

## Article

# A Different Approach to Develop a District Heating Grid Based on the Optimization of Building Clusters

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**Abstract:** Currently, reducing energy consumption and fossil fuel emissions are key factors placed in the first position on the European agenda. District heating technology is an attractive solution, able to satisfy the energy and environmental goals of policymakers and designers. In line with this, a different approach to planning a district heating grid based on the optimization of building clusters is presented. The case study is Wilhelmsburg, a district of Hamburg city. This approach also investigates the usage of industrial waste heat as the grid's heat source, which is CO<sub>2</sub>-neutral. First, the data acquisition regarding the buildings' location and heat demand are described in detail. Based on the derived data and the source of the industrial waste heat, the district heating grid is created by clustering the buildings and connecting the obtained nodes. Furthermore, the grid's efficiency is improved by eliminating nodes, which are too distant from the heat source, or have lower heat demand. Finally, a single building is simulated in Matlab/Simulink, showing the energy-savings and ecological results. The usage of the district heating grid saves 97.32 GWh annually, which results in financial savings of €5.83 million, and avoided CO<sub>2</sub> emissions of 19,585 tCO<sub>2</sub>.

**Keywords:** district heating; industrial waste heat; optimization; building clustering; GIS



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## 1. Introduction

The building sector is one of the largest energy consumers in Europe today, therefore the identification of appropriate measures to reach the European reduction target is a current challenge for policymakers and designers [1]. As a result, many interventions and measures are now forecasted using planning tools and methods [2,3]. Local energy planning has therefore gained popularity in recent years, as geographic information system (GIS) applications spread and computing power has increased [4,5]. Recently, there have been numerous strategies proposed for conserving energy in the building sector, including thermal insulation, double and triple glazing, solar shadings [6,7], the efficient usage of HVAC equipment [8], hybrid energy system [9] and using renewable energy sources [10,11]. As well as these technologies, district heating systems are another viable solution for improving energy efficiency [12] and sustainable assessment [13] in buildings. Consequently, this technology is ideal for urban areas with high thermal demand densities. Heat sources, users, and distribution networks constitute the general components of DHSs. The complexity of a DHS depends on various factors [14,15]. Starting from the beginning of this technology, it was not easy to obtain proper and coherent results in line with the real problems of a district network [14].

Many advantages of this energy system are well-known, starting from the renewable energy source inclusion [16] to positive environmental impacts, also for improving the outdoor comfort for citizens [17,18]. Due to its relevant benefits, different works were developed during the last years to improve its capabilities, such as optimizing the operation of the thermal plants [19] and the supply temperature [20] or the settings of the pumping

system [21]. Those approaches are often focused on specific components or issue of the DH, missing a global approach to plan the entire network. Therefore, there is still an urgent call to develop and investigate affordable approach to design the district heating in different urban areas [22–24]. The two most famous planning methods available in literature are the Danish method [25] and the German method [26]. In both methods, smaller branches are merged into bigger branches and the heat loads of the removed branches are incorporated into the preserved branches. Among this, Guelpa et al. [27] proposed a method to increase the performance of district heating minimizing the thermal peaks. A clustering approach is also involved to optimize the pipe grid. Results demonstrate an average reduction of the thermal peak load up to 14% thanks to this optimization. Another work [28] is focused on optimizing the multi-source energy plant sizing using different climatic scenarios, using Matlab for modelling the environment under study. As well, Widen and Aberg [29] developed a fixed model structure (FMS) that requires only general information about DH systems for cost-optimization studies. The results demonstrate the usefulness of the FMS for DH system optimisation studies, and that increased building energy efficiency leads to a reduction in fossil fuel and biomass consumption.

A few papers have been published on multi-source production plants or distribution hubs and how to expand or redesign a distribution hub to connect new consumers to it. Among this, biomass-based district-heating networks, which represents the basis of Italian industry's successful production, are designed using a system optimisation approach [30]. Instead, Burer et al. [31] proposed an optimized district heating and cooling plant providing heat and power to a small number of residential buildings, to minimize cost and CO<sub>2</sub> emissions. According to Corrado et al. [32], heat pumps, wood boilers, condensing boilers, and solar energy (thermal and photovoltaic) can be combined to generate energy. Cogeneration with solar energy and wind energy was combined by Sontag et al. [33]. An interesting study developed by Trillat et al. [34], integrated CHP with an absorption chiller and desiccant cooling; while Lee et al. [35] studied the integration of solar water heating, solar photovoltaic, ground source heat pumps, electric chillers, and gas boilers into an integrated renewable energy system.

In this framework, this study aims to fulfill this field of research proposing a different approach to connect existing district heating with new one, optimizing the building clustering. In fact, few papers explore the issue of expanding or redesigning a DHS to connect new consumers to multi-source production plants. The developed method is applied to a district of Hamburg, named Wilhelmsburg. Industrial waste heat is used as heat source, which is CO<sub>2</sub>-neutral. Based on the data acquisition, the district heating grid is created by clustering the buildings and connecting the obtained nodes, also using GIS technology. The grid of the network is improved, cleaning and reducing the branches and nodes wherein the heat demand is not so relevant. To show the advantages of this approach, a single building is simulated with Matlab/Simulink. Results underlined the financial and ecological benefits not only for the building case study, but for the entire district heating network.

## 2. Materials and Methods

### 2.1. Building Location and Heat Demand Data

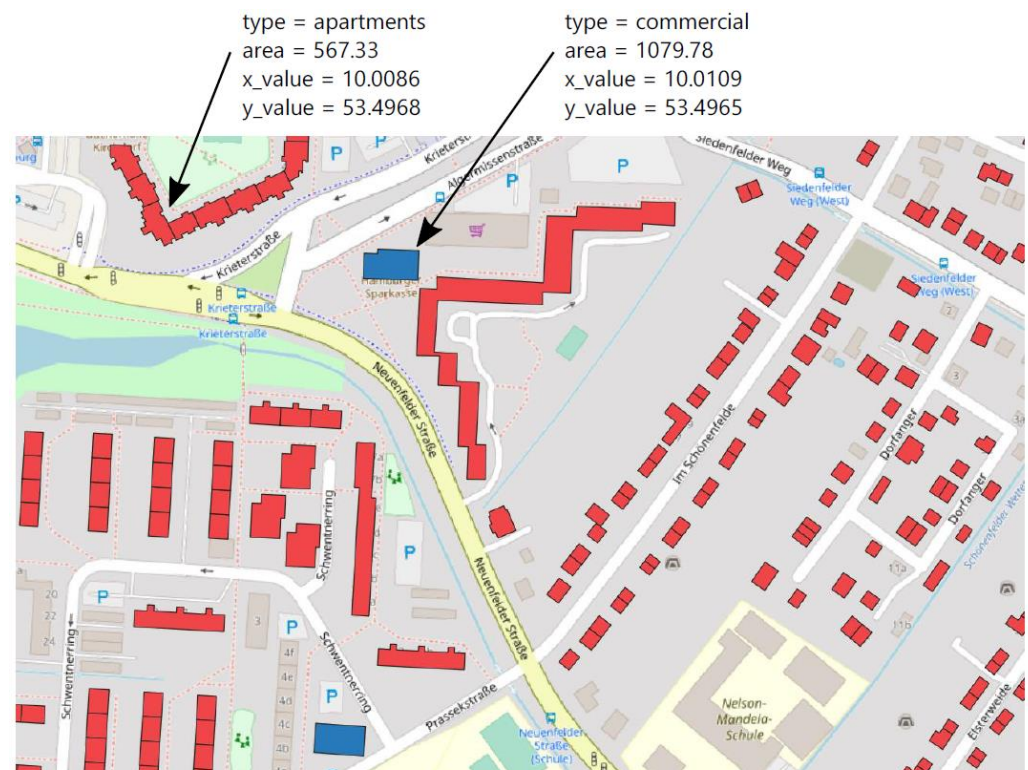
Firstly, the data acquisition and allocation regarding the buildings' location and heat demand are presented in detail. It is necessary to acquire detailed information on the buildings' location, area, and heat demand in the district, to model a realistic heating grid. The averaged and assumed building properties are not sufficient, since the location and heat demand determine the characteristics of the heating grid. Furthermore, yearly averaged heat demand data cannot take the essential seasonal differences in the heating behavior into account. The buildings' location and area are acquired from the open-source project OpenStreetMap [36], where a polygon spanning the district, or an arbitrary area can be selected and exported. The data file contains information on buildings, land use, natural objects, places, points, railways, roads, and waterways in the selected area. The land use highlights the usage of the area within the polygon. This land use is of varying

types, e.g., residential, commercial, but also grass or farmland. For this project, only the buildings and the land use are considered. The data is imported into the software QGIS, where the geoinformation is stored and viewed, and new variables are calculated.

