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**HLA-DQ and celiac disease: a novel risk gradient for predisposed
subjects**

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ABSTRACT:

BACKGROUND

Celiac disease (CeD) is an immune-mediated systemic disorder elicited by gliadin, a prolamine found in wheat and related proteins, occurring in genetically predisposed individuals, carrying HLA-DQ2 and/or DQ8. Several heterodimers have been associated with CeD: the HLA-DQ2.5, occurring in approximately 90% of CeD patients, is encoded by the DQB1*02 and DQA1*05 alleles both *in cis* and *in trans*; the HLA-DQ2.2 heterodimer, encoded by the DQB1*02 and DQA1*02 allele; and the HLA-DQ8 heterodimer, encoded by the DQB1*03:02 and DQA1*03 alleles.

AIMS

We aimed: 1) To characterize HLA polymorphisms; 2) to confirm the association between HLA class II genes with CeD; 3) to assess their role in CeD genetic susceptibility by re-defining the current risk pyramid of genetically predisposed individuals.

METHODS

We included celiac children diagnosed based on European Society for Pediatric Gastroenterology, Hepatology and Nutrition (ESPGHAN) criteria and controls. The control group included healthy Italian individuals and affected family-based controls (AF-BAC). All individuals were typed for DRB1, DQA1, and DQB1 genes by sequence-specific primer-polymerase chain reaction (SSP-PCR). Disease risks are expressed as 1:N, where N is the number of individuals among which one patient is present. Considering a disease prevalence of 1:100 in the general population, for each HLA-DQ category, N is calculated as a percentage of controls with that particular HLA-DQ status multiplied by 100 and divided by percentage of patients with the same DQ typing.

RESULTS: We included 778 CeD patients (M:277= F:511), and 551 controls (292 healthy Italian individuals and 259 affected family-based controls). In conclusion, the new risk pyramid shows an increased risk for all haplotypes; it is reasonable to assert that there is an increased CeD risk in presence of DQ*B102 either as a single copy or combination with other alleles.

INTRODUCTION:

Celiac disease (CeD) is an autoimmune systemic disorder elicited by gluten in genetically susceptible (HLA DQ2 and/or DQ8) subjects. It is characterized by the presence of a combination of gluten-dependent clinical manifestations or it may be discovered in asymptomatic individuals through screening methods (high-risk groups, first-degree relatives, and related diseases). CeD-specific auto-antibodies (anti-transglutaminase Ig-A (TGA-IgA); anti-endomysium (EMA), antibodies against deamidated forms of gliadin peptides (DGP)), HLA-DQ2 or HLA-DQ8 haplotypes and enteropathy are the lead actors of the disease [1]. CeD can be considered a major public health issue, as its prevalence is increasing in different geographic areas, such as Asian countries [2]. A recent meta-analysis showed that the pooled global seroprevalence of CeD is 1.4% [3], with diverse data from different continents: prevalence is shown to be highest in Europe and in Oceania and very low in Southern America.

Differently from other autoimmune diseases, celiac disease is, to date, the only condition whose environmental trigger has been identified – i.e. gluten; however, several other environmental co-factors have been implicated in its development, including viral infections (Reovirus, Rotavirus, Norovirus, Adenovirus and Astrovirus) [4-6], gut barrier dysfunctions [7-9], changes of the gut microbiota [10], delivery methods [11-15], breastfeeding [16] and timing of gluten introduction in the diet [17]. It is currently impossible to identify the main factor which, together with gluten intake, leads to loss of tolerance and activation of innate and adaptive immunity – responsible for the presence of antibodies and for the mucosal damage pathognomonic of CeD [18].

The prevalence of the disease is increased among patients with anemia or autoimmune diseases, with short stature, or with syndromes such as Down, Turner, or Williams syndrome [19]. Moreover, CeD clusters within families,

with a prevalence among first-degree relatives ranging from 2.8% to 17.2% in different series [20] .

PATHOGENIC AND GENETIC ASPECTS:

A hereditary component for CeD has been identified by several studies showing that up to 7.5%–15% of first-degree relatives are affected [21, 22]: additionally, studies in monozygotic twins showed concordance rates of 50%–80%, decreasing up to 10% in dizygotic twins [23, 24]. However, the genetic background does not automatically equate to the presence of disease: in fact, CeD is a complex genetic disorder which develops in genetically predisposes individuals when exposed to non-genetic factors [25].

Our understanding of pathogenesis of CeD was dramatically changed by the discovery of a genetic background involving the HLA, with the first evidence of the association between HLA genes and CeD being reported almost three decades ago [26-29]. In 1983, for the first time, DC3 (a prior definition of HLA-DQ2) appeared to be the HLA specificity primarily associated to CeD [30].

This association has been largely confirmed by Margaritte-Jeannin et al [31] in 2004 when they demonstrated the highest frequency of HLA-DQ2 haplotypes in CeD patients.

Several genes, or loci, on the short arm of chromosome 6 define the HLA complex: extreme polymorphism has been reported for these loci, as an ever-increasing amount of alleles is being characterized [32]. The CeD-related HLA loci belong to the class II at the DQ locus, and include the HLA-DQA1 and DQB1 loci, respectively coding for α - and β -chain proteins. These chains bind on the surface of antigen-presenting cells, forming heterodimers which are defined as HLA-DQ molecules. HLA class II genes,

and more in detail HLA-DQ2 and DQ8, are the currently considered the strongest and best-defined genetic risk factors for CeD.

Furthermore, independently of its binding to HLA molecules, gluten is able to activate both the CeD8+ T-cells in the lamina propria (responsible for the proliferation of intra-epithelial lymphocytes, IELs) and the antigen presenting cells (APCs), therefore multiplying the effect.

Any individual presents up to 4 different heterodimers, as there are 2 alleles for each of the DQA1 and DQB1 loci. Heterodimers are defined as encoded in cis if inherited by the same parent, and therefore resulting from the binding of DQA1 and DQB1 alleles on the same chromosome, or encoded in trans when each allele comes from a different parent. Both in-cis and in-trans heterodimers result in functional molecules binding deamidated gliadin [33]. In the general population without a diagnosis of CeD, the prevalence of HLA-DQ2 and/or HLA-DQ8 is approximately 40%; however, as expected from the genetic association, this percentage increases among patients with first-degree relatives affected with CeD [34].

