zeolite vs polymeric membrane materials is clear. The very small difference  
 233 between two and three stages, whatever the membrane type is also noticeable.

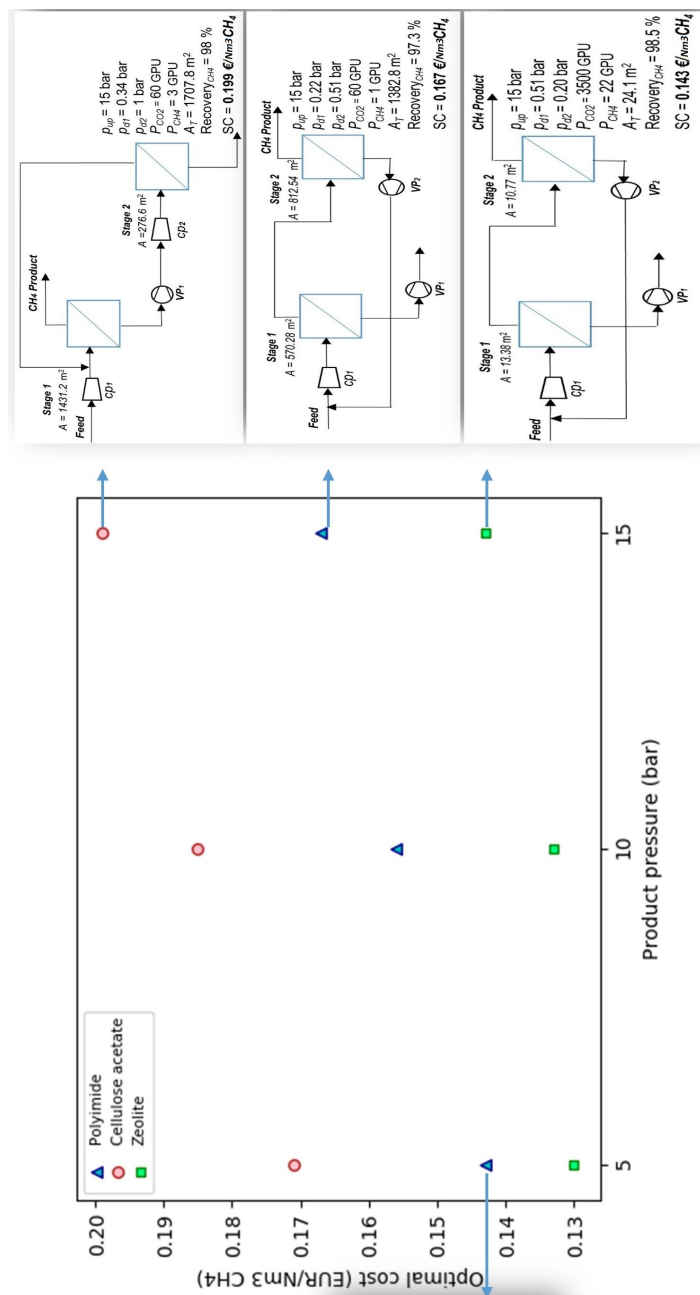


Figure 8: Minimal separation cost vs outlet product pressure for the different optimal two stages configurations obtained with the three different membranes.

234 *3.3. Synopsis*

235 A synopsis of the process configuration results generated through the process synthesis study  
 236 is shown in Table 6. Taking the 2 stages optimal solution as the best balance between  
 237 efficiency, cost and complexity, it can be seen that only two types of configurations are  
 238 finally obtained. Interestingly, these two configurations, namely retentate recycle (left side  
 239 of Figure 8) and permeate recycle (right side of Figure 8) have been already used by previous  
 240 authors for biogas purification process studies. The retentate recycle option has been used  
 241 for technico-economical studies [10] or process design studies [28, 29]. Alternatively, some  
 242 authors only explore the performances of the permeate recycle configuration, either with 2  
 243 stages [11] or 3 stages [12, 30].

