Theory of infrared double-resonance Raman spectrum in graphene: The role of the zone-boundary electron-phonon enhancement

Lorenzo Graziotto ,^{1,2,*} Francesco Macheda,^{1,3} Thibault Sohier,⁴ Matteo Calandra,^{3,5} and Francesco Mauri^{1,3}

¹Department of Physics, Sapienza University of Rome, Piazzale Aldo Moro 5, 00185 Rome, Italy

²Institute for Quantum Electronics, ETH Zürich, Auguste-Piccard-Hof 1, 8093 Zürich, Switzerland

³Istituto Italiano di Tecnologia, Graphene Labs, Via Morego 30, 16163 Genova, Italy

⁴Laboratoire Charles Coulomb (L2C), Université de Montpellier, CNRS, 34095 Montpellier, France

⁵Department of Physics, University of Trento, Via Sommarive 14, 38123 Povo, Italy

(Received 16 October 2023; accepted 23 January 2024; published 16 February 2024)

We theoretically investigate the double-resonance Raman spectrum of monolayer graphene down to infrared laser excitation energies. By using first-principles density functional theory calculations, we improve upon previous theoretical predictions based on conical models or tight-binding approximations, and rigorously justify the evaluation of the electron-phonon enhancement found in Venanzi, Graziotto *et al.* [Phys. Rev. Lett. **130**, 256901 (2023)]. We proceed to discuss the effects of such enhancement on the room-temperature graphene resistivity, hinting towards a possible reconciliation of theoretical and experimental discrepancies.

DOI: 10.1103/PhysRevB.109.075420

I. INTRODUCTION

Raman spectroscopy is a widespread and versatile experimental technique used to characterize graphitic materials. In particular, in few-layer graphene it is commonly used to determine the number of layers [1-5], carrier and defect concentrations [6-9], as well as phonon properties [10-12].

Raman scattering refers to the inelastic scattering of light by a molecular or crystalline sample, due to the concurrent excitation (Stokes processes) or deexcitation (anti-Stokes processes) of vibrational degrees of freedom of the sample. In a single-particle description, in the Stokes case, the incoming photon (of frequency ω_L) creates *n* phonons with total energy $\hbar\Omega_{ph}^{tot}$ and then leaves the sample with a frequency $\omega_L - \Omega_{\rm ph}^{\rm tot}$. In defect-free monolayer graphene, for laser excitation energies $\epsilon_L = \hbar \omega_L$ up to the near-UV, i.e., with wave vectors much smaller than the size of the first Brillouin zone (FBZ), conservation of crystal momentum requires the sum of the wave vectors of the Raman scattered phonons to be equal to zero. The one-phonon Stokes spectrum of defect-free monolayer graphene (simply referred to as graphene in the following) consists of the so-called G peak only, which is due to the excitation of a single phonon at the Γ point of the FBZ. The two-phonon spectrum is instead explained within the double-resonance scheme [13], which amounts to considering the intermediate role played by electrons and holes in the creation of the phonon pair. Indeed, the sharpness and separation of the two-phonon lines arise due to the condition that the intermediate states of the Raman process can be eigenstates of the system, with a lifetime given by their many-body interaction. The double-resonance scheme is then depicted as an excitation by the incoming photon of an electron-hole pair which excites a phonon through the electron-phonon coupling (EPC), and then recombines with the emission of the outgoing

photon. Conservation of crystal momentum requires that the two phonons, which belong to either the same or to different optical branches, have opposite momenta. The most relevant double-resonance peaks are the so-called 2D and 2D': the former relates to the case in which both the electron and the hole scatter between two different Dirac cones, hence the pair of phonons has (opposite) wave vectors which are close to the edge of the FBZ (**K** and **K'** points in reciprocal space); the latter relates to the case in which the scattering happens within the same Dirac cone, hence the pair of phonons has wave vectors close to the center of the FBZ (Γ point in reciprocal space).

As addressed below, the intensity of the 2D (2D') peak scales with the fourth power of the EPC evaluated at the K (Γ) point, hence the ratio of the two gives a clear indication of how the EPC at K evolves with respect to the EPC at Γ as a function of the excitation energy. In particular, Raman measurements performed at a laser energy of 1.16 eV in Ref. [12] show that the EPC is enhanced at zone boundary while approaching the Dirac cone. This is due to an underlying enhancement of the electron-electron interaction, first predicted in Refs. [14,15], which can be taken into account via an excitation-energy-dependent coefficient. In this work, we rigorously justify and test the boundaries of the theoretical analysis of Ref. [12] using density functional theory (DFT) ab initio calculations. As opposed to previous works which employed the tight-binding approximation [13], our approach allows us to convincingly put the analytical results of Ref. [16] to the test, in particular regarding the role of the electron-hole asymmetry, of the trigonal warping of both phononic and electronic dispersions, and of the inverse lifetime of the electronic states in determining the line shape and the integrated area of the Raman peaks.

The present results are also used to shed light on a open question regarding the electronic transport of graphene. References [17,18] showed that the *ab initio* resistivity of graphene

^{*}Corresponding author: lgraziotto@phys.ethz.ch

computed via Boltzmann transport equation largely underestimates the experimental value in the equipartition regime (T > 270 K) especially at low doping levels. In those works, it was argued that this could come from the enhancement of the zone-boundary EPC studied here rather than other extrinsic mechanisms like remote polar-optical phonons from the substrate. However, the enhancement needed to explain the resistivity measurements appeared quite large at the time. We discuss how the values obtained via Raman in Ref. [12] are consistent with such a large enhancement, although they are not directly applicable to transport due to different doping setups.

The paper is organized as follows: In Sec. II we introduce the model Hamiltonian and the formalism to calculate the Raman scattering intensity (showing also a simplified model to clarify the double-resonance mechanism). In Sec. III we present the computational details of the implementation of the calculation. In Sec. IV we show the results of the calculation of the Raman spectrum, and its dependence on the various parameters involved, and discuss its physical implications, in particular its impact on the room-temperature resistivity. Finally, Sec. V summarizes the main findings and outlines the possibilities for further investigations.

II. THEORETICAL FRAMEWORK

We treat electrons, phonons, the EPC, and the response to external perturbations within DFT. The DFT ground state $|\text{GS}\rangle$ corresponds to the Fermi sea, i.e., all the electronic states below the Fermi energy ϵ_F are occupied, and all the states above ϵ_F are empty. In graphene ϵ_F corresponds to the energy of the electronic state having wave vector **K**, for which the conduction and the valence bands are degenerate. Introducing fermionic creation and annihilation operators $c_{\mathbf{k}}^{\alpha\dagger}$, $c_{\mathbf{k}}^{\alpha}$ for an electron belonging to band α , with wave vector **k** and energy $\epsilon_{\mathbf{k}}^{\alpha}$, we may write

$$|\text{GS}\rangle = \prod_{\mathbf{k}\upsilon} c_{\mathbf{k}}^{\upsilon^{\dagger}} |0\rangle_{\text{el}} \otimes |0\rangle_{\text{phot}} \otimes |0\rangle_{\text{ph}}, \qquad (1)$$

where $|0\rangle_{el/phot/ph}$ indicates the noninteracting electronic/photonic/phononic vacuum and v indicates valence states; we also call $|eGS\rangle = \prod_{\mathbf{k}v} c_{\mathbf{k}}^{v^{\dagger}} |0\rangle_{el}$ and omit \otimes and the subscript $_{el/phot/ph}$ in the following, if not strictly necessary. The electronic Hamiltonian is given by

$$\mathcal{H}_{\rm KS} = \frac{\mathbf{p}^2}{2m_e} + V_{\rm KS}(\mathbf{r}) = \sum_{\mathbf{k},\alpha} \epsilon_{\mathbf{k}}^{\alpha} c_{\mathbf{k}}^{\alpha\dagger} c_{\mathbf{k}}^{\alpha}, \qquad (2)$$

where m_e is the electronic mass. Since the Kohn-Sham band structure is only an approximate description of the true excitation spectrum, the quasiparticles of the system will have complex eigenvalues with nonzero imaginary part, as it will be more carefully addressed below. The interaction of the electrons with the electromagnetic field is included in the Hamiltonian via the minimal coupling, discarding the A^2 term since we are interested only in resonant processes:

$$\mathcal{H}_{\text{el-em}} = -\frac{e}{2m_e} \sum_{i} \left[\mathbf{p}_i \cdot \mathbf{A}(\mathbf{r}_i) + \mathbf{A}(\mathbf{r}_i) \cdot \mathbf{p}_i \right].$$
(3)

It is convenient to quantize the electromagnetic field, introducing bosonic creation and annihilation operators $a_{\mathbf{p}}^{\sigma\dagger}$, $a_{\mathbf{p}}^{\sigma}$ for a photon with polarization σ , wave vector \mathbf{p} , and energy $\hbar\omega_{\mathbf{p}} = \hbar c p$ where c is the speed of light and $p \equiv |\mathbf{p}|$. The second-quantized vector potential [19] is given in the Coulomb gauge and in the interaction picture by

$$\mathbf{A}(\mathbf{r},t) = \sum_{\mathbf{p},\sigma} \sqrt{\frac{\hbar}{2\varepsilon_0 V \omega_{\mathbf{p}}}} \Big[\hat{\epsilon}_{\mathbf{p}}^{\sigma} a_{\mathbf{p}}^{\sigma}(t) e^{i\mathbf{p}\mathbf{r}} + \hat{\epsilon}_{\mathbf{p}}^{\sigma*} a_{\mathbf{p}}^{\sigma\dagger}(t) e^{-i\mathbf{p}\mathbf{r}} \Big],$$
(4)

where V indicates the volume inside which the field is quantized, $\hat{\epsilon}_{\mathbf{p}}^{\sigma}$ is the polarization vector for a photon of polarization σ and wave vector **p**, and the time dependence of the creation and annihilation operators is given trivially by $a_{\mathbf{p}}^{\sigma}(t) = e^{-i\omega_{\mathbf{p}}t}a_{\mathbf{p}}^{\sigma}$, and the corresponding adjoint equation; to ease the notation, we will avoid to explicit the polarization index if not necessary. The free electromagnetic field Hamiltonian is given as usual by

$$\mathcal{H}_{\rm em} = \sum_{\mathbf{p},\sigma} \hbar \omega_{\mathbf{p}} \left(a_{\mathbf{p}}^{\sigma \dagger} a_{\mathbf{p}}^{\sigma} + \frac{1}{2} \right). \tag{5}$$

The phonon Hamiltonian is given by

$$\mathcal{H}_{\rm ph} = \sum_{\mathbf{q},\nu} \hbar \omega_{\mathbf{q}}^{\nu} \left(b_{\mathbf{q}}^{\nu\dagger} b_{\mathbf{q}}^{\nu} + \frac{1}{2} \right),\tag{6}$$

where we have introduced bosonic creation and annihilation operators $b_{\mathbf{q}}^{\nu\dagger}$, $b_{\mathbf{q}}^{\nu}$ for a phonon with wave vector \mathbf{q} belonging to branch ν with frequency $\omega_{\mathbf{q}}^{\nu}$, which is already dressed by the electron-phonon interaction, i.e., the only ones that will appear in the Raman diagrams; even these quasiparticles have finite lifetime that will be taken into account in the following. The electron-phonon interaction is introduced via the following interaction Hamiltonian in the Born-Oppenheimer approximation [20]

$$\mathcal{H}_{\text{el-ph}} = \frac{1}{\sqrt{N_p}} \sum_{\substack{\mathbf{k}, \mathbf{q} \\ \alpha, \alpha', \nu}} g_{\mathbf{k}+\mathbf{q}\alpha, \mathbf{k}\alpha'}^{\nu} c_{\mathbf{k}+\mathbf{q}}^{\alpha\dagger} c_{\mathbf{k}}^{\alpha\dagger} \left(b_{\mathbf{q}}^{\nu} + b_{-\mathbf{q}}^{\nu\dagger} \right), \quad (7)$$

where N_p is the number of unit cells in the Born–Von Karman supercell and g is the EPC matrix element. If we consider the atoms positioned at $\mathbf{u}_s^{\mathbf{R}} = \mathbf{R} + \boldsymbol{\tau}_s$, where **R** is a Bravais vector and $\boldsymbol{\tau}_s$ a basis vector, then collective displacements with wave vector **q** of the atoms s along the Cartesian axis β induce a cell-periodic potential variation $V_{s\beta}^{\mathbf{q}}(\mathbf{r})$ defined by

$$V_{s\beta}^{\mathbf{q}}(\mathbf{r}) = e^{-i\mathbf{q}\cdot\mathbf{r}} \frac{\partial V_{\mathrm{KS}}(\mathbf{r})}{\partial u_{s\beta}^{\mathbf{q}}} = e^{-i\mathbf{q}\cdot\mathbf{r}} \sum_{\mathbf{R}} e^{i\mathbf{q}\cdot(\mathbf{R}+\tau_s)} \frac{\partial V_{\mathrm{KS}}(\mathbf{r})}{\partial u_{s\beta}^{\mathbf{R}}}.$$
 (8)

