Randomised phase II trial of CAPTEM or FOLFIRI as SEcond-line therapy in NEuroendocrine CArcinomas and exploratory analysis of predictive role of PET/CT imaging and biological markers (SENECA trial): a study protocol

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ABSTRACT

Introduction Patients with metastatic or locally advanced, non-resectable, grade 3 poorly differentiated gastroenteropancreatic (GEP) and lung neuroendocrine carcinomas (NECs) are usually treated with in first-line platinum compounds. There is no standard second-line treatment on progression. Accurate biomarkers are needed to facilitate diagnosis and prognostic assessment of patients with NEC.

Methods and analysis The SEcond-line therapy in NEuroendocrine CArcinomas (SENECA) study is a randomised, non-comparative, multicentre phase II trial designed to evaluate the efficacy and safety of folinic acid, 5-fluorouracil and irinotecan (FOLFIRI) or capectabine plus temozolomide (CAPTEM) regimens after failure of first-line chemotherapy in patients with lung NEC and GEP-NEC. Secondary aims are to correlate the serum miRNA profile and primary mutational status of MEN1, DAXX, ATRX and RB-1 with prognosis and outcome and to investigate the prognostic and predictive role of the Ki-67 score and 18-fluorodeoxyglucose positron emission tomography/computed tomography (18F-FDG PET/CT) or 68Ga-PET/CT. The main eligibility criteria are age ≥18 years; metastatic or locally advanced, non-resectable, grade 3 lung or GEP-NECs; progression to first-line platinum-based chemotherapy. A Bryant and Day design taking into account treatment activity and toxicity was used to estimate the sample size. All analyses will be performed separately for each treatment group in the intention-to-treat population. A total of 112 patients (56/arm) will be randomly assigned (1:1) to receive FOLFIRI every 14 days or CAPTEM every 28 days to receive two different treatments, folinic acid, 5-fluorouracil and irinotecan or capectabine plus temozolomide, providing important information on the activity of both combinations in different neuroendocrine carcinoma (NEC) subtypes (neuroendocrine tumour grade (G) 3 and NEC G3).

Strengths and limitations of the study

- The SENECA trial analyses the role of miRNAs and other biological markers as prognostic and predictive factors. A further aim is to assess 68Ga-PET/CT as a tool to improve current histological classification.
- The rarity of the disease and patient prognosis. However, the involvement of several Italian centres will hopefully help to overcome this problem.
- Poor prognosis of patients with NEC. Patients progressing on platinum chemotherapy usually have rapid deterioration of clinical conditions.

In the study, the number of participants is limited by the rarity of the disease, and the sample size is calculated to achieve statistical power for the primary endpoint, which is the estimation of the activity of the combination of treatments. The study is currently open in Italy.

neuroendocrine neoplasias (NENs). At the time of diagnosis, patients are generally in poor conditions due to aggressive and diffuse disease. These tumours are characterised by aggressive histological features (high Ki-67 index, extensive necrosis and nuclear atypia) and are classified as grade (G) 3 NECs according to the 2010 WHO classification. The 2017 WHO classification recognised a further group called G3 neuroendocrine tumours (NETs) as having intermediate features between NETs and NECs.

An etoposide–platinum combination is the gold standard for the treatment of G3 NECs, several studies published in the 1990s reporting substantial antitumour activity and high response rates (41%–67%). However, prognosis is generally poor with a median progression-free survival (PFS) of 9 months and a median overall survival (OS) of 15–19 months. When progression occurs after first-line chemotherapy, the disease is usually very aggressive and patients succumb rapidly.

Given the rarity of this disease, prospective clinical data are lacking and treatment recommendations are essentially expert-based opinions. Two phase II studies investigating the second-line treatment of gastroenteropancreatic (GEP)-NECs are currently registered at ClinicalTrials.gov one evaluating the safety and tolerability of everolimus in 40 patients with G3 NEC/NET or G1/G2 NET switching to G3 NEN (National Clinical Trial identifier NCT02113800) and the other investigating the efficacy of avelumab (NCT03147404). A French study focusing on the identification of predictive molecular markers of response to sunitinib in poorly differentiated digestive NETs (NCT01215578) has now closed recruitment and results are eagerly awaited. Another French multicentre prospective phase II trial is currently ongoing to investigate the efficacy of the bevacizumab–folinic acid, 5-fluorouracil and irinotecan (FOLFIRI) combination after progression on a platinum/etoposide combination.

