**RESEARCH**



# **Trajectory Optimization and Multiple‑Sliding‑Surface Terminal Guidance in the Lifting Atmospheric Reentry**

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### **Abstract**

In this paper, the problem of guiding a vehicle from the entry interface to the ground is addressed. The Space Shuttle Orbiter is assumed as the reference vehicle and its aerodynamics data are interpolated to properly simulate its dynamics. The transatmospheric guidance is based on an open-loop optimal strategy which minimizes the total heat input absorbed by the vehicle while satisfying all the constraints. Instead, the terminal phase guidance is achieved through a multiple-slidingsurface technique, which is able to drive the vehicle toward a specifed landing point with desired heading angle and vertical velocity at touchdown, even in the presence of nonnominal initial conditions. The terminal guidance strategy is successfully tested through a Monte Carlo campaign, in the presence of stochastic winds and wide dispersions on the initial conditions at the terminal area energy management, in more critical scenarios with respect to the orbiter safety criteria.

**Keywords** Lifting reentry · Real-time guidance · Optimal guidance · Sliding-mode

# **1 Introduction**

The design of reusable vehicles, able to successfully achieve safe planetary reentry, requires a reliable and effective guidance architecture. Unsurprisingly, the interest in guidance and control technologies for atmospheric reentry and landing of winged vehicles has increased, as the fexibility and controllability of the reentry trajectory can be enhanced through the use of lifting bodies, resulting in a greater accuracy in the landing point. However, this introduces a further sensitivity to the environmental conditions, such as atmospheric perturbations, as well as non-critical failures in the aerodynamic control surfaces.

Several space programs are foreseeing the use of lifting vehicles, like the European Space Rider [\[1](#page-18-0)] and the Reusability Flight Experiment (ReFEx) by DLR [[2](#page-18-1)], as well as the Dream Chaser by Sierra Nevada Corporation, which is based on a philosophy similar to that of the Space Shuttle

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Orbiter and will be tested as a transport vehicle toward the International Space Station [\[3](#page-18-2)]. Furthermore, the reentry trajectory of blunt bodies with modest aerodynamic efficiency (if compared with the typical values obtained in commercial aviation) can be aerodynamically controlled, as for NASA Orion vehicle [[4\]](#page-18-3). During the atmospheric arc, however, different sources of dispersions may deviate the vehicle from its nominal trajectory. Therefore, real-time generation of trajectory represents an asset for a terminal descent guidance algorithm, for the purpose of guaranteeing safe landing even in the presence of nonnominal conditions and dispersions due to the preceding transatmospheric phase.

The early studies about the reentry trajectories of lifting vehicles emerge through the works by Frostic and Vinh [[5,](#page-18-4) [6](#page-18-5)], Broglio [\[7](#page-18-6)] and Chapman [[8\]](#page-18-7), who reduced the reentry problem to a single second-order nonlinear ordinary diferential equation. The guidance and control strategy of the Space Shuttle relied on the modulation of the bank angle to follow a pre-computed reference drag profle, which can be related to the downrange fown by the vehicle; thus, it could only account for small deviations from the nominal conditions [\[9](#page-18-8)]. Mease and Kremer [[10\]](#page-18-9) revisited the Shuttle reentry guidance, using nonlinear geometric methods. Later on, Benito and Mease [\[11\]](#page-18-10) developed and applied a new controller based on model prediction, where the bank angle is modulated to minimize an efective cost function

that accounts for the error in drag acceleration and downrange. Nonlinear predictive control was employed by Minwen and Dayi to generate skip entry trajectories for low lift-to-drag vehicles [[12](#page-18-11)], though assuming constant lift and drag coefficients. Most recently, Lu  $[13]$  $[13]$  $[13]$  considered a unifed guidance methodology based on a predictor-corrector algorithm, for vehicles with diferent aerodynamic efficiency, while satisfying the boundaries on the thermal fux and load factor. All these works focus on the development of a suitable controller capable of addressing control saturation and inequality entry constraints, given the limited control authority during the early atmospheric phase; however, the problem of interfacing the reentry guidance with a suitable terminal guidance algorithm is not fully investigated. As a consequence, the development of an integrated entry and landing guidance algorithm for a realistic vehicle is still of interest.

Instead, a more limited number of papers addressed the terminal descent and landing, which is traveled after the terminal area energy management (TAEM) interface. Kluever [\[14](#page-19-0)] developed a guidance scheme for an unpowered vehicle with limited normal acceleration capabilities, in a simplifed bidimensional model. Bollino et al. [\[15\]](#page-19-1) employed a pseudospectral-based algorithm for optimal feedback guidance of spacecraft from the entry interface until the fnal approach, although the aerodynamic modeling is approximated through analytical expressions. A pseudospectral method was also investigated by Fahroo and Doman [[16\]](#page-19-2) in mission scenarios with actuation failures, but still in a bidimensional framework. Finally, reinforcement learning was used for autonomous guidance algorithms for precise landing [[17\]](#page-19-3). Recently, sliding mode control was proposed as an efective nonlinear approach to yield real-time feedback control laws able to drive an unpowered space vehicle toward a specifed landing site [[9](#page-18-8), [18](#page-19-4)].

In this work, an open-loop optimal guidance is developed for the transatmospheric arc, capable of minimizing the total heat input while driving the vehicle toward the TAEM. The Space Shuttle Orbiter is taken as the reference vehicle and an analytical method is proposed to keep the maximum thermic fux below the safety limit, while accounting for the saturation on the control variables. Finally, the multiple-slidingsurface guidance is employed to drive the vehicle from the TAEM to the landing point, with accurate aerodynamic modeling, including stochastic winds and large dispersions on the initial values of the state and control variables. In a previous work, sliding-mode control was already employed as a nonlinear approach to yield real-time feedback guidance laws in an accurate dynamic framework, including winds and large deviations from the initial trajectory variables [\[19](#page-19-5)]. In this study, signifcant improvements are developed with respect to the previous research:

- sliding-mode guidance is tested for a longer time period (i.e., from the TAEM to ground);
- the aerodynamic modeling is based on real data rather than approximate analytical expressions;
- the saturation of the control variables is accounted inside the expression of the control input, so that only feasible trajectories are generated;
- the guidance gains are updated through an adaptive strategy, allowing further extension of the capability of the algorithm.

Moreover, previous studies on multiple-sliding-surface guidance relied on largely simplifed dynamics, with no apparent forces nor wind modeling, as well as approximate aerodynamic coefficients  $[9, 18]$  $[9, 18]$  $[9, 18]$  $[9, 18]$  $[9, 18]$ . In short, this research has the following objectives: (i) develop and apply an open-loop optimal guidance law to drive the Space Shuttle Orbiter from the entry interface to the TAEM, while satisfying all the constraints and minimizing the total heat; (ii) develop and apply a multiple-sliding-surface terminal guidance law to a vehicle with a realistic aerodynamic modeling; (iii) test the efectiveness of the terminal descent guidance to achieve safe landing despite the initial dispersions and winds.

# **2 Reentry Dynamics**

The reentry vehicle is modeled as a 3-degrees-of-freedom (3-DOF) lifting body and the position of the centre of mass is identified by a set of three spherical coordinates  $(r, \lambda_g, \varphi)$ , where *r* is the instantaneous radius,  $\lambda_g$  the geographical longitude and  $\varphi$  the latitude. The additional variables are given by the relative velocity with respect to the Earth surface  $v_r$ , the heading angle  $\zeta_r$  and the flight path angle  $\gamma_r$ . The Space Shuttle Orbiter is taken as the reference vehicle for numerical simulations, as there is plenty of available data in literature about its aerodynamic characteristics and mission profle. Therefore, it represents a suitable benchmark for novel guidance algorithms. Table [1](#page-1-0) collects the reference data for the Space Shuttle Orbiter, which will be later employed for the reentry simulations.