Passing in the phonon eigenvector basis, the EPC matrix elements may then be written as

$$g_{\mathbf{k}+\mathbf{q}\alpha,\mathbf{k}\alpha'}^{\nu} = \sqrt{\frac{\hbar}{2M\omega_{\mathbf{q}}^{\nu}}} \langle \mathbf{k}+\mathbf{q},\alpha | V_{\nu}^{\mathbf{q}}(\mathbf{r}) | \mathbf{k},\alpha' \rangle$$
$$= \sqrt{\frac{\hbar}{2M\omega_{\mathbf{q}}^{\nu}}} D_{\mathbf{k}+\mathbf{q}\alpha,\mathbf{k}\alpha'}^{\nu}, \qquad (9)$$

where $\langle \mathbf{k}, \alpha |$ (and its conjugate) are the cell-periodic parts of the Bloch wave functions, *M* is the carbon atomic mass, and

 $V_{\nu}^{\mathbf{q}}(\mathbf{r})$ is obtained by the contraction of $V_{s\beta}^{\mathbf{q}}(\mathbf{r})$ with the phonon mode of polarization ν .

A. Double-resonance scattering intensity

We will limit ourselves to the treatment of Stokes processes only since in monolayer graphene at room temperature they are the dominant ones. Our approach consists in computing the two-phonon Raman intensity via the theory of scattering. Although following the same approach of Ref. [13], we pay particular care in this work to keep into account all the energetic factors that appear in the intensity since we are interested in comparing the results for different excitation energies. We employ Fermi's golden rule generalized to the fourth perturbative order given that, being Raman scattering a two-photon process, the second perturbative order in the interaction of the electrons with the electromagnetic field is needed, and to deal with the two-phonon spectrum we need two more perturbative orders in the electron-phonon interaction. The intensity of the Raman scattering as a function of the frequency of the scattered photon ω defined within an interval $d\omega$ is given [21] by

$$I(\omega)d\omega = \frac{2\pi}{\hbar} \sum_{f} |\langle f|S|i\rangle|^2 \delta(E_f - E_i), \qquad (10)$$

where $|i\rangle$ and $|f\rangle$ represent the initial and final states with energies E_i and E_f , respectively. The above formula may be extended at finite temperature but, as we will see, for our calculations the zero-temperature formalism is a good descriptor. The initial state consists of a coherent state of photons with frequency ω_L (which models the incoming laser beam [22]), and the summation is performed over all the final states that consist of a single photon with frequency $\omega = \omega_L - \Omega_{ph}^{tot}$ since we are considering spontaneous Raman scattering, and two phonons with total frequency Ω_{ph}^{tot} . In fact, in spontaneous Raman scattering experiments only the frequency of the outgoing photon gets probed, while in both initial and final states the crystal electrons are in the Fermi sea. The matrix element in Eq. (10) is given by (see Ref. [23] and Appendix A)

$$\langle f|S|i\rangle = \sum_{\alpha,\beta,\gamma} \frac{\langle f|\mathcal{H}_{I}|\alpha\rangle\langle\alpha|\mathcal{H}_{I}|\beta\rangle\langle\beta|\mathcal{H}_{I}|\gamma\rangle\langle\gamma|\mathcal{H}_{I}|i\rangle}{(\omega_{\alpha} - \omega_{L})(\omega_{\beta} - \omega_{L})(\omega_{\gamma} - \omega_{L})}, \quad (11)$$

where \mathcal{H}_I is either the \mathcal{H}_{el-em} or the \mathcal{H}_{el-ph} interaction Hamiltonian, and $|\alpha\rangle$, $|\beta\rangle$, and $|\gamma\rangle$ are intermediate states with energies ω_{α} , ω_{β} , and ω_{γ} , consisting in an arbitrary amount of photons and phonons and excited states of the electronic system. As already anticipated, the latter are commonly described in terms of electron-hole pairs, which, being only approximate eigenstates of the system, provide a nonzero imaginary part to the intermediate state energies. Moreover, the difference in the number of photons, phonons, and electron-hole pairs between the initial, intermediate, and final states is constrained by the fact that the electron-photon (electron-phonon) interaction Hamiltonian has nonzero matrix element only between states that differ by exactly one photon (phonon) and one electronhole pair.

So far the resonance condition has not been imposed, that is, no restriction has been made on the energy of the intermediate states, which may not fulfill energy conservation as a consequence of Heisenberg's uncertainty principle (so that in general they are called *virtual states*). The resonance condition amounts to consider real intermediate states, i.e., states which fulfill energy conservation (up to an imaginary part which describes the finite lifetime of the intermediate state), such that the real part of the factors in the denominator of Eq. (11)is zero: in graphene all three intermediate states can be real states, that is, the initial (final) electron-hole pair has exactly the energy of the incoming (scattered) photon, and energy is conserved also in the scattering of the electron-hole pair with the phonons. The resonance condition, which evidently can be satisfied only when the absorption (emission) of the incoming (scattered) photon is temporally ordered as the first (last) process, is responsible for the narrowness of the two-phonon Raman lines. Implementing this condition in the formalism of Eq. (11) amounts to attributing the initial and final vertices of the Feynman diagrams to electron-photon interactions, and considering the 4×2 possible scattering processes between the two phonons and the electron-hole pair, for both \mathbf{q} and $-\mathbf{q}$ phonon momentum (see Fig. 1). In our calculation we will consider only these eight diagrams.

Given the frequency ω_L of the incoming photons, the intensity of the Raman line as a function of the scattered photon frequency ω is obtained via Eq. (10), where as already stated one has to sum over all the possible two-phonon final states and integrate over the photon momenta. Due to crystal momentum conservation, the scattered phonons will have opposite momenta **q** and $-\mathbf{q}$, so the final states are specified by **q** and by the branches μ , ν which the scattered phonons belong to. Extending Eq. (10) to take into account finite-temperature quasiparticle occupations, we obtain

$$I(\omega, T)d\omega = \frac{2\pi}{\hbar} \frac{V\omega^2 d\omega}{c^3 \pi^2} N_p \tilde{I}(\omega, T), \qquad (12)$$

where we have explicitly integrated out the scattered photon density of states since the photon momentum is negligible and thus does not play a role in the matrix elements, and

$$\tilde{I}(\omega, T) = \frac{1}{N_{\mathbf{q}}} \sum_{\mathbf{q}, \mu, \nu} I_{\nu\mu}(\mathbf{q}) \delta\left(\omega_{L} - \omega - \omega_{-\mathbf{q}}^{\nu} - \omega_{\mathbf{q}}^{\mu}\right) \\ \times \left[n\left(\omega_{-\mathbf{q}}^{\nu}\right) + 1\right] \left[n\left(\omega_{\mathbf{q}}^{\mu}\right) + 1\right], \tag{13}$$

where $n(\omega_{\mathbf{q}}^{\mu})$ is the Bose-Einstein distribution function, i.e., the occupation number of the phonon state having energy $\omega_{\mathbf{q}}^{\mu}$. At room temperature, for the phonons in which we are interested in, $\hbar \omega_{\mathbf{q}}^{\mu} \gg k_B T$, thus $n(\omega_{\mathbf{q}}^{\mu}) \simeq 0$ and $I(\omega, T) \sim I(\omega)$ as anticipated. The total energy conservation between the initial and final states is enforced by the Dirac delta function, but since the final pair of phonons are quasiparticles and thus possess a finite lifetime, we substitute it with a Lorentzian function having the width equal to the inverse lifetime of the final phonon pair (which is essentially due to anharmonicity, see below). The square modulus of the matrix element $\langle f|S|i\rangle$ of Eq. (10) becomes $I_{\nu\mu}(\mathbf{q})$, defined as

$$I_{\nu\mu}(\mathbf{q}) = \left| \frac{1}{N_{\mathbf{k}}} \sum_{\mathbf{k},\beta} K_{\beta}(\mathbf{k},\mathbf{q},\nu,\mu) \right|^{2}, \qquad (14)$$

where the expression for $K_{\beta}(\mathbf{k}, \mathbf{q}, \nu, \mu)$ can be deduced from Eq. (11) and is detailed in Ref. [13]. Since all the resonant



FIG. 1. Feynman diagrams considered in the formalism of Ref. [13] and in this work. They correspond to the eight resonant diagrams out of the $4! \times 3$ total ones (see Appendix A), where the electron-photon vertices are the first and the last to appear. The diagrams on the right (shaded region) correspond to the processes in which both the electron and the hole undergo scattering with a phonon (and they are four since we consider all the permutations of the phonon pair), and they are the dominant ones, as already shown in Ref. [13] and discussed below, while the diagrams on the left (unshaded region) correspond to the processes in which both phonons arise from scattering with either the electron or the hole.

processes happen between the π and π^* electronic bands, and given the constraint on the difference of the number of photons and phonons between intermediate states which we discussed above, to specify the intermediate state it is sufficient to indicate the momentum **k** of the electron-hole pair and the momentum **q** and branch indices ν and μ of the phonons. β labels instead the eight different time orderings of the electron- and hole-phonon scattering processes represented by the diagrams of Fig. 1.

For the sake of completeness, we specify that in the limit of infinite volume V, Eq. (12) gives formally zero since, as it will be detailed below, the matrix element $\langle f|S|i\rangle$ which appears squared inside $\tilde{I}(\omega)$ scales as 1/V. One has indeed to consider the scattering cross section, which is defined [21] as

$$d\sigma = \frac{V}{\bar{n}c}I(\omega)d\omega,$$
(15)

where \bar{n} is the average number of photons in the laser's coherent state, and which has the dimensions of a squared length, $I(\omega)$ being adimensional. This corresponds experimentally to the proportionality factor between the intensity of the scattered photons and the power flux of incident laser photons.

1. Matrix elements

We proceed with the evaluation of the matrix elements $\langle \alpha | \mathcal{H}_I | \beta \rangle$ of Eq. (11), where \mathcal{H}_I indicates either \mathcal{H}_{el-ph} or \mathcal{H}_{el-em} . The initial state of the system is given by $|eGS\rangle(|\bar{n}\rangle_{\omega_L}^{coh}|0\rangle_{\omega})|0\rangle$, where the electromagnetic field is described by the product of a coherent state with \bar{n} average photons at the laser frequency ω_L with wave vector \mathbf{p}_L , and a Fock state of zero photons at the frequency ω , while the final state is given by $\langle eGS | (\langle \bar{n} |_{\omega_L}^{coh} \langle 1 |_{\omega}) \langle \omega_{\mathbf{q}}^{\nu}, \omega_{-\mathbf{q}}^{\mu} |$, where there is instead a photon of frequency ω in addition to the laser's coherent state and two phonons of frequencies $\omega_{\mathbf{q}}^{\nu}$ and $\omega_{-\mathbf{q}}^{\mu}$, having opposite wave vectors \mathbf{q} and belonging to branches ν and μ , respectively.