Different second-line chemotherapy combinations have been evaluated but shown poor results. In a monocentre retrospective clinical trial, Hentic et al hypothesised the potential efficacy of FOLFIRI as second-line chemotherapy in 19 patients with G3 extra-pulmonary NECs. An objective response rate was obtained in 31% of patients, with a disease control rate (DCR) of 62%. Median PFS and OS were 4 and 18 months, respectively.

In another retrospective study, a 71% DCR was obtained with temozolomide-based chemotherapy in 25 patients with metastatic poorly differentiated endocrine carcinoma of different sites and atypical bronchial carcinoid with Ki-67 > 20%. Small cell lung carcinoma and large cell lung carcinoma were excluded. A PFS of 12 months (95% CI 5.5 to 24) and OS of 22 months (95% CI 12 to 31) were reported in patients who responded to treatment or showed stable disease (SD), whereas OS was only 8 months (95% CI 0 to 8) in non-responders. The authors observed a higher response rate in patients with Ki-67 ≤60%. There were also more responders in the group with high uptake in somatostatin receptor scintigraphy and in those with positive staining for chromogranin A. Both factors are often associated with more highly differentiated tumours.

Literature data on lung NECs in progression after first-line chemotherapy are based on small patient series. Moreover, there is increasing evidence of some discrepancies in the current grading of NECs, highlighting the need for more accurate biomarkers. Recent research has shown that NECs may, in fact, comprise two distinct subgroups with different pathogenesis, that is, a highly proliferative group derived from well-differentiated NETs and characterised by mutations in MEN1, DAXX and ATRX, and a poorly differentiated group derived from neuroendocrine-differentiated adenocarcinomas and characterised by a mutation in RB1. Both subgroups display a distinct prognosis and different sensitivity to chemotherapy. Micro(mi)RNAs are a class of small, non-coding, highly conserved single-stranded RNAs involved in the post-transcriptional regulation of cell proliferation, differentiation, survival and apoptosis.

They are often associated with resistance to therapy. While miRNAs are known to show a specific expression pattern in NETs, little is known about differential miRNA profiles in patients with NEC. At present, no data are available on the deregulation of specific miRNAs in this setting.

In a study recently published by our group on patients with GEP-NEC undergoing first-line platinum-based chemotherapy, median PFS was 19.3 months and 6.3 months (p<0.01) in patients with Ki-67 value between 20% and 50% or >50%, respectively. Median (m)OS was 8.1 months in the latter group but was not reached in the former group (p=0.039). Patients with a positive 68Ga-PET/CT had a higher 18 month OS rate than those with a negative scan (75% vs 34.3%, respectively), but the difference was not statistically significant (p=0.06).

Our data highlighted that 18FDG-PET/CT positivity may be a discriminating factor in predicting prognosis, especially in the metastatic setting where histological material is not always available for evaluation. Furthermore, 18-fluoro-2-deoxyglucose (18FDG)-PET/CT may be useful to discriminate between patients with different prognosis. Given the above premises, we decided to investigate the efficacy and safety of second-line FOLFIRI or capecitabine plus temozolomide (CAPTEM) in patients with GEP and lung NECs in progression after first-line platinum-based treatment. We also aimed to study the serum miRNA profile in relation to the primary tumour status of MEN1, DAXX, ATRX and RB-1, patient prognosis and response to therapy, and to assess the prognostic and predictive role of 18FDG-PET/CT, 68Ga-PET/CT and Ki-67 score.

