# REVIEW

# RIS-Enabled Smart Wireless Environments: Deployment Scenarios, Network Architecture, Bandwidth and Area of Influence

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## Abstract

Reconfigurable Intelligent Surfaces (RISs) constitute the key enabler for programmable electromagnetic propagation environments, and are lately being considered as a candidate physical-layer technology for the demanding connectivity, reliability, localisation, and sustainability requirements of next generation wireless networks. In this paper, we first present the deployment scenarios for RIS-enabled smart wireless environments that have been recently designed within the ongoing European Union Horizon 2020 RISE-6G project, as well as a network architecture integrating RISs with existing standardised interfaces. We identify various RIS deployment strategies and sketch the core architectural requirements in terms of RIS control and signalling, depending on the RIS hardware architectures and respective capabilities. Furthermore, we introduce and discuss, with the aid of simulations and reflectarray measurements, two novel metrics that emerge in the context of RIS-empowered wireless systems: the RIS bandwidth and area of influence. Their extensive investigation corroborates the need for careful deployment and planning of the RIS technology in future networks.

**Keywords:** Reconfigurable intelligent surfaces; Deployment scenarios; Network architecture; Area of Influence; Bandwidth of Influence; Smart wireless environments; Wave propagation control

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## 1 Introduction

The potential of Reconfigurable Intelligent Surfaces (RISs) for programmable Electro-Magnetic (EM) wave propagation [1] is lately gathering extensive academic and industrial interest, as an enabler for smart radio environments in the era of 6-th Generation (6G) wireless networks [2]. The RIS technology commonly refers to artificial planar structures with quasi-passive electronic circuitry, excluding any Radio-Frequency (RF) power amplification. An RIS, as a network element, is envisioned to be jointly optimised with conventional wireless transceivers [3, 4] in order to significantly boost wireless communications in terms of coverage, spectral and energy efficiencies, reliability, and security, while satisfying regulated EM Field (EMF) emissions.

The most advanced RIS prototypes to date are built as antenna arrays operating in passive mode and including tunable unit cells, and can be seen as either reflective or transmissive surfaces [5]. Specifically, a reflective RIS operates as an EM mirror, where an incident wave is reflected towards the desired direction (typically anomalous, in the sense that this direction can diverge from the specular direction dictated

by geometrical optics) with specific radiation and polarisation characteristics. On the other hand, a transmissive RIS operates as a lens or a frequency selective surface, where the incident field is manipulated (by filtering, polarisation change, beam splitting, etc.) and/or phase shifted, and re-radiated so as to control the refraction of impinging plane waves [6]. It is noted that, when the RIS unit elements have both size and spacing lower than 1/10-th of the communication operating wavelength, RISs are also termed as metasurfaces [7]. Although RISs have great potential to implement advanced EM wave manipulations, mainly simple functionalities, such as electronic beam steering and multi-beam scattering, have been demonstrated in the literature. Very recently, there has been increasing interest in the design of RIS hardware architectures [8], in response to the RIS potential for wireless networking and the resulting challenges. Those architectures endow surfaces with either minimal reception RF chains [9, 10, 11] or minimal power amplifiers [12], enabling more efficient channel parameters' estimation or extension of the RIS coverage area, respectively. Those capabilities can actually enable sensing, where RISs can act standalone or facilitate network-wide orchestration via minimal required control with the rest of the network [9], or establish relay-like amplification of the reflected signals in order to mitigate severe multiplicative path-loss.

The RIS-enabled programmability of information-bearing wireless signal propagation has recently gave birth to the concept of the Wireless Environment as a Service [13], which was introduced within the European Union (EU) Horizon 2020 (H2020) RISE-6G project<sup>[1]</sup>. This environment offers dynamic radio wave control for trading off high-throughput communications, Energy Efficiency (EE), localisation accuracy, and secrecy guarantees over eavesdroppers, while accommodating specific regulations on spectrum usage and restrained EMF emissions. However, the scenarios under which RISs will offer substantial performance improvement over conventional network architectures have not been fully identified. In this article<sup>[2]</sup>, capitalising on the latest studies within the RISE-6G project, we try to fill this gap and present various deployment scenarios for RIS-enabled smart wireless environments, while discussing their core architectural requirements in terms of RIS control and signaling, depending on the RIS hardware architectures and their respective capabilities. We also introduce two key novel metrics that emerge in the context of RIS-enabled smart wireless environments: the Bandwidth of Influence (BoI) and the Area of Influence (AoI) of RISs, which corroborate the need for careful deployment and planning of the technology.

The remainder of this article is organised as follows. In Sec. 2, various RIS deployment scenarios are presented, which are grouped based on the application and respective performance objective, namely, connectivity and reliability, localisation and sensing, as well as sustainability and secrecy. In Sec. 3, we discuss the integration of RISs in standardised wireless networks and present a network architecture for RIS-enabled smart wireless environments. In this section, we also introduce two novel challenges imposed by RISs, namely, their BoI and AoI. Sections 4 and 5 respectivelt include the characterisation of these two challenges, both through mathematical definitions and computer simulations as well as experimental investigations with RISE-6G's fabricated RISs. Finally, Sec. 6 concludes the paper.

<sup>[1]</sup>See https://RISE-6G.eu for more information.

<sup>[2]</sup>Part of the paper has been presented in the Joint European Conference on Networks and Communications & 6G Summit in Grenoble, France in June 2022 [14].

# 2 RIS Deployment Scenarios

In this section, we present general scenarios for connectivity and reliability with RISs, localisation and sensing applications with RISs, as well as RIS deployments scenarios for sustainability and secrecy. In most of the scenarios, we will distinguish between the consideration of RISs for boosting the performance of established wireless systems and for enabling wireless applications, which are otherwise infeasible.

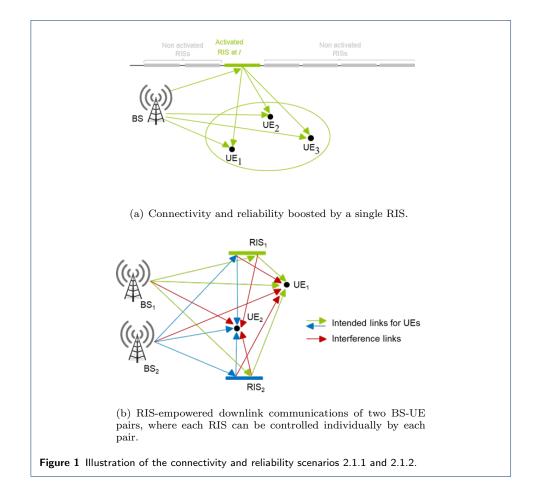
#### 2.1 Connectivity and Reliability

Conventional network scenarios impose communication performance to be achieved via uncontrolled wireless propagation media, where the network is required to be tuned to one of the available service modes in a time orthogonal manner, offering non-focused areas of harmonised and balanced performance. However, this might result in resource inefficiency and huge complexity. Conversely, the envisioned smart wireless environment, built upon RISs, will enable the granting of highly localised quality of experience and specific service types to the end users [13]. In fact, such pioneering network paradigm aims at going one step beyond the classical 5-th Generation (5G) use cases, by provisioning performance-boosted areas as dynamically designed regions that can be highly localised, offering customised high-resolution manipulation of radio wave propagation to meet selected performance indicators. Although we do not cover the details in this arcticle, it is important to note that one of the central architectural elements of RIS-empowered wireless systems is the control channel [2]. The rate and latency of this channel are crucial for the timely and efficient configuration of RIS-enabled smart wireless environments, and should be in accord with the overall system requirements.

For conventional system settings and strategies, based on a qualitative analysis of both localisation feasibility (including possibly high-level identifiability considerations) and expected performance, a system engineer needs to determine where and how RISs can improve connectivity. In all scenarios described in the sequel, we consider that RISs are in reflecting operating mode. It is noted, however, that a recent line of research [9, 10, 11] focuses on RISs that can also receive signals to, for instance, perform in-band channel estimation or localisation. This capability is expected to have an impact on the control channels for orchestrating smart wireless environments. Nevertheless, the deployments scenarios of sensing RIS will be similar to those of almost passive RISs, as long as the adoption of the former targets wave propagation control via RF sensing. Focusing on the downlink case, we next provide a taxonomy of RIS-empowered connectivity and reliability scenarios; the uplink case can be readily extended following analogous approach.

#### 2.1.1 Connectivity and Reliability Boosted by a Single RIS

In this scenario, there exist(s) direct communication link(s) between the multiantenna Base Station (BS) and the single- or multi-antenna User Equipments (UEs). However, connectivity can be further boosted efficiently via a single RIS, as shown in Fig. 1a. The phase configuration/profile of the RIS can be optimised via a dedicated controller, who interacts with the BS, for the desired connectivity and reliability levels.

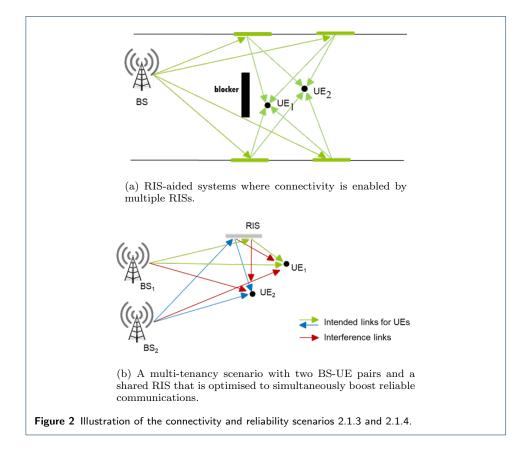


# 2.1.2 Connectivity and Reliability Boosted by Multiple Individually Controlled RISs

In this scenario, multiple BSs aim at boosting connectivity and reliability with their respective UEs. The deployed RISs are assigned to BS-UE pairs, and each pair is capable of controlling and optimising the phase profile of its assigned individual RIS(s), as illustrated in Fig. 1b. Since the action of an RIS can affect the propagation for links that are not intended to be controlled by that RIS, the result is that RIS operation interferes with the link operation. Unlike the usual wireless interference, which is additive, this can be seen as a *multiplicative interference* that needs to be handled carefully. Examples of interference management via a Software Defined Radio (SDR) setup with two RISs, targeting channel hardening of the received signals at UE terminals have been lately presented [15, 16, 17]. The characterisation of the capacity limits in multi-RIS-empowered wireless communications, and the practical schemes to achieve it, constitutes also an active area of research [18, 19].