The matrix element for the interaction of the incoming laser beam with the electronic degrees of freedom is given by

$$\langle \gamma | \mathcal{H}_{\text{el-em}} | i \rangle = -\frac{e}{m} \sqrt{\frac{\hbar \bar{n}}{2\varepsilon_0 V \omega_L}}$$

$$\times \sum_{\substack{\mathbf{k}, \alpha \\ \mathbf{k}', \alpha'}} \hat{\epsilon}_{\mathbf{p}_L}^{\text{in}} \cdot \langle \mathbf{k}, \alpha | \mathbf{p} \, e^{i\mathbf{p}_L \cdot \mathbf{r}} | \mathbf{k}', \alpha' \rangle$$

$$\times \langle \gamma | c_{\mathbf{k}}^{\alpha \dagger} c_{\mathbf{k}'}^{\alpha'} | \text{eGS} \rangle,$$
(16)

where the polarization vector is assumed to lie in the graphene (x, y) plane, remembering that in the Coulomb gauge $\hat{\epsilon}_{\mathbf{p}_L} \cdot \mathbf{p}_L = 0$. Notice that the factor \sqrt{n} , once the matrix element is squared, will simplify with the photon flux of Eq. (15), so that effectively one could describe the interaction with one incoming photon only. Being the coherent state an eigenstate of the annihilation operator, the electromagnetic field of the $|\gamma\rangle$ state is described by the same laser's coherent state, and in addition $|\gamma\rangle$ contains an electron-hole pair which, due to the fact that the photon wave vector is negligible, has zero total momentum ($\mathbf{k} = \mathbf{k}'$); we also restrict to the resonant case $\alpha' = \pi$ and $\alpha = \pi^*$. From now on, we will drop the momentum index for the photon states. In complete analogy one can obtain the matrix element for the interaction of the scattered photon with the electronic degrees of freedom

$$\langle f | \mathcal{H}_{\text{el-em}} | \gamma \rangle = -\frac{e}{m} \sqrt{\frac{\hbar}{2\varepsilon_0 V \omega}} \\ \times \sum_{\mathbf{k}} \hat{\epsilon}^{\text{out}} \cdot \langle \mathbf{k}, \pi | \mathbf{p} | \mathbf{k}, \pi^* \rangle.$$
(17)

Notice that when a nonlocal pseudopotential is used to approximate the electron-ion interaction in the Hamiltonian, such as in the *ab initio* calculations performed in this work, the matrix element of the momentum operator of Eqs. (16) and (17) must be replaced by the matrix element of the commutator between the Hamiltonian and the position operator [24,25]. The matrix element for the electron-phonon interaction is readily given by Eq. (9), that is,

$$\langle \beta | \mathcal{H}_{\text{el-ph}} | \gamma \rangle = g_{\mathbf{k}+\mathbf{q}\pi/\pi^*,\mathbf{k}\pi/\pi^*}^{\nu}, \qquad (18)$$

TABLE I. Values employed in the calculation of the inverse lifetime of the electronic states. $\langle D_{\Gamma/K}^2 \rangle$ are given in Ref. [15], and by using $\omega_{\Gamma,K}$ and the definition of Sec. II 1 we obtain $\langle g_{\Gamma/K}^2 \rangle$. The values given in this table will be used also for later calculations, if not specified differently.

| | $\langle D_{\mathbf{q}}^2 \rangle (\mathrm{eV}^2/\mathrm{\AA}^2)$ | $\omega_{\mathbf{q}} (\mathrm{cm}^{-1})$ | $\langle g^2_{f q} angle ~({ m eV}^2)$ |
|---|---|--|---|
| Г | 62.8 | 1580 | 0.056 |
| K | 193 | 1220 | 0.222 |

which describes the scattering of an electron (or a hole) from the state with wave vector **k** in band π/π^* to the state with wave vector **k** + **q** with the same band index [13], with the simultaneous emission of a phonon with wave vector **q** belonging to branch ν . Again, in either the intermediate state $|\beta\rangle$ or $|\gamma\rangle$ the electromagnetic field is in the state $|\bar{n}\rangle_{\omega_L}^{\rm coh}|0\rangle_{\omega}$. In the following we will deal with phonons belonging to the TO branch, which is the highest-optical branch at **K** [26], with wave vector **q** in the vicinity either of the **K** or the **\Gamma** point, so we will omit the branch index ν when possible. It will also prove useful in the following to define, as in Ref. [27], $\langle D_{\Gamma}^2 \rangle$ and $\langle D_{\mathbf{K}}^2 \rangle$ as the average square of $D_{\mathbf{k}+\mathbf{q}\pi/\pi^*,\mathbf{k}\pi/\pi^*}$ between electronic states at the resonance wave vectors $\mathbf{k} \sim \mathbf{K}$, for $\mathbf{q} = \mathbf{\Gamma}$ and $\mathbf{q} = \mathbf{K}$, respectively. We further define $\langle g_{\Gamma}^2 \rangle =$ $(\hbar/(2M\omega_{\Gamma}))\langle D_{\Gamma}^2 \rangle$ and $\langle g_{\mathbf{K}}^2 \rangle = (\hbar/(2M\omega_{\mathbf{K}}))\langle D_{\mathbf{K}}^2 \rangle$.

Since the ratio between the EPC at **K** and at Γ is experimentally related to the ratio of the integrated areas under the 2D and 2D' resonance peaks, we further define

$$r_{\rm vc} = \frac{\langle D_{\mathbf{K}}^2 \rangle}{2 \langle D_{\mathbf{\Gamma}}^2 \rangle} = \frac{\langle g_{\mathbf{K}}^2 \rangle}{2 \langle g_{\mathbf{\Gamma}}^2 \rangle} \frac{\omega_{\mathbf{K}}}{\omega_{\mathbf{\Gamma}}},\tag{19}$$

which evaluates to about one if all the ingredients are computed in DFT [28]. The subscript "vc" stands for "vertex correction" since in Ref. [12] the failure of DFT in explaining the strong enhancement of the EPC is attributed to the neglect of the Coulomb vertex corrections, and r_{vc} will be employed as a rescaling factor that keeps them into account.

2. Resonance conditions in the conical model without matrix elements

To qualitatively explain how the resonance condition arises in the scattering amplitudes K_{β} of Eq. (14) we consider a simplified conical description of the graphene bands,

$$\epsilon_{\mathbf{k}}^{\pi^*/\pi} = \pm \hbar v_F |\mathbf{k}|, \qquad (20)$$

where v_F is the Fermi velocity evaluated via GW calculations [29] ($\hbar v_F = 6.44 \text{ eV}\text{\AA}$) and **k** is measured from the **K** point. For the 2D peak (the case of the 2D' peak is analogous) we have for the TO phonon dispersion

$$\omega_{\mathbf{q}} = \omega_{\mathbf{K}} + \hbar v_{\mathrm{ph}} |\mathbf{q}|, \qquad (21)$$

where $\omega_{\mathbf{K}}$ is the phonon frequency at the **K** point given in Table I, v_{ph} is the slope of the Kohn anomaly obtained in Ref. [28] ($\hbar v_{\text{ph}} = 0.047 \text{ eV}\text{\AA}$), and again **q** is measured from **K**. Moreover, we consider neither the electron-phonon nor the electron-light matrix elements, that is, we set the numerator of Eq. (11) equal to one. In this approximation, the number

of independent diagrams of Fig. 1 reduces to 2, and their amplitude is given by the following expressions:

$$K_{aa}(\mathbf{k},\mathbf{q})$$

$$= \frac{1}{\epsilon_L - 2\hbar v_F |\mathbf{k}| - 2\omega_{\mathbf{K}} - 2\hbar v_{ph} |\mathbf{q}| - i\gamma/2} \times \frac{1}{\epsilon_L - \hbar v_F |\mathbf{k} + \mathbf{q}| - \hbar v_F |\mathbf{k}| - \omega_{\mathbf{K}} - \hbar v_{ph} |\mathbf{q}| - i\gamma/2} \times \frac{1}{\epsilon_L - 2\hbar v_F |\mathbf{k}| - i\gamma/2},$$
(22)

 $K_{ab}(\mathbf{k}, \mathbf{q})$

$$= \frac{1}{\epsilon_L - 2\hbar v_F |\mathbf{k} + \mathbf{q}| - 2\omega_{\mathbf{K}} - 2\hbar v_{ph} |\mathbf{q}| - i\gamma/2}$$

$$\times \frac{1}{\epsilon_L - \hbar v_F |\mathbf{k} + \mathbf{q}| - \hbar v_F |\mathbf{k}| - \omega_{\mathbf{K}} - \hbar v_{ph} |\mathbf{q}| - i\gamma/2}$$

$$\times \frac{1}{\epsilon_L - 2\hbar v_F |\mathbf{k}| - i\gamma/2},$$
(23)

where γ is the total inverse lifetime of the intermediate states [which is given by twice the inverse lifetime $\gamma^{e/h}$ of the electron/hole, supposed equal, where $\gamma^{e/h}$ is the full-width at half-maximum (FWHM) of the electronic spectral function or, equivalently, minus two times the imaginary part of the electronic self-energy], and the subscripts "aa" and "ab" refer to the four diagrams on the left or on the right of Fig. 1, respectively. The intensity as a function of phonon wave vector **q** is given by Eq. (14) to be

$$I(\mathbf{q}) = \left| \frac{1}{N_{\mathbf{k}}} \sum_{\mathbf{k}} (K_{aa}(\mathbf{k}, \mathbf{q}) + K_{ab}(\mathbf{k}, \mathbf{q})) \right|^2.$$
(24)

Let us first consider the case in which $\omega_{\mathbf{K}} = v_{\mathrm{ph}} = 0$: the resonance condition corresponds to the vanishing of the real part of the three factors in the denominators of Eqs. (22) and (23), which can simultaneously happen for $\mathbf{q} = -2\mathbf{k}$, $|\mathbf{k}| = \epsilon_L/(2\hbar v_F)$, giving rise to a triple resonance condition. However, the behavior of K_{aa} and K_{ab} near the resonance is quite different (see Fig. 2), with the real part of K_{aa} changing sign along the resonance region, while the real part of K_{ab} stays positive. This implies, as already shown in Ref. [13], that the predominant contribution to the Raman intensity is given by K_{ab} , which add up coherently in a constructive way when summing over the electronic wave vectors \mathbf{k} in Eq. (24), at variance with the destructive interference of the terms K_{aa} . When a finite value is considered for both $\omega_{\mathbf{K}}$ and v_{ph} this difference is made even stronger by the fact that in Eq. (22) one cannot achieve anymore the fully triple resonance condition [16] (i.e., there is a double resonance at most).

Limiting then ourselves to the "ab" process only, we obtain an analytical expression for $I(\mathbf{q})$, which is formally identical to the square modulus of Eq. (63) of Ref. [16], as it indeed should be since we are eventually considering the same processes, even if the two formalisms are different (one has to remember the different definition of the total inverse lifetime γ , which here is four times the γ of Ref. [16], since there it refers directly to the negative imaginary part of the electronic



FIG. 2. Real and imaginary parts of the amplitudes $K_{aa}(\mathbf{k}, \mathbf{q})$, $K_{ab}(\mathbf{k}, \mathbf{q})$ defined in Eqs. (22) and (23) evaluated at $\mathbf{q} = (\epsilon_L/\hbar v_F, 0)$ for the case $\omega_{\mathbf{K}} = v_{\rm ph} = 0$, with $\epsilon_L = 1.16$ eV and $\gamma = 64$ meV, the latter being double the value used in the calculations below for illustrative purposes. The simultaneous vanishing of the real parts of the factors at the denominator (i.e., the resonance condition) happens at the intersection of the two red lines, which mark the direction $\mathbf{k} = -\mathbf{q}/2$ and the circumference $|\mathbf{k}| = |\mathbf{q}|/2$. Notice how the real part of K_{aa} changes sign near the resonant value $\mathbf{k} = -\mathbf{q}/2$, while the real part of K_{ab} does not. Moreover, notice the asymmetric shape of the imaginary part of K_{aa} , as opposed to the symmetric shape of the imaginary part of K_{ab} . We point out that in the limit $\gamma \rightarrow 0$ the two peaks which one can distinguish in the panels superimpose, and their values diverge.

self-energy):

$$I(\mathbf{q}) = \frac{\omega_L}{2^7 v_F^4} \left[(\omega_L - \omega_{\mathbf{K}} - (v_F + v_{\rm ph})q)^2 + \left(\frac{\gamma}{2}\right)^2 \right]^{-3/2},$$
(25)

which is peaked at $q \equiv |\mathbf{q}| = (\omega_L - \omega_{\mathbf{K}})/(v_F + v_{\text{ph}})$, and it has full-width at half-maximum

$$FWHM = \frac{\sqrt{2^{2/3} - 1}}{\hbar(v_F + v_{\text{ph}})}\gamma.$$
 (26)

The analytical result is obtained by approximating the summation on **k** in Eq. (24) with an integral about the resonance contour $|\mathbf{k}| \simeq \omega_L/(2v_F)$. Notice that including the phononic dispersion amounts simply to substitute $v_F \rightarrow v_F + v_{\rm ph}$ in the denominator of Eq. (63) of Ref. [16]. Neglecting for simplicity the finite lifetime of the final phonon states, we may further obtain the intensity as a function of the scattered

photon energy as

$$I(\omega) \propto \left[\left(\frac{v_F}{v_{\rm ph}} \right)^2 \left(\frac{\epsilon_L - \hbar \omega}{2} - \omega_{\rm ph} \right)^2 + \left(\frac{\gamma}{2} \right)^2 \right]^{-3/2}, \quad (27)$$

where we recognize the *Raman shift* as $\epsilon_L - \hbar \omega$, which is peaked at $\omega = 2\omega_{\rm ph}$ where $\omega_{\rm ph} = \omega_{\rm K} + \hbar v_{\rm ph}(\omega_L - \omega_{\rm K})/(v_F + v_{\rm ph})$.