244 A larger outlet pressure generates a larger cost, which is significantly decreased with a zeolite  
 245 membrane; in that latter case, the very high permeance of the membrane largely decreases  
 246 the membrane surface area. The OPEX/CAPEX share ratio is roughly the same, whatever  
 247 the membrane type and outlet pressure. The energy efficiency is in the range reported for  
 248 membrane biogas upgrading units (Table 1).

Table 6: Summary of the best process configurations for biogas purification with polymer membrane (PI) and inorganic membrane (Zeolite).

	Plyimide membrane (PI)			Zeolite membrane		
Target product pressure (bar)	5	10	15	5	10	15
Number of stages	2	2	2	2	2	2
Process configuration	Retentate Recycle	Retentate Recycle	Permeate Recycle	Permeate Recycle	Permeate Recycle	Permeate Recycle
Overall cost (EUR/ $Nm^3CH_4$ )	0.143	0.156	0.167	0.130	0.133	0.143
CAPEX(%)	81.7	81.8	82.5	77.9	80.1	81.8
OPEX(%)	18.3	18.2	17.5	22.1	19.9	18.9
Biomethane purity(%)	98	98	98	98	98	98
Biomethane recovery(%)	99.5	99.5	97.3	98.6	98.6	98.5
Overall membrane surface area( $m^2$ )	6138.4	2498.4	1382.8	118.5	40.56	24.1
Stage cut	0.39	0.39	0.40	0.39	0.40	0.40
Energy efficiency ( $kWh/Nm^3$ )	0.27	0.29	0.33	0.21	0.26	0.30

249 The results summarized in Table 6 address a key question concerning the set of conditions  
 250 which lead to either the retentate or permeate recycle option for a two stage process. To  
 251 our knowledge, no systematic explanation of the criteria which induce the selection of one or  
 252 the other of these two possibilities is available. Table 6 suggests that for a highly selective  
 253 membrane and/or a high pressure, the permeate recycle configuration is the best choice.

254 We report in Table 7 a qualitative analysis of the conditions which will make one or the other  
 255 of these two possibilities the best option. The key indicator is the extent of methane losses  
 256 on the permeate outlet in the first stage. For a low selectivity membrane material, and/or  
 257 low pressure difference, and/or high stage cut, the methane losses will be important [13]and  
 258 it is logical to recover the permeate stream of the first stage and recycle the methane from  
 259 the retentate of the second stage. On the contrary, for a very selective membrane, and/or  
 260 a high pressure difference, and/or a low stage cut, methane losses in the first stage will be  
 261 low. In that case, it is more interesting to further increase the methane purity in a second  
 262 stage, and recover the methane losses from the permeate of the second stage.

Table 7: Analyzing the optimal process results of polymer and zeolite membranes in biogas upgrading. Red arrow corresponds to methane flux. A low selectivity membrane will generate a larger methane flux in the first stage, compared to a high selectivity membrane. This impacts the optimal process design.

Materials: Membrane selectivity	Low	High
Driving force: Transmembrane Pressure	Low	High
Productivity: Stage cut	High	Low
Process type	Low process selectivity of the first stage (Methane permeate flux maximal in first stage)	High process selectivity of the first stage (Methane permeate flux minimal in first stage)
Optimal configuration (2 stages example)	First stage permeate recovery and second stage retentate recycle to feed	Second stage permeate recycle to feed
Equipment	2 compressors 1 vacuum pump	1 compressor 2 vacuum pumps
Examples	Low selectivity (e.g. CA) membrane and/or low transmembrane pressure (Biogas)	High selectivity (e.g. PI, zeolite) membrane and/or high transmembrane pressure (natural gas)
References	[10, 28, 29]	[11, 12, 28, 30]

#### 263 4. Conclusions and perspectives

264 The objective of this study was to investigate the impact of high performance membrane  
265 materials, extended equipment and process connection possibilities and outlet pressure levels  
266 on biogas purification costs.