# 2.1.3 Connection Reliability Enabled by Multiple RISs

In this scenario, the direct link(s) between the multi-antenna BS and the single- or multi-antenna UE(s) is (are) blocked, and connectivity is feasibile only via a single or multiple RISs, as shown in Fig. 2a. Similar to scenario 2.1, the phase profile(s) of the RIS(s) can be optimised for desired reliability levels, requiring, however, forms



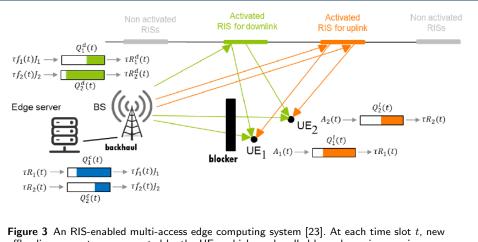
of coordination among the different BSs (e.g., possibly similar to the coordinated beamforming mechanism in LTE-Advanced [20]).

## 2.1.4 Connectivity and Reliability Boosted by a Single Multi-Tenant RIS

For this scenario, we consider pairs of BS-UE(s) and a single RIS, as depicted in Fig. 2b. The RIS is now considered as a shared resource, dynamically controlled by the infrastructure and commonly accessed by the BS-UE(s) pairs. The phase profile of the RIS can be commonly optimised by the BSs to serve their own UE(s) simultaneously. Alternatively, the control of the RIS may be time-shared among the BS-UE(s) pairs. Of course, the control channel envisioned by this scenario will have to be thoroughly investigated in future activities. A special case of this scenario is the one which considers a setup where the communication is enabled by multiple cellular BSs, each one serving a distinct set of UEs. When the UE(s) move across the cell boundaries of two or more BSs, they might change their serving BS(s) frequently resulting in frequent handover. Shared RISs among BSs can be placed in the cell boundaries in order to dynamically extend the coverage of the serving BSs, thereby reducing the number of handovers.

# 2.1.5 RIS-Empowered Multi-Access Edge Computing

With the advent of beyond 5G networks, mobile communication systems are evolving from a pure communication framework to enablers of a plethora of new services, such as Internet of Things (IoT) and autonomous driving. These new services

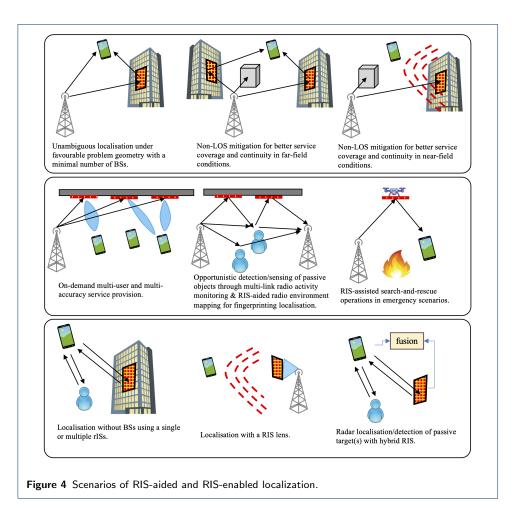


offloading requests are generated by the UEs, which are handled by a dynamic queueing mechanism that accounts for communication and processing delays, and targets at jointly optimizing communication and computation resources, while guaranteeing low-latency and high-accuracy requirements.  $\tau$  denotes the time slot duration and  $f_k(t)$  is the CPU frequency allocated by the edge server to UE<sub>k</sub> with  $k \in \{1, 2\}$ .  $R_k(t)$  and  $R_k^d(t)$  stand for the uplink and downlink rates, respectively, while parameter  $J_k$  depends on the application offloaded by device k.  $Q_k^l$  denotes the local queue update,  $Q_k^c$  is the computation queue at the edge server, and  $Q_k^d$  is the downlink communication queue.

present very diverse requirements, and they generally involve massive data processing within low end-to-end delays. In this context, a key enabler is Multi-Access Edge Computing (MEC), whose aim is to move cloud functionalities (e.g., computing and storage resources) at the edge of the wireless network, to avoid the relatively long and highly variable delays necessary to reach centralised clouds. MEC-enabled networks allow UEs to offload computational tasks to nearby processing units or edge servers, typically placed close to the access points, to run the computation on the UEs' behalf. However, moving towards millimeter-wave and THz communications, poor channel conditions due to mobility, dynamics of the environment, and blocking events, might severely hinder the performance of MEC systems. In this context, a strong performance boost can be achieved with the advent of RISs, which enable programmability and adaptivity of the wireless propagation environment, by dynamically creating service boosted areas where EE, latency, and reliability can be traded to meet temporary and location-dependent requirements of MEC systems [21, 22, 23]. Figure 3 depicts an RIS-enabled MEC system, where RISs are deployed to establish the wireless connections of two UEs with the edge server, via a BS which is backhaul connected with it.

#### 2.2 Localisation and Sensing

The RIS technology is expected to enable advanced sensing and localisation techniques for environment mapping, motion detection, opportunistic channel sounding, and passive radar capabilities applied to industrial (e.g., smart factory), high user-density (e.g. train stations), and indoor (e.g. augmented/mixed reality) environments. The expected benefits can take various forms, depending on the RIS operating mode (i.e., reflect, refract, transmit, relay, etc. [8]), and they can be classified in terms of i) enabled localisation (i.e., making localisation feasible where any

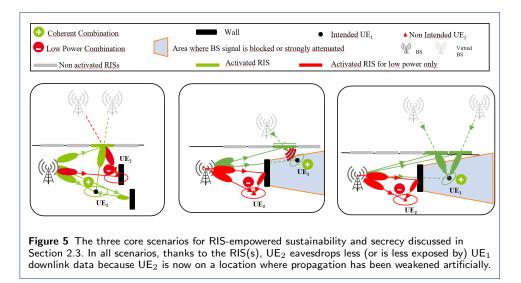


conventional system [24, 25] fails); *ii*) boosted localisation (i.e., improving the localisation performance); and *iii*) low-profile localisation (i.e., localisation requiring much lower resources in comparison with the conventional system).

In the following, ten generic scenarios where RISs provide performance benefits for localisation are presented (refer to the relevant open technical literature for a more comprehensive overview [26, 27, 28, 29, 30]). These scenarios are visualized in Fig. 4.

- Unambiguous localisation under favourable problem geometry with a minimal number of BSs: A single RIS enables localisation [26], while multi-RIS deployment settings [31] can also contribute to boost performance, by a combination of time and angle measurements.
- Non-Line-of-Sight (NLoS) mitigation for better service coverage and continuity in far-field conditions: Whenever the minimum number of BSs (anchors) in visibility is not fulfilled, the UE location can be estimated via narrowband signals received from two RISs.
- NLoS mitigation for better service coverage and continuity in near-field conditions: Extending the previous scenario, the UE location can be estimated via the signal received from one RIS even without any BS in visibility, when the user is in the near-field of the RIS. This allows to exploit signal wavefront curvature for direct positioning [27, 32].

- On-demand multi-user and multi-accuracy service provision: The deployment (and the selective control) of multiple RISs makes possible *i*) the (on-demand) provision of various classes of localisation services to different UEs sharing the same physical environment, depending on the needs they express locally/temporarily, while *ii*) spatially controlling both the localisation accuracy and the geometric dilution of precision (GDoP) in different dimensions (i.e., both the sizes and orientations of the location uncertainty ellipses) [33].
- Opportunistic detection/sensing of passive objects through multi-link radio activity monitoring: Similar to standard range-Doppler analysis [34], this is possible by monitoring the time evolution of multipath profiles over a communication link between the BS and one or several UEs, harnessing the dynamic and selective control of RISs [35, 36, 37, 38]. In highly reverberant environments, multiple RISs can create configurational diversity through wavefront shaping, even with single-antenna single-frequency measurements [5].
- *RIS-assisted search-and-rescue operations in emergency scenarios:* RISs may support and overcome the shadowing effect induced by rubble in such scenarios by building ad-hoc controllable propagation conditions for the cellular signals employed in the measurement process [39]. In addition, lightweight and low-complexity RISs mounted on drones can be used to bring connectivity capabilities to hard-to-reach locations, supporting first responders [40].
- Localisation without BSs using a single or multiple RISs: In this scenario, wideband transmissions to a single passive RIS [41, 42] or angle estimations obtained at multiple RISs [43, 44], having the architecture of [11], can be combined to produce the estimation of UE(s) location(s).
- *RIS-aided radio environment mapping for fingerprinting localisation:* RISs equipped with minimal reception circuitry for sensing [11] enable the cartography of the electromagnetic power spatial density in a specific area of interest, accentuating the location-dependent features of the RIS-enhanced radio signatures stored in the database.
- Localisation with a RIS lens: In this scenario, the RIS is placed in front of a single-antenna transmitter. The user position is estimated at the UE side via the narrow-band received signal, exploiting wavefront curvature [27].
- Indoor and outdoor localisation with simultaneously transmitting and reflecting RISs: With the aid of a simultaneously transmitting and reflecting RISs [45], an outdoor BS is capable of localizing an indoor user, in addition to an outdoor user via uplink sounding reference signals [46].
- Radar localisation/detection of passive target(s) with simultaneously sensing and reflecting RISs: Using the architecture of [9, 10], a radar is assisted by multiple hybrid RISs in order to localise/detect both static or moving target(s) [47], extending scenario 5. Hybrid RISs that can simultaneously sense (via dedicated reception RF chains or other signal sensing elements) and reflect providing the system with the capability to localise UEs as well as the radar itself. They can also be deployed for joint channel and direction estimation for ground-to-drone wireless communications [48].



