Phase/Amplitude Synchronization of Brain Signals During Motor Imagery BCI Tasks

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Abstract—In the last decade, functional connectivity (FC) has been increasingly adopted based on its ability to capture statistical dependencies between multivariate brain signals. However, the role of FC in the context of brain-computer interface applications is still poorly understood. To address this gap in knowledge, we considered a group of 20 healthy subjects during an EEG-based hand motor imagery (MI) task. We studied two well-established FC estimators, i.e. spectral- and imaginary-coherence, and we investigated how they were modulated by the MI task. We characterized the resulting FC networks by extracting the strength of connectivity of each EEG sensor and we compared the discriminant power with respect to standard power spectrum features. At the group level, results showed that while spectral-coherence based network features were increasing in the sensorimotor areas, those based on imaginary-coherence were significantly decreasing. We demonstrated that this opposite, but complementary, behavior was respectively determined by the increase in amplitude and phase synchronization between the brain signals. At the individual level, we eventually assessed the potential of these network connectivity features in a simple off-line classification scenario. Taken together, our results provide fresh insights into the oscillatory mechanisms subserving brain network changes during MI and offer new perspectives to improve BCI performance.

Index Terms—EEG, functional connectivity, network theory, brain-computer interface.

I. INTRODUCTION

Based on the classification of mental states from brain signals, brain-computer interfaces (BCIs) are increasingly explored for control and communication, as well as for the treatment of neurological disorders (e.g., stroke), particularly via the ability of subjects to voluntarily modulate their brain activity through mental imagery. The ability to correctly detect the user’s mental intent is therefore a crucial factor in the design of BCI systems [1]–[6].

To this end, researchers have explored in the last decade several approaches based on disparate theoretical and experimental grounds. On one hand, investigators have focused on the identification of the best mental strategy to detect the user’s intent or on the choice of the sensory feedback to convey the most relevant information to the user [7]–[9]. On the other hand, advanced signal processing methods and sophisticated classification algorithms have been developed to improve the signal-to-noise ratio and to correctly identify the user’s intent [10]. While these methods can provide considerable performance increments, they are intrinsically blind to the neural mechanisms that allowed investigators to classify the user’s intent and may not have an obvious physical or physiological interpretation [11]. However, this is crucial especially in clinical settings where brain functioning can be compromised and alternative solutions must be identified.

An alternative approach would consist in looking for different - potentially more informative - features characterizing the human brain functional organization. To this end, functional connectivity (FC) has been introduced to estimate the interaction between spatially distributed brain areas by measuring the temporal dependence between the regional activities [12]. Thus, in contrast to univariate features such as frequency band power, FC appears more appropriate to capture the oscillatory network mechanisms involved in brain (re)organization during mental tasks [13]. Recent results have demonstrated the potential of FC features in BCI [14]–[16], albeit the results are variable and difficult to compare because of the different FC estimators, tasks and limited number of...
Fig. 1. Time course associated with BCI protocol. The BCI experiment consisted in a standard 1D, two-target box task. When the target was up, the subject was instructed to perform a right hand motor imagery (i.e., grasping); when the target was down, the subject had to remain at rest. In each trial, the first second corresponded to the inter-stimulus interval (ISI), when a black screen was presented to the subject. During the following 5s, the target appeared on the screen and during this period subjects had to imagine a sustained grasping of their right dominant hand.