Table 1 shows exemplary fictional building data, here for a house and a commercial building. Each building has a unique ID, a type, an area, and a longitudinal and latitudinal coordinate. Here, the variable  $x\_value$  denotes the longitude of the building's center, and  $y\_value$  the latitude. In Wilhelmsburg, the longitude ranges from  $x_{min} = 9.9707^\circ$  to  $x_{max} = 10.0610^\circ$ , and the latitude ranges from  $y_{min} = 53.4615^\circ$  to  $y_{max} = 53.5239^\circ$ . The type of a building is often not declared, and hence the land use is considered. For that, the buildings' information is intersected with the land use data and hence each building is assigned its land use type, which is used whenever the building's type is unknown. Subsequently, the types are matched to their corresponding categories, i.e., the category accommodation contains, amongst others, the types of houses, apartments, and residential. Thereby, the buildings can be summarized more broadly. Figure 1 shows real exemplary building data from Wilhelmsburg. Here, accommodational buildings are indicated in red and commercial buildings in blue. The extracted data contains the information on 6.129 buildings in Wilhelmsburg. In this manuscript, only accommodation and commercial buildings are considered, because the other categories, such as civic or religious, are miscellaneous and can hence not be easily considered regarding their heat demand.

**Table 1.** Exemplary, fictional building data extracted in QGIS.

Id [-]	Type [-]	Area [m <sup>2</sup> ]	X_Value [°]	Y_Value [°]
1	house	100.123	10.0100	53.4900
2	commercial	200.456	10.0200	53.5100



**Figure 1.** Exemplary building data for an apartment (red) and a commercial (blue) building.

The heat demand for the accommodational and commercial buildings is obtained from the Wärmekataster Hamburg (heat registry Hamburg) [37]. The registry provides the heat demand and geoinformation of accommodational and commercial buildings in Hamburg.

For data security reasons, the buildings are allocated in clusters of minimum 5 buildings. Hence, the heat demand cannot be matched to a single, unique building, though applying the same intersection as used for the land use matches the heat demand to each building. Some buildings are not listed in the registry and are thus outside any cluster, so the broader block of buildings is used. The available information contains:

- The specific annual heat demand for accommodational buildings in the cluster [kWh/(y m<sup>2</sup>)]
- The absolute annual heat demand for all buildings in the cluster [kWh/y]
- The area of accommodational buildings in the cluster [m<sup>2</sup>]
- The area of commercial buildings in the cluster [m<sup>2</sup>]

To calculate the absolute heat demand of each building, the specific heat demand for accommodational and commercial buildings is needed. The specific heat demand for accommodational buildings  $q_{spec,acc}$  is already listed in the registry's data, whereas the specific heat for commercial buildings  $q_{spec,com}$  can be calculated using  $q_{spec,acc}$ , the total absolute heat demand  $q_{abs,tot}$ , the area of accommodational buildings  $A_{acc}$  and the area of commercial buildings  $A_{com}$ :

$$q_{abs,tot} = q_{spec,acc}A_{acc} + q_{spec,com}A_{com} \rightarrow q_{spec,com} = \frac{1}{A_{com}}(q_{abs,tot} - q_{spec,acc}A_{acc}) \quad (1)$$

If the specific heat demand cannot be retrieved for a building, the heat demand of the building from the same category with the closest area is used. Since the information on the heat demand is only available for the building categories accommodation and commercial, the number of buildings is reduced to those buildings that can be unambiguously matched to these categories. Furthermore, outliers are ignored by allowing only those buildings with an area smaller than 3000 m<sup>2</sup> and a specific annual heat demand lower than 250 kWh/(a m<sup>2</sup>). Thereby, the number of considered buildings is reduced to 4884 buildings, which will be considered in the district heating grid.

So far, only the information on the annual heat demand is available, but for a detailed analysis and the subsequent dimensioning of the heating grid, a more detailed allocation is desired. The BDEW [38] propose generic heat load profiles as a function of the ambient temperature  $\vartheta = T_{amb}$ . Each building type is matched to a sigmoid equation  $h = f(\vartheta)$  with the building type specific parameters  $A$ ,  $B$ ,  $C$ ,  $D$  and  $\vartheta_0$ :

$$h = \frac{A}{1 + \left(\frac{B}{\vartheta - \vartheta_0}\right)^C} + D \quad (2)$$

The parameters for the building types of single-family household, multi-family household, commercial, and industrial are listed in Table 2, whose evolution over the ambient temperature are presented in Figure 2. Note that the accommodational buildings have a flatter curve than the commercial buildings and are hence not as sensitive to a change in temperature. It is assumed that the h-values of accommodational buildings do not depend on the day of the week, whereas the commercial buildings have a higher heat demand on weekdays and a lower demand on weekends. The correction factors  $F_{WD}$  for the h-values of commercial buildings are listed in Table 3. Since the h-values of accommodational buildings are independent of the day,  $F_{WD} = 1$  is set for each day and building type [38].

**Table 2.** Parameters for sigmoid equations for different building types [38].

Building Type	A	B	C	D	$\vartheta_0$
Single-family household	3.0722215	−37.1842844	5.6975234	0.0904188	40
Multi-family household	2.4207684	−34.7277917	5.7668252	0.1082275	40
Commercial	3.5811214	−36.9650065	7.2256947	0.0448416	40
Industrial	3.7882424	−34.8806130	6.5951899	0.0540329	40

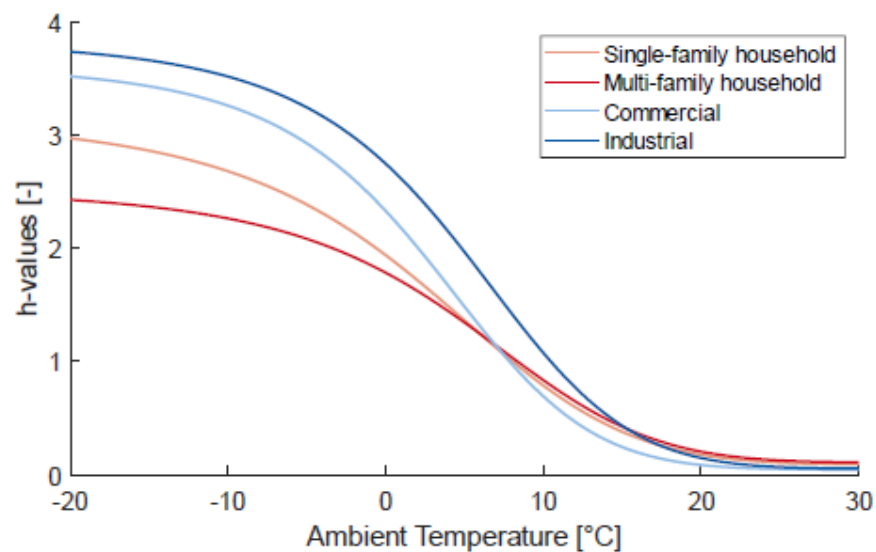


Figure 2. Sigmoid equations for different building types over ambient temperature.

Table 3. Daily correction factors  $F_{WD}$  for h-values of commercial buildings [38].

Building Type	$F_{Mon}$	$F_{Tue}$	$F_{Wed}$	$F_{Thu}$	$F_{Fri}$	$F_{Sat}$	$F_{Sun}$
Commercial	1.0358	1.0232	1.0252	1.0295	1.0253	0.9675	0.8935
Industrial	1.0699	1.0365	0.9933	0.9948	1.0659	0.9362	0.9034

The ambient temperature data  $T_{amb}$  for the year 2019 is obtained from the DWD (German Meteorological Service), which provides the data in an hourly resolution [39]. Hence, the hourly heat demand  $q_{hourly}$  can be calculated for each of the 8760 h of the year:

$$q_{hourly}(t) = \alpha h(\vartheta(t)) F_{WD}(t) \quad (3)$$

The multiplication factor  $\alpha$  is different for each building and is calculated with the annual heat demand  $q_{annual}$ , derived from the heat registry Hamburg:

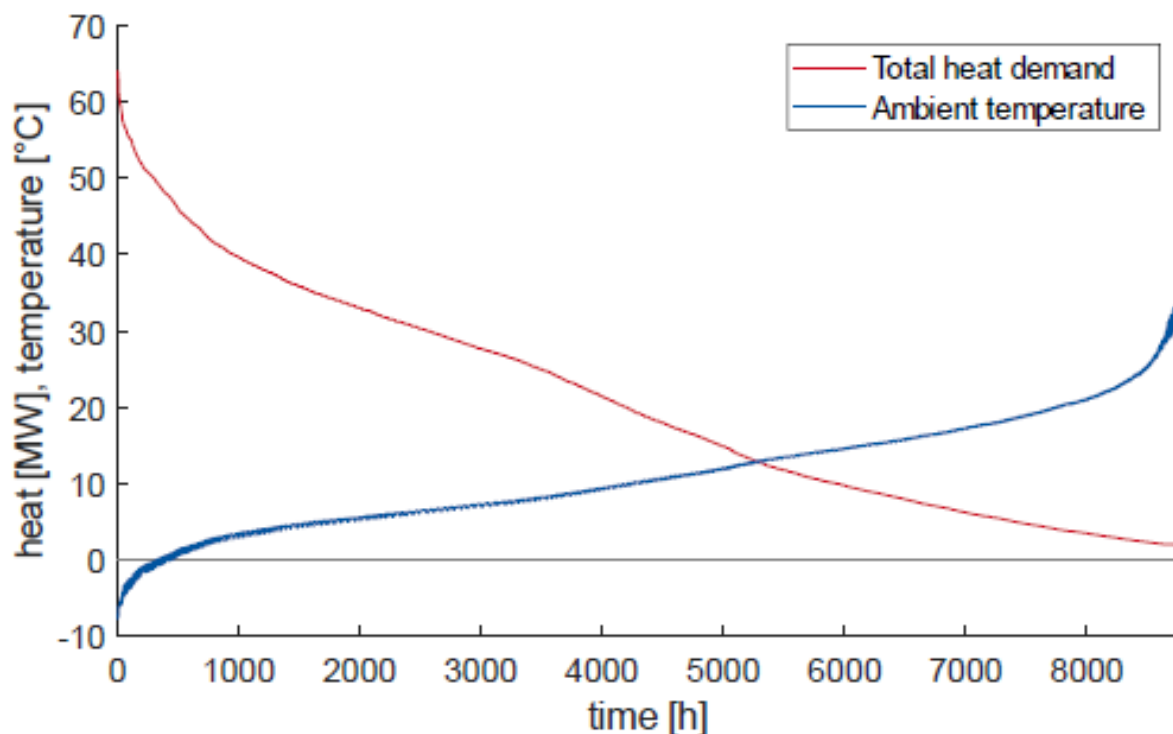
$$q_{annual} = \sum_{t=1}^{8760h} q_{hourly}(t) = \sum_{t=1}^{8760h} \alpha h(\vartheta(t)) F_{WD}(t) \quad (4)$$

Figure 3 shows the load duration curve for total heat demand in all considered buildings in Wilhelmsburg. The peak load is 64 MW, which corresponds to an ambient temperature of  $-7.8^{\circ}\text{C}$ . The higher the ambient temperature, the lower is the heat demand, whose minimum value is 1.9 MW. Here, the ambient temperature is  $35.5^{\circ}\text{C}$ . In conclusion, utilizing the building data from OpenStreetMaps, the heat data from the heat registry Hamburg [36], the sigmoid equations from the BDEW [26] and the temperature data from the DWD [37], the hourly heat demand for each of the 4884 considered buildings in Wilhelmsburg is calculated.

## 2.2. Building Clustering and Grid Improvement

Based on the derived data and the source of the industrial waste heat, the district heating grid is created by clustering the buildings and connecting the obtained allocated nodes. Furthermore, the grid's efficiency is improved by eliminating nodes, which are too distant from the heat source or have too little heat demand.





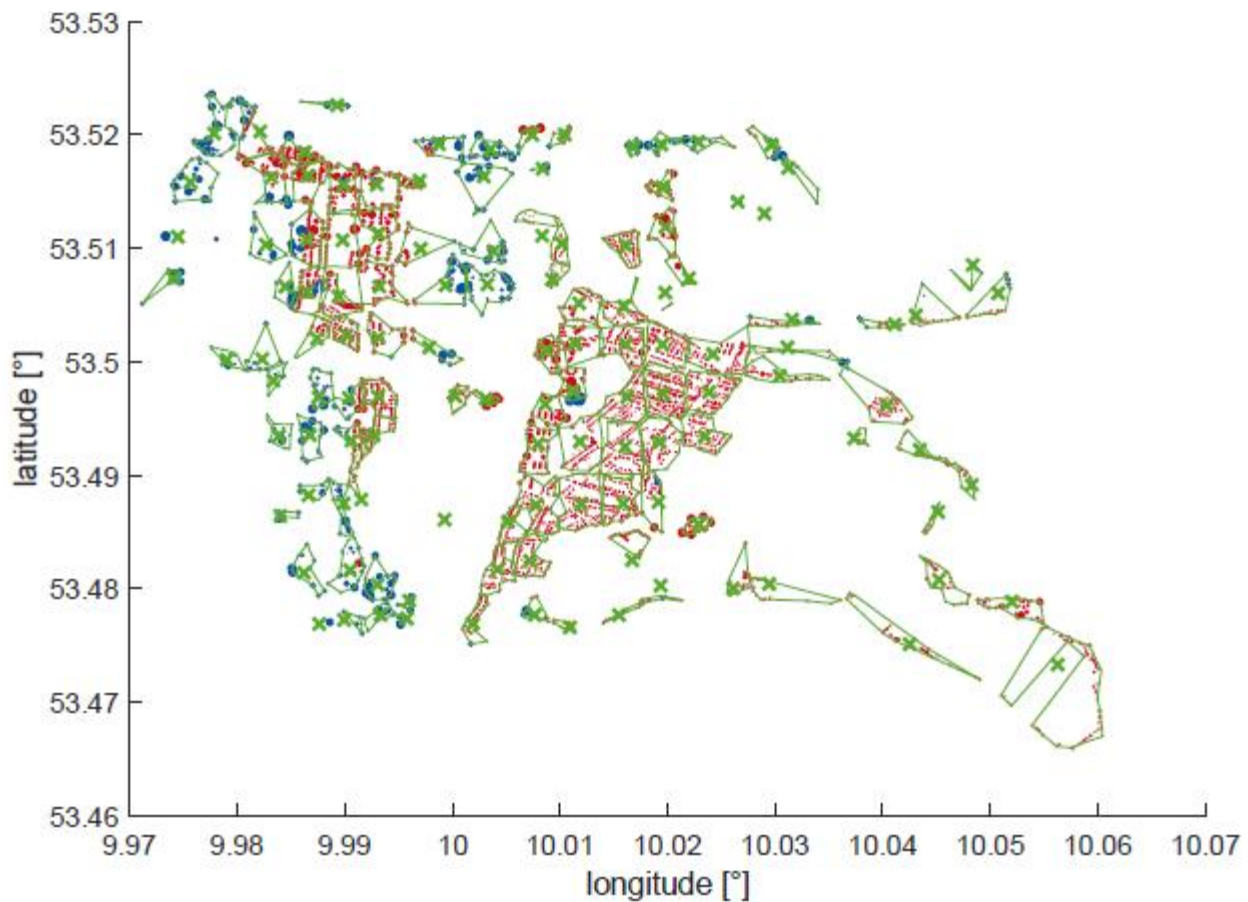
**Figure 3.** Heat load duration curve for total heat demand, and corresponding ambient temperature.

To reduce the computational load, the buildings are allocated into clusters, which then represent the buildings' heat demand. The general idea is to create 15 bins in x-direction and 10 bins in y-direction, resulting to 150 clusters, which are equidistantly distributed. Subsequently, the size of the clusters is adjusted in order to level the heat demand between clusters, i.e., seeking an equal heat demand in each cluster. The clusters with no buildings within are dropped and not considered any further. Hence, the number of clusters is reduced from 150 to 123. Afterwards, the cluster centers are calculated as the heat weighted mean coordinates of the buildings, which are located within the cluster. The calculation is heat weighted, so that the center of the cluster is closer to the buildings with the highest heat demand. Subsequently, the buildings are allocated to the closest cluster center. Hence, the clusters lose their rectangular shape for a polygonal boundary, and buildings change clusters. The center coordinates are not reevaluated.

Figure 4 shows the final clusters with their cluster centers and boundaries. The size of the buildings' markers correlates with their heat demand, i.e., larger circles mean higher heat demands. The clusters vary in shape and size, and as desired the clusters in denser areas are smaller than the clusters in the outskirts. Each cluster with all its buildings and the center node is transformed into a graph object using the graph function in Matlab, which creates a connection between each pair of buildings. Subsequently, the minspantree function is applied to the graph to find the shortest connection of all buildings, starting from the center node. The length of the connections is saved as the internal pipe length of the cluster.

The connection of the cluster nodes depends on the root node, i.e., the location where the heat is supplied to the grid. In this model it is assumed that only one heat source is present. Most district heating grids are supplied by a heating plant or a combined heat and power (CHP) plant, which burn fossil fuels to generate heat—and in the case of CHP additionally power. The usage of fossil fuels emits CO<sub>2</sub>, and it must hence be critically discussed whether that can provide a more sustainable alternative for domestic gas boilers. Alternatively, biomass can be used to power the heating plant, which is then carbon-neutral, but the amount of bioenergy necessary to supply an entire district with heat exceeds any

feasible scale. On the other hand, the largest share of Hamburg's energy-intensive industry is located in the port and is hence close to Wilhelmsburg.