The activation of gluten-reactive CeD4+ T-cells in the gut is mediated by the DQ2 and DQ8 heterodimers, therefore explaining the reasons for such close association. More in detail, APCs express the disease-associated HLA-DQ molecules which specifically bind gluten-derived peptides modified by the enzyme tissue-transglutaminase (tTG); subsequently, the APCs present these peptides to intestinal T cells, eliciting a T response which triggers production of antibodies and secretion of pro-inflammatory cytokines. This response mechanism results in mucosal atrophy and is involved in the clinical manifestations of CeD [18].

Several heterodimers have been associated with CeD: the HLA-DQ2.5, occurring in approximately 90% of CeD patients [35, 36], is encoded by the DQB1*02 and DQA1*05 alleles both in cis and in trans; the HLA-DQ2.2

heterodimer, encoded by the DQB1*02 and DQA1*02 allele [37, 38]; and the HLA-DQ8 heterodimer, encoded by the DQB1*03:02 and DQA1*03 alleles. These three different allotypes have very different risks of disease, but the clinical presentation of CeD is the same.

Recent evidence shows that the molecular surface of antigen-binding clefts of HLA-DQ2.5 and HLA-DQ2.2 are very similar, but difference in the nature of the peptides presented translates to difference in responding T cell repertoires and the nature of engagement of the respective antigen-presenting molecules, which seems associated with differing disease penetrance [39].

Different studies demonstrated that T cell clones restricted by the three different allotypes recognize different and separates sets of gluten epitopes[38, 40]. Different HLA molecules bind and present gluten peptides with glutamate residues at different positions, namely P1 and/or P9 for HLA-DQ8 and P4, P6 or P7 for HLA-DQ2.2 and HLA-DQ2.5. HLA-DQ2 and HLA-DQ8 are highly homologous; however, HLA-DQ2.2 also uses a P3 anchor, with high selectivity for serine or threonine, which might contribute to different risk profiles.[39]

The HLA region alone accounts for approximately 40% of the disease heritability [41, 42], meaning that other genes are involved in CeD susceptibility. Many candidate loci have been studied but only three chromosomal regions, 5q31-q33 (CELIAC2), 2q33 (CELIAC3) and 19p13.1 (CELIAC4), have been officially recognized as predisposing genetic factors. CELIAC2 (5q31-33) was the region that first reached a genome-wide level of significance in an European metanalysis [43] and can be considered a confirmed linked region [44]. It was initially discovered in a Italian cohort [45, 46] and later confirmed by Swedish/Norwegian data [47].

CELIAC3 (2q33) is the region that is harbouring well-characterized members of the costimulatory molecules: cytotoxic T lymphocyte-

associated antigen-4 (CTLA-4), CD28 [48] and inducible costimulator (ICOS) at 2q33 [49] and nearby to programmed death-1 (PD-1) localized at 2q37.1. It seems to take part to Lymphocyte activation and regulation. An European study suggests that the CeD risk due to 2q33 gene region is complex and may involve more than one susceptibility allele, which possibly differs from other autoimmune diseases [50]. Moreover, CELIAC3 harbours several independent loci contributing to CeD susceptibility [49]. CELIAC4 (19p13.1) was described as a susceptible locus for CeD by Van Belzen [51] in a genome-wide screen on 67 CeD families. CELIAC4 is associated with MYO9B, a gene belonging to the myosin family that binds calmodulin which contributes to the maintenance of the intestinal barrier. Based on these premises, CELIAC4 has been postulated as a risk factor of CeD [52].

In recent years, genome-wide association studies (GWAS) have identified many non-HLA genes associated with an increased risk of CeD, such as those coding for cytokines, chemokines and their receptors, cell adhesion molecules, T- and B-cell activators [53-57].

To date, in GWAS, 40 additional genetic loci have been associated with CeD; these variants are mainly small nucleotide polymorphism (SNPs) found in non-coding regions, often within enhancers, suggesting an effect on gene expression rather than causing modifications of protein functions [58], but it seems that these 40 non-HLA loci explain approximately 5% of the disease risk. These loci are represented by 57 independent SNPs, of which 29 were localized to a single gene and the remaining in intergenic regions [57].

In 2014, [59], a multicentric European study proposed to analyze these 57 variants, in order to improve the identification on potential CeD patients. The combination of HLA and non-HLA risk variants allows to classify individuals in three different subgroups (low risk: predicted risk<25%;

intermediate: 25-75% and high-risk: > 75%). This new stratification, albeit expensive, might help to make a better selection on individuals who need closer follow-up[59].

Nevertheless, non-HLA genetic contribution to CeD is weak (about 15%) and these polymorphisms are not considered in the calculation of CeD genetic risk [60-62].

HISTOLOGICAL ASPECTS:

From the description of the pathogenesis we can therefore define the principal actors of the mucosal damage by performing multiple biopsies, at least two in the bulb and four in the duodenum [63, 64].

Several facets must be considered for histological assessment of tissue samples, including the number of intraepithelial T lymphocytes (IELs, with a 25 IEL/100 enterocytes threshold being considered borderline), the presence of crypt hypertrophy (proving epithelial regeneration in the crypts with increased rate of mitosis) and the atrophy of intestinal villi (shorter villi with reduction of the villus/crypt ratio, up to complete flattening).

None of these elements, individually, appears to be pathognomonic of celiac disease, but their combination has allowed the identification of typical lesions of the disease first codified by Marsh [65] and subsequently revised by Oberhuber in 1999 [66] and by Corazza-Villanacci in 2005 [67], and as recently reaffirmed in a Italian position statement [68].

However, histological damage features a patchy distribution [69, 70] with lesions not limited to the bulb [71, 72], but also extended in caudal direction beyond the Treitz [73].

POTENTIAL CeD: Potential CeD (PCeD) is the condition related to people with a normal (Marsh grade 0) or minimally abnormal (Marsh grade 1) intestinal mucosa who are at increased risk of developing CeD, as

indicated by both positive EMA and TGA-IgA and compatible HLA [74]. Symptoms and signs of the disease are not always clinically manifest, and even when present, they can range from mild to severe. Many authors tried to understand the natural history of potential CeD, both in children and in adults [75, 76] or to design a predictive model of mucosal atrophy [77], with the help of mathematical model and machine learning algorithms, [78]. The presence of symptoms in both adults and children should be considered as the main determinant to prescribe a GFD in potential celiac disease. It is important to remember that all symptoms have to be considered important for the beginning of a GFD. There is no difference in the decision tree, in fact, if patient has gastrointestinal (diarrhea, constipation, abdominal pain) or extraintestinal manifestation (anemia, osteoporosis, migraine), as suggested by Popp and Maki in a recent review too [79]. As the timing of flattening is totally unpredictable, asymptomatic patients with PCeD should undergo a comprehensive follow-up in order to detect early symptoms and promptly start a GFD.