267 The results can be summarized as follows:

268 - A high performance, very expensive (e.g. 2000 EUR per square meter) membrane material  
269 offers promising perspectives in terms of biogas purification cost. This result confirms the  
270 attractiveness of high performance, costly materials, such as generally suggested by Baker, for  
271 the specific case of biogas purification. It can be linked to the fact that for membrane gas  
272 separations, capital expenses are by far dominated by compressor costs, while membrane cost  
273 have a limited impact. In terms of materials performances, the interest of increased selectivity  
274 can be seen from the comparison between cellulose acetate and polyimide; the interest of  
275 both improved selectivity and permeance is shown by the zeolite membrane decreased costs.

276 - Optimal process designs are only slightly affected by membrane performances. Generally  
277 speaking, a two stages process with either a retentate or permeate recycling loop is expected  
278 to offer the best balance in terms of purity / recovery / process complexity and cost. This  
279 conclusion corroborates heuristics in CO<sub>2</sub>/CH<sub>4</sub> membrane separation processes, such as clas-  
280 sically used for natural gas treatment. It also shows that such a design is robust, whatever  
281 the membrane type or outlet pressure level.

282 - An increased outlet pressure logically generates higher purification costs; extra compression  
283 (i.e. feed compression above the outlet pressure level) or an expander do not improve the  
284 process economy

285 - Vacuum pumping is systematically applied for optimal process, whatever the outlet pressure  
286 level and number of stages. A moderate vacuum pumping is obtained through optimization  
287 (typically 0.2 to 0.5 Bar). This result is noticeable and suggests a more detailed analysis and  
288 evaluation for this option. Vacuum pumping is indeed almost systematically discarded for  
289 membrane gas separations, because of leaks issues, low energy efficiency and large footprints.  
290 Carbon post combustion capture and Oxygen Enriched Air are exceptions for which vacuum  
291 pumping is suggested. To our knowledge, vacuum pumping has never been applied for biogas  
292 purification, neither investigated for process synthesis studies for this application.

293 Finally, this study could be extended to more complex systems such as multicomponent feeds  
294 (impact of water or nitrogen), multimembrane systems (is there any interest to combine  
295 different membranes into multistaged units?) or multitarget applications (e.g. combined  
296 biogas purification and carbon capture objective). A parametric sensitivity analysis would  
297 also be of interest in order to better evaluate the robustness of the process designs obtained in  
298 this study. The impact of feed composition (a different methane content, but also nitrogen  
299 content in the feed), of inorganic membrane cost or methane cost would certainly be of  
300 importance. It is important to note however that the two stages processes shown on Table  
301 7 are used for a long time for biogas upgrading with polymeric membranes and they have  
302 been proven to be robust.

303 The improvements suggested by this study logically remain to be evaluated within a tech-  
304 nological and industrial constrained context. For instance, the use of vacuum pumps cannot  
305 be accepted unless breakthrough cost savings are obtained and risk issues (i.e. oxygen in  
306 permeate due to leaks) are solved. Process complexity is also a problem and simple designs  
307 with minimal compressors, vacuum pumps, and connections will clearly be favored. We  
308 think however that the rigorous and exhaustive set of optimal process designs and operating  
309 conditions reported in this study will be of interest for decision makers in order to push the  
310 economy of biogas purification applications.