The function described by Eq. (27) has the form

$$f_B(\omega) = I \frac{\beta^3}{[(\omega - \omega_0)^2 + \beta^2]^{3/2}},$$
 (28)

where *I* is a coefficient which contains the fourth power of the EPC at **K** (Γ), ω_0 is the central frequency of the peak, equal to twice the value of the phonon energy at the resonance wave vector near **K** (Γ), and the FWHM is given by

FWHM_B =
$$2\sqrt{2^{2/3} - 1} \beta \equiv 2\sqrt{2^{2/3} - 1} \gamma \frac{v_{\text{ph}}}{v_F}$$
. (29)

The functional form $f_B(\omega)$ will be referred to as *Baskovian* in the following since it was first calculated by Basko in Eq. (2) in Ref. [16]. One may further calculate the integrated area under $f_B(\omega)$, which gives

$$A_B = 2I\beta. \tag{30}$$

Taking into account all the proportionality factors, the coefficients which appear in the matrix elements, and the factors due to the final photon density of states [see Eq. (12)], the integrated area under the 2D peak in the conical model approximation is given by Eq. (66) of Ref. [16]: here we notice in particular that it scales as $(\omega_L - 2\omega_{\rm ph})^2/\gamma^2$. Since, as it will be shown below, γ scales linearly with ω_L , it follows that the integrated area is almost constant as a function of ϵ_L .

III. COMPUTATIONAL APPROACH

The computational infrastructure used to compute the diagrams of Fig. 1 is the EPIQ code [30]. We now detail the computational parameters used to determine all the physical quantities presented in Sec. II.

A. Electronic states

The Kohn-Sham states and eigenenergies are obtained within DFT using QUANTUM ESPRESSO (QE) [31] by modeling the monolayer graphene honeycomb structure with two carbon atoms per unit cell (with four valence electrons each) and lattice parameter a = 2.46 Å, on a 64×64 electronic grid. From the energetically lowest 10 electronic bands, we extract maximally localized Wannier functions [32] (MLWF) using the WANNIER90 (W90) software [33]. As anticipated, the π^* and π bands are the only ones considered in the Raman intensity calculation. We multiply them by a corrective factor 1.18 (after setting the Fermi energy to zero) to reproduce the band energy slope obtained from GW calculations, and which shows the best agreement with angular-resolved photo-emission spectroscopy (ARPES) measurements [34]. As compared to the five nearest-neighbor tight-binding approach employed in Ref. [13], the approach we employed in this work is better at reproducing the trigonal warping and the electron-hole asymmetry of the electronic dispersion.



FIG. 3. Comparison between the electronic dispersion calculated with five-neighbor tight binding in Ref. [13] (orange curve), the dispersion calculated within DFT multiplied by the GW correction factor (black curve), and the dispersion which we employed in this work, resulting from the Wannierization procedure (open circles), along the high-symmetry Γ -K-M line.

Most importantly, it enables the use of MLWFs, which are better suited to faithfully reproduce the matrix elements dependence in **k** space rather than simplified models. In Fig. 3 we show a comparison of our GW-corrected DFT result and of the tight-binding dispersion of Ref. [13], along the highsymmetry Γ -K-M line. The fine momentum grids used for the Wannier interpolation of electronic properties are built using a "telescopic" procedure, and are different for every excitation energy, as described in Appendix B.

B. Phononic states

The phonon dispersion of graphene computed in DFT wrongly underestimates the slope of the Kohn anomalies at the **K** and **K'** points [associated to the transverse optical (TO) mode [26], see Fig. 4] by a factor of 2 [15]. Indeed, as it was shown in Ref. [28], the Kohn anomaly is entirely determined by the contribution of the phonon self-energy between the electronic π and π^* bands. Therefore, following the same procedure of Ref. [4], the dynamical matrix $\mathcal{D}_{\mathbf{q}}$ is first calculated with linear response in DFT on a 6 × 6 uniform grid in the FBZ, then it is Fourier interpolated on a uniform finer 400 × 400 grid in the FBZ, and finally the GW correction is applied to the TO mode. The details of the phonon dispersion, such as the slope of the Kohn anomaly and the trigonal warping, bear a strong influence on the Raman spectrum: for instance, as it will be discussed below, the trigonal warping of



FIG. 4. Phonon dispersion along the high-symmetry Γ -K-M line. The three highest branches are shown and they are the result of DFT calculation (black curves), and DFT corrected with GW with the methodology of Refs. [4,12] (purple curves). Notice how DFT alone is not able to reproduce correctly the Kohn anomaly at **K**.

the phonon dispersion is crucial in determining the shape of the 2D peak, while it does not influence the total integrated area under the peak. We remark in particular that the phonon dispersion plays a negligible role in the denominators of the amplitudes $K_{\beta}(\mathbf{k}, \mathbf{q}, \nu, \mu)$, i.e., we could replace it with a constant energy equal to the TO frequency at the edge of the FBZ with negligible error; instead, it is crucial to consider it in the delta function of Eq. (13). As it was mentioned above, the finite lifetime of the phonon states is taken into account only by replacing the delta with a Lorentzian function with full-width at half-maximum (FWHM) 5.3 cm⁻¹, given by twice the value of the linewidth of the TO phonons [35] near the **K** point, since TO phonons are responsible for the 2D and 2D' peaks.

C. Electron-light scattering

The electron-light interaction is obtained via Wannier interpolation of the unscreened electric dipole calculated within the local-density approximation (LDA), following the same procedure of Ref. [4]. We assume that the polarization of the incoming and scattered light lies on the graphene (x, y)plane, and although one can resolve both the incoming and outgoing light polarization (as it has been done in Ref. [36]) we will display results obtained considering unpolarized laser excitation and summing over all the possible polarizations of the scattered light

$$I_{\text{unpol}} = \frac{1}{2} \sum_{i} \left| \sum_{o} A_{i,o} \right|^2, \tag{31}$$

where *i*, o = x, *y* label the polarization of the incoming and outgoing light, respectively, while $A_{i,o}$ represents the scattering amplitude, i.e., the argument of the absolute value in Eq. (14). This formula can be simplified in the following

way: suppose that the impinging light has polarization $\hat{\mathbf{e}} = \cos(\theta)e_x + \sin(\theta)e_y$, while the outgoing light has polarization $\hat{\mathbf{e}} = \cos(\phi)e_x + \sin(\phi)e_y$. When performing the square modulus of the sum of the amplitudes, we will have terms proportional to $\cos^2(\theta)\cos^2(\phi), \cos^2(\theta)\cos(\phi)\sin(\phi), \ldots$; if we now assume that we are resolving the intensity over a time period at the condition that the impinging light is unpolarized, this is equivalent to average θ over a uniform distribution, and integrating over ϕ . In such case, the only terms which contribute to the intensity are the ones containing even powers of the trigonometric functions, i.e., I_{xx} , I_{yx} , I_{yy} . Hence,

$$I_{\text{unpol}} = \frac{1}{2}(I_{xx} + I_{xy} + I_{yx} + I_{yy}).$$
(32)

D. Electron-phonon scattering

The EPC matrix element in the Bloch basis set is defined as in Eq. (9), and computed *ab initio* and interpolated on the same fine grids described in Secs. III A and III B. The GW correction to the TO mode over the whole FBZ are included following Ref. [13], i.e., considering the modification of the phononic frequency and polarization vector.

E. Electron-hole linewidth

As already mentioned above, the description of the excited states of monolayer graphene in terms of creation and annihilation of electron-hole pairs is an approximated one, and does not provide access to the real spectrum of the system. Indeed, the quasiparticle electronic states $|\mathbf{k}, \alpha\rangle$ do possess a finite lifetime $\tau_{\mathbf{k}}^{\alpha}$ (or analogously a nonzero FWHM linewidth $\gamma_{\mathbf{k}}^{\alpha} = \hbar/\tau_{\mathbf{k}}^{\alpha}$ because they interact with phonons, with other electronic states, or with defects. One can directly measure the linewidth $\gamma_{\mathbf{k}}^{\alpha}$ as the FWHM of the electron or hole spectral function, e.g., with ARPES, which has a crucial role in determining the line shape of the double-resonance Raman peaks. In fact, neglecting inhomogeneous broadening which can arise from fluctuations of the strain of the sample [37], there are two sources of homogeneous broadening of the line shape: phononic, which as discussed in Sec. III B we consider only through the delta function of Eq. (13), and electronic, which depends on γ_k^{α} . We neglect the contribution due to electron-defect scattering, which is suppressed in pristine monolayer samples, and the electron-electron scattering contribution, which for undoped graphene is proven to be negligible [38]. According to Fermi's golden rule, the electron-phonon scattering contribution is given by Ref. [13] to be

$$\gamma_{\mathbf{k}}^{\alpha(\text{el-ph})} = 2\pi \int \frac{\mathrm{d}^2 q}{(2\pi)^2} \sum_{\nu} |\langle \mathbf{k} + \mathbf{q}, \alpha | \Delta \mathcal{H}_{\mathbf{q},\nu} | \mathbf{k}, \alpha \rangle|^2 \\ \times \delta \left(\epsilon_{\mathbf{k}}^{\alpha} - \epsilon_{\mathbf{k}+\mathbf{q}}^{\alpha} - \hbar \omega_{-\mathbf{q}}^{\nu} \right), \tag{33}$$

where the integration is performed over the FBZ and the summation over all phonon branches ν . Notice that the electron-phonon scattering does not change the electronic band $\alpha = \pi, \pi^*$. Considering conical bands and only the two



FIG. 5. Typical Raman spectrum of monolayer graphene, measured with 2.33-eV excitation energy. The letters refer to the common nomenclature of the Raman peaks. Notice the nonresonant first-order G peak at a frequency of 1584 cm^{-1} , which is due to the iTO and iLO phonons at Γ , and the resonant second-order narrow peaks (D+D", 2D, and 2D'). The spectrum has been normalized to the intensity of the 2D peak.

phonons at Γ and at **K** one obtains (see Ref. [13])

$$\gamma_{\text{conical}}^{\alpha(\text{el-ph})}(\epsilon_L) = \frac{\pi}{2} \bigg[2 \langle g_{\Gamma}^2 \rangle N_a \Big(\frac{\epsilon_L}{2} - \hbar \omega_{\Gamma} \Big) + \langle g_{\mathbf{K}}^2 \rangle N_a \Big(\frac{\epsilon_L}{2} - \hbar \omega_{\mathbf{K}} \Big) \bigg], \qquad (34)$$

$$N_a(\epsilon) = \frac{\sqrt{3}}{\pi} \left(\frac{a_0}{\hbar v_F}\right)^2 |\epsilon|\theta(|\epsilon|), \tag{35}$$

where $a_0 = 2.46$ Å is the lattice constant and $\hbar v_F = 6.44 \text{ eV}$ Å. Using the values given in Table I, the inverse lifetime of the electron or hole is given by the same result of Ref. [13] to be

$$\gamma_{\text{conical}}^{\alpha(\text{el-ph})}(\epsilon_L) = 41.35(\epsilon_L/2 - 0.166) \text{ meV}, \quad (36)$$

where ϵ_L is in eV. The inverse lifetime that appears in the denominator of $K_{\beta}(\mathbf{k}, \mathbf{q}, \nu, \mu)$ is the inverse lifetime of the total state which, neglecting the phonon contribution, is the sum of the electron and hole lifetimes. Supposing electron-hole symmetry and neglecting the dependence on \mathbf{k} , as in Ref. [13], the total electron-hole FWHM linewidth reads as

$$\gamma_{\text{tot}} \equiv \gamma_{\mathbf{k}}^{\alpha} = \gamma_{\mathbf{k}}^{\beta} = \gamma_{\mathbf{k}}^{\gamma} = 2 \times \gamma_{\text{conical}}^{\alpha(\text{el-ph})}, \quad (37)$$

where the superscripts α , β , γ label the intermediate states [see Eq. (11)]. Being dependent on the EPC, the electronic linewidth is also affected by the rescaling factor r_{vc} , as discussed in the following.