# 2.3 Sustainability and Secrecy

RIS-empowered smart radio environments are expected to improve the sustainability and security of 6G networks by focusing the energy towards the target UE, thus, reducing the amount of unnecessary radiations in non-intended directions in general, or in the directions of non-intended UEs (e.g., exposed UEs or eavesdroppers). More precisely, the following metrics can be improved: i) the EE [3] (for instance, this metric can be defined as the attained data rate at the target UE divided by the BS transmit power); *ii-a*) the self EMF Exposure Utility (S-EMFEU) (for instance, in uplink, this metric can be defined as the attained data rate of the target UE divided by its exposure to its own UE emissions, ii-b) the inter EMFEU (I-EMFEU) (for instance, this metric can be defined as the attained data rate at the target UE divided by the largest EMFE at another UE); and *iii*) the Secrecy Spectral Efficiency (SSE) [49, 50] (for instance, this metric can be defined as the attained data rate at the target UE minus the data rate that is intercepted by the eavesdropper). A first already identified use case for such improvements [13] is a train station, where an orchestrated network of RISs can be optimally configured to maximise the aforementioned metrics, in small areas close to the surfaces. These areas can be advertised to customers as "areas with high EE," "areas with low EMF exposure," or "areas with increased secrecy."

In contrast to conventional scenarios without deploying RISs, we illustrate in Fig. 5 examples of single-BS scenarios with RIS(s), where zero-forcing downlink transmit Beam Forming (BF) is used to boost the received power at the target intended UE and to reduce the received power at the non-intended UE; this can be achieved by exploiting the artificial shaping of the propagation channels thanks to RIS(s). When the non-intended UE is an exposed UE, the obtained link is a low EMF link, whereas when the non-intended UE is an eavesdropper, the obtained link is a secured link. In the figure, RIS-aided Zero-Forcing Beamforming to reduce the received power at the non intended UE (whether it is an eavesdropper or an exposed UE) whilst boosting the received power at the target UE, is illustrated for three types of propagation scenarios.

- 1 The BS-to-intended-UE link is in LOS: In this case (left figure), the RIS artificially adds propagation paths to the channel, which coherently combine with other "natural" paths to boost the received power at the target UE, and non-coherently combine with other "physical" paths to reduce the received power at the non-intended UE.
- 2 The BS-to-intended-UE link is blocked by an obstacle and the intended UE is in the near-field of an RIS: In this case (middle figure), the RIS artificially adds a propagation path to the existing "physical" paths to reduce the received power at the non-intended UE;
- 3 The BS-to-intended-UE link is blocked by an obstacle and the intended UE is in the far-field of an RIS: This case (right figure) is similar to the previous case except that several RISs may become useful to reduce the energy at the non-intended UE.

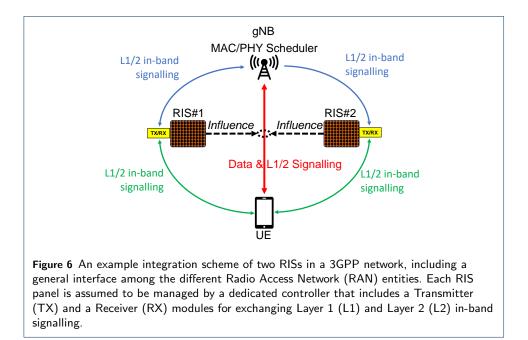
In the absence of a non-intended UE, it is noted that the proposed system in Fig. 5 only boosts the received power at the target UE, and therefore, boosts the EE metric. Note also that similar scenarios for uplink can be derived with receive BF instead of transmit BF. Regarding EE, the main difference will be that the EE will be improved at the UE side. Regarding the EMF utility, the main difference will be that the target UE and the exposed UE will be the same entity, instead of being distinct entities. In this case, the RISs will help reducing the self-exposure of a UE transmitting data in the uplink with its own device. Furthermore, the previously described scenarios can be generalised to multi-BS scenarios, where several synchronised and coordinated BSs perform joint BF.

# 3 RIS Integration in Standardised Networks

The deployment of wireless networks takes under consideration the following two basic principles of radio transmitters: a transmitter emits in a limited spectrum bandwidth over a limited coverage area, depending on various operation regulation factors. In addition, a network operator ensures that the interference among its own BSs is minimal, by carefully planning their deployment and operation. Operators spatially distribute their BSs and access points to avoid overlaps among their limited coverage areas. Spectrum regulation ensures that interference among different network operators (with overlapping coverage) is avoided, by allocating separate and limited spectrum bands, defining spectrum masks and maximum out-of-band emissions thresholds.

Very recently, RISs have been introduced as a new type of node in the network. A reflective RIS does not emit any signal, instead, it re-radiates the Em waves impinging on its panel [51]. As a result, such an RIS has no explicit coverage area nor transmit bandwidth. In fact, the former will be determined by both the RIS capabilities and the sources whose radiated waves impinge on the RIS. Therefore, RISs cannot be trivially integrated neither in network planning nor spectrum regulation as usual BSs or other network devices.

Indeed, instead of having a coverage area, an RIS influences an existing coverage, but only in a limited space that we simply call AoI. Instead of having a transmit bandwidth, a RIS influences impinging signals, but only in a limited frequency domain that we simply call BoI. In this section, we elaborate upon the two new



concepts of AoI and BoI, and their key roles in the deployment of RIS technology in future wireless networks.

#### 3.1 Network Architecture

In Fig. 6, we present a simple integration scheme for RISs in 3GPP networks. As illustrated, the gNB communicates with a UE via the assistance of two reflective RISs, which cannot receive or emit any data; extensions to RISs including active elements for reception and transmission are left for future work [8]. Each RIS is assumed to only influence the quality of the data link between the UE and gNB, acting as a cost-efficient passive relay between those nodes. An RIS node comprises, apart from the reconfigurable reflection panel, a small and low-power signalling module, compactly known as the RIS controller, that is equipped with circuitry for managing the RIS phase configuration as well as transceiver radios to communicate with the gNB and UE, thus, enabling the RIS communication and interface with the rest of the network. In more detail, the RIS controller is responsible for generating the logical commands associated to the switching operations between the configurations/states of the RIS elements (e.g., predefined phase shifts), and can have different levels of complexity and capabilities, possibly embedding third-party apps to implement smart algorithms (e.g., [43] for impinging signal's direction estimation). The controller may either receive orders from other elements in the network, in which case it simply acts as an interface that configures the RIS elements based on external explicit instructions (controlled RIS), or it may operate on its own (autonomous RIS [9]). The expected action time granularity for the RIS controller operations is envisioned to be between 20 and 100 milliseconds.

The gNB and UE communicate with each RIS over-the-air, through new radio interfaces and radio channels to be added and specified in the future New Radio (NR) standards. One can identify up to 8 different types of L1/2 in-band signalling in Fig. 6:

- C1: gNB-to-RIS control messages;
- C2: UE-to-RIS control messages;
- C3: RIS-to-gNB control messages;
- C4: RIS-to-UE control messages;
- P1: gNB-to-RIS pilot signals;
- P2: RIS-to-gNB pilot signals;
- P3: UE-to-RIS pilot signals; and
- P4: RIS-to-UE pilot signals.

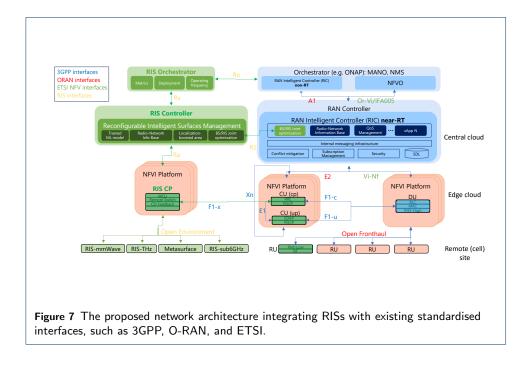
It is noted that, with the proposed integration scheme and novel radio interfaces, many different types of basic RIS control can be implemented. In the following, we describe a representative example aiming the joint optimisation of the BS transmit BF and the RIS reflective BF (i.e., RIS phase configuration/profile).

Assume a Time Division Duplex (TDD) mode and uplink-downlink channel reciprocity. In addition, the scheduler of the PHYsical (PHY) and Medium Access Control (MAC) layers considers the gNB as the master node for the two reflective RIS nodes, using C1 and P1 L1/2 signalling to control them. The steps for the intended joint BF optimization can be summarised as follows:

- Step 1: The gNB sends requests to the RISs through C1 to switch between predefined reflective beams during a predefined sounding phase.
- Step 2: During the sounding phase, the two RISs switch in an ordered and synchronised manner (thanks to synchronisation signals over P1);,the UE sends uplink pilots, and the gNB sounds the uplink channel for each reflective beam from the RISs.
- Step 3: The gNB determines the jointly optimised transmit BF weights as well as the reflective BF from the RISs.
- Step 4: The gNB notifies through C1 the RISs to configure themselves according to the decided phase configurations in the previous step.
- Step 5: During the data transmission phase, the gNB transmits data using the best transmit BF weights. This data is received by the UE through a RISsparameterised propagation channel that is subject to the desired influence of the two RISs.