311 **Nomenclature**

312 **Parameters:**

$A_T$	Total area of the system[m <sup>2</sup> ]
$i$	Interest rate [%]
$K_{el}$	Electricity cost factor [EUR/kWh]
$K_{er}$	Exchange rate [EUR/USD]
$K_{gp}$	Upgraded biogas sales price [EUR/Nm <sup>3</sup> ]
$K_m$	Unit cost of membrane module [EUR/m <sup>2</sup> ]
$K_{mf}$	Base frame cost [EUR]
$K_{mr}$	Membrane replacement cost [EUR/m <sup>2</sup> ]
$MDF_{exp}$	Module factor for expander [-]
$MF_C$	Module factor for compressor [-]
$MF_{exp}$	Material factor for expander[-]
$MPF_C$	Material and pressure factor for compressor[-]
$P_j$	Permeance of component j [GPU]
$R$	Ideal gas constant [JK <sup>-1</sup> mol <sup>-1</sup> ]
$T$	Temperature [K]
$t_{op}$	Operation time per year [h/year]
$UF_{1968}$	Update factor [Dimensionless]
$UF_{2000}$	Update factor [Dimensionless]
$Z$	Project lifetime [years]
$\gamma$	Gas expansion coefficient [Dimensionless]
$\eta_c$	Isentropic compressor efficiency [Dimensionless]
$\eta_{vp}$	Isentropic vacuum pump efficiency [Dimensionless]
$\theta$	Stage cut [Dimensionless]
$\nu$	Membrane annual replacement rate [Dimensionless]
$\phi$	Mechanical efficiency [Dimensionless]

313 **Optimization Variables:**

$A_s$	Membrane surface area [m <sup>2</sup> ]
Feed	Feed gas flow rate [mol/s]
$F^{\text{Perm}}$	Permeate flowrate [mol/s]
$F^{\text{Ret}}$	Retentate flowrate [mol/s]
$f_s^{\text{perm,out}}$	Local permeate flowrate of membrane stage [mol/s]
$f_s^{\text{perm}}$	Total permeate flowrate of membrane [mol/s]
$f_s^{\text{prod}}$	Upgraded gas flowrate [mol/s]
$P_{\text{in}}$	Inlet stream pressure [bar]
$P^{\text{up}}$	Upstream pressure of all membrane stages [bar]
$P_s^{\text{down}}$	Downstream pressure of membrane stages [bar]
$X_j^{\text{Perm}}$	Fraction of component j into the system permeate [Dimensionless]
$X_j^{\text{Ret}}$	Fraction of component j into the system retentate [Dimensionless]



314 Other symbols:

APL	Annual product losses [EUR/year]
BPC	Base plant cost [EUR]
CAPEX	Capital expenditures [EUR]
CMC	Contract and material maintenance cost [EUR/year]
$C_c$	Compressor base cost [USD <sub>1968</sub> ]
$C_{exp}$	Expander base cost [EUR <sub>2000</sub> ]
$C_{vp}$	Vacuum pump cost factor [EUR/kW]
DL	Direct labor [EUR/year]
EC	Energy cost [EUR/year]
$I_{cf}$	Feed Compressor investment cost [EUR]
$I_{cs}$	Membrane Compressor investment cost [EUR]
$I_{exp}$	Gas expander investment cost [EUR]
$I_{ms}$	Membrane surface investment cost [EUR]
$I_{mf_s}$	Membrane frame investment cost [EUR]
$I_{vps}$	Vacuum pump investment cost [EUR]
LOC	Labor overhead cost [EUR/year]
LTC	Local taxes and insurance [EUR/year]
MRC	Membrane replacement cost [EUR/year]
OPEX	Operational expenditures [EUR/year]
PC	Project contingency cost [EUR]
PFC	Process facilities capital [EUR]
$SC_{CH_4}$	Specific CH <sub>4</sub> separation cost [EUR/Nm <sup>3</sup> CH <sub>4</sub> ]
STC	Start – up cost [EUR]
TFI	Total facility investment [EUR]
TAC	Total annual costs [EUR/year]
$W_{cf}$	Feed compressor energy consumption [kW]
$W_{cprod}$	Upgraded gas compressor energy consumption [kW]
$W_{cps}$	Permeate compressor energy consumption of membrane s [kW]
$W_{exp}$	Expander energy production [kW]
$W_{tot}$	Total energy consumption [kW]
$W_{vps}$	Vacuum pump energy consumption of membrane s [kW]

Table A.8: Comparison of commercial biogas upgrading technologies. [1–7]