METHODS AND ANALYSIS

Study design

The SEcond-line therapy in NEuroendocrine Carcinomas (SENECA) study is a multicentre randomised
non-comparative phase II study (figure 1). Patients with metastatic NECs of different origin (lung or GEP) in progression after first-line treatment are randomised to receive FOLFIRI every 14 days for a maximum of 12 cycles or until progression or unacceptable toxicity, or CAPTEM every 28 days for a maximum of 6 cycles or until progression or unacceptable toxicity.

The treatment arms are as follows:

**FOLFIRI regimen**
- Irinotecan 180 mg/m², given as a 60 min intravenous infusion on day 1 every 2 weeks followed by
- Leucovorin 200 mg/m², given as a 2 hours intravenous infusion on day 1 every 2 weeks followed by
- 5-fluorouracil (5-FU) 400 mg/m² given as bolus, and then 5-FU 2400 mg/m² given as a 48 hours continuous infusion on day 1, every 2 weeks, until progression or for a maximum of 12 cycles.

**CAPTEM regimen**
Capecitabine 750 mg/m² two times a day on days 1–14 in combination with temozolomide 200 mg/m² daily on days 10–14, every 4 weeks, until progression or for a maximum of 6 cycles.

The study includes patients aged ≥18 years with a histological diagnosis of G3 NEC (GEP-NEC and lung NEC) according to the 2010 and 2015 GEP and lung NEN WHO classifications, respectively, Ki-67 >20% and measurable disease according to Response Evaluation Criteria In Solid Tumors (RECIST) 1.1 criteria. All patients must have an Eastern Cooperative Oncology Group performance status ≤2 with a life expectancy >3 months and must have already undergone first-line treatment for metastatic disease with platinum-based chemotherapy (cisplatin/carboplatin and etoposide, FOLFOX4 or CAPOX). Adequate haematological, liver and renal function is required and effective contraceptive methods must be used by female patients of childbearing age. Written informed consent is obtained from all patients to take part in the study. Exclusion criteria are as follows: metastatic NECs previously treated with an irinotecan or temozolomide regimen, known hypersensitivity to 5-FU/capecitabine, calcium levofoolate, irinotecan or their recipients. All acute toxic effects of any prior therapy (including surgery, radiation therapy and chemotherapy) must have resolved to grade ≤1 according to National Cancer Institute Common Terminology Criteria for Adverse Events version 4.03 (CTCAE). Patients taking part in another clinical trial with any investigational agent <30 days prior to study screening or with a history of allergic reactions attributable to compounds of similar chemical or biological composition are excluded. Patients who have undergone chemotherapy or radiotherapy <4 weeks (6 weeks for nitrosoureas or mitomycin C) prior to entering the study have not recovered from adverse events caused by agents administered >4 weeks earlier, or have known brain metastases are not eligible for the study. Patients with other malignancies with a disease-free interval of <5 years (with the exception of non-melanoma skin cancer or low-grade superficial bladder cancer) are excluded, as are those with any severe and/or uncontrolled medical condition or other condition that could affect their participation in the study such as:
- Unstable angina pectoris, symptomatic congestive heart failure, myocardial infarction <6 months before the start of the study, serious uncontrolled cardiac arrhythmia or any other clinically significant cardiac disease.
- Severely impaired lung function (spirometry and diffusing capacity of the Lung for carbon monoxide (DLCO) 50% of the normal predicted value and/or oxygen saturation ≤88% at rest, in room air).
- Uncontrolled diabetes as defined by fasting serum glucose >1.5 × upper limit of normal.
- Any active (acute or chronic) or uncontrolled infections/disorders.

Tumour evaluation by anatomic imaging (multiphase CT and/or MRI) includes chest, abdomen, pelvis and any additional known sites of disease. These tests are performed at baseline, every 3 months during treatment as per national regulatory agency indications, and after the end of treatment in non-progressing patients until progression. It is recommended that ⁶⁸Ga-PET/CT and ¹⁸FDG-PET/CT scans be performed at baseline or a maximum of 90 days before study enrolment. An European Organization for the Research and Treatment of Cancer Quality of Life Questionnaire (EORTC QLQ-C30) is administered at baseline and every 3 months thereafter during the treatment period.