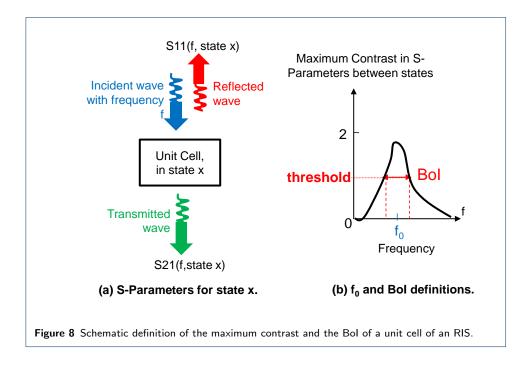
Similarly, to allow control of any of the RISs by the UE, at least C2 and P2 signalling are necessary. C3 and C4 control signals could be also added to enable the RIS to answer requests from the UE and/or the gNB, and send acknowledgment or negative acknowledgment. The pilots signals P1 to P4 can be sent/received from/by each RIS controller. Hence, they cannot be used to sound the channel between the unit cells of each RIS and the gNB or UE. However, those pilots are necessary to estimate the propagation channel used by the L1 control signalling, facilitating its equalisation, and consequently, signal demodulation.

Figure 7 illustrates the main functional blocks of the existing network architectures, including Open RAN (O-RAN) and the RAN functional split according to 3GPP [52]. All 3GPP-compliant interfaces are colored in blue, whereas the O-RAN interfaces are red-colored. In the figure, we split the RAN elements, namely eNB or gNB according to the 3GPP jargon, specifically, into a Centralised Unit (CU), a Distributed Unit (DU), and a Remote Unit (RU). While DU functions can be placed on an edge cloud, they would require a very high-capacity connection to assist and



support real-time operations between the Radio Link Control (RLC)/MAC layers and PHY. Additionally, the CU functions can be placed on edge clouds; in this case, they shall be split into Control Plane (CP) and User Plane (UP) functions. DUs are connected to RUs by means of the Open FrontHaul (O-FH) protocol. Following the O-RAN architecture perspective, the figure depicts the near-RealTime (near-RT) RAN Intelligent Controller (RIC) that automatically interacts with the CU functions through the introduced standardised E2 interface. This RAN controller can automatically integrate third-party applications, namely xApps, which control specific RAN functions. Also, the non-RealTime (nonRT) RIC can exchange messages with the near-RT RIC using the standardised A1 interface. According to our proposed RIS-enabled smart wireless environment architecture in Fig. 7, the aforementioned conventional network architecture blocks can interact with new network entities via the novel interfaces represented by F1-x, R2, and Ro. Two representative use cases can be envisioned by means of the latter interfaces:

- The RIS is directly connected to enB/gnB enabling transmit and reflective BF to be jointly optimised, i.e., the network operator owns the RIS deployment. In this case, the CU C-plane can trigger specific phase configuration at the RIS via its actuator within few milliseconds. It is noted that the RIS actuator will be responsible for actuating the logical commands received by the RIS controller, i.e., of translating them into physical configurations to be applied to the RIS panel. This direct connection of the RIS to the enB/gnB is particularly relevant when the RIS deployment is under the network operator control.
- The RIS is connected to the management of the eNB/gNB in a master/slave or peer-to-peer fashion. In this case, the RIS actuator and the RIS panel can only be configured by the RIS controller. However, the latter interacts with the near-RT RIC by means of a dedicated xApp that will have its counterpart in the RIS controller. This case includes self-contained and independent RIS deployments, which can be dynamically integrated into the network.



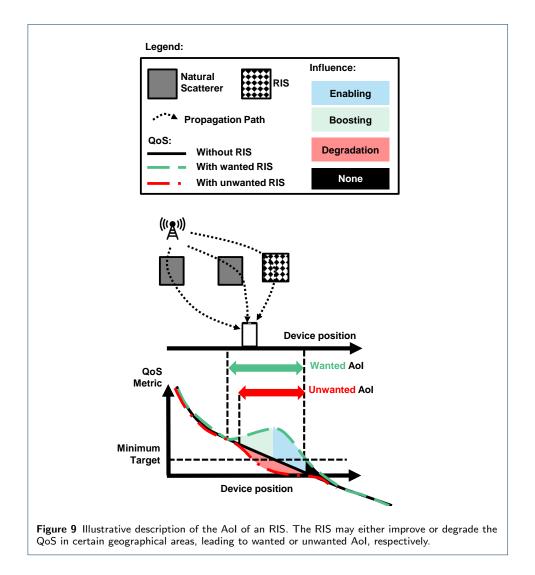
This open environment implementing RIS-enabled smart wireless networking is envisioned as a class of new protocols that can be optimised to include different types of RISs (e.g., reflective, transmissive, and simultaneous reflecting and sensing [8]).

#### 3.2 The RIS Bandwidth of Influence (BoI) Challenge

Consider a "dumb wall" in the signal propagation environment of a wireless network. The reflection and transmission properties of this wall are constant, depending on its material and the network's operating frequency f. In addition, signal reflections from the wall follow Snell's law [53] and cannot be dynamically configured. In contrast, each unit cell of a reflective RIS has a reconfigurable reflection and/or transmission capability, in a limited range of frequency of the impinging wave. This range of frequencies that an RIS can impact signal propagation is defined as its BoI. The influence of an RIS composed of a set of identical unit cells is also limited in the frequency domain, and it cannot exceed the one of a single unit cell. Therefore, studying the BoI of a unit cell in a uniform RIS is sufficient to characterise this metric for the entire RIS.

As illustrated in Fig. 8a, when the unit cell of an RIS, which is configured in a state x, gets illuminated by an impinging wave with unitary power and frequency f, it reflects a wave with a complex reflection coefficient S11(f, x) and also transmits another wave with a complex transmission coefficient S21(f, x). For a given frequency f, these S-parameters depend on the state x of the unit cell. Let us define  $C_R(f)$  and  $C_T(f)$  as the maximum contrasts between all states of the unit cell in terms of reflection and transmission, respectively. Following these definitions,  $C_R(f)$  and  $C_T(f)$  for a feasible unit-cell state x' can be mathematically expressed as follows:

$$C_R(f) = \max_{x, x \neq x'} |S11(f, x) - S11(f, x')|,$$
  
$$C_T(f) = \max_{x, x \neq x'} |S21(f, x) - S21(f, x')|,$$



where  $|\cdot|$  denotes the amplitude of a complex number, and it holds that  $|C_R(f)| \leq 2$ and  $|C_T(f)| \leq 2$  since the S-parameters are bounded by 1. It is noted that, when the maximum contrast values are both close to zero, the unit cell behaves like a "dumb wall," i.e., a non-reconfigurable one. In this case, its S-parameters only depend on f and the wall has no capability to change state, i.e., its state is fixed depending on its material and on its placement with respect to the impinging wave.

Let us define a threshold  $C^{\min}$  beyond which the maximum contrast  $C_R(f)$ , or  $C_T(f)$ , of a reflective RIS is considered as significant, and consequently, its unit cell can be considered as having a more significantly dynamic influence on the wave that it re-radiates at frequency f (due to an impinging wave at this frequency). As the contrast is lower bounded by 0 and upper bounded by 2, one can chose  $C^{\min}$  equal to 1. The BoI is defined as the range of frequencies for which the contrast is more significant for both the incoming (i.e., impinging) and outgoing (i.e., re-radiated) wave at the RIS. For instance, the unit cell of an efficient reflective RIS will have a more significant dynamically adjustable influence on the wave reflection inside the BoI, a weaker influence on the reflection outside the BoI. Using the latter definitions and notations, the BoI of the unit cell of a reflective RIS can be mathematically

expressed as follows (see Fig. 8b for an illustrative interpretation):

$$BoI \triangleq \{ f | C_R(f) \ge C^{\min} \},\$$

where it should also hold that  $C_R(f) \gg C_T(f)$ . In Section 4 that follows, characterisations of contrasts and BoIs for various implementations of unit cells for reflective RISs will be presented. For those investigations, we further introduce the RIS central frequency  $f_0$ . By representing the BoI as the frequency set  $[f_1, f_2]$ ,  $f_0$  is defined as

$$f_0 \triangleq \frac{f_1 + f_2}{2}.$$

#### 3.3 The RIS Area of Influence (AoI) Challenge

As illustrated in Fig. 9, the RIS can be configured to contribute an additional signal propagation path to the available paths between a BS and a UE. In this way, it can influence the existing coverage between that BS and UE. Specifically, the RIS can either improve/extend the coverage, in terms of a Quality of Service (QoS) metric, or degrade it, depending on its phase profile setting. The area where the coverage of a BS for a given service with a given target QoS can be modified via an RIS is defined as the AoI of the RIS. In particular, the geographical area where the service coverage of the BS is improved, or extended, is the wanted AoI, whereas the area where it is degraded is the unwanted AoI. In mathematical terms, the AoI of an RIS of any type can be defined as follows:

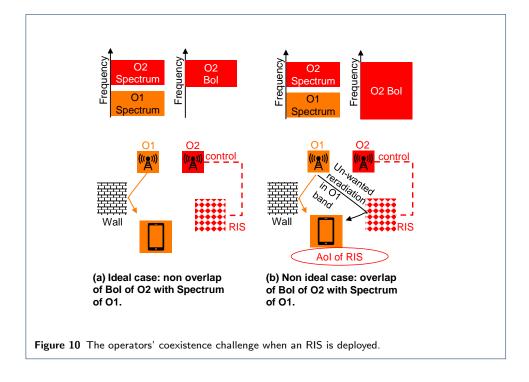
AoI 
$$\triangleq \{ \mathcal{S} \mid m(\mathcal{S}) \ge f_{\text{th}} \},\$$

where S denotes the geographical area under investigation,  $m(\cdot)$  represents the desired performance metric over the whole area S, and  $f_{\rm th}$  is the minimum service requirement.