II. MATERIAL AND METHODS

A. Experimental Protocol and Preprocessing

Twenty healthy subjects, all right-handed, were included in the study. All subjects were BCI naive and they were recruited within the framework of a BCI training protocol. They did not present with any medical or psychological disorder. The study was approved by the ethical committee CPP-IDF-VI of Paris and each subject signed an informed consent. All participants received financial compensation for their participation. EEG data were recorded with a 74-channel system, with Ag/AgCl sensors (EasyCap, Germany) in a 10-10 standard configuration. The reference for the EEG signals were mastoid signals and the ground electrode was on the left scapula. Data were recorded in a shielded dimly lit room. Impedances were kept lower than 20 kOhms; the sampling frequency was set to 250 Hz. During the experiments, hand muscular activity was recorded with EMG (electromyogram) to check the presence of involuntary movements during the motor imagery tasks. On-line, the experimenter ensured that subjects were not generating muscular artifacts during the task. Off-line, all the recorded signals have been checked to exclude the presence of evident muscular artefacts. The BCI experiment consisted in a standard 1D, two-target box task [24]. The subject was in front of a screen with a distance of 90 cm. When the target was up, the subject was instructed to imagine moving his/her right hand (i.e., grasping); when the target was down, the subject had to remain at rest. For each subject we collected 64 trials of motor imagery and 64 trials of resting state. In each trial, the first second corresponded to the inter-stimulus interval (ISI \( \sim 1s \)), when a black screen was presented to the subject. For the following 5s the target appeared on the screen; in this period subjects had to imagine a sustained grasping of their right dominant hand. We report in Fig.1 a graphical representation of the time-line for a typical trial. We refer to [25] for a detailed description of the experiments.

As a pre-processing we performed on the entire dataset an independent component analysis (ICA) to eliminate ocular and cardiac artifacts on the EEG signals, via the Infomax algorithm [26] available in the Fieldtrip toolbox [27]. The ICA was operated by the visual inspection of both time signals and their associated topographies. We removed no more than two independent components.

In order to measure the ability of connectivity features to characterize other motor imagery tasks, we also used the EEG
data from dataset 1 of BCI competition IV [28]. EEG data were recorded from 59 electrodes, which enabled us to obtain a number of nodes similar to our data. From this dataset we considered 4 healthy subjects each one performing different MI tasks (left/foot for subject a; left/right for subject b; left, foot for subject f and left, right for subject g).

B. Functional Connectivity and Brain Network Features

We considered two well-established functional connectivity estimators [29], i.e. spectral coherence (C) [21] and imaginary coherence (IC) [22]. While other FC estimators, directed and undirected, have been previously explored in the BCI context [17], [30]–[33], here we focused on C and IC because of their relatively simplicity and intuitiveness.

Given two EEG time series \( x_j \) and \( x_k \) in a time interval \( T \), the computation of \( C_{jk} \) and \( IC_{jk} \) at the frequency \( f \) can be respectively obtained as:

\[
C_{jk}[f] = \frac{|P_{jk}[f]|}{(P_{j}[f] \cdot P_{k}[f])^{1/2}} \tag{1}
\]

\[
IC_{jk}[f] = \frac{|\Im(P_{jk}[f])|}{(P_{j}[f] \cdot P_{k}[f])^{1/2}} \tag{2}
\]

where \( P_{jk}[f] \) contains the samples of the power spectral density \( P_{jk}(e^{i\omega}) \) estimated on T-length windows, i.e. \( P_{j}[f] = P_{jk}[f]|e^{i\omega}| \) where \( \omega_f = 2\pi f/T \); and \( P_{jk}[f] \) are samples of the cross-spectrum \( P_{jk}(e^{i\omega}) \) between \( x_j \) and \( x_k \).

These quantities are evaluated by means of with Welch’s method with Hanning time windows of \( T = 1 \) s and an overlap of 50% [34]. While C has an intuitive interpretation the advantage of capturing linear correlations in the frequency domain, IC, by neglecting zero-lag contributions, is more robust to spurious connectivity due to volume condition [22]. For this reason, coherence is more sensitive to short-range interactions while imaginary coherence is weights more long-distance connections [35]. To directly quantify the phase relationship between two EEG signals at the frequency \( f \), we computed their phase difference \( \Delta \):

\[
\Delta_{jk}[f] = |\phi_j[f] - \phi_k[f]| \tag{3}
\]

where \( \phi_j[f] \), \( \phi_k[f] \) are the phase terms of the discrete Fourier transforms (DFTs) of \( x_j \) and \( x_k \) on T-samples windows.