IV. RESULTS AND DISCUSSION

The experimental Raman spectrum of graphene is displayed in Fig. 5: one can recognize the nonresonant first-order G peak at 1584 cm⁻¹ Stokes shift, which as already explained above is due to the degenerate in-plane optical modes at the Γ point of the FBZ, and the second-order peaks D+D", 2D, and 2D', which are explained within the double-resonance Raman



FIG. 6. Illustration of the resonant scattering processes in the electronic [unshaded, (a) and (b)] and phononic [shaded, (c)] reciprocal spaces. (a) Trigonal warping of the electronic bands shown by the isoenergy contours around the **K** and **K**' points, at ϵ_L and $\epsilon_L - \hbar \Omega_{\rm ph}^{\rm tot}$, where $\hbar \Omega_{\rm ph}^{\rm tot}$ is the total energy of the two scattered phonons, respectively. (b) Zoom-in on the relevant region of the reciprocal space. Two interband scattering processes (both contributing to the 2D peak) are shown: one where the initial electron-hole pair (white dot) is generated near the Γ -K line (solid black arrow) and one where the pair (green dot) is generated near the K-M line (dashed gray arrow). (c) In the case of perfect trigonal warping compensation, in the phononic reciprocal space the two scattering processes select phonons on the energy isocontour $\hbar \omega_{\rm ph}$ having momenta close to K, either near the K-M line (white dot, solid black arrow, usually referred as *outer* [11]), or the Γ -K line (green dot, dashed gray arrow, referred as inner). The two arrows are exactly the same as shown in (b), but in the phononic reciprocal space their origin is Γ .

scheme. Being the sample pristine the defect-induced peaks D, D', and D" are not visible, and indeed the double-resonance peaks are overtones of the defect peaks, as their nomenclature suggests (see Ref. [39] for a historical overview of the understanding of the resonance Raman spectrum of graphene and the evolution of the nomenclature of the peaks).

A. Double-resonance scattering intensity

1. Dependence of the linewidth on the trigonal warping

As it was already discussed in Ref. [13], the striking narrow width of the double-resonance 2D and 2D' Raman lines is mainly attributed to an almost perfect compensation of the trigonal warping of the electronic and phononic dispersions and to a negligible effect of the electron-hole asymmetry for excitation energies $\epsilon_L < 1.8 \text{ eV}$. At a given laser excitation energy ϵ_L , the compensation of trigonal warping occurs when the contour of the resonance phononic wave vectors coincides with an isoenergy contour of the phonon dispersion, thus narrowing the frequency distribution of the phonons involved. The resonance wave vectors $\tilde{\mathbf{q}}$ are such that $I_{\nu\mu}(\tilde{\mathbf{q}})$ defined in Eq. (14) is a maximum: given that the phonon dispersion plays a negligible role in the values of $I_{\nu\mu}(\tilde{\mathbf{q}})$ (so that Einstein phonons give almost the same results), the contour of $\tilde{\mathbf{q}}$ is entirely defined by the electronic dispersion, and in particular by its trigonal warping, as illustrated in Fig. 6.

In Fig. 7 we display the Raman intensity as a function of phonon wave vector (reduced to the irreducible wedge of the FBZ) for different excitation energies between 0.8 and 3.0 eV, and for the 2D peak only, that is $\mathcal{I}(\mathbf{q}) = \sum_{\nu,\mu}' I_{\nu\mu}(\mathbf{q})$ where the summation is restricted to the energy window of the



FIG. 7. Intensity (for the 2D peak only) as a function of phonon wave vector \mathbf{q} in the irreducible wedge of the FBZ, for different excitation energies between 0.8 and 3.0 eV, in steps of 0.2 eV. The bright green region corresponds to the resonance wave vectors $\tilde{\mathbf{q}}$. Notice that it becomes more and more trigonally warped as we move away from the **K** point, i.e., for higher ϵ_L . The white dashed lines indicate the phonon isoenergy contour: notice how for $\epsilon_L > 1.8 \text{ eV}$ their shape deviates significantly from the resonance $\tilde{\mathbf{q}}$ region.

2D line, compared to the phononic isoenergy contours. One can clearly see how the contour of the resonance wave vectors $\tilde{\mathbf{q}}$ becomes more and more distorted (due to the trigonal warping of the electronic dispersion) at increasing excitation energies. In the same figure the isoenergy contours of the phonon dispersion are shown as white dashed lines, and they also become more distorted at increasing excitation energies, even though in a different fashion with respect to $\tilde{\mathbf{q}}$. As it was already pointed out in Ref. [13], the largest contribution to the Raman intensity comes from phonons having momenta along the Γ -K line (which in literature are usually referred to as *inner* phonons [11], as opposed to *outer* phonons, which have momenta along the K-M line), although one truly has to take into account the whole resonance region to properly describe the width of the peak.

Indeed, the details of the trigonal warping of the phonon dispersion bear a strong influence on the FWHM of the 2D peak, in the sense that if, at fixed ϵ_L , the isoenergy contours of the phonon dispersion do not lie entirely in the wave-vector resonance region, then the resonance condition will select phonons having different frequencies, thus broadening the 2D peak. Notice that moving closer to the K point (that is lowering ϵ_L) both the electronic and the phononic dispersions become more conically symmetric since the asymmetry term scales as $(\mathbf{q} - \mathbf{K})^2$ [16,26], and the compensation is guaranteed. On the other hand, for higher excitation energies the compensation becomes much worse, and eventually in the 2D peak a low-energy "shoulder" develops for $\epsilon_L \gtrsim 2.2 \text{ eV}$ (see Fig. 8). In Fig. 9 we report the FWHM of the 2D "main peak" and of its shoulder as a function of the excitation energy, as obtained via a fit with the sum of two Baskovian functions:



FIG. 8. Shape of the 2D peak. All the peaks have been normalized to the height of their maxima. The black curves are Baskovian fitting functions, and we have separated the shoulder contribution for $\epsilon_L \ge 2.2 \text{ eV}$ (gray curves).



FIG. 9. FWHM of the 2D peak as a function of the laser excitation energy, as extracted via the fitting with Baskovian functions (black line). For $\epsilon_L \ge 2.2 \text{ eV}$ we have separated the contribution to the FWHM of the shoulder at lower energies, which would give a much higher value of the width (gray line). We remark that the procedure of fitting the 2D peak via the sum of two Baskovian functions is customary in analyzing experimental data [11] as well.

it is evident that for larger ϵ_L the FWHM increases, as a consequence of the worse trigonal warping compensation. Indeed, the choice of fitting the 2D peak via the sum of two Baskovian functions is also customary when discussing experimental results [11]. In the following and if not specified otherwise, we take the FWHM as the one of the main peak.

Since the trigonal warping compensation depends mainly on the actual shape of the phonon dispersion, which is known theoretically up to a certain approximation (GW corrections to DFT as described in Ref. [4]), we can provide a lower bound for the FWHM of the 2D peak by *imposing* the perfect compensation of the trigonal warping by a geometrical argument. We proceed as follows: since the position of the resonance phonon wave vector is independent of the actual shape of the phonon dispersion, we are free to choose a phonon dispersion whose shape is suited to compensate the trigonal warping. The simplest analytical expression for the trigonally warped dispersion is

$$\hbar\omega_{\mathbf{q}} = P_0 + P|\mathbf{q}| - [A - B\cos(3\phi_{\mathbf{q}})]|\mathbf{q}|^2, \qquad (38)$$

where $\phi_{\mathbf{q}}$ is the angle formed by \mathbf{q} with the $\mathbf{\Gamma}$ -**K**-**M** line, and P_0 , P, A, B are excitation-energy-dependent parameters to be obtained. Notice that imposing the compensation of the trigonal warping fixes only the values of the parameters Aand B (that is, the shape of the isoenergy contours) since the values of P_0 and P do not influence the asymmetry of the phonon dispersion, and can be chosen at will (e.g., from the experimentally determined position of the 2D peak). We can determine the parameters A and B by fitting Eq. (38) to the resonance contour displayed as a bright green region in Fig. 7, obtaining $A = 17.1 \text{ cm}^{-1}\text{\AA}^2$, $B = 120.7 \text{ cm}^{-1}\text{\AA}^2$.

In Fig. 10 we report the 2D peak FWHM, as directly extracted from the peak, for the case where the phonon dispersion is imposed to best match the resonance contour of phonon wave vectors. In this case the 2D peak does not develop any shoulder at all laser energies. However, the FWHM of the 2D main peak computed with the GW corrected phonons, even if the trigonal warping matching is not perfect, has a very similar behavior. This shows the importance of employing GW corrections in order to obtain very narrow peaks that match the experimental one in the visible region. In fact, in the same figure we also display the FWHM of the 2D peak as obtained from measurements on hBN-encapsulated monolayer graphene [12], and it is immediately clear that while our model works in the visible, it fails to predict that the width of the peak does not decrease for lower excitation energies, but rather it stays almost constant between 16-18 cm⁻¹. As we will see in the following, this inconsistency will be solved when considering an enhancement of the EPC at lower excitation energies.

It is worth mentioning that for the 2D' peak we find instead a constant behavior of the FWHM as a function of the excitation energy (the width stays between 6–7 cm⁻¹ for ϵ_L between 0.8–3.0 eV), in agreement with the experimental data [12]. Although near the Γ point in the FBZ the phonon dispersion is circularly symmetric, due to the fact that the Kohn anomaly is much weaker with respect to the anomaly at **K**, the main reason of the 2D' peak narrowness is that the double-resonance condition selects almost only phonons with wave vectors lying along the Γ -**M** line (as already noticed in



FIG. 10. FWHM of the 2D peak as a function of the laser excitation energy, with perfect compensation of the trigonal warping, as obtained directly from the peak (gray solid line). The gray area indicates how the result may change for inexact compensation of the trigonal warping, and the black solid line is the result of the full calculation displayed in Fig. 9 ("main peak"). The red squares indicate the measurements on hBN-encapsulated monolayer graphene [12]. The orange dashed line is the result of Eq. (29) with $\gamma = \gamma_{tot}$, shifted up by the inverse lifetime of the scattered phonon pair (i.e., 5.3 cm^{-1}). Notice how for $\epsilon_L < 1.8 \text{ eV}$, Eq. (29) reproduces the result obtained for perfect trigonal warping compensation, as indeed should be the case since it has been obtained in the conical model approximation, where no trigonal warping is present. For $\epsilon_L > 1.8 \text{ eV}$, on the other hand, the electron-hole asymmetry plays the major role in increasing the width of the peak.

Ref. [13], this is partly due to the role of the matrix elements, and partly due to the fact that the resonance phonon wave vector needs to connect electronic states placed on opposite sides of the same trigonally warped Dirac cone), thus there is no need for the phonon dispersion to match the contour of the resonance wave vectors [see Fig. 11, where we plot $\mathcal{I}(\mathbf{q})$, choosing the energy window corresponding to the 2D' peak].