**Study endpoints**
The primary endpoint of the study is the DCR of each treatment, defined as the percentage of patients who have achieved complete or partial response or SD for ≥12 weeks from the start of therapy. DCR will be evaluated using the new international criteria proposed by the RECIST version 1.1. Acute and late toxicity will be assessed by CTCAE version 4.03, the latter defined as toxicity occurring at least 30 days after the end of the last treatment cycle. Secondary endpoints are OS, calculated from the start of treatment to death from any cause, and PFS, calculated from the start of treatment to the date
of the first documented evidence of disease progression or of death from any cause. All the analyses will be performed in the intention-to-treat population. Patients without events at the time of analysis will be censored at their last-known-alive date for OS and at their last date of tumour evaluation for PFS. A further secondary endpoint is the evaluation of quality of life using the European Organization for the Research and Treatment of Cancer Quality of Life Questionnaire (EORTC QLQ-C30). When data are available, the impact of baseline $^{68}$Ga-PET/CT and $^{18}$FDG-PET/CT on PFS will be analysed with exploratory intent. After signing the informed consent for biomarker assessment, patients will undergo evaluation of the mutation status of MEN1, DAXX, ATRX and RB-1 in primary tumour tissue and of miRNA in blood samples. Assessment of the miRNA profile will be performed on the first 20 patients who agree to participate in the biological part of the study.

Ethical considerations
The present clinical trial, supported by Istituto Scientifico Romagnolo per lo Studio e la Cura dei Tumori (IRST), was authorised by the local Ethics Committee and by the Italian Medicines Agency (AIFA). The request for EudraCT registration (mandatory for studies in Europe) was sent to AIFA in December 2016 and we received a EudraCT number (EudraCT 2016-000767-17). However, technical problems at AIFA resulted in some clinical trials, including ours, being uploaded onto the EudraCT website after enrolment of the first patients.

The study is also registered on the ClinicalTrials.gov website (NCT03387592). The study complies with the ethical standards laid down in the 1964 Declaration of Helsinki and the principles of Good Clinical Practice guidelines (including written informed consent).

Patient and public involvement
This research work was performed without patient involvement in the study design, execution or outcome measures.

Statistical methods
The Bryant and Day design is used to estimate a sample size that takes into account both treatment activity and toxicity. Although randomisation is used to allocate patients to the two arms, no formal statistical comparisons between treatment regimens are planned. The purpose of randomisation is to reduce bias due to patient assignment to a specific treatment arm. The hypothesis for the control arm is based on literature data.

An $\alpha$ level of 0.10 (both for toxicity and DCR) and a power of 90% have been adopted. A DCR rate $\geq 60\%$ and a relevant toxicity rate $\leq 20\%$ are considered acceptable rates, while a DCR rate $\leq 40\%$ and a relevant toxicity rate $\geq 40\%$ are considered unacceptable rates. Given these hypotheses, the first step of the study will require 25 patients. If $\geq 10$ patients with a DCR are observed and $\geq 15$ patients do not have significant toxicity, the study will enrol patients in the next step. A total of 53 patients will be enrolled. If $\geq 25$ patients with DCR and $\geq 36$ patients without any relevant toxicity are observed, treatment will be considered active and not toxic. This design is used for each treatment scheme and all analyses will be performed separately. If one of the schemes does not obtain the expected proportions of the first step, the arm will be closed and patients will be enrolled in the other arm until the target is reached; if the expected proportions are not reached in any arm, the study will be prematurely closed. If no premature stop occurs, a total of 106 evaluable patients are needed (53 patients in each arm). Taking into account a 5% dropout rate, 56 patients must be enrolled in each arm (total 112 patients). G3-4 gastrointestinal toxicity, G4 thrombocytopenia, prolonged G3-G4 neutropenia (>7 days) and drug-related hospitalisations are considered relevant toxicity. The stratification factors of this study are Ki-67 (21%–55% vs >55%) and site of primary tumour (lung vs GEP). A subgroup analysis of the efficacy of both treatments according to these stratification factors has been planned.