	Water scrubbing	Physical organic scrubbing	Chemical absorption	Pressure swing adsorption	Cryogenic separation	Membrane separation
$CH_4$ (%) in upgraded gas	95-98[6]; >97[3]; >98[7]; 90-99[2]	>96, <93-98[6]; >97[3]	>98[6, 7]; >99[2, 3]	>96-98[6]; 95-99[3]; 97[7]	99[6]; 98[3]; 99.9[2]	90-92,96[6]; >98[1, 7]
$CH_4$ recovery (%)	>98[3, 5]; 96-98[1]; 98[7]; 98-99.5[2]	>97[5]; 96-98[1];	>99[5]; 96-99[1]; 98-99[7]	95-98[5]; 96-98[1];	90-98[5]; 97-98[1];	>96[5]; 96-98[1]; 98-99.5[7]
Removed elements	$CO_2$ , $H_2S$ , COV	$CO_2$ , $H_2S$ , $NH_3$ , HCN, $H_2O$	$CO_2$ , $H_2S$ , COV	$CO_2$ , $COV$ , $O_2$ , $N_2$	$CO_2$ , $H_2S$ , $O_2$ , $N_2$	$CO_2$ , $H_2S$ , $COV$ , $O_2$ , $H_2O$
Energy consumption (kWh/Nm <sup>3</sup> upgraded)	0.2-0.5[6]; 0.4-0.5[5]; 0.3-0.9[1]; 0.24-0.4*[7]; 0.2-0.3*[2]	0.10-0.33[6]; 0.21[5]; 0.4[1]; 0.23-0.33*[2]	0.05-0.25[1, 5, 6]; 0.56-0.7*[7]; 0.06-0.17*[2]	0.16-0.43[6]; 0.23-0.30[1]; 0.23-0.4*[7]; 0.15-0.35*[2]	0.2-0.79[6]; 0.76[1];	0.18-0.35[6]; 0.22[5]; 0.18-0.20[1]; 0.3*[7]; 0.18-0.33*[2]
Upgrading cost	Inexpensive[6]; Expensive[5]; Medium[1]; High[3]; Medium[7]	Expensive[3, 5, 6]; Medium[1];	High[1, 3, 6, 7]; Expensive[5];	Relatively inexpensive[6]; Expensive[5]; Medium[1]; High[3, 7]	Expensive[5, 6]; High[1, 3]; Low[3, 7]	Expensive[6]; High[1]; Low[3, 7]
Main advantages	No chemicals, scalable, easy operation	Co-removal of impurities, low $CH_4$ losses, smaller footprint	High $CH_4$ purity, very low $CH_4$ losses, low power requirement	No chemicals, scalable, compact	High $CH_4$ purity, very low $CH_4$ losses, no chemicals	No chemicals, scalable, easy operation
Main drawbacks	Water demand, bacterial clogging, $H_2S$ related corrosion	Difficult operation, heat required, solvent handling	High investment, Heat required for regeneration, solvent handling	High losses, valve operation control, fouling by biogas impurities	High investment, high energy consumption, low temperature operation	Energy consumption can be high, membrane fouling

\*per Nm<sup>3</sup> raw biogas

## 316 Appendix B. Energy consumption calculations:

Equation B.1 implies the power required for compressing the fresh Feed. Note that if the upstream pressure of a membrane is equal to the fresh Feed pressure,  $W_{\text{cpf}}$  equals to zero.

$$W_{\text{cpf}} = \frac{\text{Feed} \times 10^{-3}}{\eta_c} \cdot \frac{\gamma \cdot R \cdot T}{\gamma - 1} \cdot \left[ \left( \frac{P^{\text{up}}}{P_{\text{in}}} \right)^{\frac{\gamma-1}{\gamma}} - 1 \right] \quad (\text{B.1})$$