2. Dependence of the linewidth on the electron-hole asymmetry

Equation (27) [or (28)] is obtained by considering conical electronic bands and neglecting the broadening of the line due to the finite lifetime of the phonon states. Including the latter means to convolve the Baskovian with the Lorentzian which replaces the δ function in Eq. (13), and to a first approximation one may consider the total FWHM as the sum of $FWHM_B$ and the width of the Lorentzian. In our calculation we have verified that both the 2D peak (up to $\epsilon_L \simeq 2.0 \text{ eV}$, for higher ϵ_L a shoulder appears, see Sec. IV A 1) and the 2D' peak (up to $\epsilon_L \simeq 3.0 \,\mathrm{eV}$) can be almost perfectly fitted by a single Baskovian line shape. By varying the electronic inverse lifetime γ_{tot} from the value given by Eq. (37) to twice this value we have verified that, at small excitation energies $\epsilon_L < 1.8 \,\mathrm{eV}$, the FWHM of both the 2D and 2D' peaks depends linearly on γ_{tot} , as predicted by Eq. (29), once one takes into account a nonzero intercept due to the Lorentzian broadening of phonon states. For larger excitation energies we still obtain a linear behavior, but the slope is no more related simply to the ratio



FIG. 11. Intensity (for the 2D' peak only) as a function of phonon wave vector **q** in the irreducible wedge of the FBZ, for different excitation energies between 0.8 and 3.0 eV, in steps of 0.2 eV. The bright green region corresponds to the resonance wave vectors $\tilde{\mathbf{q}}$: notice that the resonance wave vectors are basically along the $\boldsymbol{\Gamma}$ -**M** line. The white dashed lines indicate the phonon isoenergy contour: close to $\epsilon_L = 3.0$ eV the scattered phonon comes from the highest point of the dispersion, hence the isocontours form closed curves.

 $v_{\rm ph}/v_F$: one has indeed to take into account the electron-hole asymmetry, which leads to an overall broadening of the peak. In particular, by studying the intensity as a function of the phonon wave vector **q**, e.g., for the 2D peak (Fig. 7), we notice a much broader peak along the Γ -**K** direction compared to the **K**-**M** direction (for $\epsilon_L \gtrsim 2.0 \text{ eV}$): this is due to the fact that phonons having **q** along Γ -**K** are scattered by electron-hole pairs having wave vector **k** along the **K**-**M** direction (see Fig. 6), and in this direction the electron-hole asymmetry is higher, as visible in Fig. 3. For the 2D' peak we notice the same broadening of the $\mathcal{I}(\mathbf{q})$ (Fig. 11), but since the slope of the Kohn anomaly at Γ is much lower than the slope of the anomaly at **K** this broadening does not reflect substantially in a wider $I(\omega)$ line.

3. Integrated area as a function of the electronic lifetime

Equation (28) predicts that, at fixed excitation energy ϵ_L , the integrated area under the 2D and 2D' peaks, A_{2D} and $A_{2D'}$, depends on the total inverse lifetime of the state γ as $A = A_0/\gamma^2$, where A_0 is a constant [16]. We have verified that in our calculation A_{2D} follows almost exactly the same behavior (we integrate both the main peak and the shoulder), while $A_{2D'}$ follows it only approximately. Indeed, we have calculated the Raman intensity by varying the value of γ as a parameter, between the value given by Eq. (37) to twice this value (as we did in the previous section), and we display in Fig. 12 (top panels) A_{2D} and $A_{2D'}$ as a function of γ , for $\epsilon_L =$ 1.16 eV and $\epsilon_L = 2.33$ eV. We have performed a fitting via the functional form $A_0/(\gamma^2 + B^2)$, and we report the values of the A_0 and B parameters in the legends of Fig. 12 (top panels). The discrepancy of the behavior of $A_{2D'}$ from the analytical



FIG. 12. Top panels: Integrated area under the 2D and 2D' peaks for laser excitation energy of 2.33 eV (left) and 1.16 eV (right), as a function of the total inverse lifetime of the intermediate state γ . For fixed excitation energy both areas have been normalized to the area of the 2D peak at the lowest γ . The fitting functions are displayed in the legend (and shown as the perfectly superimposed white dashed lines): notice that while A_{2D} follows the prediction of Ref. [16] of a decrease as $1/\gamma^2$, A_{2D'} follows this behavior only approximately. The integration of the peak is performed on a range of 10 times the FWHM centered on the maximum. Bottom panels: Ratio between the integrated areas under the 2D and 2D' peaks for laser excitation energy of 2.33 eV (left) and 1.16 eV (right), as a function of the total inverse lifetime γ .

prediction is most probably due to the fact that taking into account nonconstant matrix elements over the FBZ results in a strongly anisotropic $I(\mathbf{q})$ near the Γ point (see Fig. 11). Despite the nonperfect adherence to the model predictions, our result improves on the conclusions reported in Ref. [13], thanks to the use of finer electronic and phononic wave-vector grids.

However, looking at Fig. 12 (bottom panels), where the ratio between the integrated areas under the 2D and 2D' peaks is reported as a function of γ , one can conclude that the ratio depends only weakly on γ (within a 10% error). As we will see below, this means that the experimental strong increase in the ratio $A_{2D}/A_{2D'}$ with lower excitation energies may be entirely attributed to the enhancement of the EPC.

4. Integrated area as a function of the excitation energy

Having confirmed that, at fixed excitation energy, the ratio between A_{2D} and A_{2D'} depends only slightly on the total inverse lifetime (see Sec. IV A 3) of the intermediate states, we can compare the result of our numerical calculation with the analytical result of Ref. [16], which predicts (notice that therein $\lambda_{\Gamma,K} = \langle g_{\Gamma,K}^2 \rangle$ is used)

$$\frac{A_{2D}}{A_{2D'}}(\omega_L) = 2 \left(\frac{\langle g_{\mathbf{K}}^2 \rangle}{\langle g_{\mathbf{\Gamma}}^2 \rangle} \frac{\omega_L - 2\omega_{\mathbf{K}}}{\omega_L - 2\omega_{\mathbf{\Gamma}}} \right)^2, \tag{39}$$



FIG. 13. Ratio between the integrated areas under the 2D and 2D' peaks as a function of the excitation energy, as calculated with DFT ingredients in this work [apart from the correction to the electronic and phononic dispersions, and with inverse electron or hole lifetime given in Eq. (36), black solid curve], and as given by the analytical result of Ref. [16] (gray dashed curve). The red points indicate experimental data from Ref. [12], which are not in agreement with either calculation, in particular for excitation energies below 2.3 eV.

where $\omega_{\mathbf{K},\Gamma}$ are the frequencies of the scattered phonons at the particular ω_L , and the ratio $\langle g_{\mathbf{K}}^2 \rangle / \langle g_{\Gamma}^2 \rangle$ is given in Ref. [16] to be 1.14, independent of the excitation energy.

In Fig. 13 we compare the result of our calculation, i.e.,

$$\frac{A_{2D}}{A_{2D'}}(\omega_L) = \frac{\int_{2D} \omega^2 I(\omega) d\omega}{\int_{2D'} \omega^2 I(\omega) d\omega},$$
(40)

where $I(\omega)d\omega$ is the Raman scattering intensity as a function of the frequency of the scattered photon ω defined in Eq. (10) and calculated with DFT only ingredients (except for the electronic and phononic dispersions, which have been corrected via the procedure explained in Sec. III) in this work [the ω^2 in the factor given by the photonic density of states, see Eq. (12)], with the analytical result of Eq. (39). We notice that while Eq. (39) predicts an almost constant behavior as a function of the excitation energy, our numerical calculation shows an increase of the ratio with larger excitation energies, in particular for $\epsilon_L > 1.5 \,\text{eV}$, which we mainly attribute to the role of the electron-hole asymmetry, which is neglected in the conical model of Ref. [16]. The dependency of the ratio on the excitation energy was already discussed in Ref. [13], and in this work we have confirmed the behavior also for excitation energies down to the infrared region, and employing finer electronic and phononic wave-vector grids. However, both calculations fail to reproduce the experimental data from Ref. [12] (which are shown in Fig. 13 as red points), in particular for $\epsilon_L < 2.3$ eV. From the analysis of the previous sections it is left as the only possible explanation an increase of the EPC with the lowering of the excitation energy [12], which we will discuss in the next section.

5. EPC enhancement

As already anticipated in the previous section, the ratio between the integrated areas under the 2D and 2D' peaks



FIG. 14. Ratio between the integrated areas under the 2D and 2D' peaks as a function of the excitation energy, as obtained by our calculation and multiplied by the r_{vc}^2 rescaling factor. The black dotted curve is the same solid curve of Fig. 13 (since $r_{vc} = 1$). The black dashed curve refers to the rescaling by the value obtained from GW calculations on graphite. The black solid curve indicates the rescaling by the excitation-energy-dependent $r_{vc}(\epsilon_L)$ defined in Eq. (41).

gives crucial information about the EPC. In order to extract this information, in the previous section we have studied the behavior of $A_{2D}/A_{2D'}$ as a function of the excitation energy, and found that we need to introduce a rescaling factor of the EPC in order to explain the strong increase of the ratio for lower excitation energies. A first attempt is to consider the EPC enhancement as given by GW calculations on graphite in Ref. [15], that is, we multiply the ratio as found by our DFT calculation by the square (since two phonons are being considered) of the rescaling factor r_{vc} defined in Eq. (19), which for graphite evaluates within GW to 1.4 (see black dashed curve in Fig. 14). This rescaling is not enough to match the experimental data of Ref. [12], hence, we have therein introduced an excitation-energy-dependent r_{vc} , defined by

$$r_{\rm vc}(\epsilon_L) = \begin{cases} 1.4, & \epsilon_L > 2.33 \,\mathrm{eV} \\ 2.5(\epsilon_L - 2.33)^2 + 1.4, & 1.1 \,\mathrm{eV} < \epsilon_L < 2.33 \,\mathrm{eV} \end{cases}$$
(41)

which evaluates to 4.8 for $\epsilon_L = 1.16 \,\text{eV}$ (i.e., it matches the data point at the lowest excitation energy), and consists of a second-order polynomial for excitation energies up to 2.33 eV. This functional form has been chosen since it is the simplest differentiable curve which interpolates between the data, but it does not rely on a theoretical understanding of the behavior of the EPC enhancement, which will be the scope of a future work. In particular, by assuming that $\langle D_{\Gamma}^2 \rangle =$ $58.6 \,\text{eV}^2/\text{Å}^2$ (the GW value obtained on graphite, which we employ in place of graphene's one since its calculation has been studied in more detail in Ref. [15]) is not affected by the EPC rescaling, we obtain the enhanced value of $\langle D_{\mathbf{K}}^2 \rangle =$ $562.6 \,\text{eV}^2/\text{Å}^2$.

We have then proceeded to calculate the effect of the EPC enhancement on the electron or hole inverse lifetime [12], as defined in Sec. III E. As already evident from Fig. 10 our



FIG. 15. FWHM of the 2D peak as calculated in this work using the inverse electron or hole lifetime given by Eq. (36) (black dashed line, which is the same black solid curve in Fig. 10), and the FWHM obtained employing the inverse lifetime given by Eq. (44), which takes into account the enhancement of the EPC for lower excitation energies (black solid curve). Shifting up the latter curve by 5 cm^{-1} (thin solid line) reproduces the behavior of the experimental data of Ref. [12] as a function of excitation energy.

calculation is indeed not able to reproduce the almost constant behavior of the FWHM of the experimentally measured 2D peak. Our previous analysis has excluded any role of the trigonal warping or of the electron-hole asymmetry in this failure, hence, the major role must be played by the total inverse lifetime of the intermediate states. Assuming that the enhancement affects mainly the EPC near the **K** point, that is taking the value $\langle g_{\Gamma}^2 \rangle = 0.052 \text{ eV}^2$ from GW calculations on graphite, we can write

$$\langle g_{\mathbf{K}}^2 \rangle = 2 \langle g_{\mathbf{\Gamma}}^2 \rangle \frac{\omega_{\mathbf{\Gamma}}}{\omega_{\mathbf{K}}} r_{\rm vc}.$$
 (42)

Substituting in Eq. (34) we then obtain

$$\gamma_{\text{enhanced}}^{\alpha(\text{el-ph})}(\epsilon_L) = 0.248 \langle g_{\Gamma}^2 \rangle \left[\left(1 + \frac{\omega_{\Gamma}}{\omega_{K}} r_{\text{vc}} \right) \frac{\epsilon_L}{2} - \hbar \omega_{\Gamma} (1 + r_{\text{vc}}) \right] \text{eV}$$
(43)

which, using the values given in Table I for the frequencies, becomes

$$\gamma_{\text{enhanced}}^{\alpha(\text{el-ph})} = 13.2 \Big[(1+1.30r_{\text{vc}}) \frac{\epsilon_L}{2} - 0.196(1+r_{\text{vc}}) \Big] \text{meV}.$$
(44)

To model the impact of the inverse lifetime change on the FWHM we consider the expression for FWHM_B obtained in Eq. (29), which reproduces the result of our calculation for excitation energies below 1.8 eV (see Fig. 10), and we employ $\gamma = 2\gamma_{\text{enhanced}}^{\alpha(el-ph)}$, obtaining the black solid line in Fig. 15 which, shifted up by 5 cm⁻¹ (thinner solid line), clearly matches the almost constant behavior of the experimental data as a function of the excitation energy.