By computing \( C \), \( IC \) and \( \Delta \) for each pair of EEG channel, we obtained symmetric \( N \times N \) matrices where \( N = 74 \) is the number of EEG channels. These matrices correspond to fully connected and weighted networks of \( N \) nodes or units and can be studied via graph theoretic tools [12]. Here, we focused on a simple local centrality measure, i.e. the node strength \( S \), which is given by the sum of the weights of all links coming into each node. This metric describes in an intuitive way how much one brain region, or EEG channel, is connected to all the others in a certain frequency \( f \). Hence, node strengths were computed for each connectivity estimator used to extract the network, as follows:

\[
S^C_{j}[f] = \sum_{k=1}^{N} C_{jk}[f], \tag{4}
\]

\[
S^IC_{j}[f] = \sum_{k=1}^{N} IC_{jk}[f], \tag{5}
\]

\[
S^\Delta_{j}[f] = \sum_{k=1}^{N} \Delta_{jk}[f]. \tag{6}
\]

C. Statistical Analysis and Classification

At group level, we averaged for each subject the corresponding connectivity matrices across trials and within predefined frequency bands, commonly involved in motor imagery tasks [36], namely: \( \theta = 4 – 7 \) Hz, \( \alpha = 8 – 13 \) Hz, \( \beta = 14 – 29 \) Hz and \( \gamma = 30 – 40 \) Hz. Node strengths were extracted from each of these resulting networks. The same procedure was adopted for power spectrum-based features.

We statistically compared connectivity and node strength values between MI and Rest conditions. More specifically, for each condition we considered the distributions of the values obtained from the entire population of 20 subjects.

We used permutation t-tests (2000 permutations) with a statistical threshold of 0.05 [37].

At individual level, we kept the original information and we did not average the results across trials or within frequency ranges. We let the classification procedure to optimally select the best discriminant features for MI and Rest conditions. We only imposed some constraints to limit the research complexity. First, we considered frequency bins from 4 to 40 Hz, due to prior reports supporting their involvement in similar motor tasks [38]. Second, we limited the research among a subset of electrodes spatially covering the sensorimotor areas [39].

With the aim of comparing the contribution of the three different type of features to the overall classification we considered all their possible combinations, i.e. seven in total. To normalize the values in each combination, we applied a z-score transformation to original features, i.e. channels × frequency bins. Then, we performed a 100 repeated ten-fold cross-validation test with linear discriminant analysis (LDA) [10]. Specifically, we performed a sequential feature selection [40] within a nested cross-validation framework. Specifically, at each cross-validation step, we sorted in a descending order the available features from the training folds according to their t-values. All statistical results were corrected for multiple comparisons with false discovery rate (FDR) [41].

III. RESULTS

A. EEG Network Connectivity Changes During Motor Imagery

We first verified that subjects were performing a proper motor imagery task by showing sensorimotor power decreases mainly in frequency bins within alpha and, more pronouncedly, beta band \( p < 0.05 \), FDR-corrected) (Fig. S1). Network connectivity changes between MI and Rest conditions could be appreciated across all the frequency bands (Fig. S2 and S3). In the beta band, results tended to occur in motor-related areas contralateral to the imagined movement.
This could be observed both for single connection and node strength values (Fig. 2A). These changes were even stronger when considering node strength values at individual frequency bins ($p < 0.05$, FDR-corrected) (Fig. 2B).

Notably, the direction of the change is opposite depending on whether we used spectral-coherence ($C$) or imaginary-coherence ($IC$) to estimate EEG networks. We reported significant MI-related increases when we considered $C$ estimators, while we observed significant decrements when using $IC$. In terms of spatial locations these differences involved both intra-hemispheric and inter-hemispheric interactions, while the largest changes in node strength tended to concentrate around the brain areas corresponding to the EEG electrode $C3$.

We observed a similar behavior for gamma frequency band, although the most involved regions were more heterogeneously distributed. On the contrary, we could not find similar trends for theta and alpha bands, where modifications were in the same direction (Fig. S2 and Fig. S3).

In general, the magnitude of network changes was larger compared to power $P$ features (Fig. 2B, Fig. S1). Furthermore, the results obtained with node strength were not associated with those obtained by using $P$ values (Pearson’s correlation $< 0.1$).