<span id="page-1-0"></span>



### **2.1 Reference Frames**

Several reference frames must be defined to describe the reentry dynamics. In the following, the notation  $\mathbf{R}_j(\vartheta)$  ( $j = 1, 2, 3$ ) denotes an elementary rotation about axis  $j$  by angle  $\vartheta$ :

- The *Earth-Centered-Inertial* frame (ECI)  $(\hat{c}_1, \hat{c}_2, \hat{c}_3)$  has unit vector  $\hat{c}_3$  aligned with the Earth rotational axis, while  $\hat{c}_1$  points toward the vernal axis.
- The *Earth-Centered Earth-Fixed* frame (ECEF)  $(\hat{i}, \hat{j}, \hat{k})$  is rigidly attached to the Earth. The unit vector *̂ i* intersects the Greenwich reference meridian at all times, while  $\hat{k}$  is aligned with the Earth rotational axis. The angle between the unit vector  $\hat{i}$  and the vernal axis at time *t* is given by

$$
\theta_G(t) = \theta_G(t^*) + \omega_e(t - t^*),\tag{1}
$$

where *t*<sup>∗</sup> is a generic time instant (for simplicity,  $\theta_G(t^*) = 0$  is assumed), while  $\omega_e = \omega_e \hat{k}$  is the Earth rotational velocity, assumed as constant. The ECEF-frame is obtained from the ECI-frame through a single rotation,

$$
\begin{bmatrix} \hat{i} \\ \hat{j} \\ \hat{k} \end{bmatrix} = \mathbf{R}_3(\theta_G) \begin{bmatrix} \hat{c}_1 \\ \hat{c}_2 \\ \hat{c}_3 \end{bmatrix} .
$$
 (2)

• The *Auxiliary Landing* frame (AL)  $(\hat{r}_L, \hat{E}_L, \hat{N}_L)$  has origin at the landing point. The unit vector  $\hat{r}_L$  is directed upward along the vertical direction, while  $\hat{E}_L$  and  $\hat{N}_L$ point toward the local East and North direction, respectively. If  $\varphi_{rwy}$  and  $\lambda_{rwy}$  denote the geographical latitude and longitude at the landing point, then

$$
\begin{bmatrix} \hat{r}_L \\ \hat{E}_L \\ \hat{N}_L \end{bmatrix} = \mathbf{R}_2(-\varphi_{rwy}) \mathbf{R}_3(\lambda_{rwy}) \begin{bmatrix} \hat{i} \\ \hat{j} \\ \hat{k} \end{bmatrix} .
$$
 (3)

In this study, the origin of the AL-frame is placed at the threshold of the *NASA Shuttle Landing Facility*, identifed by the following coordinates:

$$
\varphi_{rwy} = 28.6328
$$
° N,  $\lambda_{rwy} = 80.7060$ ° W. (4)

• The *Local Horizontal* frame (LH)  $(\hat{r}, \hat{E}, \hat{N})$  is attached to the reentry vehicle. The unit vector  $\hat{r}$  is aligned with the instantaneous position vector<sub>*r*</sub>, while  $\hat{E}$  and  $\hat{N}$  are directed, respectively, along the East and North direction. The LH-frame can be obtained from the ECEF-frame through the sequence of two elementary rotations,

$$
\begin{bmatrix} \hat{r} \\ \hat{E} \\ \hat{N} \end{bmatrix} = \mathbf{R}_2(-\varphi)\mathbf{R}_3(\lambda_g) \begin{bmatrix} \hat{i} \\ \hat{j} \\ \hat{k} \end{bmatrix} .
$$
 (5)

• The *Auxiliary Orbital* frame (AO)  $(\hat{r}, \hat{\theta}_r, \hat{h}_r)$  is defined with reference to the vehicle relative velocity,

$$
\nu_r = \nu_l - \omega_e \times r,\tag{6}
$$

where  $v_I$  and  $v_r$  are, respectively, the inertial and relative velocity. The unit vector  $\hat{\theta}_r$  is aligned with the projection of the relative velocity vector  $v_r$  on the horizontal plane, defined by the set of vectors  $(\vec{\hat{E}}, \hat{N})$ . The AO-frame and the LH-frame are linked through an elementary rotation by the heading angle  $\zeta_r$  (see Fig. [1\)](#page-3-0),

$$
\begin{bmatrix} \hat{r} \\ \hat{\theta}_r \\ \hat{h}_r \end{bmatrix} = \mathbf{R}_1(\zeta_r) \begin{bmatrix} \hat{r} \\ \hat{E} \\ \hat{N} \end{bmatrix} . \tag{7}
$$

• The *Relative Velocity* frame (RV)  $(\hat{\boldsymbol{n}}_r, \hat{\boldsymbol{v}}_r, \hat{\boldsymbol{h}}_r)$  is obtained from the AO-frame through a clockwise rotation along axis 3 by the flight path angle  $\gamma_r$  (see Fig. [1](#page-3-0)),

$$
\begin{bmatrix} \hat{\boldsymbol{n}}_r \\ \hat{\boldsymbol{v}}_r \\ \hat{\boldsymbol{h}}_r \end{bmatrix} = \mathbf{R}_3(-\gamma_r) \begin{bmatrix} \hat{\boldsymbol{r}} \\ \hat{\boldsymbol{\theta}}_r \\ \hat{\boldsymbol{h}}_r \end{bmatrix} . \tag{8}
$$

Figure [1](#page-3-0) shows the LH- and RV-frames, together with the ECI-frame.

• The *Wind Axes frame* frame (WA)  $(\hat{\boldsymbol{n}}_W, \hat{\boldsymbol{v}}_W, \hat{\boldsymbol{h}}_W)$  is defned with reference to the vehicle velocity with respect to the local velocity of the atmosphere  $v_a$ ,

$$
\underline{v}_a = \underline{\omega}_e \times \underline{r} + \underline{v}_{\text{wind}},\tag{9}
$$

where  $v_{wind}$  is the velocity perturbation induced by the local winds. Therefore, the vehicle velocity relative to the atmosphere is

$$
\nu_{W} = \nu_{I} - \nu_{a} = \nu_{I} - (\omega_{e} \times \underline{r} + \nu_{\text{wind}}) = \nu_{r} - \nu_{\text{wind}}, \quad (10)
$$

where the unit vector  $\hat{v}_W$  is aligned with the velocity vector  $v_W$ . This vector is defined in the RV-frame through a counterclockwise rotation along axis 1 by angle  $\alpha_W$  and a following clockwise rotation along axis 3 by angle  $\beta_w$ . Then, the unit vector  $\hat{n}_w$  is contained in the plane of symmetry of the vehicle and is directed upward in horizontal fight conditions. It is worth remarking that, in aeronautical fight, usually this axis corresponds to the z-axis and has opposite direction. The following relation relate the WA-frame and the RV-frame:

<span id="page-3-0"></span>**Fig. 1** Representation of the LH- and RV- frames:  $\lambda_a = \theta_G + \lambda_g$  identifies the absolute longitude



$$
\begin{bmatrix} \hat{\mathbf{n}}_W \\ \hat{\mathbf{p}}_W \\ \hat{\mathbf{h}}_W \end{bmatrix} = \mathbf{R}_2(\sigma) \mathbf{R}_3(-\beta_W) \mathbf{R}_1(\alpha_W) \begin{bmatrix} \hat{\mathbf{n}}_r \\ \hat{\mathbf{p}}_r \\ \hat{\mathbf{h}}_r \end{bmatrix},
$$
(11)

where  $\sigma$  is the bank angle.