Neither the shape nor the location of the AoI of an RIS is intuitive, and both depend, among other factors, on the considered performance metric. In practice, a planning tool based on ray-tracing is necessary to visualise the AoI [54] and choose the best locations for deploying RISs [55]. In Section 5 that follows, characterisations of AoIs for various types of services will be discussed.

#### 3.4 Operators Co-Existence with RISs

Figure 10 illustrates the case where two network operators O1 and O2 possess different spectrum bands and deploy different BSs. It is assumed that operator O2 also deploys a reflective RIS. In Fig. 10a, the BoI of the RIS is ideal, meaning that it perfectly matches the spectrum owned by O2, influencing solely its coverage and not that of operator O1. However, in Fig. 10b, the non-ideal case where the BoI of the RIS of O2 overlaps with the spectrum owned by O1 is considered. In this subfigure, we further assume that the RIS has an AoI that overlaps with the geographical coverage of O2. This implies that a UE served by operator O1 and located in the RIS's AoI will suffer from unwanted reflections from the RIS. Those reflections are unwanted in the sense that they fluctuate in a discontinuous and



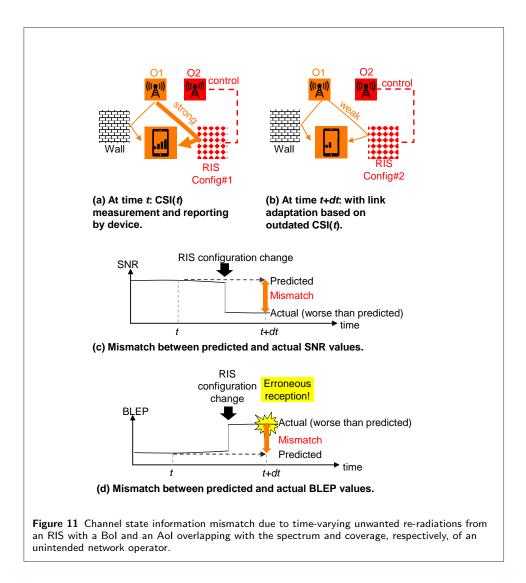
unpredictable manner for the service provided by O1. Such fluctuations may degrade the performance of closed-loop link adaptation mechanisms (such as adaptive modulation and coding, power control, and adaptive BF) as well as fast scheduling schemes, degrading the overall link performance.

The latter non-ideal RIS deployment case is investigated via the example illustrated in Fig. 11. As shown in Fig. 11a, a UE connected to operator O1 acquires and reports the Channel State Information (CSI) at time instant t, which is represented as CSI(t). At time instant t + dt, as depicted in Fig. 11b, the UE receives data from O1 with a link adaptation based on CSI(t). However, due to the existence of an RIS operated by O1, which changed from phase configuration 1 to 2 between instants t to t + dt, the channel between the BS of O1 and the UE changed. Figure 11c demonstrates that this RIS phase configuration change may suddenly degrade the received Signal-to-Noise Ratio (SNR) at the UE. This actually indicates a mismatch between the SNR prediction (based on CSI(t)) used for link adaptation, and the actual SNR at time t. As depicted in Fig. 11d, this translates into a large BLock Error Probability (BLEP) resulting in an erroneous packet reception.

To conclude, we showcased that an RIS deployed by a network operator, and having a BoI overlapping with the spectrum of another operator, can degrade the QoS of the latter. This fact witnesses the importance of the BoI characterisation of RIS equipment to drive their efficient design and fabrication in order to ensure smooth co-existence among operators that deploy RISs.

# 4 Bandwidth-of-Influence Experimental Characterisation

In this section, we present novel experimental results for the BoI of the various fabricated RISs in the framework of the ongoing RISE-6G project. In particular, we characterise the BoI of the following unit-cell implementations:

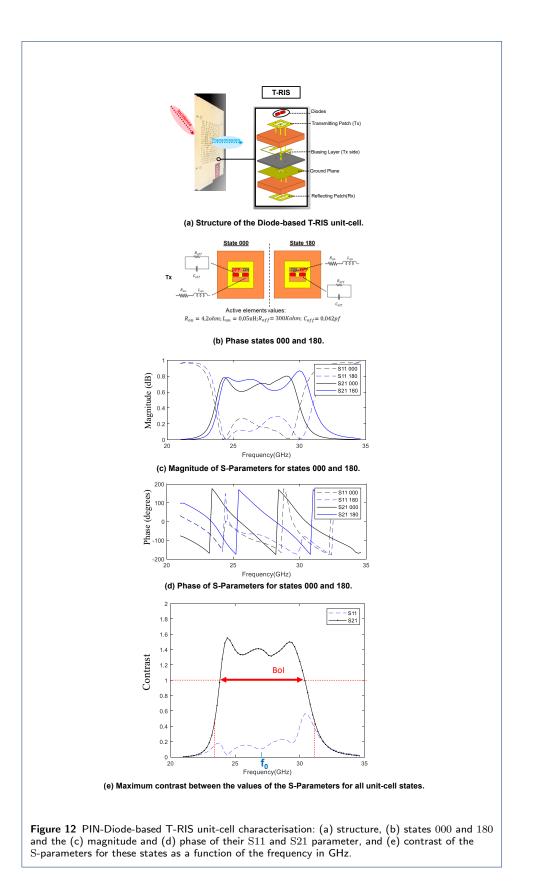


- Unit cell of an 1-bit Transmissive RIS (T-RIS) [56, 57, 58];
- Uunit cell of a varactor-based Reflective RIS (R-RIS) [59, 60, 61];
- Unit cell of a RF-switch-based R-RIS [62]; and
- Unit cell of a PIN-diode-based R-RIS.

For each implementation, the characterisation includes: *i*) the maximum contrast (defined in Sec. 3.2) as a function of the frequency f; *ii*) the BoIs at the value  $C^{\min} = 1$ , serving as the contrast threshold; and *iii*) the central operating frequency  $f_0$  of the RIS structure.

# 4.1 Bol of an 1-bit PIN-Diode-based Transmissive RIS

Figures 12a and 12b depict the structure of the unit cell of an 1-bit T-RIS, which is an improved version of the unit cell firstly introduced in [56, 57]. This improved unit cell has the following characteristics [58]: i) it is designed to operate at frequencies lying approximately between 23 and 32 GHz, and ii) it is composed of the four metal layers: receiving patches; transmitting patches; biasing lines (transmission sides); and ground plane. This T-RIS is illuminated by a source from the



receiving patch side. On the transmitting patch, the alternation of the diodes state produces a 180° phase difference. The unit cell ensures an 1-bit phase quantisation for transmission. Figure 12c–12e illustrate the magnitude, the phase, and the contrast (which is the difference between the two possible states of the unit cell) of the S-parameters as a function of frequency in GHz, as obtained by the Ansys HFSS full-wave electromagnetic simulator under periodic boundary conditions. For this 1-bit unit cell, the contrast in terms of the reflection  $C_R(f)$  and transmission  $C_T(f)$ are computed as follows:

$$C_R(f) = |S11(f, 180^o) - S11(f, 0^o)|,$$
  

$$C_T(f) = |S21(f, 180^o) - S21(f, 0^o)|.$$

The contrasts of the S11 and S21 parameters are demontrated in Fig. 12e as function of the frequency in GHz. As expected in this case (recall that this implementation is a T-RIS),  $C_T(f)$  is much larger than  $C_R(f)$ , hence, we use  $C_T(f)$  to determine the BoI (see Sec. 3.2 for the justification). It can be seen that the BoI at the maximum contrast threshold  $C^{\min} = 1$  is [23.9, 30.6] GHz, resulting in a width of 6.7 GHz with a central frequency  $f_0$  equal to 27.3 GHz.

#### 4.2 Bol of a Varactor-based Reflective RIS

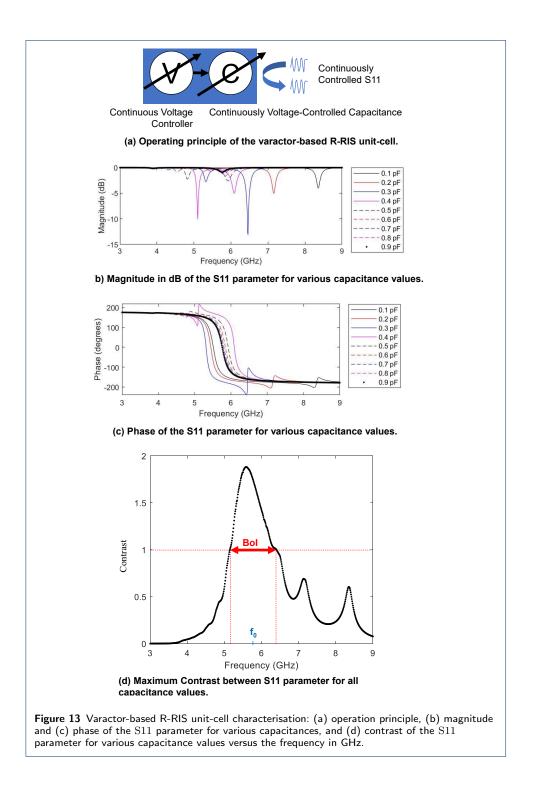
In this subsection, we characterise the unit cell of a varactor-based R-RIS with continuous phase shifting [59, 60, 61]. As illustrated in Fig. 13a, this unit cell is loaded with a variable capacitance, ranging from 0.1 to 0.9 pF, which is voltage-controlled continuously via diode-varactors [63]. Hence, the S11 parameter accepts continuous values and its magnitude and phase, as functions of the frequency in GHz, are illustrated in Fig. 13b and 13c, considering 9 different values of the capacitance between 0.1 and 0.9 pF with 0.1 pF step. Figure 13d includes the maximum contrast  $C_R(f)$ , which was obtained for each possible pair of capacitance values, as described in Sec. 3.2. It can be observed that the BoI at the contrast threshold  $C^{\min} = 1$  is approximately [5.1, 6.4] GHz, having a width of 1.3 GHz. In this case, the central frequency  $f_0$  is equal to 5.8 GHz.