These findings indicated that the motor imagery of the hand grasping elicits detectable brain network changes that might be useful to characterize and discriminate MI-based BCI tasks. These changes revealed the existence of two parallel connectivity behaviors (i.e. increase for $C$ and decrease for $IC$) that primarily involved sensorimotor areas within beta frequencies.

### B. Modulation of Amplitude and Phase Synchronization Between Brain Signals

To better understand the nature of such dichotomy, we investigated more in detail the behavior of $C$ and $IC$ estimators. $C$ is obtained from the cross-spectrum of the two signals and is sensitive to the amplitude synchronization (AS), i.e. when signals oscillate (or vary) at the same frequency. $IC$ is also sensitive to the phase synchronization (PS) capturing possible time shifts between the signals.

To show these behaviors, we considered two perfectly equal sine waves oscillating at 10 Hz, and we temporally shifted one with respect to the other within the $[-\pi/2, \pi/2]$ interval. Fig. 3A,B shows that $C$ remains constant along the entire phase shift range, while $IC$ varies in a way that it tends to zero when the two signals are perfectly in phase (i.e. $\Delta = 0$). In a supplemental analysis, we indeed demonstrated that the imaginary coherence between those signals can be analytically expressed as a function of their relative time delay (Supplementary text).

Our experimental results showed that during MI there is a simultaneous amplitude synchronization (captured by $C$) and phase-synchronization (captured by $IC$), the latter suggesting a significant signal phase alignment (Fig. 2). To confirm this finding, we re-estimated the task-related brain networks by computing the phase difference $\Delta$ between the EEG signals.
For both single connection and node strength statistics we reported a global significant decrease which is actually similar, in terms of magnitude and spatial arrangement, to what observed with IC (Fig. 4A,B).

More formally, we investigated the MI task-related relationship between imaginary coherence and relative phase difference. For each subject, we considered all the pairs of nodes including the C3 sensor, representing the contralateral primary motor area of the hand. Results showed a moderate correlation (group-median Spearman’s \( R = 0.38 \)) with lower \( \Delta \) values predicting lower IC values (Fig. 4C, Table S1).

These findings indicated that hand MI elicits a two-fold mechanism supporting more efficient information transfer - in terms of AS and PS - among sensorimotor brain regions.

C. Mental State Detection in Single Individuals

Finally, we tested the ability of these brain connectivity features to discriminate MI and resting states at single subject level. To increase specificity, we considered a finer frequency resolution of 1 Hz - from 4 to 40 Hz - and we restricted the feature extraction to the EEG electrodes in the sensorimotor areas (see Materials and Methods).

For each MI and rest trial we extracted three type of features: power spectrum \( P \), coherence-based node strength \( S^C \) and imaginary coherence-based node strength \( S^{IC} \). We checked that all the covariance matrices associated with the features verified that their overall sum were not statistically different between conditions (t-test, \( p > 0.05 \)). To identify the best discriminant feature combination, we performed a sequential forward feature selection within a cross-validation linear discriminant analysis (LDA). We used the overall accuracy to measure the average classification performance across validations.

Results showed that the best classification accuracy was in general moderate regardless of the feature combination (Table S2). However, we observed that the inclusion of node strength features led to performance increment in terms of relative difference with respect to \( P \) features alone (Fig. 5). That was particularly evident when selecting features from the contralateral hemisphere (16 subjects over 20) as compared to when we considered both hemispheres (12/20). For contralateral areas, the performance increment corresponding to the best features combination was up to 25%.

We also reported a similar trend when using another EEG dataset from the BCI competition IV [28]. The inclusion of \( S^C \) features notably allowed to slightly increase classification accuracy in three over four subjects, ie 1%, 1.1%, and 2.5% in terms of relative increment (Fig. S4).

To identify the spatial and spectral characteristics of the selected features, we showed their cumulative occurrence in a frequency-sensor plot (Fig. 6). In general, we observed a concentration of features in the 10−14 Hz range within the C-CP zone. For both \( P \) and \( S^C \), the occurrences at higher frequencies tended to fade out [Fig. 6 (top and middle line)] while the situation was more heterogeneous for \( S^{IC} \) features [Fig. 6 (bottom line)].