• The *Auxiliary Body Axes* frame (ABA)  $(\hat{i}_B, \hat{j}_B, \hat{k}_B)$  is aligned with the body axes of inertia. The unit vector  $\hat{k}_B$ is orthogonal to the vehicle plane of symmetry, while the two remaining unit vectors lie on it. If  $\beta$  is the sideslip angle and  $\alpha$  the angle of attack, then

$$
\begin{bmatrix} \hat{i}_B \\ \hat{j}_B \\ \hat{k}_B \end{bmatrix} = \mathbf{R}_3(-\alpha)\mathbf{R}_1(\beta) \begin{bmatrix} \hat{n}_W \\ \hat{v}_W \\ \hat{h}_W \end{bmatrix} .
$$
\n(12)

Figure [2](#page-4-0) shows the set of unit vectors  $(\hat{i}_B, \hat{j}_B, \hat{k}_B)$  and the relation with the WA-frame through the aerodynamic angles, under the hypothesis of no winds.

• The *Body Axes* frame (BA)  $(\hat{\mathbf{x}}_B, \hat{\mathbf{y}}_B, \hat{\mathbf{z}}_B)$  has axis  $\hat{\mathbf{x}}_B$ aligned with the longitudinal axis, while  $\hat{z}_B$  is directed downward in horizontal fight conditions. Then,

$$
\begin{bmatrix} \hat{\mathbf{x}}_B \\ \hat{\mathbf{y}}_B \\ \hat{z}_B \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & -1 \\ -1 & 0 & 0 \end{bmatrix} \begin{bmatrix} \hat{\mathbf{i}}_B \\ \hat{\mathbf{j}}_B \\ \hat{\mathbf{k}}_B \end{bmatrix} = \mathbf{R}_A \begin{bmatrix} \hat{\mathbf{i}}_B \\ \hat{\mathbf{j}}_B \\ \hat{\mathbf{k}}_B \end{bmatrix} . \tag{13}
$$

• The *Frozen Inertial* frame (FI)  $(\hat{i}_0, \hat{j}_0, \hat{k}_0)$  is introduced to describe the attitude of the vehicle. Its inertial axes are defned as follows:

- during the transatmospheric phase, they coincide with the local East, South and Nadir directions at the initial position of the vehicle;
- during the terminal phase, they coincide with the local East, South and Nadir directions at the landing point at the initial time (of the terminal phase).

If subscript  $_0$  denotes a generic coordinate at initial time, then the following relation holds:

$$
\begin{bmatrix}\n\hat{i}_0 \\
\hat{j}_0 \\
\hat{k}_0\n\end{bmatrix} =\n\begin{bmatrix}\n0 & 1 & 0 \\
0 & 0 & -1 \\
-1 & 0 & 0\n\end{bmatrix}\n\begin{bmatrix}\n\hat{r}_{L0} \\
\hat{E}_{L0} \\
\hat{N}_{L0}\n\end{bmatrix} =\n\mathbf{R}_A\n\begin{bmatrix}\n\hat{r}_{L0} \\
\hat{E}_{L0} \\
\hat{N}_{L0}\n\end{bmatrix}
$$
\n
$$
= \mathbf{R}_A \mathbf{R}_2(-\varphi_0) \mathbf{R}_3(\lambda_{a0})\n\begin{bmatrix}\n\hat{c}_1 \\
\hat{c}_2 \\
\hat{c}_3\n\end{bmatrix},
$$
\n(14)

where  $(\varphi_0, \lambda_{a0})$  are the latitude and absolute longitude of the origin of the reference frame. Then, the attitude of the vehicle can be specifed by linking the BA-frame and the FI-frame through a sequence of three elementary rotations:

a counterclockwise rotation along axis 3 by angle  $\psi$ (*yaw* angle):

$$
-\pi \le \psi \le \pi; \tag{15}
$$

 $-$  a counterclockwise rotation along axis 2 by angle  $\theta$ (*pitch* angle):

<span id="page-4-0"></span>**Fig. 2** Visualization of the ABA frame under no winds



$$
-\frac{\pi}{2} \le \theta \le \frac{\pi}{2};\tag{16}
$$

 $-$  a counterclockwise rotation along axis 1 by angle  $\phi$ (*roll* angle):

$$
-\pi \le \phi \le \pi. \tag{17}
$$

Therefore,

$$
\begin{bmatrix} \hat{\mathbf{x}}_B \\ \hat{\mathbf{y}}_B \\ \hat{\mathbf{z}}_B \end{bmatrix} = \mathbf{R}_1(\phi) \mathbf{R}_2(\theta) \mathbf{R}_3(\psi) \begin{bmatrix} \hat{i}_0 \\ \hat{j}_0 \\ \hat{k}_0 \end{bmatrix} = \mathbf{R}_{FI \to B} \begin{bmatrix} \hat{i}_0 \\ \hat{j}_0 \\ \hat{k}_0 \end{bmatrix}.
$$
 (18)

Figure [3](#page-5-0) shows the diferent reference frames and their relations.

### **2.2 Atmospheric Modeling**

The atmosphere is modeled as a fuid, with all the species homogeneously mixed and behaving as a perfect gas. Then, under the hypotheses of hydrostatic equilibrium and adiabatic interactions, the density  $\rho$  can be written as function of the altitude *z*,

$$
\rho(z) = \rho(z_0)e^{-\frac{z - z_0}{H}},
$$
\n(19)

where *H* is the scale height and  $z_0$  a reference altitude. The values of the scale height and density corresponding to different altitude intervals are collected in [\[22\]](#page-19-8). An accurate distribution of the density can be obtained through a piecewise exponential function,

$$
\rho(z) = \rho(z_k)e^{-\frac{z - z_k}{H_k}}, \quad z_k \le z \le z_{k+1}
$$
\n(20)

where  $H_k$  is the scale height corresponding to the interval  $[z_k, z_{k+1}]$ .

Another necessary parameter is the speed of sound  $v<sub>s</sub>$ , which depends on the local atmospheric temperature,

$$
\nu_s = \sqrt{\frac{\gamma p}{\rho}} = \sqrt{\gamma \frac{R^*}{M} T},\tag{21}
$$

where  $p$  is the pressure,  $T$  the temperature,  $\gamma$  the heat capacity ratio,  $R^*$  the molar gas constant and  $M$  the molar mass. In this case, a fourth-degree polynomial is employed to interpolate the sound speed, from the data collected in [[23](#page-19-9)].