**Figure 4.** Clustering of accommodational (red) and commercial (blue) buildings. Centers (x) and boundaries of clusters in green.

As is common for heating systems, the base load provider is only designed for the base load and not the peak load, because otherwise the system would be oversized for the few moments in which the peak load is demanded. Hence, the 18 MW are an appropriate base load. Furthermore, it is assumed that the temperatures at the root node are constantly 90/60 °C, and the maximum supplied heat power  $\dot{Q}_{sup,max}$  is constant and amounts to 18 MW.

Analogous to the connections in each cluster, the general heating grid is derived by applying Matlab's `minspanntree` function to the nodes, transformed into a graph object. The 123 nodes are hence connected by 122 connections, because each node needs exactly one connection leading to it. The root node however does not have a connection, because the grid starts there. The initial heating grid is depicted in the upper plot in Figure 4. The nodes are numbered from 1 to 123, beginning in the bottom left corner and ending in the upper right corner. The root node is node 111. Note that the heating grid is finely branched, with long distances to the end nodes, e.g., node 1 in the bottom left corner or node 10 in the bottom right corner. Longer distances evidently result in higher heat losses, which lower the efficiency of the heating grid. It is hence desired to balance the delivered and lost heat. Consequently, the connectivity density  $r_{con,node}$  is calculated as the ratio between the supplied heat  $Q_{sup,node}$  and the distance to the node  $S_{node}$  [40]:

$$r_{con,node} = \frac{Q_{sup,node}}{S_{node}} = \frac{Q_{sup,node}}{2(S_{root,node} + S_{in,node})} \quad (5)$$

The distance to the node  $S_{node}$  is composed of the distance from the root node  $S_{root,node}$  and the distance of the grid within the cluster  $S_{in,node}$ , multiplied by 2 to account for the return flow. Hence, to discard the least efficient nodes, the nodes with the worst connectivity density are iteratively eliminated from the graph. Afterwards, the minspanntree is reevaluated for the new, smaller grid. Thereby, less heat is supplied, but on the other hand, the heat losses are simultaneously reduced. This elimination is continued until the supplied heat falls below 85% of the initially demanded heat.

### 2.3. Building Location and Heat Demand Data

In the next step, the properties of the heating grid, including especially the diameter of the pipes, are calculated. This determines the heat loss along the edges of the grid, as shown below. Consequently, the lost heat reduces the feed temperature and raises the return temperature. It is still assumed that the first node has the supplied feed and return temperatures of 90 °C and 60 °C.

The cumulated heat flow on each edge in hourly resolution  $\dot{Q}_{cum,edge}$ , i.e., the total heat flow on this edge which supplies all subsequent nodes, is the product of the mass flow on the edge  $\dot{m}_{edge}$ , the heat capacity of water  $c_w$ , and the difference of the feed and the return temperature on this edge  $T_{in,edge}$  and  $T_{out,edge}$ :

$$\dot{Q}_{cum,edge} = c_w \dot{m}_{edge} (T_{in,edge} - T_{out,edge}) \rightarrow \dot{m}_{edge} = \frac{\dot{Q}_{cum,edge}}{c_w (T_{in,edge} - T_{out,edge})} \quad (6)$$

It is assumed that the temperatures remain constant on each edge, and are equal to the temperatures of the previous node. Thus, for example, the two edges leading away from the root node have the same temperature as the root node.

The diameter of an edge  $D_{edge}$  is a function of the maximum mass flow on the edge  $\dot{m}_{edge,max}$ . With a fixed specific pressure loss of  $R = \Delta p / l = 300 \text{ Pa/m}$ , the flow coefficient  $\lambda$ , the density of water  $\rho_w$  and  $\dot{m}_{edge,max}$ ,  $D_{edge}$  is calculated as [40]:

$$D_{edge} = \sqrt[5]{\frac{8\lambda \dot{m}_{edge,max}^2}{\rho_w \pi^2 R}} \quad (7)$$

For high enough Reynold numbers,  $\lambda$  depends on  $D_{edge}$  and the roughness height  $k$ , which is set to 0.01 mm [28]:

$$\lambda = \frac{0.25}{\left[ \log_{10} \left( \frac{3.715 D_{edge}}{k} \right) \right]^2} \quad (8)$$

The two equations for  $D_{edge}$  and  $\lambda$  are iteratively repeated until the relative change in  $\lambda$  is  $\Delta \lambda_{rel} < 10^{-3}$ . The calculation converges after 4 iterations. With  $D_{edge}$ , the specific heat loss coefficient  $U_{edge}$  is calculated. The Planungshandbuch Fernwärme (planning manual district heating) [40] proposes empirical values for  $U_{edge}$ , which are then logarithmically approximated. The heat loss in feed direction  $\dot{Q}_{loss,in,edge}$  and in the return flow  $\dot{Q}_{loss,out,edge}$  are subsequently calculated with the edge length  $l_{edge}$  as:

$$\dot{Q}_{loss,in,edge} = l_{edge} U_{edge} (T_{in,edge} - T_{amb}) \quad (9)$$

$$\dot{Q}_{loss,out,edge} = l_{edge} U_{edge} (T_{out,edge} - T_{amb}) \quad (10)$$



The ambient temperature  $T_{amb}$  is the ground temperature in Wilhelmsburg for the year 2019 at a depth of 1 m [39]. Hence, the flow into a node or from a node loses heat, which can be translated in a lost temperature difference  $\Delta T_{in,edge}$  and  $\Delta T_{out,edge}$ :

$$\Delta T_{in,edge} = \frac{\dot{Q}_{loss,in,edge}}{\dot{m}_{edge} c_w} \quad (11)$$

$$\Delta T_{out,edge} = \frac{\dot{Q}_{loss,out,edge}}{\dot{m}_{edge} c_w} \quad (12)$$

As before, the edge corresponding to a node is the edge leading to the root node. The node temperatures  $T_{in,node}$  and  $T_{out,node}$  are then calculated as:

$$T_{in,node} = T_{in,prev} - \Delta T_{in,edge} \quad (13)$$

$$T_{out,node} = T_{out,prev} - \Delta T_{out,edge} \quad (14)$$

The index *prev* denotes here the previous node connected by the edge, which is assumed to have the same temperature as the edge.

As seen above, the heat loss determines the temperatures, which in return determine the heat loss. Thus, the calculation is started at the root node where the temperatures are set as a boundary, and subsequently the heat loss on the adjacent edges is calculated. The temperatures on the then adjacent nodes are calculated next. This procedure is continued until the end nodes. The problem with this procedure is that the physicality of the solution cannot be guaranteed because the feed and return flows are calculated separately. Hence, at the furthest nodes, the temperatures can change places. The reason for this behavior is that far nodes with relatively little heat demand have a higher heat loss than heat demand, which is not physical. Hence in this project, the nodes' heat demand is iteratively increased. The new cumulated heat demand  $\dot{Q}_{cum,node,new}$  is the sum of the previous heat demand  $\dot{Q}_{cum,node,prev}$  and the heat losses which occur in both flows until the node  $\dot{Q}_{loss,node}$ :

$$\dot{Q}_{cum,node,new} = \dot{Q}_{cum,node,prev} + \dot{Q}_{loss,node} \quad (15)$$

But a higher heat demand leads to a higher mass flow, which leads to a larger diameter, which itself leads to a higher heat loss coefficient. Hence, the heat losses themselves are higher as well. Thus, the recalculation of the heat demand is iteratively repeated until the change in heat demand is lower than 0.1%. The result, which converges after three iterations, can be seen in the bottom plot of Figure 5.

## 2.4. Numerical Model

This chapter described the heating circuit of one building as an example for the reader. The Simulink model is split into three parts: first the calculation of the necessary feed and return temperatures of the heating circuit, second the partial supply of heat by the district heating, and third the peak load boiler.