Finally, we performed a supplementary classification by substituting standard power spectrum features with those obtained with a more advanced method, namely the filter bank common spatial pattern (FBCSP) [42]. The obtained results showed that using FBCSP features was not significantly improving the overall accuracy and that node strength values still contributed to the relative performance improvement (Table S3, Fig. S5).
Fig. 4. Phase difference properties and discrimination ability. Panel A), results of permutation-based t-tests in the beta band across all subjects are shown for brain networks reconstructed from the phase difference between EEG signals. Panel B) results of permutation-based t-tests obtained with node strength values extracted from the previous brain networks. Panel C), Spearman correlation plot between imaginary coherence and phase difference values considering all the connections including C3 electrode for one representative subject.

Fig. 5. Improvement of classification performance. Bar plots show the percentage of relative increment between the best combination of features (i.e., coherence-based node strength $S^C$, imaginary coherence-based node strength $S^{IC}$ and power spectrum $P$). The pie diagram in the inset illustrates the percentage of times that a specific combination of features has been selected across subjects. Two different cases are considered, distinguished by a different color code. In blue, we report results of accuracy improvements when selected electrodes are located in contralateral sensorimotor area (Contra), i.e. FC5, FC3, FC1, C5, C3, C1, CP5, CP3, CP1. In red, results relate to classification analysis when electrodes are in both contralateral and ipsilateral sensorimotor areas (Contra+Ipsi), i.e. FC5, FC3, FC1, C5, C3, C1, CP5, CP3, CP1, FC2, FC4, FC6, C2, C4, C6, CP2, CP4, CP6.

Taken together, these results showed the potential of brain network features, derived from both amplitude and phase synchronization, to detect motor imagery states in healthy subjects.

IV. DISCUSSION

Brain activity changes during motor tasks have been largely documented through invasive and noninvasive neuroimaging techniques in non-human and human primates, as well as in animal models [43]–[45]. These changes were not limited to specific brain areas, but also occur in a coherent and synchronized manner across larger spatial scales - from millimeters to centimeters - reflecting the need for a coherent coordination of information exchanges to accomplish the task [46]–[49]. Functional connectivity methods, estimating temporal dependence between spatially remote brain areas, represent therefore a
unique opportunity to study large-scale brain network changes during motor tasks from noninvasive EEG recordings.

In practice, many methods have been proposed to estimate FC based on different principles from signal processing and information theory [18], [31], [32], [50], [51].

Previous works reported FC modulations in both healthy and diseased subjects [52], [53], as well as in BCI contexts [17], [30]–[33]. However, different FC estimators have been used in those studies and a deeper understanding of the meaning of obtained FC values was in general overlooked. As a result, a common direction and principled interpretation of the changes observed during BCI motor-related tasks is still lacking [33].

To deepen this aspect, we investigated the intrinsic nature of two popular and intuitive FC estimators, spectral coherence and imaginary coherence, and realized a simple motor imagery task in a group of healthy subjects. Our results indicate that motor imagery elicits two major parallel oscillatory phenomena in the beta and gamma frequency bands: i) the increase of synchronization between the EEG signal amplitudes, ii) a decrease of phase difference, which means an increase of synchronization between signal phases. Both amplitude and phase synchronization increments have been respectively reported in separate studies. The former typically codes for a basic substrate of neural communication [54], while the latter occurs to further favor information binding [55]. These network connectivity changes were region-specific and more evident for the in the sensorimotor areas in the beta band, which is typically involved in motor-related tasks [36]. They particularly emerged at the node strength level (i.e. aggregating the information from all the nodal connections) and were not correlated with other regional measures, such as standard power spectral densities.