As a final remark, one may inquire whether the EPC enhancement affects the slope of the Kohn anomaly, too. Indeed, the real part of the phonon self-energy contributes to the energy shift $\Delta_{\mathbf{q}}^{\nu}$ of the harmonic phonon of mode ν with wave

vector \mathbf{q} via the following equation [40]:

$$\frac{\Delta_{\mathbf{q}}^{\nu}}{2} = \frac{2}{N_{\mathbf{k}}} \sum_{\mathbf{k},\alpha,\alpha'} \left| g_{\mathbf{k}\alpha,\mathbf{k}+\mathbf{q}\alpha'}^{\nu} \right|^{2} \mathcal{P} \mathcal{V} \left[\frac{f_{\mathbf{k}+\mathbf{q}\alpha'} - f_{\mathbf{k}\alpha}}{\epsilon_{\mathbf{k}+\mathbf{q}}^{\alpha'} - \epsilon_{\mathbf{k}}^{\alpha} - \omega_{\mathbf{q}}^{\nu}} \right], \quad (45)$$

where \mathcal{PV} is Cauchy's principal value, and f is the Fermi distribution function. However, one can analytically compute the slope of the Kohn anomaly only under the assumption that the EPC is constant in the vicinity of the **K** point [28], which as we have shown is not the case for graphene. Nonetheless, it can be argued that the enhancement of the EPC leads to a steepening of the Kohn anomaly, even though its quantification may be attained only accessing the full dependence of the EPC on the electronic wave vector.

B. rvc enhancement and resistivity

As shown in Ref. [17], the resistivity of graphene computed via the Boltzmann formalism using DFT or GW ingredients is underestimated in specific regimes, similarly to what happens for the ratio of the areas for the Raman spectrum. In particular, the experimental resistivity is significantly larger than the theoretical one especially at low dopings, but only for temperatures around room temperature and above, pointing to thermally activated optical phonons as an underestimated scattering source. Whether those optical phonons come from graphene itself or the substrate present in the transport measurement could not be definitely determined in Ref. [17]. However, it was argued that, since the coupling with substrate phonons is field mediated, it would be strongly electrostatically screened for the carrier concentrations relevant in phonon-limited transport studies. Thus, the increase of resistivity was attributed to the increase of the intrinsic coupling between electrons and optical phonons of graphene at K, namely, β_K^2 , beyond its GW value. The enhancement of β_K^2 was fitted on transport measurements, while the coupling with the zone-center modes (β_{Ω}^2) was not enhanced beyond its GW value. Since the couplings with optical modes involved in transport and Raman processes are related by the following relations [17,28]:

$$\beta_O^2 = 2\langle D_{\Gamma}^2 \rangle, \quad \beta_K^2 = \langle D_{\mathbf{K}}^2 \rangle, \quad (46)$$

which allow to express the ratio of the scattering couplings in terms of r_{vc} , we can qualitatively support such conclusion in light of the present Raman data.

Aside from the optical phonon frequency (which is the same in both Raman and transport setups), there are two important energy scales for electron-phonon interactions and r_{vc} : the energy of the electron being scattered ϵ_k and the Fermi level ϵ_F characterizing the doping. In the transport case, those two energy scales coincide. Indeed, the electronic states participating to resistivity are within a relatively small energy window of order k_BT around the Fermi level. In the Raman data considered here, there is no doping [12] such that the Fermi level can be set to zero, while the energy of the scattered electrons is half the laser energy scales das $\epsilon_L = 2\hbar v_F k$. Considering these two energy scales, the mechanisms involving electron-phonon interactions can be compared in both experiments. In particular, the scattering processes relevant



FIG. 16. Electron-phonon coupling enhancement factor r_{vc} deduced from resistivity (blue) and Raman (orange) experiments. The gray curve represents the extrapolated expected behavior of the enhancement at large doping, i.e., returning to the *ab initio* GW value.

for resistivity satisfy the energy conservation of Eq. (56) of Ref. [17] restricted to intraband transitions. At the Raman resonance ($\epsilon_L = 2\hbar v_F k$), the imaginary part of the second denominator of Eqs. (22) and (23), in the limit of $\gamma \rightarrow 0$, reduces to the same intraband delta function of Eq. (56) of Ref. [17] related to the emission of a phonon.

We thus report in Fig. 16 the fit of the couplings of Ref. [17] as a function of the doping level, translated in terms of the enhancement factor r_{vc} . We also report the fit of r_{vc} on Raman measurements as discussed in this work. Note that the result of the enhancement of r_{vc} seen in Raman experiments is mostly independent on the type and presence of a substrate [12].

Figure 16 first shows that the electron-phonon coupling enhancements r_{vc} deduced from Raman and resistivity experiments are generally comparable, implying a potential explanation of the resistivity increase without resorting to extrinsic phonon contributions. One may compare the transportand Raman-deduced values of the enhancement at a fixed value of $\epsilon_{\mathbf{k}}$, keeping in mind that the Fermi levels ϵ_F , and therefore the charge configurations of the system, are different $(\epsilon_F \approx \epsilon_k$ for transport, $\epsilon_F \approx 0$ for Raman). In that case, the Raman-deduced value from this work is much larger than the resistivity one. As hinted in Ref. [14], this is at least qualitatively expected. At large Fermi levels, the additional free carriers are expected to strongly screen the enhancement, while at vanishing Fermi levels this is not the case. This dependency on doping is further supported by the sharp increase of the enhancement in transport measurements close to the Dirac point.

V. CONCLUSIONS AND OUTLOOK

In this work we have studied the double-resonance Raman intensity of monolayer graphene down to infrared laser energies via the use of first-principles techniques. We found that both the trigonal warping of the electronic and phononic dispersions and the electron-hole asymmetry play a fundamental role in the determination of the line shape and linewidth of the 2D and 2D' peaks, and on their intensity as a function of the electron-hole lifetime. Keeping these effects in account, we are able to confidently justify the zone-boundary electronphonon enhancement found in Ref. [12] for laser energies in the infrared light spectrum. We have also addressed the consequences of such enhancement on the resistivity of graphene at room temperature, hinting towards a reconciliation of theoretical and experimental results. We hope that this work shall promote the interest in both performing Raman spectrum measurements nearer to the Dirac cone and in predicting the electron-phonon enhancement via the use of more refined theoretical many-body techniques: recent work shows indeed, by investigating the massive Dirac fermions of bilayer graphene, that the scale governing the enhancement may be the vicinity in momentum to the Dirac point rather than the smallness of the electron-hole pair energy [41].

ACKNOWLEDGMENTS

We thank L. Baldassarre, T. Venanzi, and S. Sotgiu for the fruitful collaboration in the experimental investigation, and for beneficial discussions. L.G. acknowledges funding from the Swiss National Science Foundation (SNF Project No. 200020 207795). We acknowledge the European Union's Horizon 2020 research and innovation program under Grant Agreement No. 881603-Graphene Core3 and the MORE-TEM ERC-SYN project, Grant Agreement No. 951215. We acknowledge PRACE for awarding us access to Joliot-Curie Rome at TGCC, France. Part of the calculations were performed on the DECI resource Mahti CSC based in Finland [42], and part on the ETH Euler cluster [43]. Work was cofunded by the European Union (ERC, DELIGHT, Grant No. 101052708). Views and opinions expressed are, however, those of the authors only and do not necessarily reflect those of the European Union or the European Research Council. Neither the European Union nor the granting authority can be held responsible for them.

APPENDIX A: MATRIX ELEMENT DERIVATION

To obtain Eq. (11) in the main text, we consider the operator *S* that evolves the initial state into the final state, which is formally given [44] by

$$S = \sum_{n=0}^{\infty} \left(-\frac{i}{\hbar}\right)^n \int_{-\infty}^{\infty} dt_1 \int_{-\infty}^{t_1} dt_2 \cdots \int_{-\infty}^{t_{n-1}} dt_n$$
$$\times e^{-\varepsilon(|t_1|+\dots+|t_n|)} (\mathcal{H}_I(t_1)\dots\mathcal{H}_I(t_n)), \tag{A1}$$

with the limit $\varepsilon \to 0$ to be taken at the end. Notice in particular the absence of the 1/n! factor and the upper extrema of integration, which are different from infinity, since we are not employing the \mathcal{T} product. As discussed before, we will limit ourselves to the fourth perturbative order in $\mathcal{H}_I(t) = e^{i\mathcal{H}_0 t/\hbar}\mathcal{H}_I e^{-i\mathcal{H}_0 t/\hbar}$, with \mathcal{H}_I being either the electronphoton or the electron-phonon interaction Hamiltonian in the Schrödinger representation, and $\mathcal{H}_0 = \mathcal{H}_{\text{KS}} + \mathcal{H}_{\text{em}}$. We then



FIG. 17. Feynman diagrams considered in the formalism of Ref. [16].

obtain the matrix element given in Eq. (11) in the main text by inserting complete sets of electron, phonon, and photon states, and integrating over the time variables.

Notice that the choice of not employing the \mathcal{T} product in Eq. (A1) (as opposed to the formalism of Ref. [16]) means that we have to consider in Eq. (11) all the permutations of both the electron-phonon and electron-photon interaction Hamiltonians which give rise to nonzero matrix elements. That is, one has to consider the arbitrary time ordering of two electronphonon and two electron-photon interaction vertices, where, for example, one may have that the phonon is emitted before the photon is absorbed. One can identify three topologically inequivalent diagrams (see Fig. 17), so that the total number of Stokes diagrams is $4! \times 3$ [those depicted in Fig. 1(b) in Ref. [45]]. On the other hand, in the formalism of Ref. [16] only the three topologically inequivalent diagrams need to be considered since the time ordering has already been taken care of by the presence of the \mathcal{T} product. We anyway choose not to employ it since it is easier to enforce the resonance condition having an explicit time ordering of the vertices, as discussed in the main text.

On the other hand, in Ref. [16] the resonance condition is enforced by throwing away the diagrams in Figs. 17(b) and 17(c) (which contains the resonant diagrams indicated as *ee* or *hh* in Fig. 1, that are shown to be negligible with respect



FIG. 18. (a) Telescopic grid which has been used to interpolate the electronic momenta for 1.20-eV excitation energy. The parameters are (l, L, N) = (2, 10, 26). (b) Zoom-in on the resonance region around the **K** point. (c) Zoom-in on the resonance region of the grid employed for 2.40-eV excitation energy. (l, L, N) = (2, 10, 23). The marker sizes are proportional to the weights of the momenta in the calculation.

to the *eh* and *he* ones), and by neglecting the off-resonant contributions to the diagram in Fig. 17(a) [see Eq. (58) of Ref. [16], where the approximation consists in eliminating the nonresonant denominator].

Finally, we notice that, in both formalisms, in principle all vertices but one (at choice) contain electronic screening [46]. We will consider all light vertices as unscreened in our calculation, exploiting the fact that the electronic screening of the electron-light matrix element is negligible in graphene for in-plane light polarizations [47].