DIAGNOSIS:

In support of diagnosis, since the 90s several IgA and IgG antibodies have been identified for CeD, with IgA against TGA-IgA and EMA in the spotlight. Different guidelines for CeD diagnosis have been published so far by several societies, both for adults [80, 81] and children [82]. The first diagnostic criteria for CeD in children were published in 1969 by the European Society of Pediatric Gastroenterology and Nutrition (ESPGAN) [83]. At that time, three biopsies of the small bowel mucosa were necessary to establish the diagnosis of CeD: the first one when the suspicion of CeD was raised to demonstrate villous atrophy; the second one, after 1 year on a gluten-free diet (GFD), to show normalization of the intestinal mucosa; the third and last one after a gluten-challenge to prove unequivocally the

causal relationship between gluten intake and mucosal relapse. These rules were used until 1990, when criteria were revised by European Society of Pediatric Gastroenterology, Hepatology, and Nutrition (ESPGHAN) [84]. Based on these revised criteria, if the clinical history and antibody findings were suggestive of the disease, a single intestinal biopsy followed by a favorable response to a GFD was sufficient to confirm the diagnosis of CeD. EMA and TGA-IgA are the well-established serological marker; indeed, they are present at the moment of the CeD diagnosis and vanish on a GFD diet, thus representing a true revolution in the field of diagnosis [19]. In 2012, the European Society of Pediatric Gastroenterology, Hepatology and Nutrition (ESPGHAN) allowed to diagnose CeD without the need for endoscopy and intestinal biopsy in symptomatic children with high TGA-IgA levels, i.e. ≥ 10 -fold upper limit of normal ($\times$ ULN), EMA positivity and HLA-DQ2 and/or -DQ8 [85]. Very recently, at January 2020, this approach has also been suggested for asymptomatic children with high TGA-IgA titers ($\geq 10 \times$ ULN) and positive EMA, regardless of the HLA status [86]. The no-biopsy diagnostic approach is based on the strong correlation between high levels of TGA-IgA ($\geq 10 \times$ ULN) and a high degree of villous atrophy [87, 88], while the reliability of low positive anti-TGA IgA titers is limited [89], particularly when EMA are not detected [90]. Moreover, a recent study of our group, supports the diagnostic value of persistently low positive TGA-IgA titers (at least two determinations above the normal range) in predicting CeD in symptomatic children, regardless of EMA positivity and remarks the central role of biopsy in specific disease pattern at CeD diagnosis [91].

THERAPY:

Following confirmation of the diagnosis, a life-long gluten-free diet (GFD) is the only treatment for CeD, able to effectively switch off inflammation

and achieve mucosal healing. Gluten-containing cereals (wheat, barley, rye, spelt, and kamut) need to be completely excluded from the diet; pure oats are safe for children and adults with CeD ([92] [93] [94]). In contrast, the main sources of complex carbohydrates in the diet of patients with CeD are rice, corn, buckwheat, amaranth, millet, sorghum, and quinoa, i.e. gluten-free cereals and pseudocereals. A strict GFD is a daunting task, and cannot be reduced to just “abstain from pasta, pizza and beer”: indeed, gluten can be hidden in other foods, being used as thickening agent for gravies and coatings and in food factories as a flavoring or stabilizing agent. The chance of cross-contamination from undesirable co-cultivation and harvesting in the field, as well as inadequate storage in factories, at home and at restaurants, could pose an additional obstacle.

While many other potential, complementary treatments have been proposed in the last decades, GFD is still preferable in terms of safety; however these treatments could be used in order to prevent involuntary gluten contamination[95]. 31831308

These treatments act at different levels: some aim to decrease the immunogenic content of gluten before it reaches the intestine (caricain, latiglutenase [96], ANPEP [97]) or to prevent absorption of gluten in the gut lumen by sequestration (polymeric binders [98]) or by blocking the passage of gluten through a leaky intestinal barrier (larazotide acetate [99] and gliadin transport pathway inhibitor [100]), whereas other therapies act by modulating the immune response towards gliadin (transglutaminase inhibitors [101]), or the downstream immune activation (TIMP [102]), or even by inducing immune tolerance to gluten (immune-modulating vaccine [103]).

Based on these premises, much is currently known in regards to both the pathogenetic and clinical role of autoantibodies. Identifying subjects who will develop CeD and investigating their natural history will be primary aims for CeD research. Therefore, several studies have been published in the last few years concerning pathogenetic factors for CeD and the dynamics of autoantibodies among specific groups.

In 2009 [104] our group obtained a gradient of disease risk ranging between 1:7 and 1:2518; looking at these data, as shown in Figure 1, the highest risk value was for DQ2 and DQ8 subjects (1:7), followed by the other three categories coding for two β susceptibility chains: DQ2, B1*02/*02 (1:10), DQ8, B1*02 positive (1:24), and β 2, B1*02/*02 (1:26). DQ2 subjects carrying a second DQB1 allele different from *02 or *0302 (B1*02/X) resulted in a risk of 1:35.

Disease risk		Patients%	Controls%	Risk	F	M
	DQ2 and DQ8	2.5	0.2	1:7	1:7	1:8
	DQ2, <i>B1*02/*02</i>	23.1	2.4	1:10	1:8	1:13
	DQ8, <i>B1*02</i> pos.	3.0	0.7	1:24	1:16	1:52
	$\beta 2$, <i>B1*02/*02</i>	1.4	0.4	1:26	1:27	1:26
	DQ2, <i>B1*02/X</i>	55.1	19.2	1:35	1:26	1:54
	DQ8, <i>B1*02</i> neg.	7.3	6.5	1:89	1:62	1:157
	$\beta 2$, <i>B1*02/X</i>	4.6	9.7	1:210 ←	1:211	1:208
	$\alpha 5$	2.1	37.9	1:1842	1:8327	1:1027
	Other	0.9	23.0	1:2518	1:2530	1:2497

Figure 1: Risk- gradient. Risks were evaluated from unrounded case-control DQ frequencies, considering a disease prevalence of 1:100 (arrow) and a female: male gender ratio of 1.8. DQ2 =DQA1*05 and DQB1*02; DQ8 =DQA1*03 and DQB1*0302; beta2 =DQB1*02 in the absence of DQA1*05 (DQ2.2); alfa5 =DQA1*05 in the absence of DQB1*02. X, different from DQB1*02 or DQB1*0302. Adapted from Megiorni et al, 2009 [104].