Taken together, these results unveiled the presence of a parallel amplitude and phase synchronization during MI at the higher frequency bands (i.e. beta and gamma). This complementary phenomenon was not observed in the lower bands (theta and alpha), where we rather found increased AS but decreased PS, as quantified by increased IC-based features. While this behaviour might appear counter-intuitive, it nevertheless matches with the complex spectrum of configurations that mechanistically emerge from the interactions of oscillatory signals [56]. In neuroscience applications, it has been actually shown that there could be phase-coupling without
amplitude-coupling and vice-versa [57]. More in general, our results show that MI elicits FC network changes across multiple frequencies. EEG coupling changes in \( \text{theta} \) and \( \alpha \) bands have been previously found during sensorimotor integration tasks, such as mental navigation, and associated with deeper hippocampal \( \text{theta} \) oscillations [58], [59]. Modulations in higher frequency have been instead associated with direct motor-related demands and therefore proposed to be more robust for BCI applications [32]. Whether these latter high-frequency changes also include indirect effects due to mirror-neuron activity as well as attentional efforts associated with the task complexity, still remains to be elucidated [45].

The ability to discriminate different mental states from non-invasive neuroimaging recordings has concrete implications in our daily-life, from the early detection of brain diseases to the development of effective brain-computer interface applications [60]. In the BCI context, much of the efforts has focused on the improvement of the classification algorithms, such as the recent advances in Riemannian geometry-based approaches [61], [62]. While these methods can in some cases ameliorate the overall classification accuracy, the improvement potential is still high and, more importantly, they generally lack of intuitive physiological interpretations [10], [13]. The research of alternative features, beyond the characterization of single region activities, is therefore a fertile field with the aim of pursuing performance [18], [33], [51], [63]–[65].

Whether the use FC features, or network-based ones, brings significant BCI classification improvements, is still under debate [18], [30], [33], [51], [66], [67]. Our results indicated that node strength features bring complementary information with respect to power-spectrum features and hold the potential to improve classification accuracy. This might have concrete implications in the design of future BCI, where the features selection is typically performed in the calibration phase. However, it is important to state the performance increments obtained here significantly varied across individuals and led in general to moderate overall accuracy. While our contribution highlights the potential of brain network-based features in addressing BCI inefficiency issues, future studies will be crucial to assess the actual ability to discriminate between multiple mental states [68].

Spectral coherence and imaginary coherence assume the stationarity of time series within the period of interest [22]. In our study, we considered time windows 5 s, which could be too long for respecting this hypothesis [69]. We assessed the reliability of our results by computing the augmented Dickey-Fuller test [70] and verifying that 96% of all the signals were indeed stationary. More in general, for real-time BCI applications the use of shorter time windows and FC estimators that do not need stationarity assumptions (e.g. wavelets [71], tracking algorithms [72]), would naturally allow to circumvent this issue.

A. Methodological Considerations

Our analysis has focused on the EEG sensor space. Coherence-based FC estimators could be affected by volume conduction distortions introducing spurious signal interactions [22], [73]. While source-reconstruction techniques could be used to attenuate such bias [74], we decided to work on the sensor space for two main reasons. First, we did not have access to the individual magnetic resonance images (MRIs) necessary to have a detailed and realistic model of the head and its compartments [75]–[77]. Second, FC estimators can be really sensitive to signal transformations and results could be strongly dependent to the selected reconstruction algorithm [78]. A detailed analysis on the effects of source-reconstruction was, however, beyond the scope of our study. Further research is expected to better investigate the stability of our results when working at source space level.

When combining different types of brain characteristics (i.e. power, node strengths), we performed a fusion at the feature space level [79]. Another possibility would be to perform the fusion at the classifier level, by combining the posterior probabilities of each separate classification [25]. However, the latter approach will force the research of significant features in each modality despite their absolute discriminant power. To allow a fair comparison with band-power features, we preferred let the classifier identify the best absolute combination of features. We verified that the lengths of the selected feature vectors was in average similar (five features maximum).

V. CONCLUSION

The main purpose of our work consists in characterizing brain network connectivity changes during motor imagery-related BCI tasks. The fundamental contribution of our work consists in the fact that we unveiled two complementary connectivity mechanisms (i.e. both amplitude and phase synchronization), measured respectively by spectral and imaginary coherence, that occurred simultaneously during the MI task. Then, we demonstrated the contribution of brain network connectivity features in detecting mental states during typical MI-based BCI tasks. Taken together, our results provide fresh insights into the network mechanisms subserving brain functional changes during MI, and offer new perspectives to improve BCI performance.

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