<span id="page-5-0"></span>**Fig. 3** Reference frames and elementary rotation matrices



# <span id="page-5-1"></span>**2.3 Aerodynamics Modeling**

The simulation of the reentry dynamics of the Shuttle Orbiter requires the computation of the aerodynamic coefficients  $C_L$ ,  $C_D$  and  $C_Q$ , which in general depend on several parameters:

$$
C_{L,D,Q} = C_{L,D,Q}(\alpha, \beta, M, Re)
$$
 (22)

where *M* is the Mach number and *Re* the Reynolds number. In this study, the following hypotheses are assumed: (i) the dependence of the aerodynamic coefficients with the Reynolds number is neglected  $[21]$ ; (ii) the effects of the angle of attack and sideslip angle on the aerodynamic coefficients are decoupled  $[21]$ , i.e., the lift and drag coefficients are assumed to depend on  $\alpha$  and  $M$ , while the side force coefficient depends on  $\beta$  and  $M$ ,

$$
C_L = C_L(\alpha, M),\tag{23}
$$

$$
C_D = C_D(\alpha, M),\tag{24}
$$

$$
C_Q = C_Q(\beta, M). \tag{25}
$$

The aerodynamic coefficients of the Space Shuttle Orbiter as a function of the Mach number and the aerodynamic angles can be obtained through wind tunnel tests and are collected in [\[21\]](#page-19-7). Let us assume that a given curve at Mach number  $M_1$  is sampled with *n* points,

$$
(\alpha_k^{M_1}, C_{L_k}^{M_1}) \quad k = 1, \dots, n. \tag{26}
$$

The coordinates of the sampled points can be rearranged in a matricial form,

$$
\alpha^{M_1} = \begin{bmatrix} \alpha_1^{M_1} \\ \vdots \\ \alpha_k^{M_1} \\ \vdots \\ \alpha_n^{M_1} \end{bmatrix}, \qquad C_L^{M_1} = \begin{bmatrix} C_{L_1}^{M_1} \\ \vdots \\ C_{L_k}^{M_1} \\ \vdots \\ C_{L_n}^{M_1} \end{bmatrix} . \tag{27}
$$

Then, the sampled points are interpolated to yield the value of the lift coefficient  $C_L^1$  for a generic angle of attack  $\alpha$  and Mach number  $M_1$ . Let us suppose that the value of the lift coefficient  $C_L$  at a given Mach number *M* is required. Then, an adjacent curve at Mach  $M_2$  can be identified, so that

$$
M_1 \le M \le M_2. \tag{28}
$$

Similarly, the curve corresponding to  $M_2$  is sampled with *m* points,

$$
(\alpha_k^{M_2}, C_{L_k}^{M_2}) \quad k = 1, \dots m,
$$
\n(29)

to yield the value  $C_L^2$  for the same angle of attack  $\alpha$ . Finally, the lift coefficient  $C_L$  is retrieved through a linear interpolation,

$$
C_L = C_L^1 + \frac{C_L^2 - C_L^1}{M_2 - M_1}(M - M_1).
$$
 (30)

The same procedure can be applied to compute the drag and side force coefficients for a generic  $\alpha$ ,  $\beta$  and *M*.

### **2.4 Trajectory Equations**

The reentry vehicle is modeled as a 3-degrees-of-freedom (3-DOF) rigid body. The trajectory equations describe the motion of the center of mass due to the efect of the active forces. Even though this research addresses only the trajectory dynamics, the aerodynamic angles will be eventually employed to retrieve the attitude of the vehicle in the inertial reference frame.

#### **2.4.1 Kinematics Equations**

The kinematics equations relate the instantaneous position vector  $\mathbf{r}$  with the velocity vector  $\mathbf{v}_r$  and are expressed in the  $\overrightarrow{AD}$ -frame. The kinematics equations are [\[24](#page-19-10)]

$$
\dot{r} = v_r \sin \gamma_r,\tag{31}
$$

$$
\dot{\lambda}_g = \frac{v_r \cos \gamma_r \cos \zeta_r}{r \cos \varphi},\tag{32}
$$

$$
\dot{\varphi} = \frac{v_r \cos \gamma_r \sin \zeta_r}{r}.
$$
\n(33)

#### **2.4.2 Dynamics Equations**

The dynamical equations are directly obtained from Newton's law,

$$
m\underline{a} = \underline{A} + \underline{G} + \underline{T}, \tag{34}
$$

where  $\underline{a}$  is the inertial acceleration of the center of mass of the vehicle,  $\underline{G}$  is the gravitational force,  $\underline{T}$  is the thrust, while  $\vec{A}$  is the aerodynamics force, which is the sum of lift  $\vec{L}$ , drag  $\vec{D}$  and sideforce  $\vec{O}$  $\vec{D}$  $\mathbf{D}$  and sideforce  $\mathbf{Q}$ ,  $\rightarrow$ 

$$
\underline{A} = \underline{L} + \underline{D} + \underline{Q}.\tag{35}
$$

The aerodynamic forces can be conveniently expressed in the wind axes,

$$
\underline{\mathbf{L}} = \tilde{\mathbf{L}} \hat{\mathbf{n}}_W = \frac{1}{2} C_L S_{\text{ref}} \rho v_W^2 \hat{\mathbf{n}}_W, \qquad (36)
$$

$$
\underline{\mathbf{D}} = -\tilde{D}\hat{\mathbf{v}}_W = -\frac{1}{2}C_D S_{\text{ref}} \rho v_W^2 \hat{\mathbf{v}}_W,\tag{37}
$$

$$
\underline{\mathbf{Q}} = \tilde{\mathbf{Q}} \hat{\mathbf{h}}_W = \frac{1}{2} C_Q S_{\text{ref}} \rho v_W^2 \hat{\mathbf{h}}_W,\tag{38}
$$

where  $S_{\text{ref}}$  is the reference area of the vehicle. Then, the aerodynamic force must be projected onto the RV-frame  $(\hat{\boldsymbol{n}}_r, \hat{\boldsymbol{v}}_r, \hat{\boldsymbol{h}}_r)$  through the angles  $\alpha_W, \beta_W$  and  $\sigma$ . The acceleration components are denoted with  $(A_n, A_v, A_h)$  and are given by

$$
\underline{A} = [A_n A_v A_h] \begin{bmatrix} \hat{n}_r \\ \hat{v}_r \\ \hat{h}_r \end{bmatrix}
$$
\n
$$
= \left[ \frac{\tilde{L}}{m} - \frac{\tilde{D}}{m} \frac{\tilde{L}}{Q} \right] \mathbf{R}_2(\sigma) \mathbf{R}_3(-\beta_W) \mathbf{R}_1(\alpha_W) \begin{bmatrix} \hat{n}_r \\ \hat{v}_r \\ \hat{h}_r \end{bmatrix}.
$$
\n(39)

Under the hypothesis of no thrust, the dynamics equations are [\[24](#page-19-10)]

$$
\dot{v_r} = -\frac{\mu}{r^2} \sin \gamma_r + A_v + \omega_e^2 r \cos \varphi (\cos \varphi \sin \gamma_r - \sin \varphi \cos \gamma_r \sin \zeta_r),
$$
\n(40)

<span id="page-6-1"></span>
$$
\dot{\zeta}_r = -\frac{v_r}{r} \tan \varphi \cos \gamma_r \cos \zeta_r + \frac{A_h}{v_r \cos \gamma_r} + 2\omega_e \cos \varphi \tan \gamma_r \sin \zeta_r
$$
\n(41)

<span id="page-6-0"></span>
$$
- \frac{\omega_e^2 r}{v_r \cos \gamma_r} \sin \varphi \cos \varphi \cos \zeta_r - 2\omega_e \sin \varphi,
$$
  

$$
\dot{\gamma_r} = \left[ -\frac{\mu}{r^2 v_r} + \frac{v_r}{r} \right] \cos \gamma_r + \frac{A_n}{v_r} + 2\omega_e \cos \varphi \cos \zeta_r \qquad (42)
$$
  

$$
+ \frac{\omega_e^2 r}{v_r} \cos \varphi (\cos \varphi \cos \gamma_r + \sin \varphi \sin \gamma_r \sin \zeta_r),
$$

where  $\mu$  is the Earth's gravitational parameter.