AIMS

The aim of the present study is:

- 1) To characterize HLA polymorphisms and distinguish the different roles of single haplotype (by discriminating the DQ2.5 from the other susceptible haplotype)
- 2) to confirm the association between HLA class II genes with CeD;
- 3) to assess their role in CeD genetic susceptibility by re-defining the current risk pyramid of genetically predisposed individuals

MATERIALS AND METHODS

A. Patients

We enrolled all CeD patients who performed HLA testing at the moment of CeD diagnosis and their nuclear families (parents and siblings) from June 2005 to June 2020. All patients and their families were enrolled in the Pediatric Gastroenterology and Liver Unit, Sapienza University of Rome, Italy and all diagnoses of CeD were based on the current criteria of the European Society for Pediatric Gastroenterology, Hepatology and Nutrition (ESPGHAN) published in 1990, 2012 and 2020 previously described.

Nuclear families performed HLA testing after CeD patient's diagnosis within the project of family screening.

All relatives were tested for total IgA and TGA-IgA; in selected individuals EMA antibodies were measured and small-intestinal biopsy was performed, where necessary.

B. Control subjects

The control data set included healthy Italian individuals and affected family-based controls (AF- BAC). The affected family-based sample was established by selecting the chromosomes not transmitted from parents to affected children [105].

The AF-BAC method was introduced in 1981 [106]. In families ascertained for the presence of an affected child, the parental marker alleles not transmitted to the affected child are used as population (control) alleles. This matched design for patient (parental transmitted) and "control" (parental non-transmitted) marker alleles avoids ethnic confounding in the case of a stratified population. [107, 108]. This method has been extended in 1989 to nuclear pedigrees ascertained for the presence of at least two

affected sibs by using the alleles from both parents that are never transmitted to either sib in the affected sib pair, as the "control" population [109, 110].

Moreover, with the AF-BAC method, the effects of population stratification, migration, and admixture on the expected values of the family marker allele frequencies are considered.

Both healthy controls and affected family-based controls samples were in Hardy-Weinberg equilibrium at the HLA-DR and -DQ loci and the comparison of allele and genotype frequencies between the two groups did not show significant differences.

C. Methods

1. Genotyping

All the following methods are performed in collaboration with Dr. Barbara Mora and Prof. Antonio Pizzuti of the Unit of Genetics, of "Sapienza – University of Rome" Policlinico Umberto I.

1.1. DNA extraction

DNA is extracted with the salt-chloroform method starting from fresh or frozen blood, using the following protocol (modified from Müllenback et al., 1989):

- 1) Add 45 ml of a hypotonic solution (0.32 M saccarose, 10 mM Tris-HCl pH 7.5; 5 mM MgCl₂; 1% Triton 100X) to 5 ml of blood
- 2) Gently shake the pellet, then put in ice for 10'
- 3) Centrifuge (10', 4000 rpm, 4° C)
- 4) Store the pellet and wash it once or twice with a Salt-EDTA solution (0,075 M NaCl e 0,025 M EDTA pH8)
- 5) Centrifuge after every wash (10', 3000 rpm, room temperature)
- 6) Add 5 ml SE solution, 40 µl Proteinase K (25 mg/ml) and 500 µl SDS 10% to the pellet
- 7) Incubate overnight at 37° C, or 55° C for three hours
- 8) Add pre-heated NaCl to the pellet (reaching 1.5 M concentration, starting from a 5 M solution)
- 9) Add an even amount of chloroform and mix for 45' in a rotor at room temperature
- 10) Centrifuge (2000 rpm, 10', room temperature)
- 11) Extract supernatant and add an even amount of isopropanol
- 12) Mix for 5'
- 13) Centrifuge (2000 rpm, 10', 4° C)

- 14) Wash the pellet with 1.5 ml 70% ethanol and incubate at -80° C for at least 1h
- 15) Centrifuge (9000 rpm, 30', 4° C)
- 16) Discard supernatant, then add an adequate volume of TE solution (10 mM Tris-HCl; 1 mM EDTA)

1. Spectrophotometer reading

The solution obtained from the last step in the DNA extraction process is read using a spectrophotometer with two different wave lengths (260 nm and 280 nm), corresponding to the DNA and protein peak absorption, respectively. A 260 nm/280 nm ratio between 1.6 and 2.2 is considered adequate for a pure solution. As a reference to measure the DNA concentration of the sample, a 50 µg/ml solution has a 260 nm absorption equal to 1. The value obtained from the 260 nm reading is then multiplied by a factor of 50 and by the dilution performed; the resulting concentration is expressed in µg/ml.

1.2 Polymerase Chain Reaction (PCR)

PCR is an enzymatic method for amplification of specific DNA sequences relying on the Taq polymerase and on specific short sequences of nucleotides (primers) to make new strands of DNA. As the newly synthesized chain of DNA can be used as a template for further copies, at every cycle an exponential number of DNA copies is generated. Each cycle consists of three different steps, occurring at different temperature thresholds: denaturation occurs at 96° C, annealing between 55° C and 65° C, and extension at 72° C. Denaturation is needed in order to produce single-strand DNA chains acting as templates for following steps; during annealing, primers bind to the single-strand DNA chain; lastly, during extension, the DNA polymerase extends the primers by adding new

nucleotides to the chain. The most commonly used DNA polymerase is called Taq polymerase, after the heat-tolerant bacterium from which it was isolated (*Thermus aquaticus*).

Besides the Taq polymerase, PCR requires a double-stranded DNA chain, a couple of primers delimiting the sequence (one for the 5' strand, and one for the 3' strand), the four triphosphate nucleotides (dATP, dCTP, dGTP, dTTP), a buffer containing inorganic ions for the enzyme action. At the end of the PCR, in order to assess the result of DNA amplification and its quality, a gel electrophoresis is usually run on a small quota of the product by using ethidium bromide 0.5 µl/ml. Ethidium bromide binds by intercalating between DNA base pairs; when the agarose gel is exposed to UV light, DNA bands become visible, and by measuring their mobility relative to a molecular weight standard the base pair length can be identified.

1.3 HLA typing

During the last 40 years, HLA typing has been performed by using serological methods; however, the introduction of PCR has introduced a revolution in HLA genes study and several techniques based on DNA amplification via PCR are now available. Compared to serological methods, PCR techniques can be used in order to better define the polymorphisms, mostly for the following two reasons: some nucleotide differences between alleles do not result in aminoacidic changes; and serological methods cannot be used for identification of sequences which do not define an epitope, or for sequences which define an epitope for which no specific antibody is available.