#### 4.3 Bol of an RF-Switch-based Reflective RIS

In this subsection, we evaluate the BoI of the unit cell of the RF-switch-based R-RIS in [62], which is depicted in Fig. 14a. This unit cell was designed to operate at 5.3 GHz and consists of a patch antenna connected to an RF-switch, which is in turn connected to eight different outputs: seven of these outputs are attached to open-ended delay lines of different lengths, while one of them is attached to a 50  $\Omega$  matched absorber, which allows to effectively turn off the unit cell. The length of each delay line is designed to impose seven different phase shifts to the impinging signal at the unit cell; those phase shifts are equally spaced in the range from 0 to  $2\pi$ .

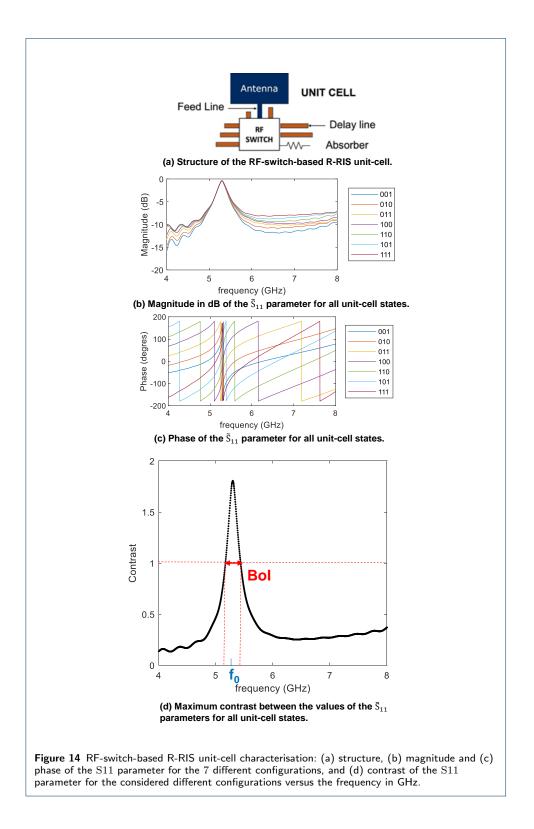
The magnitude of the S11 parameter represents the portion of the energy of the impinging signal that is immediately reflected back at the interface with the patch antenna. Hence, in order to compute the BoI, we first define the following variable:

$$\delta = 1 - |S11|,$$



which, represents the portion of the energy of the impinging signal that enters the patch antenna, gets redirected to one of the delay lines of the unit cell, and finally gets reflected back in the air. We, thus, define the unit cell "effective S11" as follows:

$$\tilde{S}11 = \delta e^{j \angle S11},$$



where  $\angle$ S11 represents the argument of the complex number S11. Finally, for every frequency value f, we compute the maximum contrast in the effective S11 as:

$$C_R(f) = \max_{c_i, c_j \neq c_i} |\tilde{S}11(f, c_i) - \tilde{S}11(f, c_j)|,$$

where  $c_i$  and  $c_j$  represent two distinct unit-cell configurations.

The magnitude and phase of the S11 parameter, as obtained from full-wave simulations, are shown in Fig. 14b and 14c, respectively. It is depicted that the magnitude of  $\tilde{S}$ 11 exhibits a strong positive peak at the working frequency of 5.3 GHz, meaning that most of the incoming signal's energy is reflected back by the unit cell's patch antenna. Moreover, at this frequency, the phase of  $\tilde{S}$ 11 attains seven equally spaced values in the range from 0 to  $2\pi$ . Note that, in this case, the BoI never goes to zero since, even outside the operating frequency range, a (small) portion of the impinging signal always enters the unit cell and gets reflected through a different delay line, depending on the chosen configuration. It is noted that this effect can be mitigated by tweaking the design parameters of the unit cell (e.g., its dimensions). Finally, Fig. 14d demonstrates the BoI at the contrast threshold  $C^{\min} = 1$  which is [5.17, 5.44] GHz, yielding a width of 270 MHz. The central frequency  $f_0$  is 5.3 GHz.

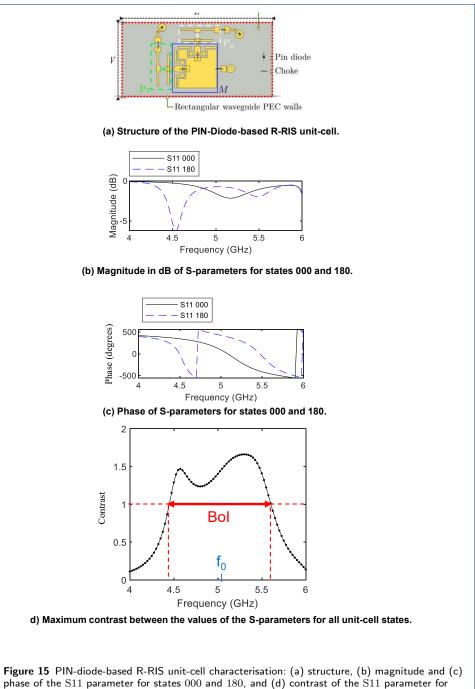
#### 4.4 Bol of an 1-bit PIN-Diode-based Reflective RIS

Hereinafter, we evaluate the BoI of the unit cell of an 1-bit PIN-diode-based R-RIS operating in the sub-6GHz frequency band. The schematic view of its unit cell and the active elements values corresponding to the two possible states of this cell are illustrated in Fig. 15a. The designed dual linearly-polarised unit cell operates at the central frequency 5.2 GHz. It is composed of one metal layer consisting of two orthogonal folded dipoles and a matching network circuit printed on a thin 0.1 mm FR4 substrate. This substrate, characterised by  $\epsilon_r = 4.3$  and  $\tan(\delta) = 0.001$ , is placed upon a thick 3mm LERD spacer (with  $\epsilon_r = 1.04$  and  $\tan(\delta) = 0.0001$ ) and backed by a metallic 1 mm ground plane realised with an aluminium reflector. At the top layer, two radiating elements are present, which are placed normal to each other to cope two orthogonal polarisations. Two PIN diodes are connected to the inner printed matching network and are biased in opposite states; one diode is switched ON, while the other one is in OFF state.

By operating in this binary mode, it is possible to obtain a reflection coefficient phase of 0° or 180° degrees, depending on the polarisation state of the diodes. This 1-bit unit cell has been simulated for its two-phase states using the commercial software Ansys HFSS with periodic boundary conditions on the cell's lateral faces and Floquet port. As shown in Fig. 15c, the unit cell exhibits a phase difference of almost 180° over the whole considered frequency band for both states. The unit cell's magnitude is depicted in Fig 15b for both the 0° and 180° states. The contrast in terms of the S11 parameter is plotted as a function of the frequency in GHz in Fig. 15d. As it can be observed, the BoI at the contrast threshold  $C^{\min} = 1$  is approximately [4.4, 5.6] GHz, with a width of 1.2 GHz. In this case, the central frequency  $f_0$  is defined as the mid-frequency of the BoI and is equal to 5.05 GHz.

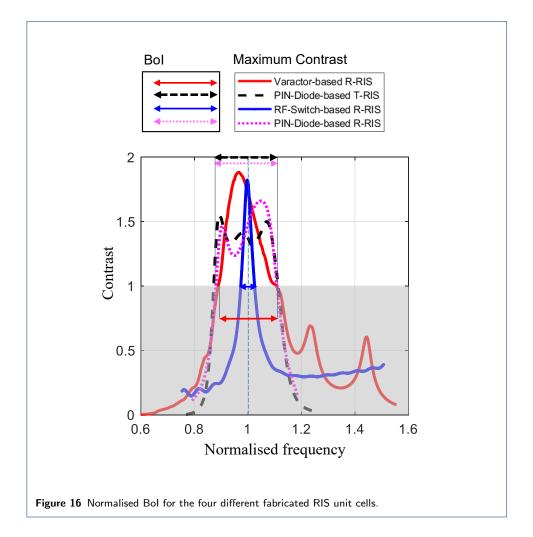
#### 4.5 Bol Comparison among Different RIS Implementations

In Fig. 16, we compare the BoIs at the contrast threshold  $C^{\min} = 1$  for the four aforedescribed fabricated RIS unit cells. In particular, the maximum contrasts as a function of the normalised frequency, i.e., the frequency divided by the central operating frequency  $f_0$ , are illustrated. As observed, the varactor-based R-RIS and the PIN-diode-based RISs have the largest BoI, while the RF-switched-based R-RIS results in the smallest BoI.



these states as a function of the frequency in GHz.

In conclusion, different hardware designs and fabrication of RIS unit cells yield different BoIs, which should be carefully considered when deploying RISs in wireless networks, as discussed in Sec. 3.4. Evidently, an RIS with a large BoI is not always the best option. In fact, the RIS hardware design, in terms of BoI, needs to be optimised according to the intended deployment scenario and use case.



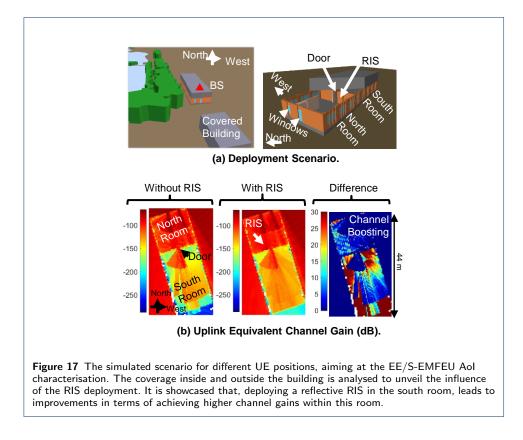
# 5 Area-of-Influence Experimental Characterisation

In this section, we investigate the wanted AoI of a reflective RIS for several use cases referring to different QoS requirements. In particular:

- Boosting a UE's EE using an RIS; in this use case, the self exposure of the UE to its own emissions is also reduced. This implies that this use case is can be also considered as an S-EMFEU boosting use case.
- RIS-enabled localisation boosting.
- RIS-enabled SSE boosting.