Figure 6 depicts the general structure of the heating in the building. The cold heating water temperature  $T_{return}$  is increased by the provided heat from the district heating  $\dot{Q}_{prov}$  to a medium temperature  $T_{DH}$ , which varies dependent on the demanded feed temperature  $T_{feed}$  and the provided heat. If the district heating can supply the entire heat demand, the boiler is not used and  $T_{DH} = T_{feed}$ . The peak load boiler then burns gas represented by the chemical power  $\dot{Q}_{gas}$  to supply the rest of the demanded heat  $\dot{Q}_{boiler}$ :

$$\dot{Q}_{boiler} = \dot{Q}_{demand} - \dot{Q}_{prov} \quad (16)$$

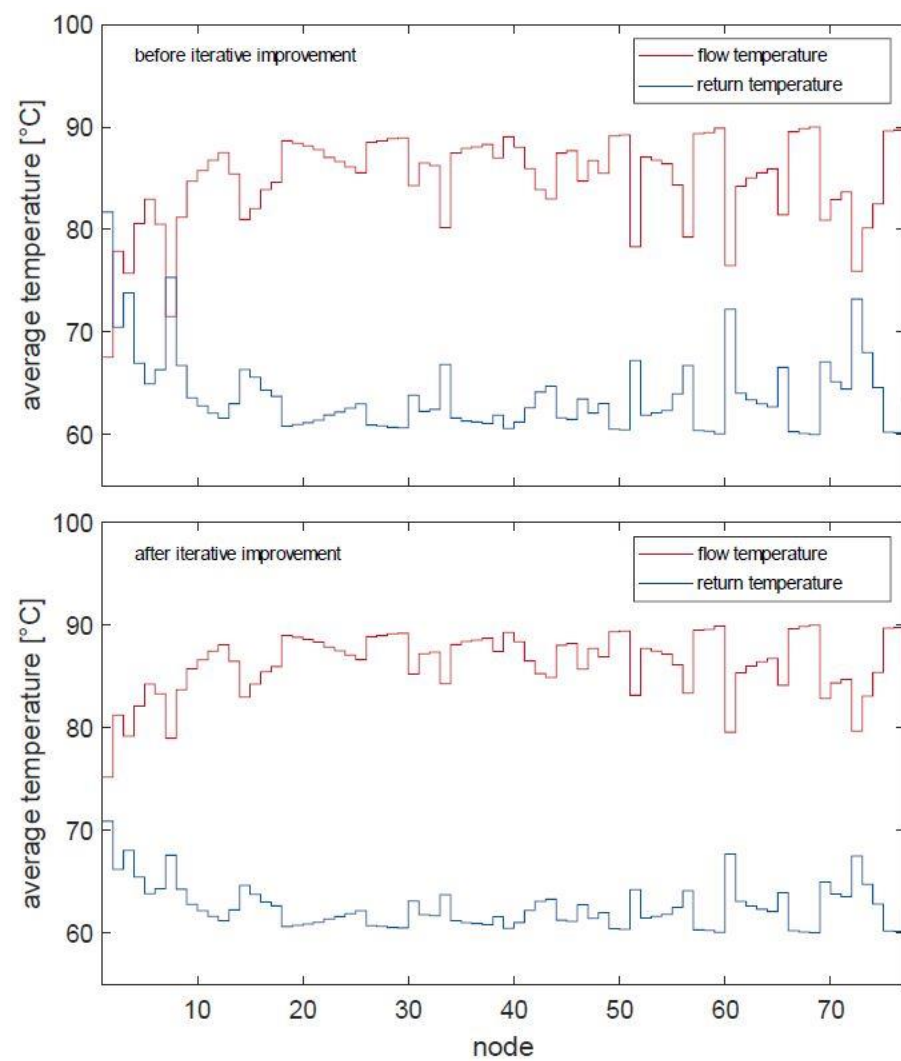


Figure 5. Mean feed and return temperature of nodes before and after iterative improvement.

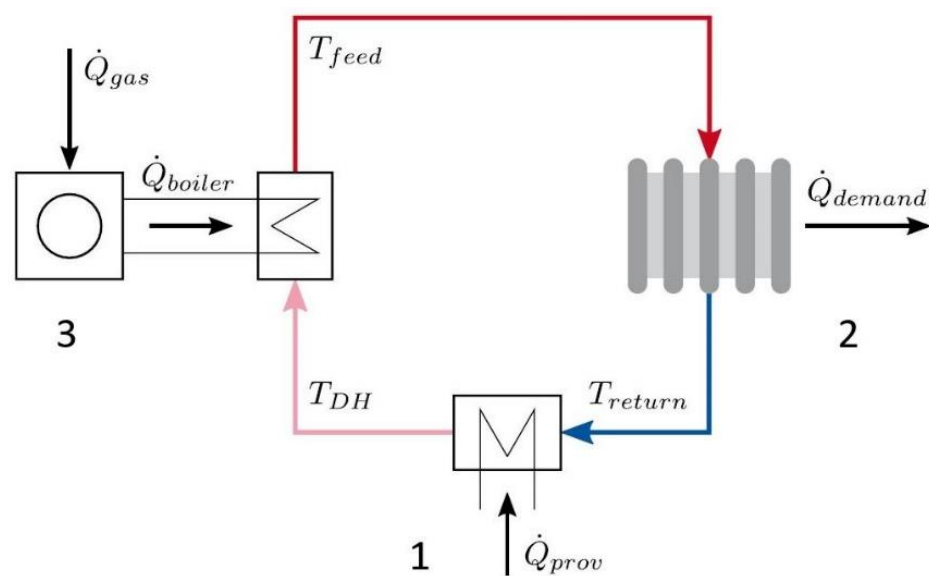


Figure 6. Schematic heating circuit with district heating and boiler.

First, the heating temperatures  $T_{feed}$  and  $T_{return}$  are calculated. Assuming a constant mass flow in the heating circuit  $\dot{m}_{w,heat}$ , the load ratio  $m_{demand}$  between current demand  $\dot{Q}_{demand}$  and nominal, i.e., maximum possible demand  $\dot{Q}_{demand,0}$  is:

$$m_{demand} = \frac{\dot{Q}_{demand}}{\dot{Q}_{demand,0}} = \frac{\dot{m}_{w,heat} c_w (T_{feed} - T_{return})}{\dot{m}_{w,heat} c_w (T_{feed} - T_{return})_0} = \frac{(T_{feed} - T_{return})}{(T_{feed} - T_{return})_0} \quad (17)$$

The nominal temperatures are  $T_{feed,0} = 70$  °C and  $T_{return,0} = 55$  °C. Derived from the thermodynamic characteristics of radiators, the mean water temperature  $T_w = 1/2(T_{feed} + T_{return})$  is [41]:

$$T_w = T_l + (T_w - T_l)_0 m_{demand}^{1/n} \quad (18)$$

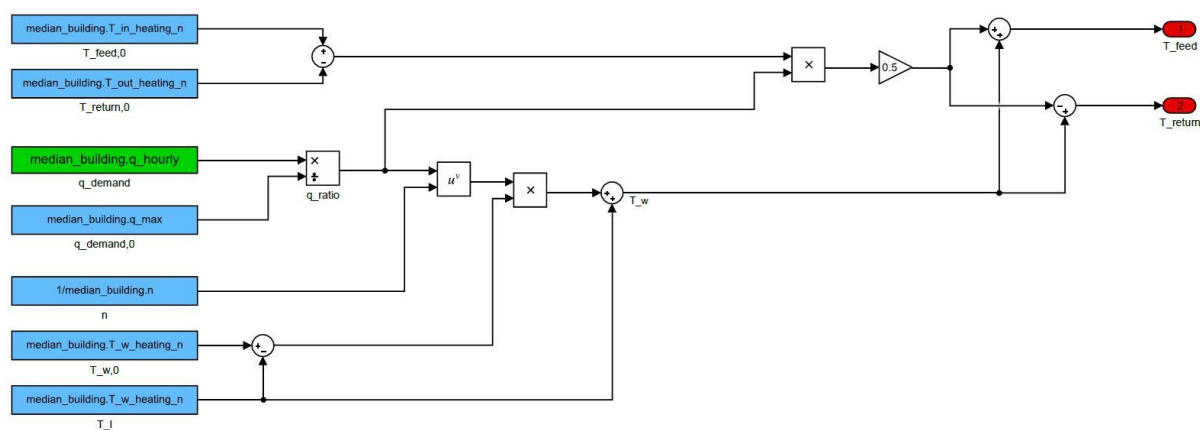
with the room temperature  $T_l = 20$  °C and the exponent  $n = 1.3$ .  $T_{feed}$  and  $T_{return}$  are then calculated as [41]:

$$T_{feed} = T_w + 1/2(T_{feed} + T_{return})_0 m_{demand} \quad (19)$$

$$T_{return} = T_w - 1/2(T_{feed} + T_{return})_0 m_{demand} \quad (20)$$

Note that the temperature difference increases for higher loads. Figure 7 shows the Simulink subsystem to calculate the feed and return temperatures. Most of the inputs are constants, whereas  $\dot{Q}_{demand}$  is variable and hence passed to the model as a timeseries object through a “From Workspace” block. Subsequently, the provided heat  $\dot{Q}_{prov}$  is translated into a temperature difference  $\Delta T_{DH}$  in the heating circuit with the  $\dot{m}_{w,heat}$  and  $c_w$  (21):