1.4 PCR-SSP (PCR-Sequence Specific Primers)

The PCR-SSP method is based on the grounds that primers identical to a “target” DNA sequence are more efficacious in amplification of specific alleles or groups of alleles compared to partially complementary primers, especially in the presence of a “mismatch” on the 3’.

At the end of the polymerization process, amplified DNA fragments are separated by running a gel electrophoresis and examined under UV light following exposure to ethidium bromide. The presence or absence of DNA fragments with different, specific molecular weight is the key to understanding the results of the PCR. However, during amplification, several factors can impair the reliability of the reaction (pipetting errors, low DNA quality, presence of inhibitors, and so on). In order to assess the integrity and purity of the reaction, an internal control consisting of a primer couple for a known gene is generally used. In this case, positive reaction for an allele (or an allele group) appears in the gel as a DNA fragment (band) between the internal control and the primer bands. The first application of this technique for HLA typing has been used DRB1 (Olerup et al., 1992).

1.5 HLA-DRB1, -DQA1 e -DQB1 gene typing

In this study, the class II HLA genes has been performed by using the method just described for all subjects involved by using commercially available kits. The kit consists of 8-vial strips, with each vial containing a different primer mix in liquid phase: two or three primer pairs with different specificity are used for each vial, allowing for amplification of different DNA sequences at the same time. Primers are designed and mixed in order to be compatible in the same PCR without the risk of creating dimers. Each vial also contains the material for amplification of a “housekeeping” gene, such as human β -globin, as an internal control for assessment of the reaction quality.

This is the process for DNA amplification using this kit:

1. Put 5 μ l primer mix from each primer vial into the corresponding empty vial of each strip, for each sample requiring amplification
2. For each sample, put in an Eppendorf vial 225 μ l Reagent A and 135 μ l Reagent B (master mix)
3. Add 45 μ l DNA (diluted up to the concentration of 110 ng/ μ l) to the master mix
4. Shake the Eppendorf vials
5. Put 45 μ l of the resulting mixture in each one of the 8 vials filled with primer mix
6. Firmly close each vial with the cap
7. Shake the strip.

Amplification has been performed by using an Applied Biosystem 2720 thermal cycler (table 1).

Temperature	Time	Cycles
95°C	5 min	1
95°C	30 sec	5
61°C	30 sec	
72°C	45 sec	
95°C	30 sec	30
58°C	30 sec	
72°C	45 sec	
72°C	7 min	1
15°C	HOLD	-

Table 1: Amplification process

After the first 5 cycles, the temperature for annealing is reduced (from 61° C to 58° C) in order to improve the output of each cycle, as the primer-target sequence complex is more stable at lower temperatures. As previously stated, amplified DNA sequences are separated by electrophoresis gel (120 Volt for 20') and examined under UV light following exposure to ethidium bromide (table 2).

	Gel Solution (30 ml)	Buffer Solution (10 ml)
Agarose	0.6 gr	–
TBE 5X	6 ml	2 ml
H₂O	24 ml	8 ml
Ethidium Bromide	1.5 µl	0.5 µl

Table 2: agarose gel preparation

Results of the PCR are assessed by examining control and reaction bands, using the scheme in table 3, with lighter sequences running longer distances than heavier ones. By comparing the migration distance of each reaction band to the control band (341bp, β -globin) and to the marker band, it is possible to identify the different alleles.

In CeD patients, as well as in healthy controls, HLA haplotypes have been estimated based on the strong linkage disequilibrium between the DRB1, DQA1 e DQB1 genes. The molecular typing of the DRB1, DQA1 e DQB1 alleles and the definitions used for the DR-DQ haplotypes in the remainder of the study are reported in table 4.

As an example, the DRB1*03-DQA1*05-DQB1*02 combination would define the DR3-DQ2 haplotype.

	Allele	Molecular weight
1	DQA1*01	225 bp
	DRB1*07	129 bp
2	DQB1*04	207 bp
	DQA1*02:01	143 bp
3	DQA1*03	196 bp
	DQB1*03:01, *03:03	Mix
4	DQA1*05	209 bp
	DQB1*03:02	121 bp
5	DRB1*03	221 bp
	DQA1*06	121 bp
6	DRB1*04	260 bp
	DQB1*02	140 bp
7	DRB1*11	182 bp
	DQB1*03:05	132 bp
8	DQB1*03:01, *03:04	102 bp

Table 3: HLA typing scheme for PCR.

DR-DQ haplotypes	DRB1	DQA1	DQB1
DR3-DQ2	*03	*05	*02
DR11-DQ7	*11	*05	*03:01
DR7-DQ2	*07	*02:01	*02
DR4-DQ8	*04	*03	*03:02

Table 4: HLA haplotypes associated with CeD.

2. Antibody measurements

2.1 Dosage of serum IgA

Serum IgA is detected by Nephelometry. It is performed by measuring the scattered light at an angle from the sample being measured and it is widely used in clinical laboratories because it is relatively easily automated. It is based on the principle that a dilute suspension of small particles will scatter light (usually a laser) passed through it rather than simply absorbing it. The amount of scatter is determined by collecting the light at an angle (usually at 30 and 90 degrees). The amount of light scatter is measured and compared to the amount of scatter from known mixtures. The amount of the unknown is determined from a standard curve.

2.2 Dosage of anti-transglutaminase IgA

Anti-tissue TransGlutaminase (TGA-IgA) antibodies are measured by an ELISA method using a commercially available kit (Eurohospital, Trieste, Italy). Reference values are as follows: Negative: < 9.00 U/mL, Uncertain: 9.00 – 16.00 U/mL; Positive: > 16.00 U/mL.

All dosages are performed in the Immunopathology Laboratory of “Sapienza – University of Rome” Policlinico Umberto I, directed by Prof. Fabrizio Mainiero.

3. Upper gastrointestinal endoscopy and biopsies

All upper gastrointestinal endoscopy were performed under general anesthesia or deep sedation by an expert pediatric endoscopist. Targeted or

random mucosal biopsies were obtained (4 in duodenum and 2 in bulb) according to mucosal appearance. All specimens were adequately oriented and graded according to the Marsh–Oberhuber (MO) classification by an expert and dedicated pathologist .

4. Statistics

Statistical significance was calculated by Fisher's exact test using 2 X 2 contingency tables. Values of $p < 0.05$ were considered significant.