# 5.1 Example of an EE-/S-EMFEU-Optimised AoI

We first focus on the use case of EE/EMFEU boosting and connectivity enabling. More precisely, we consider a deployment scenario where a UE transmits information to an outdoor massive Multiple-Input Multiple-Output (MIMO) BS (i.e., in the uplink) from inside or outside a building, in the absence or presence of a reflective RIS. This deployment scenario has been simulated using a ray tracing tool; the detailed simulation assumptions and the methodology followed are available in [54]. In Fig. 17a, we analyse the coverage inside and outside a building with two rooms: a "north room" with two north windows and a "south room" with only one north door.



The massive MIMO BS was located outside north. To characterise the influence of the RIS deployment, we have compared two scenarios: one without the RIS and another one with the RIS placed in the north room upon the south wall.

Let us first visualise how the RIS improves the propagation between the UE and the BS. Figure 17b illustrates three heatmaps of the equivalent channel gain between the UE (for all positions of the UE upon the map) and the BS, taking into account the BS's reception BF:

- The heatmap of the channel gain in the absence of the RIS;
- The heatmap of the channel gain in the presence of the RIS with joint BS receive BF and RIS reflective BF optimisation; and
- The heatmap of the channel gain improvement due to the introduction of an RIS.

As depicted in the figure, without the RIS, the channel is particularly strong close to the two north windows of the north room, and very poor in the south room, except to the area close to the door between the two rooms. Note that outside the building the channel is extremely strong. The RIS has been intentionally positioned on the south room, on a location which benefits from a strong channel, thanks to one of the north windows. The RIS mainly improves the gain in the south room, by creating propagation paths between the south room and the BS.

We next visualise how the improvements in channel gain, illustrated in Fig. 17b, translate into improvements for a given performance metric, such as the EE from the UE perspective. We now consider the use case where the UE wishes to transmit voice data to the BS. Figure 18a illustrates three heatmaps of the UE transmit

power adapted to the propagation channel to provide voice service, with a target receive SNR at the BS: i) the UE transmit power in absence of the RIS; ii) the UE transmits in the presence of the RIS whose reflective BF is jointly optimised with the BS receive BF; and iii) the UE transmit power reduction (i.e., EE improvement) due to the introduction of an RIS. It is demonstrated that, when the propagation environment is poor, the voice QoS cannot be attained even with maximum power. This implies that the UE does not transmit any data since it is out of coverage.

Figure 18b illustrates three types of areas: *i*) the "unchanged area" where no change due to the RIS is observed (the UE remains out of coverage or keeps reaching the maximum data rate enabled by the standard with the minimum transmit power enabled by the device); *ii*) the "boosted area" where the UE transmit power is reduced and the EE is boosted; and *iii*) the "enabled area" where the UE gets voice coverage thanks to the RIS. The EE-optimised AoI is the combination of the "boosted-area" and the "enabled area". It is noted that the EMFE of the UE to its own emissions is also reduced when its transmit power is reduced. Therefore, the S-EMFEU-boosted area is the same as the EE-boosted area, and the same holds for the S-EMFEU and EE AoIs.

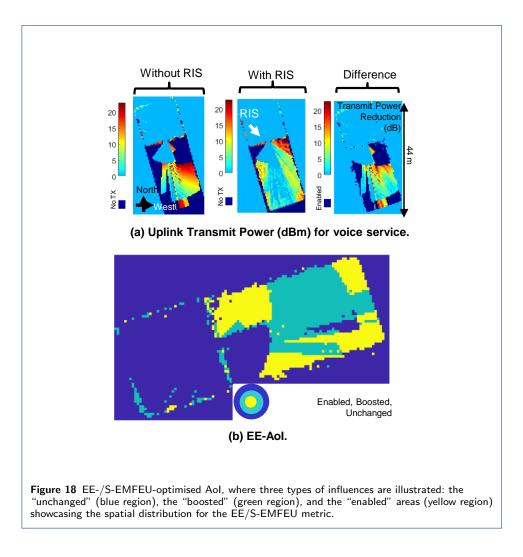
#### 5.2 Example of an Uplink SE-Optimised Aol

In this section, we visualise how the improvements in channel gain illustrated in Fig. 17 do translate into improvements for the SE performance metric, expressed in bits/sec/Hz. We consider a use case where the UE transmits voice service plus best effort data traffic using its maximum transmit power. Figure 19a illustrates the uplink Spectral Efficiency (SE) when the UE transmits with maximum power and adapts the data rate (thanks to adaptive modulation and coding) to the propagation channel, with the aim to maximise the best effort service data rate (in addition to the voice service). Three heatmaps are plotted in Fig. 19b: i) the SE without the reflective RIS; ii) the SE in the presence of the RIS with jointly optimised BS receive BF and RIS reflective BF; and iii) the SE improvement due to the introduction of RIS. It is shown that, when the propagation conditions are weak to offer the minimum performance (i.e., the voice service alone), the UE does not transmit at all being out of coverage.

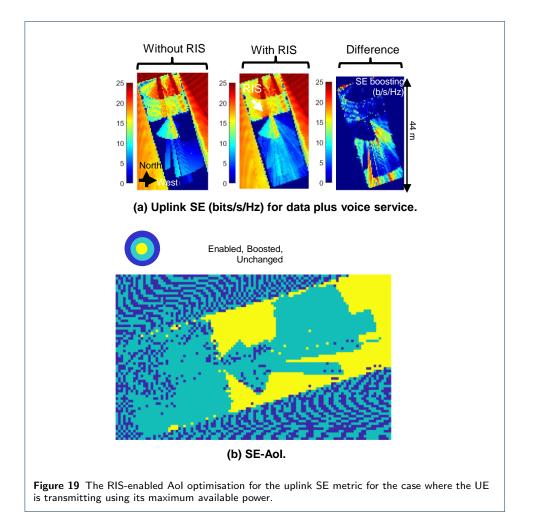
Similar to Section 5.1, three types of areas are depicted in Fig. 19b: i) the "unchanged area" where no change due to the RIS deployment and optimisation is observed (the UE remains out of coverage or keeps reaching the maximum data rate enabled by the standard with the minimum transmit power enabled by the device); ii) the "boosted area" where the SE is boosted; and iii) the "enabled area" where the UE gets voice (and potentially data) coverage thanks to the RIS. The SE-optimised AoI is the combination of the "boosted-area" and the "enabled area".

#### 5.3 Example of a Localisation-Optimised Aol

In Fig. 20, we deploy three single-antenna BSs (red triangles) at the points  $[0.5, 1]^{\top}$ ,  $[4.8, 4.8]^{\top}$ , and  $[1, 4.8]^{\top}$  in meters and a single-antenna UE to perform downlink multicarrier 2D localisation depending on three direct paths. A Uniform Linear Array (ULA) RIS in reflective mode (green star) deployed at the point  $[4, 0]^{\top}$  in meters is

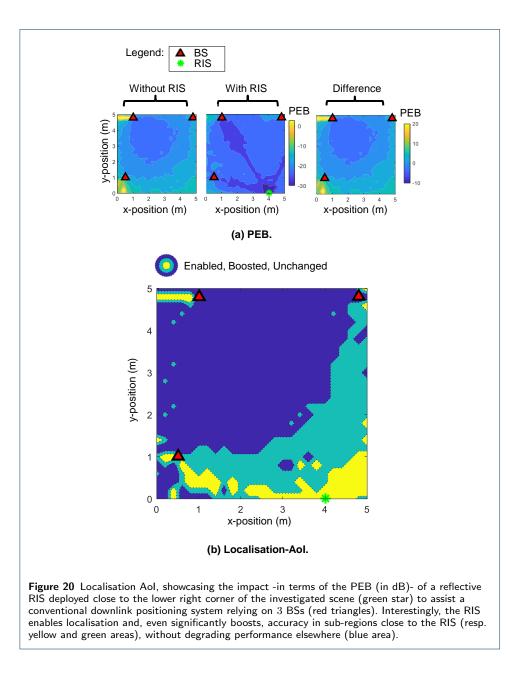


installed to investigate its effect on the positioning performance. In our evaluation scenario, the RIS is assumed to be activated and controlled to produce one reflected path in the geometric Near-Field (NF) regime, in addition to the LoS direct path. This is achieved by exploiting the wave front curvature [32] and occurs exclusively when the BS closest to the RIS (located at  $[0.5, 1]^{\top}$ ) is transmitting. The remaining two BSs are considered to contribute only with direct paths to the system. Moreover, the end-to-end RIS precoder is randomised, but yet constrained to a predefined lookup table of realistic hardware RIS element-wise complex reflection coefficient measurements (the set  $\mathcal{K}^2$  in [64] with 2-bit phase control and corresponding phases  $0, \pi/2, \pi, -\pi/2$ ; another option could be the prototype in [59]), and a directional beam pattern is thus approximated. However, the RIS performance can be further improved by adopting a location-optimal phase design as in [65] instead of randomly drawing from the predefined lookup table. As for the simulation parameters, the BSs were considered to transmit 40 pilots in a wireless environment with free-space pathloss. The operating frequency was 28 GHz and the bandwidth was assumed sufficiently large with 256 subcarriers and a 240 KHz bandwidth per subcarrier. The simulation area was  $5 \times 5$  square meters and the UE's position was assumed known at each point where the Fisher Information Matrix (FIM) was computed,



after which the Cramer-Rao lower bound (CRLB) on each position estimation as derived in both scenarios (with and without the RIS).