$$\Delta T_{DH} = \frac{\dot{Q}_{prov}}{\dot{m}_{w,heat} c_w} \quad (21)$$

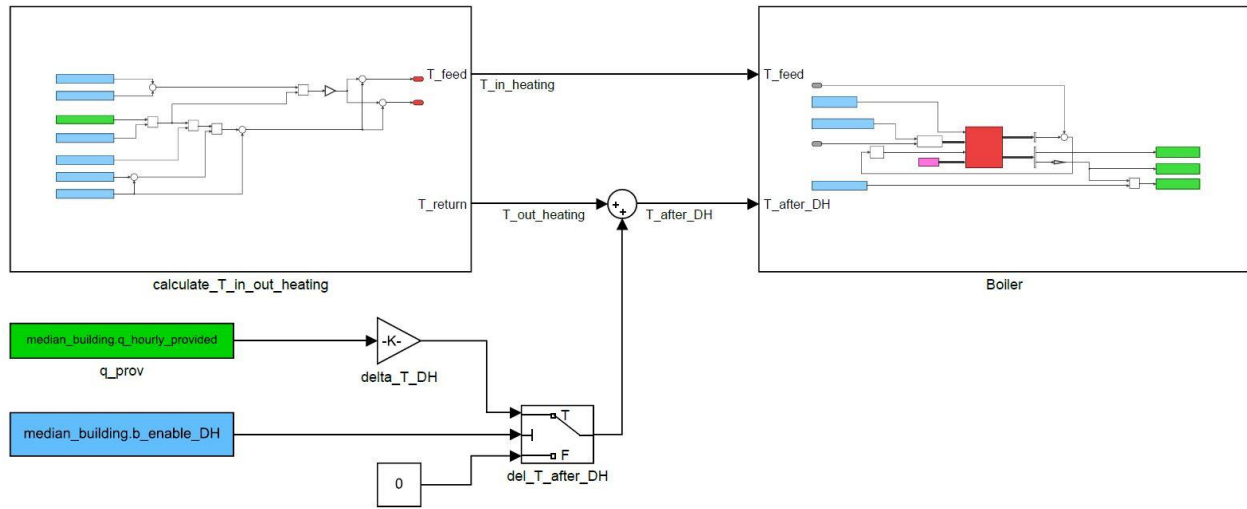


**Figure 7.** Simulink subsystem of feed and return temperature calculation. Constants (blue), outputs (red) and From Workspace blocks (green).

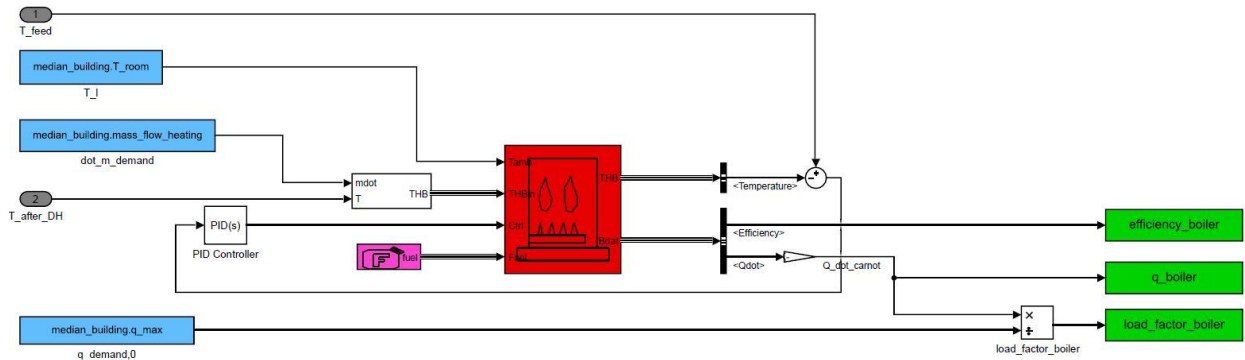
Thus, the temperature after the district heating  $T_{DH}$  is calculated, as seen in Figure 8.  $\dot{Q}_{prov}$  is variable and hence passed as a timeseries object. The heat transfer from the district heating grid to the domestic heating circuit is not modeled in detail. Furthermore, the Boolean  $b_{enableDH}$  sets whether the district heating is used in the simulation. To calculate  $\dot{Q}_{boiler}$  and the boiler efficiency  $\eta_{boiler}$ ,  $T_{feed}$  and  $T_{DH}$  are passed to the subsystem “Boiler”.

In the subsystem “Boiler” (Figure 9), the toolbox Carnot is used to simulate the boiler operation with the Condensing Boiler block, as seen in Figure 8. Furthermore, a PID controller is used to control  $T_{feed}$ . The controller manipulates the supplied chemical energy to the boiler. Inherently, the integral behavior of the PID controller ensures no steady-state control error in  $T_{feed}$ . The fuel block is set to gas, and the water temperature entering the

boiler is set to  $T_{DH}$ . The efficiency  $\eta_{boiler}$ ,  $\dot{Q}_{boiler}$  and the load factor  $\beta_{boiler} = \dot{Q}_{boiler} / \dot{Q}_{demand,0}$  are passed back to the workspace.



**Figure 8.** Simulink connection of the two subsystems calculation of heating temperatures and boiler, and calculation of temperature after district heating. Constants (blue) and From Workspace blocks (green).

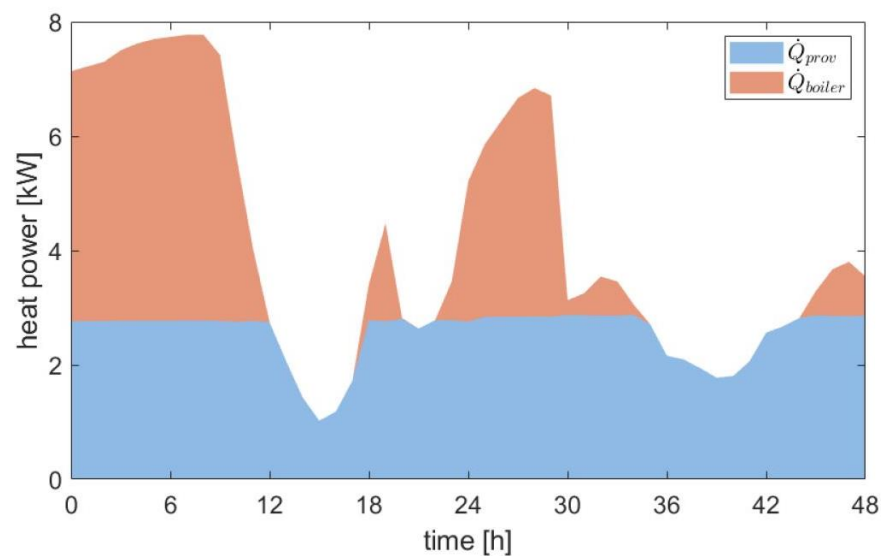


**Figure 9.** Simulink subsystem to calculate the boiler power and efficiency. Usage of toolbox Carnot and PID controller. Constants (blue), inputs (grey), Condensing Boiler block (red) and To Workspace blocks (green). The block to calculate THD concatenate the mass flow and temperature information to be passed to the boiler block.

$\eta_{boiler}$  is calculated as (22):

$$\eta_{boiler} = \frac{\dot{Q}_{boiler}}{\dot{Q}_{gas}} \quad (22)$$

The Carnot toolbox works on a seconds-based time scale, and the variable input signals  $\dot{Q}_{prov}$  and  $\dot{Q}_{demand}$  are therefore interpolated from an hours-based to a seconds-based time scale. Furthermore, a simulation interval of two days is selected where the district heating can sometimes provide the entire heat, and sometimes cannot. Figure 10 shows the results of the two simulated days. The district heating provides the base load, while the boiler provides the peak load. In the afternoon of both days, the district heating covers the entire heat demand, and the boiler is off. The extracted variables  $\eta_{boiler}$  and  $\beta_{boiler}$  are used to calculate a generic fit of  $\eta_{boiler}$  as a function of  $\beta_{boiler}$ . Since it is assumed that this characteristic is the same for each building and boiler, the load factor and subsequently the efficiency are calculated for each building.



**Figure 10.** Power results from simulation of median building, shared supply between district heating (blue) and boiler (orange).

### 2.5. Building Location and Heat Demand Data

Wilhelmsburg is located south of the northern main river branch on the largest river isle, as depicted in Figure 11. It is Hamburg's largest district by area (35.4 km<sup>2</sup>) and its fifth largest district by population (53,519), according to the Statistikamt Nord (census bureau north) [42]. Historically, the southern districts were closely interlinked with the port, the second largest port in Europe. Due to its location close to the port and south of the Elbe, Wilhelmsburg traditionally offered low priced housing and hosted low-income workers who were employed at the port or the adjacent industry. As in all of Germany, after the second World War, many immigrant families came to Hamburg to work in the industry and hence lived predominantly in districts like Wilhelmsburg [43,44].