Disease risks are expressed as 1:N, where N is the number of individuals among which one patient is present. Considering a disease prevalence of 1:100 in the general population, for each HLA-DQ category, N is calculated as a percentage of controls with that particular HLA-DQ status multiplied by 100 and divided by percentage of patients with the same DQ typing.

The risks in female and male subjects were calculated using the DQ frequencies previously reported in the two genders and considering the 1.8:1 female to male ratio.

For calculation of odds-ratio in non-DQ2.5 patients we elaborated a calculation with the exclusion of DQ2.5, as suggested by literature [111].

The odds ratio in at risk-population was calculated with the construction of two for two table by OpenEpi, Version 3.

RESULTS

We enrolled 778 (F 502) celiac patients in our study group and 551 controls that included 292 healthy Italian individuals and 259 affected family-based controls. Of these, it was selected chromosomes not transmitted from parents to affected children, as suggested by literature [105].

1. HLA distribution

Table 5 shows HLA distribution in our study cohort (Controls and CeD patients).

	Controls (N= 551)	CeD (N=778)
DQ2/DQ8	1 (0.2%)	25 (3.2%)
DQ2/DQ2,β2	13 (2.4%)	177 (22.8%)
DQ8/β2	4 (0.7%)	34 (4.4%)
β2/β2	2 (0.3%)	12 (1.5%)
DQ2/x	106 (19.2%)	408 (52.4%)
DQ8/x	36 (6.5%)	64 (8.2%)
β2	53 (9.6%)	48 (6.2%)
α5	209 (37.9%)	8 (1.0%)
Other	127 (23%)	2 (0.3%)

Table 5: HLA distribution.

In details, we can observe the distribution of single haplotypes in CeD patients in Table 6.

	n	%
DQ2.5 cis	237	30.46%
DQ2.5, DQ2.5	177	22.75%
DQ2.5 trans	171	21.98%
DQ8	64	8.22%
DQ2.2	48	6.17%
DQ8/DQ2.2	34	4.37%
DQ8/DQ2.5	25	3.21%
DQ2.5/DQ2.2	12	1.54%
DQ2neg/DQ8neg	10	1.29%

Table 6: HLA haplotypes in CeD patients.

As shown, DQ2.5 (HLA-DQA1*05- DQB1*02) *in cis* appears to be the most common haplotype associated with CeD in our study cohort. This haplotype is present in 30.46% of our celiac patients.

Among 778 HLA-DQ genotyped CeD patients, 704 (90.5%) were proven to be carriers of at least one copy of the HLA-DQB1*02 allele, whereas 74 carry different allelic variants at both HLA-DQB1 loci (HLA-DQB1*0302 or others). Therefore, the HLA-DQB1*02 carrier frequency appears to be 90.5%, meaning that only 9.5% of CeD population is completely lacking this allelic variant.

2. Female:Male ratio

Data from literature shows a cumulative Female:Male ratio of 2:1 in CeD. Figure 2 illustrates the F:M ratio of different haplotypes. It is possible to observe that different haplotypes show different female:male ratio.

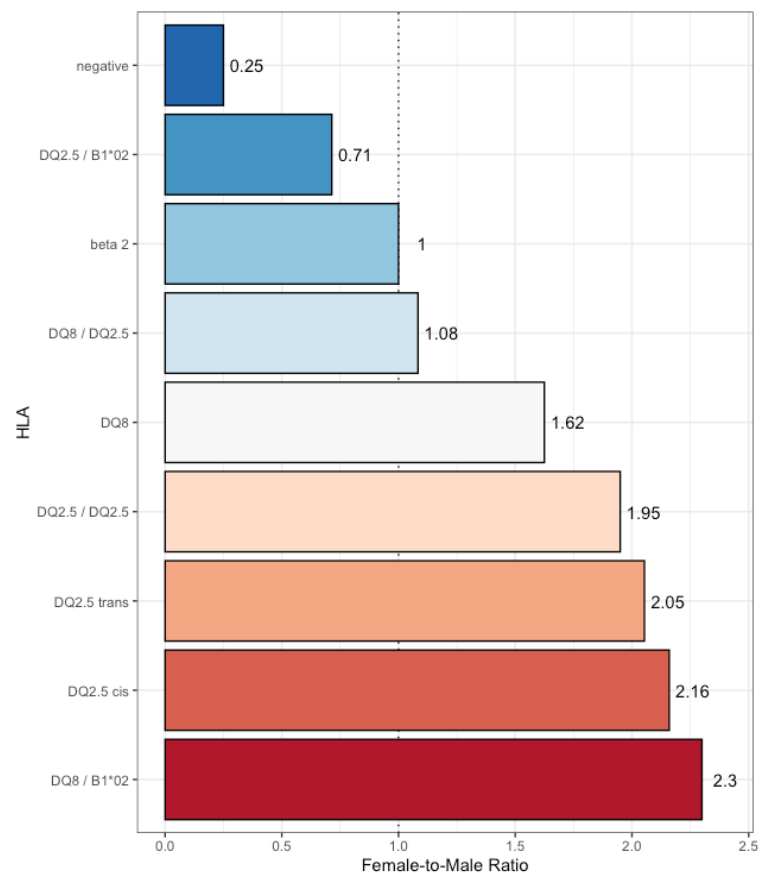


Figure 2: F:M ratio in CeD population.

It's interesting to highlight that negative haplotypes have a 1:4 F:M ratio, whereas the presence of DQ2.2 is represented with 1:1 ratio. The other haplotypes are represented with a ratio comparable with data from literature.

3. Risk pyramid

Figure 3 shows the new risk pyramid with combined risk for male and female, and risk according to gender, in a separate column.

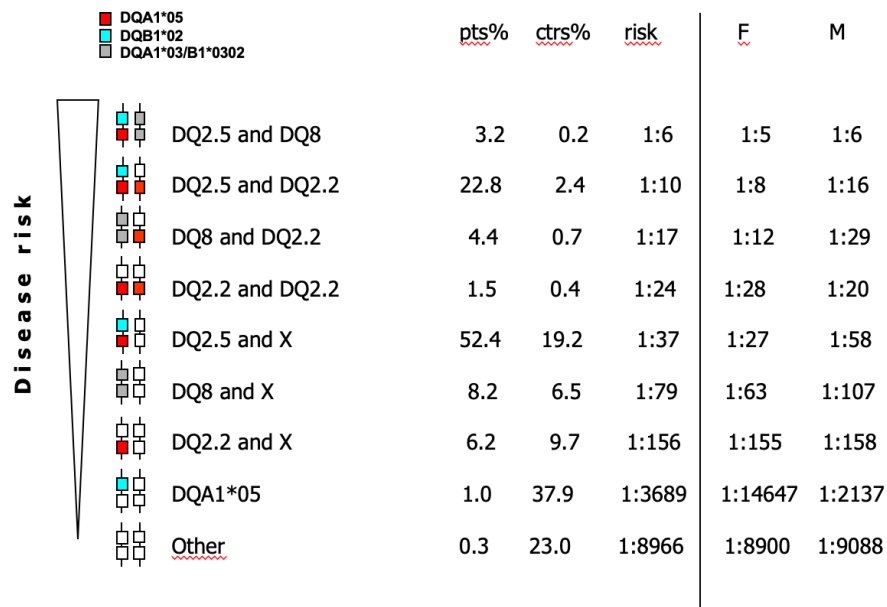


Figure 3: New-risk pyramid.