Figure 20a provides heatmaps of the resulting Position Error Bound (PEB) without and with RIS, as well as the difference between these two cases. Figure 20b then shows, in three colors, the different effects of deploying a reflective RIS for localisation purposes in the aforementioned scenario. First, the localization is said to be "infeasible" in the conventional (three BSs only) system, if the expected accuracy is arbitrarily worse than 0.1 m (according to some a priori application requirements). Accordingly, the first area, in yellow, is called "enabled" by the RIS, if the target accuracy is then met (in the same region). We can observe this effect, more particularly, in the area closest to the RIS, a.k.a in the AoI, where positioning is not just enabled ,but actually enhanced up to a considerable level. We also see this effect behind the BSs, where a poor GDoP would deprive the conventional system from properly inferring the UE location. The second effect that we notice, in blue, is labeled as "unchanged." This is where the three BSs already achieve rather a good localisation performance, while the RIS does not have a noticeable improvement (less than 2 dB). This effect is mostly visible in the inner region between the three BSs. Lastly, we notice a "boosting" effect in the green region, which is also known in the literature as a "RIS-boosted localisation" regime. Accordingly, while

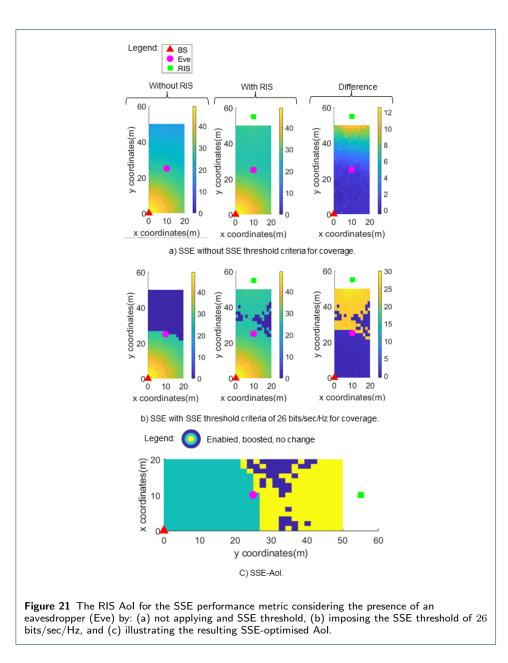


the conventional system relying uniquely on the three BSs can achieve acceptable performance, the RIS further contributes in improving localisation to a significant extent, which leads to PEB level improvement by 3 dB or more.

# 5.4 Example of an SSE-Optimised Aol

In this section, we consider a scenario including a legitimate communication pair, comprising a BS and an RX, as well as an eavesdropper Eve, with all nodes equipped with multiple antennas and placed in the same geographical area.

In Fig. 21a, we plot three different heatmaps of the SSE (which is defined as the data rate attained at the target UE minus the data rate that is intercepted by Eve [49, eq. Sec. II]): i) when there is no RIS deployed; ii) when there exists an RIS optimised for SSE maximization; and iii) the difference in SSE performance due to



the introduction of the RIS. More precisely, each heatmap is obtained assuming that the BS's, Eve's and RIS's positions are fixed. In particular, the 3D Cartesian coordinates of the BS, Eve and RIS were chosen as [0, 0, 10], [10, 25, 1.5], and [10, 55, 5] in meters, respectively. Then, for each position of the legitimate RX on the map, the secrecy rate maximisation problem was solved based on the design in [49, 50], where the linear precoding and the artificial noise covariance matrix at the BS, the linear combining matrix at RX, and the RIS passive beamforming vector, as well as the number of the transmitted streams are optimised either for perfect or imperfect knowledge of Eve's CSI. In this figure's results, the BS was assumed equipped with 8 antennas, Eve and RX share had both 4 antenna elements, and the RIS consisted of 400 unit cells. Figure 21b is derived from Fig. 21a by applying a minimum SSE threshold criterion, whose value was set equal to 26 bits/sec/Hz. In locations where the SSE of Fig. 21a is below this predefined threshold, the network is incapable to serve the UE (as it cannot guarantee the minimum target SSE), thus, the UE is out of coverage the SSE was set to zero in Fig. 21b. Again, there exist three heatmaps of SSE in this figure: *i*) without RIS; *ii*) with RIS; and *iii*) the difference due to the introduction of RIS. Figure 21c is derived from the right sub-figure of Fig. 21b and enables us to visualise three areas: an "enabled area" where the RX is served by the BS (with the guaranteed minimum SSE) thanks to the RIS deployment and proposed optimisation; a "boosted area" where the SSE of RX is boosted thanks to RIS; and an "unchanged area" where RX remains out of the BS's coverage.

In the case where the RIS is not deployed, we observe in Fig. 21a that, close to the BS (i.e., at the bottom left corner) the secrecy rate is larger, reaching its maximum values throughout the considered grid of RX positions. This behavior is expected, since at these positions, Eve's channel matrix is more degraded than RX's. However, when RX is located away from the BS and specifically above Eve in the grid (i.e., when  $y_{\rm RX} > y_{\rm Eve}$ ), SSE decreases considerably, reaching its minimum value, which is equal to 16.69 bits/sec/Hz. On the other hand, when the RIS is deployed at the described position, it can be observed from the middle subfigure in Fig. 21a that the upper sub-area (i.e., for  $y_{\rm RX} > y_{\rm Eve}$ ) gets boosted in terms of SSE performance. Particularly, the SSE increases approximately at least 2 bits/sec/Hz close to Eve's position and at least 10 bits/sec/Hz to RIS's position. The performance fluctuation of these two cases is better shown in the right subfigure Fig. 21a, where the difference between the latter two cases has been evaluated. In addition, it is observed that the maximum SSE difference, which is equal to 12.58 bits/sec/Hz, is attained close to the position where the RIS is located, while for the sub-area close to the BS, the performance remains unchanged. In Fig. 21c, the SSE-optimised AoI is demonstrated, including areas where the targeted metric is either enabled or boosted, or remains unchanged.

# 6 Conclusions

In this paper, we presented various deployment scenarios for RIS-enabled smart wireless environments, as they have been recently identified by the ongoing EU H2020 RISE-6G project. In particular, the proposed scenarios have been categorised according to the expected achievable performance, and their goal was to lead in substantial performance improvement compared to conventional network deployment architectures. However, similar to any promising new technology, the increased potential of RISs is accompanied with certain challenges. Two novel challenges with RISs are the BoI and AoI, which were introduced and mathematically defined in this article, as well as characterised via preliminary simulations results and measurements. For the former challenge, it was showcased that it depends on the considered RIS unit-cell technology, requiring careful consideration especially when RISs are intended for multi-operator deployment cases. On the other hand, it was demonstrated that the AoI depends on the RIS hardware design and placement, and it can be dynamically optimised to meet certain performance objectives.

#### 7 Abbreviations

- 3GPP: 3rd Generation Partnership Project
- ETSI: European Telecommunications Standards Institute
- BS: Base Station
- FIM: Fisher Information Matrix
- LoS: Line-of-Sight
- MIMO: Multiple Inputs Multiple Outputs
- NF: Nearfield
- NLoS: Non-Line-of-Sight
- PEB: Position Error Bound
- RIS: Reconfigurable Intelligent Surface
- QoS: Quality of Service
- RX: Receiver
- SNR: Signal-to-Noise-Ratio
- UE: User Equipment
- TX: Transmitter • EM: Electro Magnetic
- 6G: 6-th Generation
- RF: Radio Frequency • EM: Electromagnetic
- EMF: EM Field
- EU: European Union
- EE: Energy Efficiency
- H2020: Horizon 2020 • Aol: Area of Influence
- Bol: Bandwidth of Influence
- 5G: 5-th Generation
- SDR: Software Defined Radio
- IoT: Internet of Things
- MEC: Multi-Access Edge Computing
- GDoP: Geometric Dilution of Precision • S-EMFEU: EMF Exposure Utility
- I-EMFEU: Inter EMFEU
- SSE: Secrecy Spectral Efficiency • TDD: Time Division Duplex
- PHY: PHYsical
- L1: Layer 1
- L2: Laver 2
- MAC: Medium Access Control RAN: Radio Access Network
- O-RAN: Open-RAN
- CU: Centralised Unit
- DU: Distributed Unit
- RU: Remote Unit
- RLC: Radio Link Control
- CP: Control Plane
- UP: User Plane
- O-FH: Open FrontHaul
- RIC: RAN Intelligent Controller
- non-RT: non-RealTime
- CSI: Channel State Information
- BLEP: Block Error Probability
- R-RIS: Reflective RIS
- T-RIS: Transmissive RIS
- SE: Spectral Efficiency
- ULA: Uniform Linear Array
- CRLB: Cramer-Rao Lower Bound
- NFVI: Network Functions Virtualization Infrastructure
- NFVO: Network Functions Virtualization Orchestrator
- ONAP: Open Network Automation Platform
- MANO: Management and Orchestration
- NMS: Network Management System
- SDL: Specification and Description Language
- RLC: Radio Link Control
- RRC: Radio Resource Control
- MCU: Multipoint Control Unit
- PDCP: Packet Data Convergence Protocol
- SDAP: Service Data Adaptation Protocol

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#### Availability of data and materials

The datasets produced and used in this article are available on the open access repository of the EU H2020 RISE-6G project (see details in https://rise-6g.eu).

#### **Competing interests**

The authors declare that they have no competing interests.

#### Authors' contributions

All authors contributed to the definition of the deployment scenarios and the network architecture for RIS-enabled smart wireless environments, as well as of the bandwidth and area of influence metrics. All authors read and approved the manuscript.

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