Hamburg has a widespread district heating grid, which satisfies circa 22% of the city's heat demand, and is hence Germany's second largest district heating grid. As of 1 December 2020, more than 500,000 households are connected to the heating grid [45]. Though, this heating grid is only placed north of the Elbe, i.e., in the districts adjacent to the city center. Wilhelmsburg is not part of this heating grid, even though it is close to the heating plants supplying the grid.

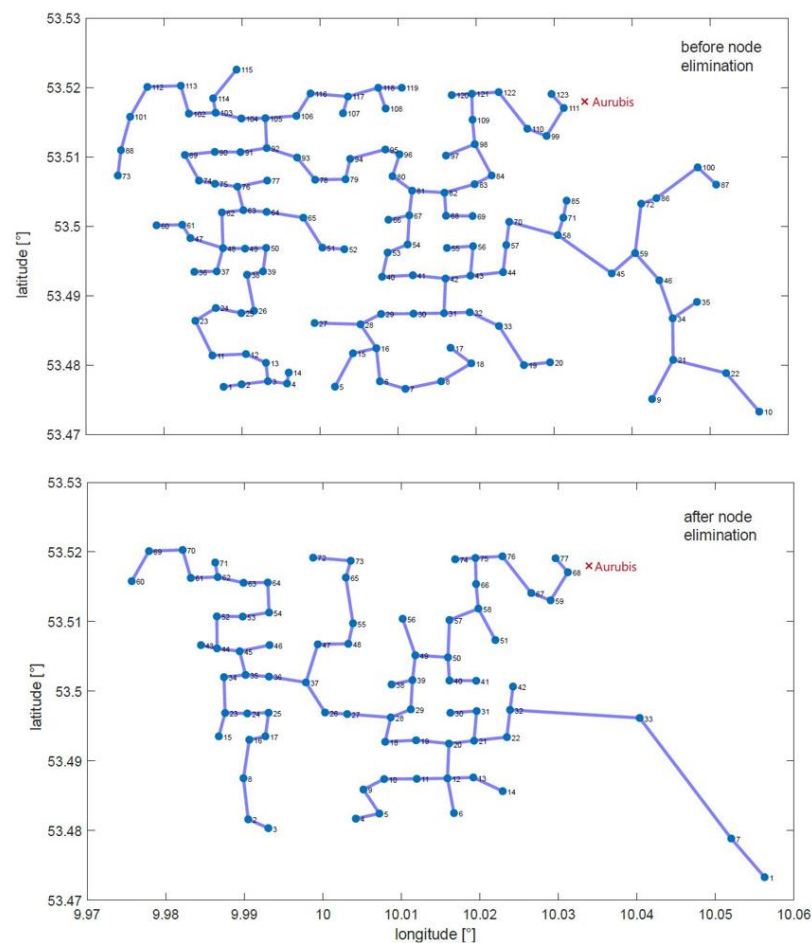


**Figure 11.** Schematic map of Hamburg, the river Elbe and Wilhelmsburg.



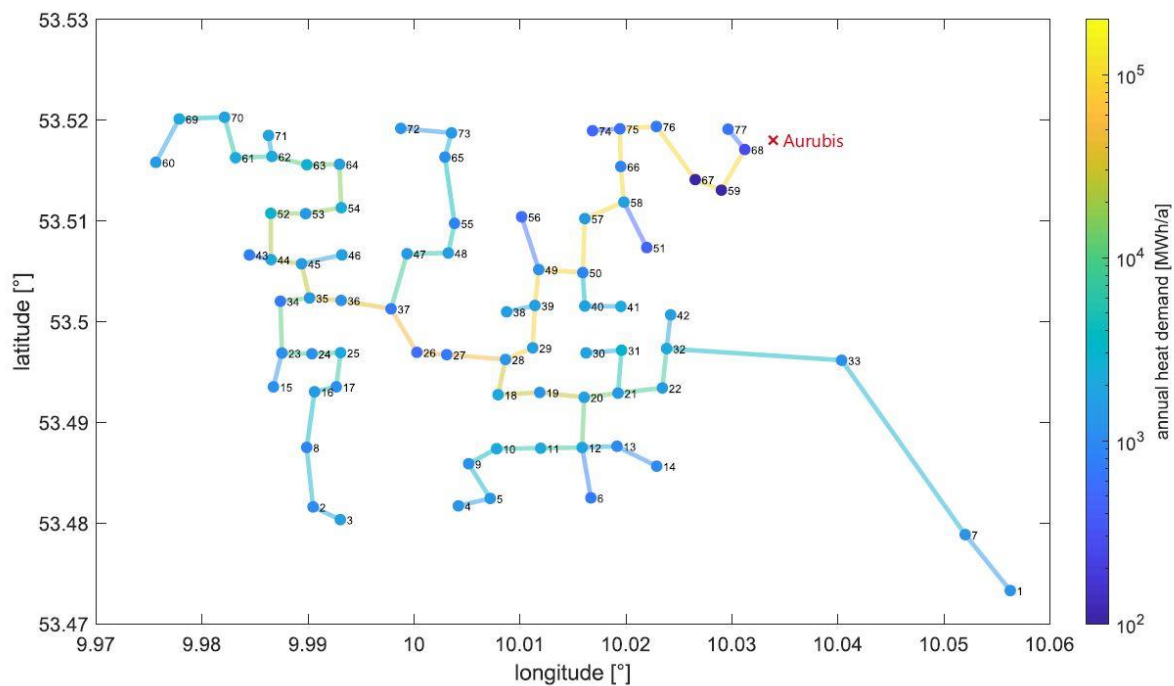
### 3. Results

The goal of district heating is to substitute the usage of conventional heating partially or entirely, which are mainly domestic gas boilers in each building. Hence, the previous results of the district heating grid are used to calculate the saved gas in Wilhelmsburg. The boiler efficiency is derived by implementing the district heating connection and the boiler in Simulink for the median building of the district. Afterwards, the calculated efficiency characteristics are applied to all buildings to calculate the saved gas. Figure 12 depicts the elimination of nodes, beginning with the upper plot with the initial nodes, to the lower plot, where the remaining 77 nodes after the elimination are shown. Note that the nodes and connections close to the root node remain the same, since their distance to the root node is small and thus their connectivity density is high.



**Figure 12.** District heating grid with nodes and connection before (**top**) and after (**bottom**) node elimination. Reduction from 123 to 77 nodes.

Figure 13 shows the resulting heat demand at each node and hence the necessary heat flow along the connections of the heating grid. The heat is supplied at the root node 68 and distributed across the grid to each node. In summary, by allocating the buildings to clusters, the computational load can be reduced. Hence, the 4884 buildings are condensed to 123 clusters, which then incorporate the heat demand of the buildings in each cluster. The clusters are connected to a heating grid by utilizing the graph theory functions in Matlab, especially `minspantree`, to calculate the shortest connection between the nodes. Furthermore, to increase the overall connectivity density and hence efficiency of the heating grid, the 46 nodes with the lowest connectivity density are eliminated from the grid.