In addition, Figure 4 shows the old and the new risk pyramid in comparison

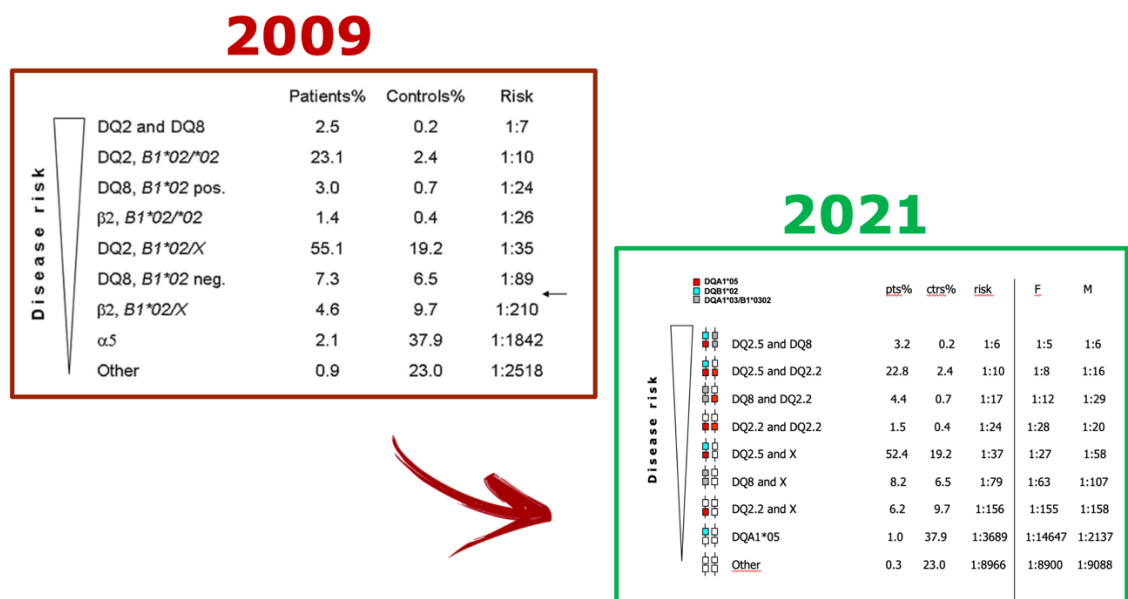


Figure 4: Old and the new risk pyramid in comparison

4. Odds Ratio

The following table (table 7) shows DQ2/DQ8 frequencies and Odds Ratio in Control and CeD patients. Haplotypes have been considered as combined and this Odds Ratio can be considered as a cumulative risk.

	Controls (N= 551)	CeD (N=778)	Odds Ratio	C.I. 95%	p
β2	55 (10.0%)	60 (7.7%)	0.75	0.51-1.11	n.s.
α5	209 (37.9%)	8 (1.0%)	0.02	0.008-0.03	<0.001
DQ2	120 (21.8%)	610 (78.4%)	13.04	10.01-16.99	<0.001
DQ2/DQ8	160 (29.0%)	708 (91.0%)	24.72	18.19-33-59	<0.001
DQ2/DQ8/β2	215 (39.0%)	768 (98.7%)	120.02	62.85-229.19	<0.001
DQ2/DQ8/β2/α5	424 (76.9)	776 (99.7%)	116.22	28.61-472.13	<0.001
DQ8	41 (7.4%)	123 (15.8%)	2.34	1.61-3.39	<0.001

Table 7: Cumulative OR

In table 8, Odds Ratio of single haplotypes versus “others” has been calculated. We can observe higher OR in patients with DQ2.5/DQ8 followed by the presence of two copies of DQ B1*02 (one of these, DQ2.5 *in cis*). In this table DQ2.2/DQ2,2 and DQ8/- do not reach significance despite the presence of a positive OR.

	Controls (N= 551)	CeD (N=778)	Odds Ratio	C.I. 95%	p
DQ2.5 and DQ8	1 (0.2%)	25 (3.2%)	18.26	2.46-135.17	0.045
DQ2.5 and DQ2.2	13 (2.4%)	177 (22.8%)	12.18	6.85-21.6	<0.001
DQ8 and DQ2.2	4 (0.7%)	34 (4.4%)	6.24	2.204-17.71	0.0006
DQ2.2 and DQ2.2	2 (0.3%)	12 (1.5%)	4.30	0.95-19.29	n.s.
DQ2.5 and X	106 (19.2%)	408 (52.4%)	4.62	3.58-5.96	<0.001
DQ8 and X	36 (6.5%)	64 (8.2%)	1.28	0.83-1.95	n.s
DQ2.2 and X	53 (9.6%)	48 (6.2%)	0.62	0.41-0.92	0.02
DQ A1*05	209 (37.9%)	8 (1.0%)	0.02	0.01-0.00	<0.001
other	127 (23%)	2 (0.3%)	0.008	0.002-0.03	<0.0001

Table 8: OR of single haplotypes versus other

As is well known, the presence of the positive dimer DQ A1*05-DQ B1*02 is able to hide all other risk alleles. As suggested by literature [111], Odds Ratio of single haplotypes with exclusion of positive dimer DQ A1*05-DQ B1*02 is calculated (table 9). In this table, it is possible to observe that DQ8 and DQ2.2 can be considered as risk alleles with a positive OR when compared to no-risk alleles DQ A1*05 and “others”. This table confirms the protective role of DQ A1*05 when it is present in a single copy and of the other haplotypes different from DQ2, DQ8 and DQ B1*02 allele.