APPENDIX B: TELESCOPIC GRIDS

The grids on which the electronic momenta **k** have been Wannier interpolated are built with a procedure analogous to the one described in Ref. [47]. Indeed, an ultradense **k** mesh is desired to be located around the **k** resonance region, which lies in an annulus with average radius $\epsilon_L/(2\hbar v_F)$, in order to achieve a fast convergence of the summation in Eq. (14). The procedure is the following: We generate the first **k** point (the **K** point) to be the center of an equilateral triangle with side $4\pi/(\sqrt{3}a)$, i.e., the modulus of the reciprocal lattice vectors

- A. C. Ferrari, J. C. Meyer, V. Scardaci, C. Casiraghi, M. Lazzeri, F. Mauri, S. Piscanec, D. Jiang, K. S. Novoselov, S. Roth, and A. K. Geim, Raman spectrum of graphene and graphene layers, Phys. Rev. Lett. 97, 187401 (2006).
- [2] A. Gupta, G. Chen, P. Joshi, S. Tadigadapa, and Eklund, Raman scattering from high-frequency phonons in supported n-graphene layer films, Nano Lett. 6, 2667 (2006).
- [3] A. C. Ferrari, Raman spectroscopy of graphene and graphite: Disorder, electron-phonon coupling, doping and nonadiabatic effects, Solid State Commun. 143, 47 (2007).
- [4] F. Herziger, M. Calandra, P. Gava, P. May, M. Lazzeri, F. Mauri, and J. Maultzsch, Two-dimensional analysis of the doubleresonant 2D Raman mode in bilayer graphene, Phys. Rev. Lett. 113, 187401 (2014).
- [5] D. Graf, F. Molitor, K. Ensslin, C. Stampfer, A. Jungen, C. Hierold, and L. Wirtz, Spatially resolved Raman spectroscopy of single- and few-layer graphene, Nano Lett. 7, 238 (2007).
- [6] R. Beams, L. G. Cançado, and L. Novotny, Raman characterization of defects and dopants in graphene, J. Phys.: Condens. Matter 27, 083002 (2015).
- [7] M. Lazzeri and F. Mauri, Nonadiabatic Kohn anomaly in a doped graphene monolayer, Phys. Rev. Lett. 97, 266407 (2006).
- [8] J. Yan, Y. Zhang, P. Kim, and A. Pinczuk, Electric field effect tuning of electron-phonon coupling in graphene, Phys. Rev. Lett. 98, 166802 (2007).
- [9] A. Eckmann, A. Felten, A. Mishchenko, L. Britnell, R. Krupke, K. S. Novoselov, and C. Casiraghi, Probing the nature of defects in graphene by Raman spectroscopy, Nano Lett. 12, 3925 (2012).
- [10] D. L. Mafra, G. Samsonidze, L. M. Malard, D. C. Elias, J. C. Brant, F. Plentz, E. S. Alves, and M. A. Pimenta, Determination of LA and TO phonon dispersion relations of graphene near the Dirac point by double resonance Raman scattering, Phys. Rev. B 76, 233407 (2007).

[this defines the zeroth level, and the FBZ which we employ is just given by two adjacent equilateral triangle, see Fig. 18(a)].

The first level is given by the four (including the one generated at the previous level) **k** points which are the baricenters of the four smaller equilateral triangles in which the zerothorder triangle is partitioned into (i.e., they are the three points located at the midpoint between the center of the zeroth-order triangle and its three vertices, plus the center point itself). The procedure is iterated and at level ℓ the weight of the k point is given by $1/4^{\ell}$. One then defines a minimum depth l and a maximum depth L, and the **k** points generated via the procedure above are kept only if their level is $\leq l$, or if $l < \ell \leq L$ and at the same time the point lies in the resonance annulus within a range $(D/\mathcal{N})4^{(L-\ell)/p}$, where $D = 4\pi/(3a)$ is the distance from **K** to a vertex of the zeroth-order triangle, \mathcal{N} is an integer, and p = 2 has been chosen by making sure that the ultradense region fully includes the trigonally warped electronic isoenergy contour at the excitation energy. Therefore, the grids are dependent on the excitation energy and are densified in the resonance annulus (so that in the resonance region they are as dense as a 1448×1448 uniform grid), at variance with the ones of Ref. [47].

- [11] S. Berciaud, X. Li, H. Htoon, L. E. Brus, S. K. Doorn, and T. F. Heinz, Intrinsic line shape of the Raman 2D-mode in freestanding graphene monolayers, Nano Lett. 13, 3517 (2013).
- [12] T. Venanzi, L. Graziotto, F. Macheda, S. Sotgiu, T. Ouaj, E. Stellino, C. Fasolato, P. Postorino, V. Mišeikis, M. Metzelaars, P. Kögerler, B. Beschoten, C. Coletti, S. Roddaro, M. Calandra, M. Ortolani, C. Stampfer, F. Mauri, and L. Baldassarre, Probing enhanced electron-phonon coupling in graphene by infrared resonance Raman spectroscopy, Phys. Rev. Lett. 130, 256901 (2023).
- [13] P. Venezuela, M. Lazzeri, and F. Mauri, Theory of doubleresonant Raman spectra in graphene: Intensity and line shape of defect-induced and two-phonon bands, Phys. Rev. B 84, 035433 (2011).
- [14] D. M. Basko and I. L. Aleiner, Interplay of coulomb and electron-phonon interactions in graphene, Phys. Rev. B 77, 041409(R) (2008).
- [15] M. Lazzeri, C. Attaccalite, L. Wirtz, and F. Mauri, Impact of the electron-electron correlation on phonon dispersion: Failure of LDA and GGA DFT functionals in graphene and graphite, Phys. Rev. B 78, 081406(R) (2008).
- [16] D. M. Basko, Theory of resonant multiphonon Raman scattering in graphene, Phys. Rev. B 78, 125418 (2008).
- [17] T. Sohier, M. Calandra, C.-H. Park, N. Bonini, N. Marzari, and F. Mauri, Phonon-limited resistivity of graphene by firstprinciples calculations: Electron-phonon interactions, straininduced gauge field, and Boltzmann equation, Phys. Rev. B 90, 125414 (2014).
- [18] C.-H. Park, N. Bonini, T. Sohier, G. Samsonidze, B. Kozinsky, M. Calandra, F. Mauri, and N. Marzari, Electron–phonon interactions and the intrinsic electrical resistivity of graphene, Nano Lett. 14, 1113 (2014).
- [19] C. Cohen-Tannoudji, J. Dupont-Roc, and G. Grynberg, *Photons and Atoms: Introduction to Quantum Electrodynamics* (Wiley, New York, 1989).

- [20] F. Giustino, Electron-phonon interactions from first principles, Rev. Mod. Phys. 89, 015003 (2017).
- [21] W. Heitler, *The Quantum Theory of Radiation*, Dover Books on Physics Series (Dover, New York, 1984).
- [22] A. Siegman, *Lasers* (University Science Books, Sausalito, CA, 1986).
- [23] R. Martin and L. Falicov, Resonant Raman scattering, in *Light Scattering in Solids I: Introductory Concepts*, edited by M. Cardona (Springer, Berlin, 1982), Chap. 3, pp. 79–145.
- [24] A. F. Starace, Length and velocity formulas in approximate oscillator-strength calculations, Phys. Rev. A **3**, 1242 (1971).
- [25] A. J. Read and R. J. Needs, Calculation of optical matrix elements with nonlocal pseudopotentials, Phys. Rev. B 44, 13071 (1991).
- [26] A. Grüneis, J. Serrano, A. Bosak, M. Lazzeri, S. L. Molodtsov, L. Wirtz, C. Attaccalite, M. Krisch, A. Rubio, F. Mauri *et al.*, Phonon surface mapping of graphite: Disentangling quasi-degenerate phonon dispersions, Phys. Rev. B **80**, 085423 (2009).
- [27] M. Lazzeri, S. Piscanec, F. Mauri, A. C. Ferrari, and J. Robertson, Electron transport and hot phonons in carbon nanotubes, Phys. Rev. Lett. 95, 236802 (2005).
- [28] S. Piscanec, M. Lazzeri, F. Mauri, A. C. Ferrari, and J. Robertson, Kohn anomalies and electron-phonon interactions in graphite, Phys. Rev. Lett. 93, 185503 (2004).
- [29] L. Hedin, New method for calculating the one-particle green's function with application to the electron-gas problem, Phys. Rev. 139, A796 (1965).
- [30] G. Marini, G. Marchese, G. Profeta, J. Sjakste, F. Macheda, N. Vast, F. Mauri, and M. Calandra, EPIq: An open-source software for the calculation of electron-phonon interaction related properties, Comput. Phys. Commun. 295, 108950 (2024).
- [31] P. Giannozzi, S. Baroni, N. Bonini, M. Calandra, R. Car, C. Cavazzoni, D. Ceresoli, G. L. Chiarotti, M. Cococcioni, I. Dabo *et al.*, Quantum espresso: a modular and open-source software project for quantum simulations of materials, J. Phys.: Condens. Matter 21, 395502 (2009).
- [32] N. Marzari, I. Souza, and D. Vanderbilt, An introduction to maximally-localized Wannier functions, Psi-K Newsletter 57, 129 (2003).
- [33] A. A. Mostofi, J. R. Yates, Y.-S. Lee, I. Souza, D. Vanderbilt, and N. Marzari, wannier90: A tool for obtaining maximallylocalised Wannier functions, Comput. Phys. Commun. 178, 685 (2008).

- [34] A. Grüneis, C. Attaccalite, L. Wirtz, H. Shiozawa, R. Saito, T. Pichler, and A. Rubio, Tight-binding description of the quasiparticle dispersion of graphite and few-layer graphene, Phys. Rev. B 78, 205425 (2008).
- [35] L. Paulatto, F. Mauri, and M. Lazzeri, Anharmonic properties from a generalized third-order *ab initio* approach: Theory and applications to graphite and graphene, Phys. Rev. B 87, 214303 (2013).
- [36] V. N. Popov and P. Lambin, Theoretical polarization dependence of the two-phonon double-resonant Raman spectra of graphene, Eur. Phys. J. B 85, 418 (2012).
- [37] C. Neumann, S. Reichardt, P. Venezuela, M. Drögeler, L. Banszerus, M. Schmitz, K. Watanabe, T. Taniguchi, F. Mauri, B. Beschoten *et al.*, Raman spectroscopy as probe of nanometre-scale strain variations in graphene, Nat. Commun. 6, 8429 (2015).
- [38] D. Basko, S. Piscanec, and A. C. Ferrari, Electron-electron interactions and doping dependence of the two-phonon Raman intensity in graphene, Phys. Rev. B 80, 165413 (2009).
- [39] A. C. Ferrari and D. M. Basko, Raman spectroscopy as a versatile tool for studying the properties of graphene, Nat. Nanotechnol. 8, 235 (2013).
- [40] M. Calandra and F. Mauri, Electron-phonon coupling and phonon self-energy in MgB₂: Interpretation of MgB₂ Raman spectra, Phys. Rev. B 71, 064501 (2005).
- [41] L. Graziotto, F. Macheda, T. Venanzi, G. Marchese, S. Sotgiu, T. Ouaj, E. Stellino, C. Fasolato, P. Postorino, M. Metzelaars, P. Kögerler, B. Beschoten, M. Calandra, M. Ortolani, C. Stampfer, F. Mauri, and L. Baldassarre, Infrared resonance Raman of bilayer graphene: signatures of massive fermions and band structure on the 2D peak, Nano Lett. 24, 1867 (2024).
- [42] https://research.csc.fi/-/mahti.
- [43] https://scicomp.ethz.ch/.
- [44] A. Fetter and J. Walecka, *Quantum Theory of Many-particle Systems*, Dover Books on Physics (Dover, New York, 2003).
- [45] B. A. Weinstein and M. Cardona, Resonant first- and secondorder Raman scattering in gap, Phys. Rev. B 8, 2795 (1973).
- [46] M. Calandra, G. Profeta, and F. Mauri, Adiabatic and nonadiabatic phonon dispersion in a Wannier function approach, Phys. Rev. B 82, 165111 (2010).
- [47] L. Binci, P. Barone, and F. Mauri, First-principles theory of infrared vibrational spectroscopy of metals and semimetals: Application to graphite, Phys. Rev. B 103, 134304 (2021).