### **2.5 Attitude Kinematics**

This research does not address the attitude dynamics and control, i.e., the instantaneous and commanded are implicitly assumed to be coincident throughout the simulation time. However, the analysis of the Bryant angles can provide meaningful information as well. The attitude of the vehicle with respect to the inertial frame is described by matrix  $\mathbf{R}_{F I \rightarrow B}$ ;

from inspection of Fig. [3](#page-5-0), matrix  $\mathbf{R}_{F I \rightarrow B}$  can be linked to the other rotation matrices,

$$
\mathbf{R}_{FI\rightarrow B} = \mathbf{R}_A \mathbf{R}_3(-\alpha) \mathbf{R}_1(\beta) \mathbf{R}_2(\sigma) \mathbf{R}_3(-\beta_W) \mathbf{R}_1(\alpha_W) \mathbf{R}_3(-\gamma_r) \cdot \mathbf{R}_1(\zeta_r) \mathbf{R}_2(-\varphi) \mathbf{R}_3(\lambda_g) \mathbf{R}_3(\theta_G) \mathbf{R}_3(\lambda_{a0})^T \mathbf{R}_2(-\varphi_0)^T \mathbf{R}_A^T.
$$
\n(43)

If  $\mathbf{R}_{F I \rightarrow B}(i, j)$  denotes the entry of the matrix in the *i*th row and *j*th column, then the Bryant angles can be easily retrieved as

$$
\theta = -\arcsin\left(\mathbf{R}_{FI\to B}(1,3)\right),\tag{44}
$$

$$
\begin{cases}\n\sin \psi = \frac{\mathbf{R}_{FI \to B}(1,2)}{\cos \theta} \\
\cos \psi = \frac{\mathbf{R}_{FI \to B}(1,1)}{\cos \theta}\n\end{cases}
$$
\n(45)

$$
\begin{cases}\n\sin \phi = \frac{\mathbf{R}_{FI \to B}(2,3)}{\cos \theta} \\
\cos \phi = \frac{\mathbf{R}_{FI \to B}(3,3)}{\cos \theta}.\n\end{cases}
$$
\n(46)

# **3 Transatmospheric Phase**

The beginning of the transatmospheric arc is conventionally located at the entry interface (EI), at about 122 km. The Orbiter is traveling at 7300 m/s with a 40° angle-of-attack [\[24](#page-19-10), [25](#page-19-11)]. During the period of high heating rate, the Orbiter fies the maximum angle of attack consistent with the crossrange requirement [\[26\]](#page-19-12). The reentry phase ends as the Orbiter reaches the TAEM interface, at approximately 25 km of altitude, 762 m/s of speed and 110 km from the landing runway [\[25](#page-19-11)].

# <span id="page-7-2"></span>**3.1 Formulation of the Problem**

The goal of the transatmospheric guidance is to drive the vehicle from the EI toward the TAEM, while keeping the thermic flux per unit area at the stagnation point  $q_s$  below the maximum value and minimizing the total heat per unit area absorbed by the vehicle:

$$
Q = \int_0^{t_f} q_s dt. \tag{47}
$$

Table [2](#page-7-0) collects the boundary conditions for the atmospheric arc, which refects the typical descent profle of the Space Shuttle [[25,](#page-19-11) [27\]](#page-19-13).

The algorithm must keep the thermic fux below the maximum allowable value, equal to  $681.39 \text{ kW/m}^2$ , even lower than the typical value reported in the literature, i.e., 794.43 kW/ m<sup>2</sup> [[28](#page-19-14)]. The dynamic pressure must be less than 16.375 kPa [\[27](#page-19-13)]. The thermic fux at the leading edge can be computed as  $q_s = q_a q_r$ , where

$$
q_r = a\sqrt{\rho}(bv_r)^n,\tag{48}
$$

$$
q_a = c_0 + c_1 \alpha + c_2 \alpha^2 + c_3 \alpha^3,
$$
\n(49)

with  $a = 779.6685$  W · (kg m)<sup>-2</sup>,  $b = 3.2808 \cdot 10^{-4}$  m<sup>-1</sup>,  $n = 3.07$ ,  $c_0 = 1.0672181$ ,  $c_1 = 0.19213774 \cdot 10^{-1}$  deg<sup>-1</sup>,  $c_2 = 0.21286289 \cdot 10^{-3} \text{ deg}^{-2} \text{ and } c_3 = 0.10117249 \cdot 10^{-5}$ deg−<sup>3</sup> [\[28](#page-19-14)]. The derivative of the thermic fux can be easily computed as

<span id="page-7-1"></span>
$$
\dot{q}_s = Fq_a + q_r G \dot{\alpha},\tag{50}
$$

where *F* and *G* are auxiliary functions that do not depend on the input variable  $(\dot{\alpha})$ ,

$$
\begin{cases}\nF = \frac{a}{2\sqrt{\rho}} \dot{\rho} (bv_r)^n + na\sqrt{\rho} (bv_r)^{n-1} b\dot{v}_r \\
G = c_1 + 2c_2 \alpha + 3c_3 \alpha^2.\n\end{cases} (51)
$$

The descent of the vehicle through the atmosphere is controlled through modulation of the angle of attack and bank angle. In particular, the angle of attack varies according to four distinct fight profles:

- constant-angle-of-attack fight from the entry interface until  $M = M^*$ , where  $M^*$  is the optimized Mach number at the end of the frst reentry phase (cfr. Sect. [3.2\)](#page-8-0);
- variable-angle-of-attack fight to avoid exceeding the maximum value of the thermal fux. The time derivative of the angle off attack is selected to yield  $\dot{q}_s = 0$  (cfr. Eq. [\(50](#page-7-1))),

<span id="page-7-3"></span>
$$
\dot{\alpha} = -\frac{F}{G} \frac{q_a}{q_r};\tag{52}
$$

• variable-angle-of attack fight following a sinusoidal profle from  $M = M^*$  to  $\tilde{M} = 6$ ,



<span id="page-7-0"></span>**Table 2** Boundary conditions for the transatmospheric arc

$$
\alpha(M) = A \sin\left(\pi \frac{M - \frac{M^* + \tilde{M}}{2}}{M^* - \tilde{M}}\right) + B,\tag{53}
$$

with

$$
A = \frac{38^{\circ} - 20^{\circ}}{2},\tag{54}
$$

$$
B = \frac{38^{\circ} + 20^{\circ}}{2}.
$$
 (55)

This phase allows to reduce the angle of attack during the hypersonic regime (i.e., 38◦) to the maximum angle of attack compatible with a supersonic speed (i.e., 20◦) [[21\]](#page-19-7);

• variable-angle-of-attack fight optimized by the guidance algorithm. The optimized parameters are interpolated with a procedure similar to that of Sect. [2.3.](#page-5-1)

### <span id="page-8-0"></span>**3.2 Open‑Loop Guidance**

The guidance strategy is based on a parametric minimization of the following cost function:

$$
J = k_r \Delta_r + k_y \Delta_y + k_z \Delta_z + k_y \Delta_y + k_\zeta \Delta_z + k_\gamma \Delta_\gamma + k_q \int_0^{t_f} q_s \, dt,\tag{56}
$$

where the coefficients  $k_r$ ,  $k_y$ ,  $k_z$ ,  $k_y$ ,  $k_\zeta$ ,  $k_y$ ,  $k_q$  are chosen to balance the diferent contributions in the cost function,

$$
k_r = 10^3 \text{ m}^{-1}, k_y = k_z = 10^2 \text{ m}^{-1}, k_v = 10^4 \text{ s m}^{-1}, (57)
$$

$$
k_{\zeta} = k_{\gamma} = 5 \cdot 10^7 \text{ rad}^{-1}, \quad k_q = 10^{-1} \text{ m}^2 \text{ J}^{-1}.
$$
 (58)

The gains are selected after extensive trial-and-error and are iteratively tuned until the dispersions at the end of the transatmospheric arc are compliant with the performance of the terminal guidance algorithm. Instead, the terms  $\Delta$  in Eq. [\(56](#page-8-1)) are the deviations of the fnal state variables (reported in subscripts) from the desired values. Then, the reentry trajectory is sampled at equally-spaced time instants  $t_k$  from the entry interface to the TAEM and the open-loop guidance law is determined through parametric optimization of the following parameters:

• 16 values of the bank angle  $\sigma_k$ . The guess parameters are set to  $\pm$  50 $^{\circ}$ ; the algorithm also constrains the bank angle between  $\pm 70^\circ$ ;

- 15 values of the angle of attack  $\alpha_k$  from  $M = 6$  to the TAEM. The guess parameters are linearly decreasing from 20 $\degree$  to 14 $\degree$ ;
- the total time of flight  $t_f$  from the entry interface to the TAEM; the guess value is set to 25 min;
- the Mach number *M*<sup>∗</sup> at the end of the constant-angle-ofattack flight profile; the guess value is set to  $M = 10$ ;
- the argument of latitude  $u_0$  at the initial time; the guess value is set to 80°.