	Controls (N= 431)	CeD (N=168)	Odds Ratio	C.I. 95%	P
DQ8 and DQ2.2	4 (0.9%)	34 (20.23%)	26.76	9.32-76.81	<0.0001
DQ8 and X	36 (8.3%)	64 (38.1%)	6.75	4.25-10.71	<0.001
DQ2.2 and X	53 (12.3%)	48 (28.57%)	2.85	1.83-4.43	<0.0001
DQ A1*05	209 (48.5%)	8 (4.8%)	0.05	0.02-0.11	<0.0001
Other	127 (29.5%)	2 (1.2%)	0.02	0.007-0.11	<0.0001
DQ2.2 and DQ2.2	2 (0.4%)	12 (7.14%)	16.5	3.65-74.55	0.0003

Table 9: OR of single haplotypes versus other after the exclusion of positive dimer (DQ A1*05-DQ B1*02)

DISCUSSION

This study characterized HLA polymorphisms in patients with celiac disease and re-defined the risk pyramid of genetically predisposed individuals. From our study cohort, 90.5% of CeD patients' carries at least one copy of the HLA-DQB1*02, which overlaps the frequency of this allele as described by Cabrera [112] in 2018. A high frequency of HLA-DQB1*02 in CeD population, both in association with other haplotypes and in a single copy, has recently been reported by Poddighe (94.94%) [113] and previously by Margaritte-Jeannin [31] in 2004. No gene dose effect has been observed regarding symptoms, age at diagnosis, mucosal atrophy and association with other autoimmune disease, as is also confirmed by a recent meta-analysis [114]. In accordance with what was described by Margaritte-Jeannin [31], DQ2.5 haplotypes (HLA DQA1*05-DQB1*02) have the highest frequency in our cohort, especially DQ2.5 (HLA-DQA1*05-DQB1*02) *in cis* results the most common haplotype followed by a double dose of DQ2.5 and DQ2.5 (HLA-DQA1*05-DQB1*02) *in trans*. Haplotype HLA-DQ8 is present in 15.8% of our celiac population, and only 8.10% among these subjects has this allele as the only risk allele, in a slightly higher prevalence than previously reported [115, 116]. The frequency of associated haplotypes for CeD is not comparable with the risk and the odds ratio of single haplotypes. In our study, indeed, differently from what has previously been reported [31, 36, 117, 118], DQ2.5/DQ8 is the haplotype with the highest risk and odds ratio to develop celiac disease. The presence of DQ2.5/DQ8 shows a risk of 1:6, the odds ratio of cumulative haplotypes is 24.72 ($p < 0.001$) and when the odds ratio is calculated for the presence of both haplotypes versus the others it is 18.26 ($p = 0.045$). Differently from previous reports [31, 36, 117, 118] but according to our previous data [104], this result could be explained with the different distribution of haplotypes from North to South in Europe

[119], and Italian data could be more similar to Spanish than to Scandinavian ones [120].

Comparing the new and the old risk pyramid [104], it is possible to observe an increased risk for all haplotypes, especially for DQ2.2 (single “dose” of DQB1*02). It is indeed possible to observe an increase in the risk of developing CeD when DQ2.2 binds to a DQ2.5, a DQ8, and another copy of DQ2.2. More in detail, the presence of a single DQ2.2 carries a 1:156 risk of CeD, which results protective (OR 0.62) when considering all the haplotypes together but becomes a risk factor (OR 2.85) when investigated among the negative (DQ2.5) dimer.

In 2017, De Silvestri [121] drew a risk pyramid where the highest risk was associated with DQ2.5/DQ2.5 haplotypes. Our results show a high risk to develop CeD in presence of DQ2.5/DQ2.5 (1:10,) with an odds ratio of 12.18, comparably to previous reports from Brazil [35].

Analyzing the risk of single haplotypes, it can be easily noticed that DQ8/X and DQ2.2/DQ2.2 and DQ2.2 do not seem to increase the risk. As suggested by literature [111], in order to observe the real risk for this single haplotypes it would be necessary to exclude patients with DQ2.5 and perform a new calculation. In this way, we can recognize the OR of DQ8/X, DQ2.2/DQ2.2 and DQ2.2 (6.75, 16.5 and 2.85, respectively) for the risk to develop CeD. From the analysis of these data it is possible to underline the importance of DQ2.2, especially when associated with the other haplotypes (DQ2.5 or DQ8). The presence of DQ2 with DQ2.2 still carries a 1:10 risk, while the risk for DQ8 with DQ2.2 has increased from 1:24 to 1:17.

Furthermore, it is possible to observe that F:M ratio in our population appears to differ by single haplotypes compared to the cumulative F:M ratio described (2:1) [122]. Indeed, as reported in literature [119], a higher prevalence of males among celiac patients negative for DQ2 and/or DQ8 has been detected. In our study group, we identified a 1:4 F:M ratio for the

presence of other haplotypes and a 1:1 F:M ratio for DQ2.2 subjects. The reason of this difference is not clear, but it could be interesting to hypothesize a role of sexual hormones, or the presence of regulatory genes located of the Y-chromosome on different haplotypes in development of CeD. Concerning the classical haplotype, the well-described F:M ratio is maintained.

Based on our results and on the presence of the DQB1*02 chain (90.5%) in our study population, we can confirm recent findings from literature [123] [124] suggesting, for subjects with a suspected CeD diagnosis, a two-step typing in which searching for the B chain would be the first step, followed by the investigation of DQ8 alleles. Additionally, a new quick test for identification of the beta chains only (DQB1*02 and DQB1*03:02) has recently been developed and could potentially become a useful tool for risk assessment both in subjects with suspected CeD and in the general population, by identifying, although not stratifying, genetic risk factors [125, 126].

The present approach, however, cannot be considered as acceptable for the typing of at-risk subjects (e.g. relatives, or subjects with associated syndromes or comorbidities) as it would not allow a real estimate of the risk of developing CeD and would result in inadequate follow-up, as previously suggested by Murray [117]. Therefore, the complete high-resolution typing of DQ2 and DQ8 becomes necessary considering the new risk pyramid, strengthened by different risk and odds ratios for single haplotype, and even more so in the context of specific at-risk categories.

It is also important to stress that comparing genetic data from different populations is difficult, since every genetic variant has a different prevalence in different settings, and therefore risk calculation needs to be corrected based on the haplotype prevalence itself.

CONCLUSIONS

In conclusion, the new risk pyramid shows an increased risk for all haplotypes; it is reasonable to assert that there is an increased CeD risk in presence of DQ*B102 allele either as a single copy or combination with other alleles. The awareness of the specific single haplotype allows a real estimate of the risk of developing CeD and could be a milestone for personalizing follow-up.

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