Complete response, partial response or SD for at least 12 weeks will be considered as the DCR. The proportion of patients in this category will be determined and 95% CIs for the DCR will be calculated. OS and PFS will be estimated using the Kaplan-Meier method (two-sided 95% CIs). Appropriate statistical analyses will be performed on the basis of the data available to compare QLQ-C30 scores between baseline and subsequent follow-up visits.

When data are available, the impact of $^{68}$Ga-PET/CT result on PFS will be analysed with exploratory intent. The Shapiro-Wilk test will be used to determine the normality distribution of each clinical, demographic and biological biomarker. In the event of a non-normal distribution, non-parametric statistics will be used to analyse the relationship between the serum levels of each marker, considered as continuous variables, and response to treatment. In the event of normal biomarker distribution, a parametric test will be used. All endpoints will be analysed separately for each treatment group.

DISCUSSION
There is still no truly effective second-line chemotherapy for NEC. Overall prognosis of patients is poor, with an OS of 5 months in the metastatic setting according to Surveillance, Epidemiology, and End Results data. Only 5% of all patients are long-term survivors. There is also a marked lack of prognostic and predictive factors.

Three phase II studies registered at ClinicalTrials.gov are currently investigating second-line treatment of GEP-NECs: the first focusing on FOLFIRI and bevacizumab (NCT02820857), the second on everolimus (NCT02113800) and the third on avelumab (NCT03147404). Some abstracts were presented at European Society for Medical Oncology 2018 and American Society of Clinical Oncology (ASCO) 2019 on the use of immunotherapy in GEP-NECs, all showing inconclusive
results. The SENECA study uses a promising approach to the treatment of patients with metastatic NECs. First, both the activity and safety of two regimens are assessed in the same setting with a sizeable patient population (56 patients/arm). In addition, patients are stratified according to Ki-67 index and morphology to investigate the role of each treatment combination in both poorly differentiated and well-differentiated NECs. Another aim of this study is to integrate both biological and metabolic imaging data in an effort to improve the current GEP-NEC classification.

The duration of treatments in the metastatic setting is a dilemma in NENs and especially in NECs. Given the lack of evidence-based recommendations on treatment duration of second-line chemotherapy in NECs, we decided to opt for a fixed duration of treatments to avoid unnecessary exposure to cytotoxic agents and consequent bone marrow reserve depletion.26

In conclusion, there are still no Food and Drug Administration/European Medicines Agency-approved second-line therapeutic options for patients with metastatic NECs, and the SENECA trial could represent a step forward in finding novel therapies to prolong survival and maintain quality of life. Moreover, the integration of biological and imaging data could lead to a better understanding of the natural history of the disease and help to identify potential responders.

Confidentiality
This study will be conducted in full conformity with The International Council for Harmonisation (ICH) of Technical Requirements for Pharmaceuticals for Human Use Guidelines for Good Clinical Practice, Directive 2001/20/EEC of the European Parliament and other relevant current local legislation. Participants will be allocated a unique identification (ID) number at entry. The master list linking participant personal information and ID number will be maintained in a separate locked cabinet and password-protected hard drive. Data will be analysed by ID number only. Patient files and other source data will be kept a maximum of 15 years.

Ethics and dissemination
The SENECA trial, supported by IRST, involves several Italian centres and was authorised by the local Ethics Committees of the centres taking part and by the Italian Medicines Agency (AIFA) (see list of all centres in the online supplementary table 1). After completing the study, all data, including beneficial and adverse events, of the trial will be communicated at scientific meetings and published in indexed peer-reviewed journals. If shown to be effective, the therapy programme will be made available to the general public in an appropriate manner.

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Contributors
AB, CL, and TI designed the study and drafted the article. AB was responsible for data acquisition. SP, SL, GDM, SS and ST provided methodological support and designed a clinical information data extraction method for the protocol. FF performed the statistical analysis. DS, FG, FP, RB, IL, FB and SR revised the paper for important intellectual content. All authors read and approved the present version of the manuscript for submission.

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