The total time of fight is also normalized with respect to its guess value. Numerical optimization utilizes a heuristic approach, i.e., an implementation of the particle swarm algorithm [\[29](#page-19-15)]. The algorithm employs 300 particles, with 500 maximum iterations, 200 maximum stall iterations and function tolerance set to 10−<sup>5</sup> .

### **3.3 Numerical Results**

Table [3](#page-8-2) collects the results of the optimization. The sideslip angle is nominally set to zero because it yields an increase in the thermic load. Therefore, the side force is not taken into account for the simulation of the reentry guidance. In Table [3,](#page-8-2) the variable  $d_f$  denotes the final distance along the Earth surface between the fnal point in the atmospheric arc and the landing site:

<span id="page-8-1"></span>
$$
d_f = R_{\text{eq}} \arccos(\sin L_f \sin L_{rwy} + \cos L_f \cos L_{rwy} \cos(\lambda_f - \lambda_{rwy}))
$$
\n(59)

where  $R_{\text{eq}} = 6378 \text{ km}$  is the mean equatorial radius [\[27](#page-19-13)].

From inspection of Table [3](#page-8-2), the guidance algorithm is able to drive the vehicle through the transatmospheric arc, with limited dispersions on the fnal state at the TAEM, along a descent path close to the actual trajectory of the Orbiter (cfr. Table [2\)](#page-7-0).

Figure [4](#page-9-0) points out that the vehicle is performing a skip entry, as the lift force rotates the velocity vector up to a positive fight path angle. This results also in a typical profle for the relative velocity  $v_r$  (Fig. [5](#page-9-1)). Indeed, when the altitude approaches a minimum (*pull-up altitude*), a signifcant reduction in the relative velocity follows due to the greater aerodynamic drag decelerating the vehicle. However, even though the vehicle is braking, the velocity increases in the frst time interval ([0,200] s). Also, each bounce on the atmosphere yields a transition between negative and positive fight path angles, as highlighted in Fig. [6.](#page-9-2)

Figure [7](#page-10-0) shows the time histories of the dynamical pressure: the maximum value is reached toward the TAEM, where the

**Table 3** Displacements of the

<span id="page-8-2"></span>

<span id="page-9-0"></span>



<span id="page-9-1"></span>**Fig. 5** Time histories of the relative velocity along the transatmospheric arc

fight path angle along the transatmospheric arc

<span id="page-9-2"></span>**Fig. 6** Time histories of the

<span id="page-10-2"></span> $5\frac{1}{0}$ 

200

400

600

800

Time [s]

1000

<span id="page-10-1"></span><span id="page-10-0"></span>



1400

1200

density is signifcantly greater; however, it is lower than the limit of the vehicle (cfr. Sect. [3.1\)](#page-7-2).

Figures [8](#page-10-1) and [9,](#page-10-2) respectively, depict the time histories of the thermal fux and of the angle of attack. In particular, the modulation of the angle of attack after 200 s yields the saturation of the thermic fux as described in Eq. ([52\)](#page-7-3). Even though the angle of attack is signifcantly high, the results show the efectiveness of the proposed strategy. Also, the curve in Fig. [9](#page-10-2) follows the designed sinusoidal profle between 900 and 1100 s, while the vehicle is transitioning from a hypersonic flight regime ( $\alpha_{\text{max}} = 38^{\circ}$ ) to a supersonic flight regime  $(\alpha_{\text{max}} = 20^{\circ})$ . The saturation of the angle of attack is highlighted in the last fight phase, where the algorithm constrains the variable among feasible values in order not to approach the stall condition. Finally, Fig. [10](#page-11-0) shows the evolution of the bank angle along the descent, which is saturated by the algorithm between ±70° to compute a feasible trajectory. The oscillations between the maximum values allow to control the crossrange component while reducing the projection of the lift force along the vertical plane as well. This technique is referred to as bank reversal [\[26\]](#page-19-12).

# **4 Terminal Guidance**

Along the transatmospheric arc, diferent factors may deviate the reentry trajectory from the reference profle. Therefore, the terminal guidance must be able to guarantee safe landing despite a wide range of initial conditions.

## **4.1 Formulation of the Problem**

The terminal descent and landing problem consists in driving the landing vehicle from the TAEM to the specifed landing point while guaranteeing:

- a desired vertical velocity at touchdown, compliant with the structural limit of the landing gear;
- the correct alignment of the vehicle with the landing runway.

# **4.2 Simplifed Dynamics Equations**

Trajectory generation is addressed in a simplifed dynamical framework, where the following hypotheses are assumed:

- the gravitational field is uniform and equal to  $g_0 = 9.7975$  $m/s^2$ ;
- the apparent forces are neglected;
- the term  $v_r/r$  in Eq. ([42\)](#page-6-0) is negligible with respect to the term depending on *Ah*.

Under these hypotheses, the kinematics and dynamics equations are simplifed into

$$
\dot{x} = v_r^{(G)} \sin \gamma_r^{(G)} \tag{60}
$$

$$
\dot{y} = v_r^{(G)} \cos \gamma_r^{(G)} \cos \zeta_r^{(G)} \tag{61}
$$

$$
\dot{z} = v_r^{(G)} \cos \gamma_r^{(G)} \sin \zeta_r^{(G)} \tag{62}
$$

<span id="page-11-0"></span>**Fig. 10** Time histories of the bank angle along the transatmospheric arc



$$
\dot{v}_r^{(G)} = -g \sin \gamma_r^{(G)} + A_v \tag{63}
$$

$$
\dot{\zeta}_r^{(G)} = \frac{A_h}{v_r^{(G)} \cos \gamma_r^{(G)}}\tag{64}
$$

$$
\dot{\gamma}_r^{(G)} = \frac{g_0 \cos \gamma_r^{(G)}}{\nu_r^{(G)}} + \frac{A_n}{\nu_r^{(G)}}
$$
(65)

where  $\gamma_r^{(G)}$  and  $\zeta_r^{(G)}$  are the flight path angle and heading angle with respect to the AL-frame, while  $(x, y, z)$  are the Cartesian coordinates in the same reference frame,

$$
\begin{bmatrix} x \\ y \\ z \end{bmatrix}^T = \begin{bmatrix} r\cos\varphi_{rwy}\cos\lambda_{rwy} \\ r\cos\varphi_{rwy}\sin\lambda_{rwy} \\ r\sin\varphi_{rwy} \end{bmatrix}^T \mathbf{R}_3(\theta_G)\mathbf{R}_3^T(\lambda_{rwy})\mathbf{R}_2^T(-\varphi_{rwy}) - \begin{bmatrix} R_{eq} \\ 0 \\ 0 \end{bmatrix}^T.
$$
 (66)