Equation B.2 implies the power required for compressing the permeate stream of stage  $s$  that does not go out of the system (enters to another stage in the system). It assumed that the compressor is preceded by a vacuum pump so,  $P_{\text{in}}$  equals to 1 bar.

$$W_{\text{cps}} = \frac{(f_s^{\text{perm}} - f_s^{\text{perm,out}}) \times 10^{-3}}{\eta_c} \cdot \frac{\gamma \cdot R \cdot T}{\gamma - 1} \cdot \left[ \left( \frac{P^{\text{up}}}{P_{\text{in}}} \right)^{\frac{\gamma-1}{\gamma}} - 1 \right] \quad (\text{B.2})$$

Equation B.3 implies the vacuum pump energy consumption. Vacuum pump is used for the permeate stream of each stage "s" to increase the driving force in the system. For a membrane  $s$ , if the down stream pressure be equal to the atmospheric pressure, then this term equals to zero.

$$W_{\text{vps}} = \frac{f_s^{\text{perm}} \times 10^{-3}}{\eta_{\text{vp}}} \cdot \frac{\gamma \cdot R \cdot T}{\gamma - 1} \cdot \left[ \left( \frac{P_{\text{in}}}{P_s^{\text{down}}} \right)^{\frac{\gamma-1}{\gamma}} - 1 \right] \quad (\text{B.3})$$

where equation B.4 presents  $\eta_{\text{vp}}$ .  $P_{\text{down}}$  stands for the permeate vacuum level and  $P_{\text{in}}$  for the vacuum pump outlet pressure. A decreasing efficiency is thus obtained for a decreasing permeate pressure.

$$\eta_{\text{vp}} = 0.1058 \cdot \ln\left(\frac{P_s^{\text{down}}}{P_{\text{in}}}\right) + 0.8746 \quad (\text{B.4})$$

There is the possibility of using compressor or expander for the product stream to achieve a product with specific pressure. Equations B.5 and B.6 imply compressor and expander energy consumption required for the product stream respectively. If the upstream pressure is greater than the product pressure, we would use expander to obtain the determined target and vice versa. Therefore, the absolute value of the  $W_{\text{exp}}$  has been considered because the  $W_{\text{exp}}$  becomes negative based on B.6 equation.

$$W_{\text{cpprod}} = \frac{F^{\text{Ret}} \times 10^{-3}}{\eta_c} \cdot \frac{\gamma \cdot R \cdot T}{\gamma - 1} \cdot \left[ \left( \frac{P^{\text{prod}}}{P_{\text{up}}} \right)^{\frac{\gamma-1}{\gamma}} - 1 \right] \quad (\text{B.5})$$

$$W_{\text{exp}} = \left| \frac{F^{\text{Ret}} \times 10^{-3}}{\eta_c} \cdot \frac{\gamma \cdot R \cdot T}{\gamma - 1} \cdot \left[ \left( \frac{P^{\text{prod}}}{P_{\text{up}}} \right)^{\frac{\gamma-1}{\gamma}} - 1 \right] \right| \quad (\text{B.6})$$

Finally, the total power consumption of the system is the sum of the total compressing power ( $W_{\text{cps}}$  for each stage and  $W_{\text{cpf}}$  for the feed), the total vacuum pump power ( $W_{\text{vps}}$  for each stage) and product compressing if the product compressor used or subtraction of the expander power if the expander used in the system divided by the mechanical efficiency  $\phi$ :

$$W_{\text{tot}} = \frac{W_{\text{cpf}} + W_{\text{cpprod}} + \sum_{s \in S} (W_{\text{cps}} + W_{\text{vps}})}{\phi}, \quad \text{Using compressor for } F^{\text{Prod}} \quad (\text{B.7})$$

$$W_{\text{tot}} = \frac{W_{\text{cpf}} + \sum_{s \in \mathcal{S}} (W_{\text{cps}} + W_{\text{vps}})}{\phi} - \phi \cdot W_{\text{exp}},$$

Using expander for  $F^{\text{Prod}}$  (B.8)

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