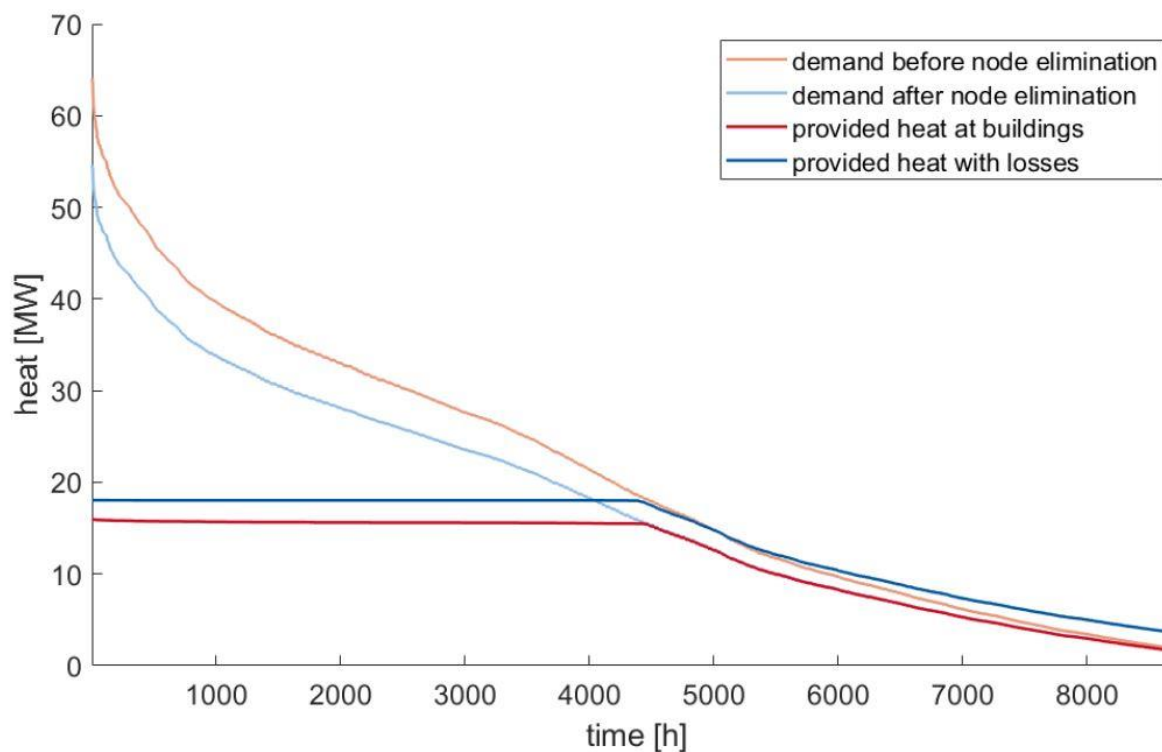


**Figure 13.** Annual heat demand in district heating grid at each node and connection (logarithmic scale).

All feed temperatures are higher than the return temperatures, even though the furthest nodes still have the lowest temperature difference. In practice, the temperature difference at node 1 might be too small to be technically feasible, which is neglected in this article. Figure 14 depicts the load duration curves of the heat demand before node elimination (compare Figure 3), the heat demand after node elimination, the provided heat at the buildings  $\dot{Q}_{prov,buildings}$ , and the provided heat with losses, which is equivalent to the effectively supplied heat from the energy provider at the root node  $\dot{Q}_{sup,root}$ . There is an almost constant offset of roughly 2.5 MW between  $\dot{Q}_{sup,root}$  and  $\dot{Q}_{prov,buildings}$ , which indicates the overall heat loss. This results in an average efficiency of the district heating grid  $\eta_{grid}$  of (23):

$$\eta_{grid} = \frac{\sum_{t=1}^{8760h} \dot{Q}_{prov,buildings}}{\sum_{t=1}^{8760h} \dot{Q}_{sup,root}} = 83.54\% \rightarrow \Delta Q_{loss,rel} = 1 - \eta_{grid} = 16.46\% \quad (23)$$

Thus, the overall relative heat loss amounts to  $\Delta Q_{loss,rel} = 16.46\%$ , which is undistinguishably similar to the benchmark of  $\Delta Q_{loss,rel,bm} = 16.5\%$  of a real district heating grid presented by the Planungshandbuch Fernwärme [40]. Aurubis provides a maximum heat power of 18 MW and an annual amount of energy of 120 GWh [46]. Applying the same maximum heat power to the model in this article as seen in Figure 14, the provided annual energy amounts to 119.63 GWh. That shows that the assumptions made in this project hold and thus the model represents the behavior of real heating grids well. In summary, in this project a district heating grid is developed based on openly accessible data for the buildings in Wilhelmsburg and their heat demand, which are then allocated in clusters. Subsequently, the clusters are connected to a heating grid starting from the root node. In the last step, the least efficient nodes are eliminated and the heat loss along the edges is calculated. The heat loss is then iteratively added to the supplied heat.



**Figure 14.** Load duration curves of heat demand before node elimination (orange), heat demand after node elimination (light blue), provided heat at buildings (red) and provided heat with losses (blue).

As seen in Figure 14, the supplied heat is lower than the overall heat demand, first due to the elimination of nodes in the grid and second due to the maximum heat power of 18 MW. The remaining heat is thus still supplied by domestic gas boilers, which are then used as peak load boilers. Hence, the cold heating water is first heated by the district heating and then—if necessary—heated further by the boiler to the desired feed temperature. It is assumed that each building shows the same boiler properties, i.e., the boiler efficiency characteristics are the same for every building. Thus, a single building is selected, and the boiler efficiency is calculated. To select a building which shows an appropriate characteristic, the median heat demand of all accommodational buildings is selected and matched to its building. Then, the building's heat demand  $\dot{Q}_{demand}$  and the provided heat  $\dot{Q}_{prov}$  are extracted and fed into the Simulink model.

The amount of consumed gas is characterized by the consumed chemical energy  $Q_{gas} = Q_{boiler}/\eta_{boiler}(\beta_{boiler})$ . With the previous calculations, the chemical energy is derived for each building and summed up for all 4884 buildings. Due to the different load factors—and hence efficiencies—for when the district heating is used and when it is not,  $Q_{gas}$  is evaluated for both cases  $Q_{gas,noDH}$  and  $Q_{gas,DH}$ . The entire consumption is  $Q_{demand} = 182.59$  GWh, while the provided heat by the district heating grid is  $Q_{prov} = 99.91$  GWh. Without district heating the consumed amount of gas is  $Q_{gas,noDH} = 186.49$  GWh, while this is reduced to  $Q_{gas,DH} = 89.17$  GWh when the district heating is used. Hence, by utilizing the district heating, a total amount of gas of  $\Delta Q_{gas} = 97.32$  GWh per year is saved. The Bundesnetzagentur (Federal Network Agency of Germany) lists an average domestic gas price in Hamburg for the year 2019 of  $k_{gas} = 0.0599$  €/kWh [47]. The CO<sub>2</sub> emissions of domestic gas are  $e_{gas} = 0.2012$  kgCO<sub>2</sub>/kWh according to the Umweltbundesamt (German Environment Agency) [48,49]. Hence, by saving  $\Delta Q_{gas} = 97.32$  GWh of gas annually, CO<sub>2</sub> emissions of  $\Delta m_{CO_2} = 19,585$  tCO<sub>2</sub> are avoided, and the customers save  $\Delta K_{gas} = €5.83$  mil annually. In the existing project by Aurubis and Enercity, the benchmark use of the district heating grid avoids  $\Delta m_{CO_2,bm} = 20,000$  tCO<sub>2</sub> annually [46]. As before, the model presented in this article tracks the benchmark project well in terms of CO<sub>2</sub> avoidance.

In summary, the simulation of the heating circuit in an exemplary median building yields the boiler efficiency as a function of the boiler's load, which is then used to calculate the saved gas of every building. The usage of the district heating grid saves  $\Delta Q_{gas} = 97.32$  GWh annually, which results in financial savings of  $\Delta K_{gas} = €5.83$  mil and avoided CO<sub>2</sub> emissions of  $\Delta m_{CO_2} = 19,585$  t<sub>CO<sub>2</sub></sub>.

#### 4. Discussions and Conclusions

In this paper, a different approach is presented to plan a district heating grid based on the optimization of building clusters with the aim of improving the nodes of the grid. GIS technology was also employed to collect and group data of the buildings, from geometrical to heat demand. In the literature, few works described in detail those approaches, therefore this research wants to fill this gap. A case study is chosen to validate the method proposed, in the district of Hamburg city, Wilhelmsburg. The scope is to connect this district with the existing thermal network of the city. Moreover, this approach investigates the usage of industrial waste heat as the grid's heat source, which is CO<sub>2</sub>-neutral. Most of Hamburg's energy-intensive and high-temperature industry is located at the port, which spans across the islands in the river. To simulate the model, Matlab/Simulink is used. After the data collection, the district heating grid is created by clustering the buildings and connecting the obtained nodes. A single building is modelled to investigate the benefit in terms of energy saving due to the replacement of gas boilers with DH systems. As a result, the usage of the district heating grid saves 97.32 GWh annually, which provides financial savings of €5.83 million, and avoided CO<sub>2</sub> emissions of 19,585 t<sub>CO<sub>2</sub></sub>. Last but not least, the proposed method allows other designers and practitioners to adapt it to different urban settlements; this issue is also the core of this research. Future developments will be involved for the investigation of the substations of the buildings connected to the grid, being an essential key for the economic and environmental assessment of users.

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#### Nomenclature

$q$	annual heat demand [kWh/yr]	$e$	Emission factor [kgCO <sub>2</sub> /kWh]
$A$	Area [m <sup>2</sup> ]	$K$	Gas expenditure [€]
$\vartheta$	Temperature [°C]	<i>Subscripts</i>	
$F$	Correction factor [-]	spec	specific
$Q$	Heat Power [MW]	abs	absolute
$r$	connectivity density []	acc	accommodational buildings
$\dot{Q}$	Heat flow [kW/m <sup>2</sup> ]	tot	total
$c$	Heat capacity [kWh/kg K]	com	commercial buildings
$\dot{m}$	Mass flow [kg/s]	amb	ambient
$D$	Diameter [m]	w	water
$R$	specific pressure loss [Pa/m]	prov	provided
$\lambda$	flow coefficient [-]	<i>Abbreviations</i>	
$\rho$	Density [kg/m <sup>3</sup> ]	GIS	Geographic information systems
$k$	roughness height [mm]	DH	District Heating
$U$	specific heat loss coefficient [W/m K]	DWD	German Meteorological Service
$\beta$	load factor [-]	CHP	Combined Heat and Power
$\eta$	Efficiency [-]		

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