### <span id="page-12-2"></span>**4.3 Boundary Conditions**

The initial conditions are given by the state variables at the TAEM. In nominal conditions, the vehicle crosses the TAEM interface a distance of 111 km from the landing point, with a velocity of 762 m/s [[25](#page-19-11)]. Also, the angle of attack is 14 degrees. The landing point is positioned 762 m beyond the runway threshold and is identifed by the set of geographical coordinates ( $\varphi_{rwv}$ ,  $\lambda_{rwv}$ ). Then, these coordinates can be expressed in the AL-frame as

$$
\begin{bmatrix} x_r \\ y_r \\ z_r \end{bmatrix}^T = (R_{eq} + CM) \begin{bmatrix} \cos \varphi_{rwy} \cos \lambda_{rwy} \\ \cos \varphi_{rwy} \sin \lambda_{rwy} \\ \sin \varphi_{rwy} \end{bmatrix}^T
$$
  

$$
\mathbf{R}_3(\theta_G) \mathbf{R}_3^T(\lambda_{rwy}) \mathbf{R}_2^T(-\varphi_{rwy}) - \begin{bmatrix} R_{eq} \\ 0 \\ 0 \end{bmatrix}^T,
$$
 (67)

where  $CM = 5.98$  m denotes the height of the center of mass of the vehicle with respect to the runway (cfr. Table [1](#page-1-0)). The following fnal constraints are established:

$$
\dot{x}(t_r) = \dot{h}_{des},\tag{68}
$$

$$
\zeta_r(t_r) = \zeta_{rwy},\tag{69}
$$

where  $\dot{h}_{des}$  is set to  $-1$  m/s to remain well inside the safety specifcations for the landing gear, which prescribe a limiting vertical velocity of about −3 m/s (10 ft/s [\[25\]](#page-19-11)), while  $\zeta_{\text{rwv}}$  is the heading angle of runway 15 of the NASA Space

<span id="page-12-0"></span>Shuttle Landing Facility with respect to the local East direction, equal to  $-60.24^\circ$ .

### **4.4 Constraints**

<span id="page-12-1"></span>During the terminal descent, the control inputs must be saturated in order to generate feasible trajectories,

$$
0 \deg \le \alpha_c \le 20 \deg, \quad -50 \deg \le \sigma_c \le 50 \deg. \tag{70}
$$

In particular, the angle of attack is kept below 20° in order not to approach the aerodynamic stall.

#### **4.5 Wind Modeling**

The wind velocity is assigned in the LH-frame,

$$
\begin{cases}\n u_R = 0 \\
 u_E = u_W \cos \theta_W \\
 u_N = u_W \sin \theta_W,\n\end{cases} (71)
$$

where  $u_w$  denotes the wind magnitude and  $\theta_w$  its direction in the horizontal plane, both assumed as constant. The wind magnitude and direction are compliant with the landing criteria for the Space Shuttle Orbiter landing [[30](#page-19-16)]:

- the peak crosswind cannot exceed 7.7 m/s, reduced to 6.2 m/s at night. If the mission duration is greater than 20 days, the limit is 6.2 m/s, either during day and night;
- the headwind cannot exceed 12.9 m/s;
- the tailwind cannot exceed 5.1 m/s average, 7.7 m/s peak.

However, a more challenging scenario is taken into account for the numerical simulations, with a peak crosswind and tailwind components set to 7.7 m/s and a peak headwind component equal to 12.9 m/s. The wind components along the runway direction  $(u_{Wax})$  and transversal direction  $(u_{Wtr})$  are randomly assigned according to a normal distribution between the minimum and maximum wind intensity. If  $\theta_{W}^{rw}$  denotes the wind direction with respect to the runway direction, then

$$
\sin \theta_{W}^{rw} = \frac{u_{Wtr}}{\sqrt{u_{Wtr}^2 + u_{Wax}^2}}, \quad \cos \theta_{W}^{rw} = \frac{u_{Wax}}{\sqrt{u_{Wtr}^2 + u_{Wax}^2}}.
$$
\n(72)

Then, the wind intensity and direction in the horizontal plane are retrieved as

$$
\theta_W = \theta_W^{rw} + \zeta_f,\tag{73}
$$

$$
u_W = \sqrt{u_{Wtr}^2 + u_{Wax}^2}.\tag{74}
$$

The wind components must be projected along the RV-frame,

$$
\boldsymbol{v}_{\text{wind}} = \mathbf{R}_1^T(\zeta_r) \mathbf{R}_3^T(-\gamma_r) \begin{bmatrix} u_R & u_E & u_N \end{bmatrix}^T.
$$
 (75)

In the RV-frame the vehicle velocity relative to the atmosphere is given by

$$
\nu_{W} = \left[ -\nu_{\text{wind},1} \ \nu_{r} - \nu_{\text{wind},2} \ -\nu_{\text{wind},3} \right]^{T}.
$$
 (76)

Finally, the angles  $\alpha_W$  and  $\beta_W$  can be retrieved as

$$
\beta_W = \arcsin \frac{-\nu_{w,3}}{\nu_W},\tag{77}
$$

$$
\begin{cases}\n\sin \alpha_W = \frac{-v_{w,1}}{v_W \cos \beta_W} \\
\cos \alpha_W = \frac{v_r - v_{w,2}}{v_W \cos \beta_W}.\n\end{cases}
$$
\n(78)

### **4.6 Guidance Strategy**

The control input  $u =$  $\begin{bmatrix} \dot{C}_L \\ \dot{\sigma} \end{bmatrix}$ ] is given by the time derivatives of the lift coefficient and bank angle. The derivation of the control variables is reported in [\[19](#page-19-5)] and yields an expression for *u* as a function of the state variables.

### **4.6.1 Saturation of the Control Inputs**

The control variables must be constrained between reasonable values to generate feasible trajectories. However, to overcome potential numerical issues, the saturation of the control variables is addressed by means of auxiliary variables leveraging the asymptotic behaviour of the arctan function. As a first step, the variables  $\mathbf{w} = \begin{bmatrix} w_1 & w_2 \end{bmatrix}^T$  are introduced, so that

$$
\begin{bmatrix} C_L \\ \sigma \end{bmatrix} = \frac{1}{\pi} \begin{bmatrix} C_{L\text{max}} - C_{L\text{min}} & 0 \\ 0 & \sigma_{\text{max}} - \sigma_{\text{min}} \end{bmatrix} \begin{bmatrix} \arctan w_1 \\ \arctan w_2 \end{bmatrix} + \frac{1}{2} \begin{bmatrix} C_{L\text{max}} + C_{L\text{min}} \\ \sigma_{\text{max}} + \sigma_{\text{min}} \end{bmatrix}.
$$
 (79)

The time derivative of Eq. ([79\)](#page-13-0) yields

$$
\mathbf{u} = \begin{bmatrix} \dot{C}_L \\ \dot{\sigma} \end{bmatrix} = \frac{1}{\pi} \begin{bmatrix} \frac{C_{L\text{max}} - C_{L\text{min}}}{1 + w_1^2} & 0 \\ 0 & \frac{\sigma_{\text{max}} - \sigma_{\text{min}}}{1 + w_2^2} \end{bmatrix} \begin{bmatrix} \dot{w}_1 \\ \dot{w}_2 \end{bmatrix}
$$
\n
$$
= \mathbf{K} \begin{bmatrix} \dot{w}_1 \\ \dot{w}_2 \end{bmatrix} = \mathbf{K} \mathbf{w},
$$
\n(80)

where matrix **K** is nonsingular. Therefore the new control variables are given by

$$
w = [\mathbf{K}]^{-1}u. \tag{81}
$$

#### **4.6.2 Gain Selection**

The guidance algorithm requires extensive tuning for the control gains  $n_1$  and  $n_2$  [[19\]](#page-19-5). The choice of the control gains  $n_1$  and  $n_2$  strongly affects the outcome of the numerical simulations. In this study, the gain  $n_2$  is set to 5 after extensive trial and error. With regard to gain  $n_1$ , an adaptive strategy is employed: its value is updated at the beginning of each sampling intervals  $[t_k, t_{k+1}]$ . In particular, the value increases from a minimum value  $(n_1 = 1)$  at TAEM to a maximum value  $(n_1 = 4)$  when the altitude is zero,

$$
n_1 = 1 + (4 - 1) \cdot \left(1 - \frac{x_0^k}{x_0}\right)^{10},\tag{82}
$$

where  $x_0^k$  is the altitude at time  $t_k$ . This strategy allows employing a higher value of the control gain only during the fnal fare, where the guidance algorithm must handle quick maneuvers with short response time.

### **4.6.3 Guidance Algorithm**

In this subsection, a description of the guidance algorithm is provided. The integration time is divided in time intervals with the same duration (1 s). In each sampling intervals  $[t_k, t_{k+1}]$ , the following operations are iteratively computed:

- <span id="page-13-0"></span>• given the instantaneous state at  $t_k$ , the simplified dynamics Eqs. ([63\)](#page-12-0) through ([65\)](#page-12-1) are integrated;
- the control variables  $(C_L, \sigma)$  are interpolated;
- the complete dynamics Eqs.  $(40)$  $(40)$  $(40)$  through  $(42)$  $(42)$  are integrated;
- the initial state at  $t_{k+1}$  is updated.

<span id="page-13-1"></span>**Table 4** Terminal guidance: initial conditions and standard deviations

Variable	$x(0)$ (m)	$v(0)$ (m)	$z(0)$ (m)	$v_{r}(0)$ (m/s)	$\zeta_r(0)$ (deg)	$\gamma_r(0)$ (deg)	$C_1(0)$ (-)	$\sigma(0)$ (deg)
Initial conditions	24050	$-54850$	95932	762		ہ –	0.3969	
Standard deviations		2500	2500				0.01	

### **4.7 Numerical Results**

A total number of 500 simulations are run and the initial conditions are randomly generated with upper/lower bounds set to  $\pm 2\sigma$ <sub>*s*</sub> (where  $\sigma$ <sub>*s*</sub> denotes the standard deviation of the variable of interest). Table [4](#page-13-1) collects the initial conditions, which refect the actual reference fight profle of the Space Shuttle [\[25](#page-19-11)]. From inspection of Table [4,](#page-13-1) it is also clear that the guidance algorithm is tested over a  $100 \text{ km}^2$  area and a wide range of velocities. Instead, the standard deviations on

the angle of attack and on the bank angle are stricter as these parameters signifcantly afect the results of the simulations. Also, the fnal conditions along the transatmospheric arc (cfr. Table [3](#page-8-2)) lie inside the admissible deviations for the terminal guidance.

Instead, Table [5](#page-14-0) collects the results of the Monte Carlo campaign.

All simulations are successful, meaning that the fnal vertical velocity at touchdown is below − 3 m/s, complying with the safety limit of the landing gear [\[25\]](#page-19-11). The Cartesian coordinates

<span id="page-14-2"></span><span id="page-14-1"></span><span id="page-14-0"></span>

<span id="page-15-2"></span><span id="page-15-1"></span><span id="page-15-0"></span>

are reported as downrange  $(r_{down})$  and crossrange  $(r_{cross})$  components to better visualize the position of the vehicle along the runway. These can be easily retrieved from the ALI-frame through a rotation along axis 1,

$$
r_{\text{down}} = [x \ y \ z] \mathbf{R}_1^T(\zeta_{rwy}) [0 \ 1 \ 0]^T, \tag{83}
$$

$$
r_{\text{cross}} = [x \ y \ z] \mathbf{R}_1^T(\zeta_{rwy}) [0 \ 0 \ 1]^T. \tag{84}
$$

From inspection of Table [5](#page-14-0), it is evident that the algorithm is able to drive the vehicle to the prescribed landing point, located 762 m beyond the runway threshold (cfr. Sect. [4.3](#page-12-2)), with limited crossrange component and vertical velocity at touchdown, and the proper alignment with the runway. This is confrmed by inspection of Fig. [11](#page-14-1), that shows the stream of trajectories from the TAEM to the landing runway.

The vertical velocity at touchdown is close to the desired value (Fig. [12\)](#page-14-2) and the standard deviation guarantees in all cases a safe touchdown. Also, inspection of Fig. [13](#page-15-0) highlights that the vehicle is aligned with the landing runway despite the initial dispersions on the heading angle.

Finally, Figs. [14](#page-15-1) and [15](#page-15-2) show the time histories of the angle of attack and bank angle.

The angle of attack is saturated in order not to overcome the maximum value. Also, the standard deviation on the fnal angle of attack is far from the aerodynamic stall, which is expected to occur at angles  $\alpha$  exceeding 25 $^{\circ}$  [\[21](#page-19-7)]. The commanded maneuvers do not require any saturation for the bank angle; however, Fig. [15](#page-15-2) exhibits some oscillations just

<span id="page-16-1"></span><span id="page-16-0"></span>

<span id="page-17-1"></span><span id="page-17-0"></span>

<span id="page-17-2"></span> $\mathcal{L}$  Springer

before touchdown, though the fuctuations do not yield any impact of the wing tips with the ground.

Figures [16](#page-16-0), [17,](#page-16-1) [18](#page-17-0) show the time histories of the Bryant angles, which allow to visualize the attitude of the vehicle in the inertial frame. Remarkably, these variables exhibit similar behaviour as other ones: for instance, the roll angle is indistinguishable from the bank angle, because during the terminal descent the longitudinal axis and the relative velocity are almost coincident.

Figure [19](#page-17-1) shows the time histories of the vertical load factor, computed as

$$
n_z = \frac{\tilde{L}}{mg_0}.\tag{85}
$$

It is evident that the fare maneuvers do not yield any excessive load factors on the vehicle, which are below the maxi-mum values (cfr. Table [1](#page-1-0)).

Finally, Fig. [20](#page-17-2) depicts the distribution of the landing spots. Most of the points are located at the specifed landing site (762 m beyond the threshold), with a few trajectories impacting the ground before the specifed landing point. These simulations correspond to environmental conditions with a strong tailwind component, which is the most critical scenario for a lifting vehicle with no propulsion. However, in the worst simulation the landing spot is located 699 m beyond the threshold, thus within an acceptable safety margin.

# **5 Concluding Remarks**

This paper addresses the problem of driving a winged vehicle (as, for example, the Space Shuttle Orbiter) from the entry interface to landing, while satisfying all the constraints. The transatmospheric guidance is based on an open-loop algorithm that minimizes the total heat input and saturates the maximum thermic fux. The terminal guidance is based on a multiple-sliding-surface strategy, which yield a real-time feedback law for the time derivatives of the lift coefficient and bank angle. The simulation setup includes a complete dynamic framework with an accurate aerodynamics modeling based on wind tunnel tests. The numerical results show the ability of the proposed guidance to modulate the angle of attack to avoid exceeding the maximum thermal fux, while compensating for winds and dispersions of position and velocity from the nominal trajectory during the terminal phase. The vehicle reaches the assigned landing point with the proper alignment with the runway and prescribed vertical velocity.

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**Data availability** The paper contains all the data needed for reproducing the numerical results.

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